

March 30, 2016

MEMORANDUM TO: Stephen D. Dingbaum
Assistant Inspector General for Audits

FROM: Robert J. Lewis */RA/*
Assistant for Operations
Office of the Executive Director for Operations

SUBJECT: STATUS OF RECOMMENDATIONS: GOVERNMENT
PERFORMANCE AND RESULTS ACT: REVIEW OF FY 1999
PERFORMANCE REPORT (OIG-01-A-03)

REFERENCE: ASSISTANT INSPECTOR GENERAL FOR AUDITS
MEMORANDUM DATED APRIL 16, 2015

I am responding to your memorandum dated April 16, 2015, requesting an updated status of resolved recommendations concerning the referenced audit. The enclosed report provides updated information on Recommendations 1 and 3.

Enclosures:

1. Status of Recommendations
OIG-01-A-03: Recommendation 1
2. Status of Recommendations
OIG-01-A-03: Recommendation 3

cc: S. Zane, OIG
J. Gordon, OIG
M. Wylie, OCFO
A. Rossi, OCFO
H. Rasouli, OEDO
J. Jolicoeur, OEDO
J. Cai, OEDO

CONTACT: Houman Rasouli, OEDO/AO
(301) 415-7536

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3. Status of Recommendations
OIG-01-A-03: Recommendation 1
4. Status of Recommendations
OIG-01-A-03: Recommendation 3

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ADAMS Accession No.: ML16081A391

OFFICE	OEDO	OEDO/DAO	OEDO/AO
NAME	JCai	HRasouli	RLewis
DATE	03/23/16	03/29/16	03/30/16

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Audit Report

GOVERNMENT PERFORMANCE AND RESULTS ACT: REVIEW OF FISCAL YEAR 1999 PERFORMANCE REPORT

OIG-01-A-03

Status of Recommendations

Recommendation 1:

Develop a U.S. Nuclear Regulatory Commission (NRC) Management Directive (MD) to provide the management controls needed to ensure that NRC produces credible Government Performance and Results Act of 1993 documents.

Status:

In response to Commission direction in SRM-COMSECY-14-0026, "Management Directive 6.9, 'Performance Management,'" dated September 16, 2014, the Office of the Executive Director for Operations and the Office of the Chief Financial Officer (OCFO) collaborated to develop a unified set of MDs covering strategic planning, budget formulation, budget execution, and performance management. The contents of these four MDs align with the Government Performance and Results Modernization Act of 2010. The staff completed and submitted the unified set of MDs to the Commission in COMSECY-15-0031, "Submission of Four Management Directives for Commission Review and Approval," dated November 3, 2015. The staff is currently waiting to receive direction from the Commission in response to the submission of these four MDs. Pending Commission approval, the staff expects to complete the issuance of the four MDs by the end of 2016.

Point of Contact: Anthony Rossi, OCFO, 301-415-7341

Audit Report

GOVERNMENT PERFORMANCE AND RESULTS ACT: REVIEW OF FISCAL YEAR 1999 PERFORMANCE REPORT

OIG-01-A-03

Status of Recommendations

Recommendation 3:

Include guidance on reporting unmet goals in both the Management Directive (MD) and the interim policy guidance on implementing GPRA initiatives.

Status:

In response to Commission direction in SRM-COMSECY-14-0026, "Management Directive 6.9, 'Performance Management,'" dated September 16, 2014, the Office of the Executive Director for Operations (OEDO) and the Office of the Chief Financial Officer collaborated to develop a unified set of MDs covering strategic planning, budget formulation, budget execution, and performance management. The staff completed and submitted the unified set of MDs to the Commission in COMSECY-15-0031, "Submission of Four Management Directives for Commission Review and Approval," dated November 3, 2015. This set includes the new proposed MD 6.9, "Performance Management," and associated Directive Handbook. The contents of MD 6.9 and Directive Handbook align with the Government Performance and Results Modernization Act of 2010 and describe how the performance management program is used to improve the NRC's effectiveness and efficiency in achieving its mission and strategic goals, including reporting performance results. OEDO also issues agency guidance annually for establishing performance indicators and reporting results, including unmet goals. The staff is currently waiting to receive direction from the Commission in response to the submission of these four MDs. Pending Commission approval, the staff expects to complete the issuance of the four MDs by the end of 2016.

Point of Contact: June Cai, OEDO, 301-415-5192