

**Advanced Passive 1000 (AP1000)  
Generic Technical Specification Traveler (GTST)**

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**Title: Changes Related to LCO 3.4.2, RCS Minimum Temperature for Criticality**

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**I. Technical Specifications Task Force (TSTF) Travelers, Approved Since Revision 2 of STS NUREG-1431, and Used to Develop this GTST**

**TSTF Number and Title:**

TSTF-425-A, Rev 3, Relocate Surveillance Frequencies to Licensee Control – RITSTF Initiative 5b

**STS NUREGs Affected:**

TSTF-425-A, Rev 3: NUREGs 1430, 1431, 1432, 1433, and 1434

**NRC Approval Date:**

TSTF-425-A, Rev. 3: 06-Jul-09

**TSTF Classification:**

TSTF-425-A, Rev 3: Technical Change

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**II. Reference Combined License (RCOL) Standard Departures (Std. Dep.), RCOL COL Items, and RCOL Plant-Specific Technical Specifications (PTS) Changes Used to Develop this GTST**

**RCOL Std. Dep. Number and Title:**

There are no Vogtle departures applicable to GTS 3.4.2.

**RCOL COL Item Number and Title:**

There are no Vogtle COL items applicable to GTS 3.4.2.

**RCOL PTS Change Number and Title:**

VEGP LAR DOC A003: References to various Chapters and Sections of the Final Safety Analysis Report (FSAR) are revised to include FSAR.

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**III. Comments on Relations Among TSTFs, RCOL Std. Dep., RCOL COL Items, and RCOL PTS Changes**

This section discusses the considered changes that are: (1) applicable to operating reactor designs, but not to the AP1000 design; (2) already incorporated in the GTS; or (3) superseded by another change.

TSTF-425-A deferred for future consideration.

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**IV. Additional Changes Proposed as Part of this GTST (modifications proposed by NRC staff and/or clear editorial changes or deviations identified by preparer of GTST)**

APOG Recommended Changes to Improve the Bases

Throughout the Bases, references to Sections and Chapters of the FSAR do not include the “FSAR” clarifier. Since these Section and Chapter references are to an external document, it is appropriate to include the “FSAR” modifier. (DOC A003)

Replace the symbol “≥” in the second paragraph, first sentence of the “ASA” section of the Bases with “are greater than or equal to” to provide improved clarity, consistency, and operator usability.

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**V. Applicability**

**Affected Generic Technical Specifications and Bases:**

Section 3.4.2, RCS Minimum Temperature for Criticality

**Changes to the Generic Technical Specifications and Bases:**

The symbol “≥” is replaced with “are greater than or equal to” in the second paragraph, first sentence of the “ASA” section of the Bases. (APOG Comment)

The acronym “FSAR” is added to modify “Section” and “Chapter” in references to the FSAR throughout the Bases. (DOC A003) (APOG Comment)

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**VI. Traveler Information**

**Description of TSTF changes:**

Not Applicable

**Rationale for TSTF changes:**

Not Applicable

**Description of changes in RCOL Std. Dep., RCOL COL Item(s), and RCOL PTS Changes:**

Not Applicable

**Rationale for changes in RCOL Std. Dep., RCOL COL Item(s), and RCOL PTS Changes:**

Not Applicable

**Description of additional changes proposed by NRC staff/preparer of GTST:**

The acronym "FSAR" is added to modify "Section" and "Chapter" in references to the FSAR throughout the Bases. (DOC A003) (APOG Comment)

Replace the symbol "≥" with "are greater than or equal to" in the second paragraph, first sentence of the "ASA" section of the Bases. (APOG Comment)

**Rationale for additional changes proposed by NRC staff/preparer of GTST:**

Since Bases references to FSAR Sections and Chapters are to an external document, it is appropriate to include the "FSAR" modifier.

Replacing the symbol "≥" in the "ASA" section of the Bases with text is a non-technical change that provides improved clarity, consistency, and operator usability.

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## **VII. GTST Safety Evaluation**

### **Technical Analysis:**

The changes are editorial, clarifying, grammatical, or otherwise considered administrative. These changes do not affect the technical content, but improve the readability, implementation, and understanding of the requirements, and are therefore acceptable.

Having found that this GTST's proposed changes to the GTS and Bases are acceptable, the NRC staff concludes that AP1000 STS Subsection 3.4.2 is an acceptable model Specification for the AP1000 standard reactor design.

