

<b><u>U.S. DEPARTMENT OF COMMERCE</u></b> <b>Bureau of Industry and Security</b>		<b>Date Received (Leave Blank)</b>
<b><u>U.S. NUCLEAR REGULATORY COMMISSION</u></b> <b>Office of Nuclear Materials Safety and Safeguards</b>		
<b>ADDITIONAL PROTOCOL REPORT</b>		
<b>FORM AP-13: EXPORT OF EQUIPMENT AND NON- NUCLEAR MATERIAL</b>		
<b>Submit a separate form for each export of specified equipment or non-nuclear material listed in Supplement 3 of this Handbook from your site.</b>		
<b>13.1</b>	Reporting Code (once assigned):	
<b>13.2</b>	Annex II reference number:	
<b>13.3</b>	Identify the Exported Item:	
	Item Dimensions:	
	Capacity (Volume):	
	Throughput:	
	Material of Construction:	
	Serial or Model Number(s):	
	Name and address of manufacturer:	
	Key Specifications of non-nuclear material:	
Any additional information that will help identify the item:		
<b>13.4</b>	Quantity exported:	Items      Kg      Tons
<b>13.5</b>	Name of importing company or organization:	
	Street Address:	City:
	Province:	Country:
<b>13.6</b>	Date of Export (mm-dd-yyyy):	
<b>13.7</b>	Check this box if a Continuation Form (Form AP-16) has been used to provide additional information for any of the above questions.	

## FORM AP-13 Exports of Specified Equipment and Non-Nuclear Material

Reporting requirements are set forth in 15 CFR Part 783 of the U.S. Department of Commerce (DOC) Regulations and 10 CFR Parts 75 and 110 of the U.S. Nuclear Regulatory Commission (NRC) Regulations.

### **INSTRUCTIONS:**

Submit a separate Form AP-13 for each export of specified equipment or non-nuclear material from your site. These items are listed in Supplement 3 of this handbook. The export report must be submitted to the IAEA quarterly and are due to BIS within 15 days from the end of each calendar quarter. Export reports are due by January 15<sup>th</sup>, April 15<sup>th</sup>, July 15<sup>th</sup>, and October 15<sup>th</sup>. In the event a quarterly export report due date falls on a federal holiday or a weekend the report is then due to BIS the following business day.

**Question 13.1 Reporting Code:** A unique reporting code will be assigned and reported to each location by BIS once an Initial Report has been submitted. The Reporting Code must appear on all future forms pertaining to the location after it is assigned.

**Question 13.2 Annex II Reference Number:** Provide the full paragraph number for the item being exported. For example, the full paragraph number for centrifuge rotor tubes would be 5.1.1(b). All Annex II items and their corresponding reference numbers are listed in Supplement 3 to this Handbook.

**Question 13.3 Identify Exported Item(s):** Provide, as appropriate, item dimensions, capacity (volume), throughput, material of construction, identification or serial numbers, name and address of the manufacturer, key specifications of non-nuclear material, and any other information that will help identify the item(s).

**Question 13.4 Quantity Exported:** Provide the quantity of the item exported. In the case of equipment, the quantity should indicate the number of items. In the case of exports of non-nuclear material, the quantity should be the weight of the material in kilograms or tons as appropriate.

**Question 13.5 Name and Location of Importer:** Provide the name of the importing company or organization and the physical address where the item(s) will be used.

**Question 13.6 Date of Export:** Provide the date on which the export actually occurred or the date when the export was believed to have been made. A single date, not a range of dates, should be entered. If the export cannot be characterized by a single date, please provide an explanation on Continuation Form AP-16.

**Question 13.7 Continuation Form:** Check this box if a Continuation Form, Form AP-16, has been used to provide additional information for any of the above questions.

