

# U.S. NUCLEAR REGULATORY COMMISSION STANDARD REVIEW PLAN

#### **BRANCH TECHNICAL POSITION 7-3**

# GUIDANCE ON PROTECTION SYSTEM TRIP POINT CHANGES FOR OPERATION WITH REACTOR COOLANT PUMPS OUT OF SERVICE

#### **REVIEW RESPONSIBILITIES**

**Primary** - Organization responsible for the review of instrumentation and controls

Secondary - None

**Review Note:** The revision numbers of Regulatory Guides (RG) and the years of endorsed industry standards referenced in this branch technical position (BTP) are centrally maintained in Standard Review Plan (SRP) Section 7.1-T, "Regulatory Requirements, Acceptance Criteria, and Guidelines for Instrumentation and Control Systems Important to Safety," (Table 7-1). Therefore, the individual revision numbers of RGs (except RG 1.97) and years of endorsed industry standards are not shown in this BTP. References to industry standards incorporated by reference into regulation (IEEE Std 279-1971 and IEEE Std 603-1991) and industry standards that are not endorsed by the agency do include the associated year in this BTP. See Table 7-1 to ensure that the appropriate RGs and endorsed industry standards are used for the review.

Revision 6 – August 2016

#### **USNRC STANDARD REVIEW PLAN**

This Standard Review Plan (SRP), NUREG-0800, has been prepared to establish criteria that the U.S. Nuclear Regulatory Commission (NRC) staff responsible for the review of applications to construct and operate nuclear power plants intends to use in evaluating whether an applicant/licensee meets the NRC's regulations. The SRP is not a substitute for the NRC's regulations, and compliance with it is not required. However, an applicant is required to identify differences between the design features, analytical techniques, and procedural measures proposed for its facility and the SRP acceptance criteria and evaluate how the proposed alternatives to the SRP acceptance criteria provide an acceptable method of complying with the NRC regulations.

The standard review plan sections are numbered in accordance with corresponding sections in Regulatory Guide (RG) 1.70, "Standard Format and Content of Safety Analysis Reports for Nuclear Power Plants (LWR Edition)." Not all sections of RG 1.70 have a corresponding review plan section. The SRP sections applicable to a combined license application for a new light-water reactor (LWR) are based on RG 1.206, "Combined License Applications for Nuclear Power Plants (LWR Edition)."

These documents are made available to the public as part of the NRC's policy to inform the nuclear industry and the general public of regulatory procedures and policies. Individual sections of NUREG-0800 will be revised periodically, as appropriate, to accommodate comments and to reflect new information and experience. Comments may be submitted electronically by email to NRO\_SRP@nrc.gov.

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#### A. BACKGROUND

Title 10 of the *Code of Federal Regulations* (10 CFR) 50.55a(h), "Protection and Safety Systems," requires compliance with the Institute of Electrical & Electronics Engineers (IEEE) Standard (Std) 603-1991, "IEEE Standard Criteria for Safety Systems for Nuclear Power Generating Stations," and the correction sheet dated January 30, 1995. For nuclear power plants with construction permits issued before January 1, 1971, the applicant or licensee may elect to comply instead with their plant specific licensing basis. For nuclear power plants with construction permits issued between January 1, 1971, and May 13, 1999, the applicant or licensee may elect to comply instead with the requirements stated in IEEE Std 279-1971, "Criteria for Protection Systems for Nuclear Power Generating Stations."

IEEE Std 279-1971, Clause 4.15, and IEEE Std 603-1991, Clause 6.8.2, include requirements that when a reactor operation requires a more restrictive setpoint, the design shall provide positive means of assuring the more restrictive setpoint is used.

Before the development of IEEE Std 279-1971 the staff required automatic adjustment to more restrictive settings of trips affecting reactor safety by means of circuits satisfying the single-failure criterion. The basis for this requirement is that the function can be accomplished more reliably by automatic circuitry than by a human operator. This design practice, which has also been adopted independently by the national laboratories and by much of industry, served as the basis for Clause 4.15 of IEEE Std 279-1971 and Clause 6.8.2 of IEEE Std 603-1991.

More recently, applicants stated that their protection systems were designed to meet IEEE Std 279-1971 or IEEE Std 603-1991. A number of designs were proposed and accepted which reliably and simply satisfy this requirement. During the review of some applications, however, certain design deficiencies were found. The purpose of this position is to provide additional guidance on the application of Clause 4.15 of IEEE Std 279-1971 and Clause 6.8.2 of IEEE Std 603-1991.

### B. BRANCH TECHNICAL POSITION

- 1. If more restrictive safety trip points are required for operation with a reactor coolant pump out of service, and if operation with a reactor coolant pump out of service is of sufficient likelihood to be a planned mode of operation, the change to the more restrictive trip points should be accomplished automatically.
- 2. Plants with designs not in accordance with the above should have included in the plant technical specifications a requirement that the reactor be shut down prior to changing the set points manually.

### C. REFERENCES

- 1. Institute of Electrical and Electronics Engineers, IEEE Std 279-1971, "Criteria for Protection Systems for Nuclear Power Generating Stations," Piscataway, NJ.
- 2. Institute of Electrical and Electronics Engineers, IEEE Std 603-1991, "IEEE Standard Criteria for Safety Systems for Nuclear Power Generating Stations," Piscataway, NJ.

PAPERWORK REDUCTION ACT STATEMENT
The information collections contained in the Standard Review Plan are covered by the requirements of 10 CFR Part 50, and were approved by the Office of Management and Budget, approval number 3150-0011.
PUBLIC PROTECTION NOTIFICATION
The NRC may not conduct or sponsor, and a person is not required to respond to, a request for information or an information collection requirement unless the requesting document displays a currently valid OMB control number.

# BTP Section 7-3 Description of Changes

# BTP 7-3, "Guidance on Protection System Trip Point Changes for Operation with Reactor Coolant Pumps out of Service"

This BTP Section affirms the technical accuracy and adequacy of the guidance previously provided in BTP 7-3, Revision 5, dated March 2007. See ADAMS Accession No. ML070550091.

The main purpose of this update is to incorporate the revised software Regulatory Guides and the associated endorsed standards. For organizational purposes, the revision number of each Regulatory Guide and year of each endorsed standard is now listed in one place, Table 7-1. As a result, revisions of Regulatory Guides and years of endorsed standards were removed from this section, if applicable. For standards that are incorporated by reference into regulation (IEEE Std 279-1971 and IEEE Std 603-1991) and standards that have not been endorsed by the agency, the associated revision number or year is still listed in the discussion. Additional changes were editorial.

Part of 10 CFR was reorganized due to a rulemaking in the fall of 2014. Quality requirement discussions in the former 10 CFR 50.55a(a)(1) were moved to 10 CFR 50.54(jj) and 10 CFR 50.55(i). The incorporation by reference language in the former 10 CFR 50.55a(h)(1) was moved to 10 CFR 50.55a(a)(2). There were no changes either to 10 CFR 50.55a(h)(2) or 10 CFR 50.55a(h)(3).