### **References to Previous NRC Safety Evaluation Reports (SERs):**

None

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### **VIII. Review Information**

#### **Evaluator Comments:**

None

Randy Belles  
Oak Ridge National Laboratory  
865-574-0388

#### **Review Information:**

Availability for public review and comment on Revision 0 of this traveler approved by NRC staff on 5/16/2014.

#### **APOG Comments (Ref. 7) and Resolutions:**

1. (Internal # 3) Throughout the Bases, references to Sections and Chapters of the FSAR do not include the "FSAR" clarifier. Since these Section and Chapter references are to an external document, it is appropriate (DOC A003) to include the "FSAR" modifier. This is resolved by adding the FSAR modifier as appropriate.
2. (Internal #13) Many GTSTs evaluated TSTF-425 with the following note: Risk-informed TS changes will be considered at a later time for application to the AP1000 STS.

The NRC approval of TSTF-425, and model safety evaluation provided in the CLIIP for TSTF-425, are generically applicable to any design's Technical Specifications. As such, the replacement of certain Frequencies with a Surveillance Frequency Control Program should be included in the GTST for AP1000 STS NUREG.

However, implementation in the AP1000 STS should not reflect optional (i.e., bracketed) material showing retention of fixed Surveillance Frequencies where relocation to a Surveillance Frequency Control Program is acceptable. Since each represented AP1000 Utility is committed to maintaining standardization, there is no rationale for an AP1000 STS that includes bracketed options.

Consistent with TSTF-425 criteria, replace applicable Surveillance Frequencies with "In accordance with the Surveillance Frequency control Program" and add that Program as new AP1000 STS Specification 5.5.15.

NRC Staff disagreed with implementing TSTF-425 in the initial version of the STS. Although the APOG thinks the analysis supporting this traveler is general enough to be applicable to AP1000, staff thinks an AP1000-specific proposal from APOG is needed to identify any GTS SRs that should be excluded. Also, with the adoption of a Surveillance Frequency Control Program (SFCP) in the AP1000 STS, bracketed Frequencies, which provide a choice between the GTS Frequency and the SFCP Frequency, are needed because the NRC will use the AP1000 STS as a reference, and to be consistent with NUREG-1431, Rev. 4. APOG was requested to consider proposing an AP1000 version of TSTF-425 for a subsequent revision of the STS.

3. (Internal # 228) In GTST Section XI and XII, the heading in upper right corner has a large space after "Temperature," and before "for Criticality." This is resolved by removing the extra spaces.
4. (Internal # 229) APOG recommends replacing the symbol " $\geq$ " in the second paragraph, first sentence of the "ASA" section of the Bases with "are greater than or equal to" to provide improved clarity, consistency, and operator usability. This is resolved by making the recommended change.

**NRC Final Approval Date:** 12/7/2015

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**IX. Evaluator Comments for Consideration in Finalizing Technical Specifications and Bases**

None

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**X. References Used in GTST**

1. AP1000 DCD, Revision 19, Section 16, "Technical Specifications," June 2011 (ML11171A500).
2. Southern Nuclear Operating Company, Vogtle Electric Generating Plant, Units 3 and 4, Technical Specifications Upgrade License Amendment Request, February 24, 2011 (ML12065A057).
3. NRC Safety Evaluation (SE) for Amendment No. 13 to Combined License (COL) No. NPF-91 for Vogtle Electric Generating Plant (VEGP) Unit 3, and Amendment No. 13 to COL No. NPF-92 for VEGP Unit 4, September 9, 2013, ADAMS Package Accession No. ML13238A337, which contains:
  - ML13238A355 Cover Letter - Issuance of License Amendment No. 13 for Vogtle Units 3 and 4 (LAR 12-002).
  - ML13238A359 Enclosure 1 - Amendment No. 13 to COL No. NPF-91
  - ML13239A256 Enclosure 2 - Amendment No. 13 to COL No. NPF-92
  - ML13239A284 Enclosure 3 - Revised plant-specific TS pages (Attachment to Amendment No. 13)
  - ML13239A287 Enclosure 4 - Safety Evaluation (SE), and Attachment 1 - Acronyms
  - ML13239A288 SE Attachment 2 - Table A - Administrative Changes
  - ML13239A319 SE Attachment 3 - Table M - More Restrictive Changes
  - ML13239A333 SE Attachment 4 - Table R - Relocated Specifications
  - ML13239A331 SE Attachment 5 - Table D - Detail Removed Changes
  - ML13239A316 SE Attachment 6 - Table L - Less Restrictive Changes