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<b>FORM AP-M: EXPORT OF EQUIPMENT AND NON- NUCLEAR MATERIALS</b>		
<b>Submit a separate form for each export of specified equipment or non-nuclear material listed in Supplement 3 of this Handbook from your site.</b>		
<b>M.1</b>	Site Reporting Code (once assigned):	
<b>M.2</b>	Annex II reference number:	
<b>M.3</b>	Identify the Exported Item:	
	Item Dimensions:	
	Capacity (Volume):	
	Throughput:	
	Material of Construction:	
	Serial or Model Number(s):	
	Name and address of manufacturer:	
	Key Specifications of non-nuclear material:	
Any additional information that will help identify the item:		
<b>M.4</b>	Quantity exported:	Items      Kg      Tons
<b>M.5</b>	Name of importing company or organization:	
	Street Address:	City:
	Province:	Country:
<b>M.6</b>	Date of Export (mm-dd-yyyy):	
<b>M.7</b>	Check this box if a Continuation Form (Form AP-P) has been used to provide additional information for any of the above questions.	

## FORM AP-M: Exports of Specified Equipment and Non-Nuclear Material

Reporting requirements are set forth in 10 CFR Parts 75 and 110 of the U.S. Nuclear Regulatory Commission (NRC) Regulations.

### **INSTRUCTIONS:**

Submit a separate Form AP-M for each export of specified equipment or non-nuclear material from your site. These items are listed in Supplement 3 of this handbook. The export report must be submitted to the IAEA quarterly and are due to BIS within 15 days of the end of each calendar quarter. Export reports are due by January 15<sup>th</sup>, April 15<sup>th</sup>, July 15<sup>th</sup>, and October 15<sup>th</sup>. In the event a quarterly export report due date falls on a federal holiday or a weekend the report is then due to BIS the following business day.

**Question M.1 Site Reporting Code:** A site code will be assigned and reported to you once your Initial Report has been received by BIS. The site reporting code must appear on all future forms pertaining to this site.

**Question M.2 Annex II Reference Number:** Provide the full paragraph number for the item being exported. For example, the full paragraph number for centrifuge rotor tubes would be 5.1.1(b). All Annex II items and their corresponding reference numbers are listed in Supplement 3 to this Handbook.

**Question M.3 Identify Exported Item(s):** Provide, as appropriate, item dimensions, capacity (volume),

throughput, material of construction, identification or serial numbers, name and address of the manufacturer, key specifications of non-nuclear material, and any other information that will help identify the item(s) ) including units on all measurements.

**Question M.4 Quantity Exported:** Provide the quantity of the item exported. In the case of equipment, the quantity should indicate the number of items. In the case of exports of non-nuclear material, the quantity should be the weight of the material in kilograms or tons as appropriate.

**Question M.5 Name and Location of Importer:** Provide the name of the importing company or organization and the physical address where the item(s) will be used.

**Question M.6 Date of Export:** Provide the date on which the export actually occurred or the date when the export was believed to have been made. A single date, not a range of dates, should be entered. If the export cannot be characterized by a single date, please provide an explanation on Continuation Form AP-P.

**Question M.7 Continuation Form:** Check this box if a Continuation Form, Form AP-P, has been used to provide additional information for any of the above questions.

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<b>M.1</b>	Site Reporting Code (once assigned):	
<b>M.2</b>	Annex II reference number:	
<b>M.3</b>	Identify the Exported Item:	
	Item Dimensions:	
	Capacity (Volume):	
	Throughput:	
	Material of Construction:	
	Serial or Model Number(s):	
	Name and address of manufacturer:	
	Key Specifications of non-nuclear material:	
Any additional information that will help identify the item:		
<b>M.4</b>	Quantity exported:	Items      Kg      Tons
<b>M.5</b>	Name of importing company or organization:	
	Street Address:	City:
	Province:	Country:
<b>M.6</b>	Date of Export (mm-dd-yyyy):	
<b>M.7</b>	Check this box if a Continuation Form (Form AP-P) has been used to provide additional information for any of the above questions.	

## FORM AP-M: Exports of Specified Equipment and Non-Nuclear Material

Reporting requirements are set forth in 10 CFR Parts 75 and 110 of the U.S. Nuclear Regulatory Commission (NRC) Regulations.

