



**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

April 12, 2016

**MEMORANDUM TO:** Victor M. McCree  
Executive Director for Operations

**FROM:** Stephen D. Dingbaum */RA/*  
Assistant Inspector General for Audits

**SUBJECT:** STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S  
SHARED "S" DRIVE (OIG-11-A-15)

**REFERENCE:** CHIEF INFORMATION OFFICER MEMORANDUM DATED  
FEBRUARY 29, 2016

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated February 29, 2016. Based on this response, recommendations 2 and 3 remain in resolved status. Recommendations 1, 4, and 5 were closed previously. Please provide an updated status of the resolved recommendations by December 21, 2016.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: R. Lewis, OEDO  
J. Jolicoeur, OEDO  
H. Rasouli, OEDO  
F. Brown, CIO  
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## Audit Report

### AUDIT OF NRC'S SHARED "S" DRIVE

OIG-11-A-15

#### Status of Recommendations

<u>Recommendation 2:</u>	Revise current information security training for NRC staff to address specific practices for protecting Sensitive Unclassified Non-Safeguards Information (SUNSI) on the agency's shared network drives.
Agency Response Dated February 29, 2016:	The Office of the Chief Human Capital Officer (OCHCO) and the Office of the Chief Information Officer (OCIO) continue to work together on Recommendation 2. Staff anticipates closing this recommendation after publishing the policy for controlled unclassified information (CUI), which is dependent upon the National Archives and Record Administration's (NARA's) release of the CUI final rule. The estimated release date of the final rule is third quarter of fiscal year (FY) 2016. Staff will close this recommendation after the release of the CUI final rule which will incorporate SUNSI into the CUI identification and marking system. After the release of the final rule, OCHCO and OCIO will be able to finalize the information security training.
	<b>Target Completion Date:</b> First quarter of FY2017
OIG Analysis:	The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives documentation verifying that the training addresses protection of SUNSI within CUI on the agency's shared network drives.
<b>Status:</b>	Resolved.

## Audit Report

### AUDIT OF NRC'S SHARED "S" DRIVE

OIG-11-A-15

#### Status of Recommendations

- Recommendation 3: Develop Controlled Unclassified Information (CUI) policies and guidance for storing and protecting CUI in agency shared drives, and:
- a. post this guidance on the NRC intranet; and
  - b. include this guidance in annual training.

Agency Response Dated  
February 29, 2016:

The governmentwide CUI Advisory Council continues to develop polices for safeguarding CUI. Currently, the draft policy is undergoing the formal Office of Management and Budget (OMB) comment process. Efforts continue to align the requirements of the National Institute of Standards and Technology and OMB issuances with the CUI program. At this time, the CUI executive agent, NARA, recommends that departments and agencies make no changes in policy until NARA releases a final rule. The current estimated publication of the final CUI policy is the third quarter of FY2016. Therefore, staff does not expect to complete Recommendations 3, 3a, and 3b until the first quarter of FY2017.

**Target Completion Date:** First quarter of FY2017

OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when CUI policies and guidance are developed, posted on the intranet, and included in annual training.

**Status:** Resolved.