The following documents were subsequently issued to correct an administrative error in Enclosure 3:

- ML13277A616 Letter - Correction To The Attachment (Replacement Pages) - Vogtle Electric Generating Plant Units 3 and 4-Issuance of Amendment Re: Technical Specifications Upgrade (LAR 12-002) (TAC No. RP9402)
  - ML13277A637 Enclosure 3 - Revised plant-specific TS pages (Attachment to Amendment No. 13) (corrected)
4. TSTF-GG-05-01, "Writer's Guide for Plant-Specific Improved Technical Specifications," June 2005.
  5. RAI Letter No. 01 Related to License Amendment Request (LAR) 12-002 for the Vogtle Electric Generating Plant Units 3 and 4 Combined Licenses, September 7, 2012 (ML12251A355).
  6. Southern Nuclear Operating Company, Vogtle Electric Generating Plant, Units 3 and 4, Response to Request for Additional Information Letter No. 01 Related to License Amendment Request LAR-12-002, ND-12-2015, October 04, 2012 (ML12286A363 and ML12286A360)

7. APOG-2014-008, APOG (AP1000 Utilities) Comments on AP1000 Standardized Technical Specifications (STS) Generic Technical Specification Travelers (GTSTs), Docket ID NRC-2014-0147, September 22, 2014 (ML14265A493).
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**XI. MARKUP of the Applicable GTS Subsection for Preparation of the STS NUREG**

The entire section of the Specifications and the Bases associated with this GTST is presented next.

Changes to the Specifications and Bases are denoted as follows: Deleted portions are marked in strikethrough red font, and inserted portions in bold blue font.

RCS Minimum Temperature for Criticality  
3.4.2

## 3.4 REACTOR COOLANT SYSTEM (RCS)

## 3.4.2 RCS Minimum Temperature for Criticality

LCO 3.4.2            Each RCS loop average temperature ( $T_{avg}$ ) shall be  $\geq 551^{\circ}\text{F}$ .

APPLICABILITY:    MODE 1,  
                          MODE 2 with  $k_{eff} \geq 1.0$ .

## ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. $T_{avg}$ in one or more RCS loops not within limit.	A.1    Be in MODE 2 with $k_{eff} < 1.0$ .	30 minutes

## SURVEILLANCE REQUIREMENTS

SURVEILLANCE	FREQUENCY
SR 3.4.2.1    Verify RCS $T_{avg}$ in each loop $\geq 551^{\circ}\text{F}$ .	12 hours

## B 3.4 REACTOR COOLANT SYSTEM (RCS)

## B 3.4.2 RCS Minimum Temperature for Criticality

## BASES

**BACKGROUND** This LCO is based upon meeting several major considerations before the reactor can be made critical and while the reactor is critical.

The first consideration is moderator temperature coefficient (MTC), LCO 3.1.3, "Moderator Temperature Coefficient (MTC)." In the transient and accident analyses, the MTC is assumed to be in a range from zero to negative and the operating temperature is assumed to be within the nominal operating envelope while the reactor is critical. The LCO on minimum temperature for criticality helps ensure the plant is operated consistent with these assumptions.

The second consideration is the protective instrumentation. Because certain protective instrumentation (e.g., excore neutron detectors) can be affected by moderator temperature, a temperature value within the nominal operating envelope is chosen to ensure proper indication and response while the reactor is critical.

The third consideration is the pressurizer operating characteristics. The transient and accident analyses assume that the pressurizer is within its normal startup and operating range (i.e., saturated conditions and steam bubble present). It is also assumed that the RCS temperature is within its normal expected range for startup and power operation. Since the density of the water, and hence the response of the pressurizer to transients, depends upon the initial temperature of the moderator, a minimum value for moderator temperature within the nominal operating envelope is chosen.

The fourth consideration is that the reactor vessel is above its minimum nil-ductility reference temperature when the reactor is critical.

**APPLICABLE  
SAFETY  
ANALYSES**

Although the RCS minimum temperature for criticality is not itself an initial condition assumed in Design Basis Accidents (DBAs), the closely aligned temperature for hot zero power (HZP) is a process variable that is an initial condition of DBAs, such as the rod cluster control assembly (RCCA) withdrawal, RCCA ejection, and main steam line break accidents performed at zero power that either assume the failure of, or presents a challenge to, the integrity of a fission product barrier.