### **INSTRUCTIONS:**

Submit a separate Form AP-M for each export of specified equipment or non-nuclear material from your site. These items are listed in Supplement 3 of this handbook. The export report must be submitted to the IAEA quarterly and are due to BIS within 15 days of the end of each calendar quarter. Export reports are due by January 15<sup>th</sup>, April 15<sup>th</sup>, July 15<sup>th</sup>, and October 15<sup>th</sup>. In the event a quarterly export report due date falls on a federal holiday or a weekend the report is then due to BIS the following business day.

**Question M.1 Site Reporting Code:** A site code will be assigned and reported to you once your Initial Report has been received by BIS. The site reporting code must appear on all future forms pertaining to this site.

**Question M.2 Annex II Reference Number:** Provide the full paragraph number for the item being exported. For example, the full paragraph number for centrifuge rotor tubes would be 5.1.1(b). All Annex II items and their corresponding reference numbers are listed in Supplement 3 to this Handbook.

**Question M.3 Identify Exported Item(s):** Provide, as appropriate, item dimensions, capacity (volume),

throughput, material of construction, identification or serial numbers, name and address of the manufacturer, key specifications of non-nuclear material, and any other information that will help identify the item(s) ) including units on all measurements.

**Question M.4 Quantity Exported:** Provide the quantity of the item exported. In the case of equipment, the quantity should indicate the number of items. In the case of exports of non-nuclear material, the quantity should be the weight of the material in kilograms or tons as appropriate.

**Question M.5 Name and Location of Importer:** Provide the name of the importing company or organization and the physical address where the item(s) will be used.

**Question M.6 Date of Export:** Provide the date on which the export actually occurred or the date when the export was believed to have been made. A single date, not a range of dates, should be entered. If the export cannot be characterized by a single date, please provide an explanation on Continuation Form AP-P.

**Question M.7 Continuation Form:** Check this box if a Continuation Form, Form AP-P, has been used to provide additional information for any of the above questions.

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<b>M.2</b>	Annex II reference number:	
<b>M.3</b>	Identify the Exported Item:	
	Item Dimensions:	
	Capacity (Volume):	
	Throughput:	
	Material of Construction:	
	Serial or Model Number(s):	
	Name and address of manufacturer:	
	Key Specifications of non-nuclear material:	
Any additional information that will help identify the item:		
<b>M.4</b>	Quantity exported:	Items      Kg      Tons
<b>M.5</b>	Name of importing company or organization:	
	Street Address:	City:
	Province:	Country:
<b>M.6</b>	Date of Export (mm-dd-yyyy):	
<b>M.7</b>	Check this box if a Continuation Form (Form AP-P) has been used to provide additional information for any of the above questions.	

## FORM AP-M: Exports of Specified Equipment and Non-Nuclear Material

Reporting requirements are set forth in 10 CFR Parts 75 and 110 of the U.S. Nuclear Regulatory Commission (NRC) Regulations.

### **INSTRUCTIONS:**

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**Question M.2 Annex II Reference Number:** Provide the full paragraph number for the item being exported. For example, the full paragraph number for centrifuge rotor tubes would be 5.1.1(b). All Annex II items and their corresponding reference numbers are listed in Supplement 3 to this Handbook.

**Question M.3 Identify Exported Item(s):** Provide, as appropriate, item dimensions, capacity (volume),

throughput, material of construction, identification or serial numbers, name and address of the manufacturer, key specifications of non-nuclear material, and any other information that will help identify the item(s) ) including units on all measurements.

**Question M.4 Quantity Exported:** Provide the quantity of the item exported. In the case of equipment, the quantity should indicate the number of items. In the case of exports of non-nuclear material, the quantity should be the weight of the material in kilograms or tons as appropriate.

**Question M.5 Name and Location of Importer:** Provide the name of the importing company or organization and the physical address where the item(s) will be used.

**Question M.6 Date of Export:** Provide the date on which the export actually occurred or the date when the export was believed to have been made. A single date, not a range of dates, should be entered. If the export cannot be characterized by a single date, please provide an explanation on Continuation Form AP-P.

