



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

April 12, 2016

MEMORANDUM TO: Victor M. McCree
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: GOVERNMENT
PERFORMANCE AND RESULTS ACT: REVIEW OF
FY 1999 PERFORMANCE REPORT (OIG-01-A-03)

REFERENCE: ASSISTANT FOR OPERATIONS MEMORANDUM DATED
MARCH 30, 2016

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated March 30, 2016. Recommendation 2 was closed previously. Based on this analysis, OIG is closing recommendations 1 and 3. All recommendations in this report are now closed.

If you have any questions or concerns, please contact me at 415-5915 or Eric Rivera, Team Leader, at 415-7032

Attachments: As stated

Cc: J. Jolicoeur, OEDO
R. Lewis, OEDO
H. Rasouli, OEDO
M. Wylie, OCFO
S. Hudson, OCFO
RidsOcfoMailCenter
EDO_ACS Distribution

Audit Report

GOVERNMENT PERFORMANCE AND RESULTS ACT: REVIEW OF FY 1999 PERFORMANCE REPORT

OIG-01-A-03

Status of Recommendations

Recommendation 1: Develop an NRC Management Directive to provide the management controls needed to ensure that NRC produces credible GPRA documents.

Agency Response

Dated March 30, 2016:

In response to Commission direction in SRM-COMSECY-14-0026, "Management Directive 6.9, 'Performance Management,'" dated September 16, 2014, the Office of the Executive Director for Operations and the Office of the Chief Financial Officer (OCFO) collaborated to develop a unified set of MDs covering strategic planning, budget formulation, budget execution, and performance management. The contents of these four MDs align with the Government Performance and Results Modernization Act of 2010. The staff completed and submitted the unified set of MDs to the Commission in COMSECY-15-0031, "Submission of Four Management Directives for Commission Review and Approval," dated November 3, 2015. The staff is currently waiting to receive direction from the Commission in response to the submission of these four MDs. Pending Commission approval, the staff expects to complete the issuance of the four MDs by the end of 2016.

OIG Analysis:

The proposed corrective action addresses the intent of OIG's recommendation. OIG acknowledges that the responsibility for performance management and GPRA related activities and the planned MD on *Performance Management* was transferred to the OEDO. Through audit follow-up correspondence for the Audit of NRC's Budget Execution Process (OIG-13-A-18), OIG will track the final relevant management directives that include descriptions of management controls needed to ensure that NRC produces credible GPRA documents. Therefore, this recommendation is closed.

Status:

Closed.

Audit Report

GOVERNMENT PERFORMANCE AND RESULTS ACT: REVIEW OF FY 1999 PERFORMANCE REPORT

OIG-01-A-03

Status of Recommendations

Recommendation 3: Include guidance on reporting unmet goals in both the Management Directive and the interim policy guidance on implementing GPRA initiatives.

Agency Response

Dated March 30, 2016:

In response to Commission direction in SRM-COMSECY-14-0026, "Management Directive 6.9, 'Performance Management,'" dated September 16, 2014, the Office of the Executive Director for Operations (OEDO) and the Office of the Chief Financial Officer collaborated to develop a unified set of MDs covering strategic planning, budget formulation, budget execution, and performance management. The staff completed and submitted the unified set of MDs to the Commission in COMSECY-15-0031, "Submission of Four Management Directives for Commission Review and Approval," dated November 3, 2015. This set includes the new proposed MD 6.9, "Performance Management," and associated Directive Handbook. The contents of MD 6.9 and Directive Handbook align with the Government Performance and Results Modernization Act of 2010 and describe how the performance management program is used to improve the NRC's effectiveness and efficiency in achieving its mission and strategic goals, including reporting performance results. OEDO also issues agency guidance annually for establishing performance indicators and reporting results, including unmet goals. The staff is currently waiting to receive direction from the Commission in response to the submission of these four MDs. Pending Commission approval, the staff expects to complete the issuance of the four MDs by the end of 2016.

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Status of Recommendations

Recommendation 3 (cont.):

OIG Analysis:

The proposed corrective action addresses the intent of OIG's recommendation. OIG acknowledges that the responsibility for performance management and GPRA related activities and the planned MD on *Performance Management* was transferred to the OEDO. Through audit follow-up correspondence for the Audit of NRC's Budget Execution Process (OIG-13-A-18), OIG will track the final relevant management directives that include guidance on reporting unmet goals relative to implementation of GPRA initiatives. Therefore, this recommendation is closed.

Status:

Closed.