## BASES

## APPLICABLE SAFETY ANALYSES (continued)

All low power safety analyses assume initial RCS loop temperatures **are greater than or equal to**  $\geq$  the HZP temperature of 557°F (Ref. 1). The minimum temperature for criticality limitation provides a small band, 6°F, for critical operation below HZP. This band allows critical operation below HZP during plant startup and does not adversely affect any safety analyses since the MTC is not significantly affected by the small temperature difference between HZP and the minimum temperature for criticality.

The RCS minimum temperature for criticality parameter satisfies Criterion 2 of 10 CFR 50.36(c)(2)(ii).

## LCO

Compliance with the LCO ensures that the reactor will not be made or maintained critical ( $k_{\text{eff}} \geq 1.0$ ) at a temperature less than a small band below the HZP temperature, which is assumed in the safety analysis. Failure to meet the requirements of this LCO may produce initial conditions inconsistent with the initial conditions assumed in the safety analysis.

## APPLICABILITY

In MODE 1 and MODE 2 with  $k_{\text{eff}} \geq 1.0$ , LCO 3.4.2 is applicable since the reactor can only be critical ( $k_{\text{eff}} \geq 1.0$ ) in these MODES.

The special test exception of LCO 3.1.8, "MODE 2 PHYSICS TEST Exceptions," permits PHYSICS TESTS to be performed at  $\leq 5.0\%$  RTP with RCS loop average temperatures slightly lower than normally allowed so that fundamental nuclear characteristics of the core can be verified. In order for nuclear characteristics to be accurately measured, it may be necessary to operate outside the normal restrictions of this LCO. For example, to measure the MTC at beginning of cycle, it is necessary to allow RCS loop average temperatures to fall below  $T_{\text{no load}}$ , which may cause RCS loop average temperatures to fall below the temperature limit of this LCO.

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**BASES**

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**ACTIONS**A.1

If the parameters that are outside the limit cannot be restored, the plant must be brought to a MODE in which the LCO does not apply. To achieve this status, the plant must be brought to MODE 2 with  $k_{\text{eff}} < 1.0$  within 30 minutes. Rapid reactor shutdown can be readily and practically achieved within a 30 minute period. The allowed time is reasonable, based on operating experience, to reach MODE 2 with  $k_{\text{eff}} < 1.0$  in an orderly manner and without challenging plant systems.

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**SURVEILLANCE  
REQUIREMENTS**SR 3.4.2.1

RCS loop average temperature is required to be verified at or above 551°F every 12 hours. The SR to verify RCS loop average temperatures every 12 hours takes into account indications and alarms that are continuously available to the operator in the control room and is consistent with other routine Surveillances which are typically performed once per shift. In addition, operators are trained to be sensitive to RCS temperature during approach to criticality and will ensure that the minimum temperature for criticality is met as criticality is approached.

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**REFERENCES**

1. **FSAR** Chapter 15, "Accident Analyses."
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**XII. Applicable STS Subsection After Incorporation of this GTST's Modifications**

The entire subsection of the Specifications and the Bases associated with this GTST, following incorporation of the modifications, is presented next.

RCS Minimum Temperature for Criticality  
3.4.2

## 3.4 REACTOR COOLANT SYSTEM (RCS)

## 3.4.2 RCS Minimum Temperature for Criticality

LCO 3.4.2            Each RCS loop average temperature ( $T_{avg}$ ) shall be  $\geq 551^\circ\text{F}$ .APPLICABILITY:    MODE 1,  
                              MODE 2 with  $k_{eff} \geq 1.0$ .

## ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. $T_{avg}$ in one or more RCS loops not within limit.	A.1    Be in MODE 2 with $k_{eff} < 1.0$ .	30 minutes

## SURVEILLANCE REQUIREMENTS

SURVEILLANCE	FREQUENCY
SR 3.4.2.1    Verify RCS $T_{avg}$ in each loop $\geq 551^\circ\text{F}$ .	12 hours

## B 3.4 REACTOR COOLANT SYSTEM (RCS)

## B 3.4.2 RCS Minimum Temperature for Criticality

## BASES

**BACKGROUND** This LCO is based upon meeting several major considerations before the reactor can be made critical and while the reactor is critical.