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	Throughput:	
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	Key Specifications of non-nuclear material:	
Any additional information that will help identify the item:		
<b>M.4</b>	Quantity exported:	Items      Kg      Tons
<b>M.5</b>	Name of importing company or organization:	
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	Province:	Country:
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## FORM AP-M: Exports of Specified Equipment and Non-Nuclear Material

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### **INSTRUCTIONS:**

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**Question M.2 Annex II Reference Number:** Provide the full paragraph number for the item being exported. For example, the full paragraph number for centrifuge rotor tubes would be 5.1.1(b). All Annex II items and their corresponding reference numbers are listed in Supplement 3 to this Handbook.

**Question M.3 Identify Exported Item(s):** Provide, as appropriate, item dimensions, capacity (volume),

throughput, material of construction, identification or serial numbers, name and address of the manufacturer, key specifications of non-nuclear material, and any other information that will help identify the item(s) ) including units on all measurements.

**Question M.4 Quantity Exported:** Provide the quantity of the item exported. In the case of equipment, the quantity should indicate the number of items. In the case of exports of non-nuclear material, the quantity should be the weight of the material in kilograms or tons as appropriate.

**Question M.5 Name and Location of Importer:** Provide the name of the importing company or organization and the physical address where the item(s) will be used.

**Question M.6 Date of Export:** Provide the date on which the export actually occurred or the date when the export was believed to have been made. A single date, not a range of dates, should be entered. If the export cannot be characterized by a single date, please provide an explanation on Continuation Form AP-P.

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<b>M.2</b>	Annex II reference number:	
<b>M.3</b>	Identify the Exported Item:	
	Item Dimensions:	
	Capacity (Volume):	
	Throughput:	
	Material of Construction:	
	Serial or Model Number(s):	
	Name and address of manufacturer:	
	Key Specifications of non-nuclear material:	
Any additional information that will help identify the item:		
<b>M.4</b>	Quantity exported:	Items      Kg      Tons
<b>M.5</b>	Name of importing company or organization:	
	Street Address:	City:
	Province:	Country:
<b>M.6</b>	Date of Export (mm-dd-yyyy):	
<b>M.7</b>	Check this box if a Continuation Form (Form AP-P) has been used to provide additional information for any of the above questions.	

## FORM AP-M: Exports of Specified Equipment and Non-Nuclear Material

Reporting requirements are set forth in 10 CFR Parts 75 and 110 of the U.S. Nuclear Regulatory Commission (NRC) Regulations.

### **INSTRUCTIONS:**

Submit a separate Form AP-M for each export of specified equipment or non-nuclear material from your site. These items are listed in Supplement 3 of this handbook. The export report must be submitted to the IAEA quarterly and are due to BIS within 15 days of the end of each calendar quarter. Export reports are due by January 15<sup>th</sup>, April 15<sup>th</sup>, July 15<sup>th</sup>, and October 15<sup>th</sup>. In the event a quarterly export report due date falls on a federal holiday or a weekend the report is then due to BIS the following business day.

**Question M.1 Site Reporting Code:** A site code will be assigned and reported to you once your Initial Report has been received by BIS. The site reporting code must appear on all future forms pertaining to this site.

**Question M.2 Annex II Reference Number:** Provide the full paragraph number for the item being exported. For example, the full paragraph number for centrifuge rotor tubes would be 5.1.1(b). All Annex II items and their corresponding reference numbers are listed in Supplement 3 to this Handbook.

**Question M.3 Identify Exported Item(s):** Provide, as appropriate, item dimensions, capacity (volume),

throughput, material of construction, identification or serial numbers, name and address of the manufacturer, key specifications of non-nuclear material, and any other information that will help identify the item(s) ) including units on all measurements.

**Question M.4 Quantity Exported:** Provide the quantity of the item exported. In the case of equipment, the quantity should indicate the number of items. In the case of exports of non-nuclear material, the quantity should be the weight of the material in kilograms or tons as appropriate.

**Question M.5 Name and Location of Importer:** Provide the name of the importing company or organization and the physical address where the item(s) will be used.

**Question M.6 Date of Export:** Provide the date on which the export actually occurred or the date when the export was believed to have been made. A single date, not a range of dates, should be entered. If the export cannot be characterized by a single date, please provide an explanation on Continuation Form AP-P.

**Question M.7 Continuation Form:** Check this box if a Continuation Form, Form AP-P, has been used to provide additional information for any of the above questions.