



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

February 22, 2016

MEMORANDUM TO: Victor M. McCree
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
NETWORK SECURITY OPERATIONS CENTER
(OIG-16-A-07)

REFERENCE: CHIEF INFORMATION OFFICER, MEMORANDUM DATED
FEBRUARY 8, 2016

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated February 8, 2016. Based on this response, recommendations 1, 2, 3, and 4 are resolved. Please provide an updated status of the resolved recommendations by October 31, 2016.

If you have questions or concerns, please call me at 415-8175, or Beth Serepca, Team Leader at 415-5911.

Attachment: As stated

cc: D. Ash, CIO
F. Brown, OEDO
B. Pham, OEDO
J. Jolicoeur, OEDO
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Audit Report

AUDIT OF NRC'S NETWORK SECURITY OPERATIONS CENTER

OIG-16-A-07

Status of Recommendations

Recommendation 1: Revise information technology service contract requirements to include SOC-specific performance objectives

Agency Response Dated
February 8, 2016:

Agree. OCIO will revise the existing information technology service contract requirements to include new SOC specific performance objectives that will be negotiated and jointly developed with the current information technology contractor. Any revisions made to the current contract that incorporate new performance objectives will be made within the existing contract framework in order to prevent increased contract costs. The new performance objectives developed during the course of the current contract will be incorporated into the future information technology services contract.

Completion Date: May 31, 2016.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that NRC revised the requirements to include SOC specific performance objectives in the contract.

Status:

Resolved.

Audit Report

AUDIT OF NRC'S NETWORK SECURITY OPERATIONS CENTER

OIG-16-A-07

Status of Recommendations

Recommendation 2: Revise information technology service contract requirements to define SOC functional requirements.

Agency Response Dated
February 8, 2016:

Agree. OCIO will develop SOC specific functional requirements and the SOC team will update the existing SOC procedures guide and SOC services briefing. These revised requirements will be incorporated into the next information technology services contract.

Completion Date: September 30, 2016.

OIG Analysis:

The proposed actions meet the intent of the recommendation. OIG will close this recommendation when OIG receives verification that NRC revised the information technology service contract requirements to define the SOC functional requirements.

Status:

Resolved.

Audit Report

AUDIT OF NRC'S NETWORK SECURITY OPERATIONS CENTER

OIG-16-A-07

Status of Recommendations

Recommendation 3: Define in policy, SOC functions and support obligations to NRC stakeholders, with emphasis on information reporting and technical support requirements.

Agency Response Dated
February 8, 2016:

Agree. Management Directive 12.5 and the OCIO Incident Response policy and procedure documents currently provide guidance for SOC functions, support obligations, roles and responsibilities. OCIO will review and update the OCIO Incident Response policy and procedures to more clearly define SOC functions and support obligations to NRC stakeholders with emphasis on information reporting and technical support requirements.

Completion Date: September 30, 2016.

OIG Analysis:

The proposed actions meet the intent of the recommendation. This recommendation will be closed when OIG receives verification that the SOC functions and support obligations to NRC stakeholders are defined to include information reporting and technical support requirements.

Status:

Resolved.

Audit Report

AUDIT OF NRC'S NETWORK SECURITY OPERATIONS CENTER

OIG-16-A-07

Status of Recommendations

Recommendation 4: Revise the information technology services contract to align with agency policy defining SOC functions and support obligations to NRC stakeholders.

Agency Response Dated
February 8, 2016:

The current information technology service contract will be updated to incorporate the revision of the existing OCIO Incident Response policy and procedures (OIG Recommendation 3).

Completion Date: September 30, 2016.

OIG Analysis:

The proposed actions meet the intent of the recommendation. OIG will close this recommendation when NRC provides evidence that the contract has been revised to align with agency policy defining SOC functions and support obligations to NRC stakeholders.

Status:

Resolved.