The first consideration is moderator temperature coefficient (MTC), LCO 3.1.3, "Moderator Temperature Coefficient (MTC)." In the transient and accident analyses, the MTC is assumed to be in a range from zero to negative and the operating temperature is assumed to be within the nominal operating envelope while the reactor is critical. The LCO on minimum temperature for criticality helps ensure the plant is operated consistent with these assumptions.

The second consideration is the protective instrumentation. Because certain protective instrumentation (e.g., excore neutron detectors) can be affected by moderator temperature, a temperature value within the nominal operating envelope is chosen to ensure proper indication and response while the reactor is critical.

The third consideration is the pressurizer operating characteristics. The transient and accident analyses assume that the pressurizer is within its normal startup and operating range (i.e., saturated conditions and steam bubble present). It is also assumed that the RCS temperature is within its normal expected range for startup and power operation. Since the density of the water, and hence the response of the pressurizer to transients, depends upon the initial temperature of the moderator, a minimum value for moderator temperature within the nominal operating envelope is chosen.

The fourth consideration is that the reactor vessel is above its minimum nil-ductility reference temperature when the reactor is critical.

**APPLICABLE  
SAFETY  
ANALYSES**

Although the RCS minimum temperature for criticality is not itself an initial condition assumed in Design Basis Accidents (DBAs), the closely aligned temperature for hot zero power (HZP) is a process variable that is an initial condition of DBAs, such as the rod cluster control assembly (RCCA) withdrawal, RCCA ejection, and main steam line break accidents performed at zero power that either assume the failure of, or presents a challenge to, the integrity of a fission product barrier.

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**BASES**

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**APPLICABLE SAFETY ANALYSES (continued)**

All low power safety analyses assume initial RCS loop temperatures are greater than or equal to the HZP temperature of 557°F (Ref. 1). The minimum temperature for criticality limitation provides a small band, 6°F, for critical operation below HZP. This band allows critical operation below HZP during plant startup and does not adversely affect any safety analyses since the MTC is not significantly affected by the small temperature difference between HZP and the minimum temperature for criticality.

The RCS minimum temperature for criticality parameter satisfies Criterion 2 of 10 CFR 50.36(c)(2)(ii).

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**LCO**

Compliance with the LCO ensures that the reactor will not be made or maintained critical ( $k_{\text{eff}} \geq 1.0$ ) at a temperature less than a small band below the HZP temperature, which is assumed in the safety analysis. Failure to meet the requirements of this LCO may produce initial conditions inconsistent with the initial conditions assumed in the safety analysis.

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**APPLICABILITY**

In MODE 1 and MODE 2 with  $k_{\text{eff}} \geq 1.0$ , LCO 3.4.2 is applicable since the reactor can only be critical ( $k_{\text{eff}} \geq 1.0$ ) in these MODES.

The special test exception of LCO 3.1.8, "MODE 2 PHYSICS TEST Exceptions," permits PHYSICS TESTS to be performed at  $\leq 5.0\%$  RTP with RCS loop average temperatures slightly lower than normally allowed so that fundamental nuclear characteristics of the core can be verified. In order for nuclear characteristics to be accurately measured, it may be necessary to operate outside the normal restrictions of this LCO. For example, to measure the MTC at beginning of cycle, it is necessary to allow RCS loop average temperatures to fall below  $T_{\text{no load}}$ , which may cause RCS loop average temperatures to fall below the temperature limit of this LCO.

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**BASES**

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**ACTIONS**A.1

If the parameters that are outside the limit cannot be restored, the plant must be brought to a MODE in which the LCO does not apply. To achieve this status, the plant must be brought to MODE 2 with  $k_{\text{eff}} < 1.0$  within 30 minutes. Rapid reactor shutdown can be readily and practically achieved within a 30 minute period. The allowed time is reasonable, based on operating experience, to reach MODE 2 with  $k_{\text{eff}} < 1.0$  in an orderly manner and without challenging plant systems.

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**SURVEILLANCE  
REQUIREMENTS**SR 3.4.2.1

RCS loop average temperature is required to be verified at or above 551°F every 12 hours. The SR to verify RCS loop average temperatures every 12 hours takes into account indications and alarms that are continuously available to the operator in the control room and is consistent with other routine Surveillances which are typically performed once per shift. In addition, operators are trained to be sensitive to RCS temperature during approach to criticality and will ensure that the minimum temperature for criticality is met as criticality is approached.

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**REFERENCES**

1. FSAR Chapter 15, "Accident Analyses."
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