



Draft Guide 5020, Revision 1

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Purpose

- **Describe the changes in Revision 1 to draft guide (DG) 5020 as a result of the second supplemental proposed enhanced weapons rule**
 - **DG-5020, Rev. 1, provides regulatory guidance supporting the second supplemental proposed rule**
- **Enhance public understanding to facilitate the submission of timely comments on DG-5020, Rev. 1**
 - **Comment period closes on December 7, 2015**
- **No changes to DG-5019, Rev 1 or the Weapons Safety Assessment under the second supplemental proposed rule; therefore, NRC is not seeking further comments**



History

- **Proposed rule (76 FR 6200; Feb 3, 2011)**
- **DG-5020, Rev. 0 (76 FR 6086; Feb 3, 2011)**
- **Second supplemental proposed rule and DG-5020, Rev. 1 (80 FR 57106; Sep 22, 2015)**
- **www.regulations.gov**
 - **Docket ID NRC-2011-0015 (DG-5020)**
 - **Docket ID NRC-2011-0018 (rule)**



Drivers for the Revised DG-5020

- **Revision 1 to the Firearms Guidelines**
 - Principle change: limit the scope of the firearms background check requirement to only those licensees that apply to the NRC for Section 161A authority
- **Lessons learned from applications for preemption authority via Order**
 - Principle change: Statement of an applicant's reasons for seeking Section 161 A authority
 - Commission direction to accomplish sunseting of preemption authority orders once the final rule is effective



Overview of Changes

- **Changes from the second supplemental proposed rule are reflected in DG-5020, Rev 1**
- **This DG provides guidance on:**
 - **Applying for stand-alone preemption authority**
 - **Applying for combined enhanced weapons authority and preemption authority**
 - **Conducting firearms background checks**
 - **The firearms background check plan**
 - **Personnel subject to firearms background checks**



Overview of Changes (cont.)

- **Only the changed paragraphs in proposed §73.18 and § 73.19 are included in the second supplemental proposed rule**
 - **The NRC is seeking comments on only these changes**
- **However, DG-5020, Rev. 1, has been completely updated from DG-5020, Rev. 0**
 - **Thus the NRC is seeking comments on the entire DG-5020, Rev. 1**



Overview of Changes (cont.)

- **New processes for applying for stand-alone preemption authority or combined enhanced weapons authority and preemption authority**
- **Sunsetting of Orders**
- **New Firearms Background Check Plan**
- **Only licensees who apply for §161A authority must conduct firearms background checks**
- **Lengthen interval for periodic checks**
- **Clarify break-in service checks**