

November 30, 2015

Mr. Marc Nichol  
Senior Project Manager,  
Quality Issues and Licensing Action  
Nuclear Energy Institute  
1201 F Street NW, Suite 1100  
Washington, DC 20004

SUBJECT: SUMMARY OF THE NOVEMBER 5, 2015, PUBLIC MEETING WITH NUCLEAR ENERGY INSTITUTE TO DISCUSS THE DISCUSS NEI 14-09, "GUIDELINES FOR IMPLEMENTATION OF 10 CFR PART 21 REPORTING OF DEFECTS AND NONCOMPLIANCE."

On November 5, 2015, a Category 3 public meeting was held between the U.S. Nuclear Regulatory Commission (NRC) and Nuclear Energy Institute (NEI). The NRC staff had previously issued a public meeting notice which included the meeting agenda documented in the Agencywide Documents Access and Management System (ADAMS) under accession number ML15294A420.

The NRC staff conducted this meeting to discuss NEI's proposal to address concerns related to the results of the staff's initial review of NEI 14-09, "Guidelines for Implementation of 10 CFR Part 21 Reporting of Defects and Noncompliance." The meeting discussion focused on the discovery section, software activities and best practices for nuclear vendors. Enclosure 1 provides a list of attendees. The meeting handout used during the discussion is located in ADAMS under accession number ML15303A106.

NEI presented different perspectives for NRC consideration on NEI 14-09. NEI revised Section 7, "Discovery of an Issue Subject to 10 CFR Part 21," to align the discovery guidance with Appendix A, "Evaluation and Notification Flowchart," and provide clarity between the discovery and evaluation phases. In addition, NEI included additional guidance for: 1) nuclear vendors and third-party dedicating entities; 2) record retention; 3) best practices to maintain open dialog between licensees and vendors on a potential Part 21 evaluation; 4) the purchaser taking 10 CFR Part 21 responsibility when dealing with overseas suppliers; and 5) the link between 10 CFR Part 21 and the corrective action program.

The NRC staff will review in detail the changes proposed by NEI. After the review, the NRC staff will inform NEI to submit Revision 1 of NEI 14-09, "Guidelines for Implementation of 10 CFR Part 21 Reporting of Defects and Noncompliance."

M. Nichol

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Please direct any inquiries to Aixa Belen at 301-415-6263 or via e-mail [aixa.belen@nrc.gov](mailto:aixa.belen@nrc.gov); or Paul Prescott at 301-415-3026 or via e-mail at [paul.prescott@nrc.gov](mailto:paul.prescott@nrc.gov).

Sincerely,

*/RA/*

Kerri Kavanagh, Chief  
Quality Assurance and Vendor Inspection Branch  
Division of Construction Inspection  
and Operations Programs  
Office of New Reactors

Enclosure:  
List of Attendees

cc w/ encls:  
See next page

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- 2 -

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Sincerely,

***/RA/***

Kerri Kavanagh, Chief  
Quality Assurance and Vendor Inspection Branch  
Division of Construction Inspection  
and Operations Programs  
Office of New Reactors

Enclosure:  
List of Attendees

cc w/ encls:  
See next page.

DISTRIBUTION:  
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**ADAMS Accession No.: ML15329A202** \*via e-mail NRO-002

<b>OFFICE</b>	NRO/DCIP/MVIB	NRO/DCIP/QVIB	NRO/DCIP/QVIB
<b>NAME</b>	ABelen	PPrescott	KKavanagh
<b>DATE</b>	11/30/15	11/30/15	11/30/15

**OFFICIAL RECORD COPY**

List of Attendees

Meeting with Nuclear Energy Institute (NEI) to discuss the results of the initial review of NEI 14-09, "Guidelines for Implementation of 10 CFR Part 21 Reporting of Defects and Noncompliance," on October 16, 2015.

<b><u>NAME</u></b>	<b><u>AGENCY/AFFILIATION</u></b>
Marc Nichol	NEI
Tom Loomis	Exelon
Frederick Willis	Southern Nuclear
Jim Gresham	Westinghouse
Mike Dunkelberger	MPR Associates
Kerry Rhoads	Dominion
James Findlay Salter	SCANA
Jana Bergman	Curtis Wright Nuclear
Robert Theuret*	Westinghouse
Steve Schilthelm*	BWXT
David Epperson*	Callaway Energy Center
Larry Parker*	Stars Alliance
Sara Scott*	Xcel Energy
Carlo L. Sisco*	Winston and Strawn LLP
Tom Milton*	Southern Nuclear Operating Company
Rob Burg*	Engineering Planning and Management
Justin Wearne*	PSEG
Peggy R. Rescheske*	Tennessee Valley Authority (TVA)
Steve Martin*	TVA
Aixa Belen	Nuclear Regulatory Commission
Paul Prescott	Nuclear Regulatory Commission
Kerri Kavanagh	Nuclear Regulatory Commission
Abbott Coleman	Nuclear Regulatory Commission
Jermaine Heath	Nuclear Regulatory Commission

\* People participating on the phone

Letter to Marc Nichol from Kerri Kavanagh dated November 30, 2015

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