

**Regulatory History Index for ESBWR Supplemental Proposed Rule
RIN 3150-AI85**

ACCESSION NO.	DOCUMENT TITLE	DOC. DATE	AUTHOR NAME
ML14104A929 (public), ML14104A153 (non-public)	ESBWR Design Control Document, Revision 10	4/8/2014	N/A
ML14043A134 (public), ML13330A950 (non-public)	Advanced Supplemental Safety Evaluation Report for the ESBWR Standard Plant Design	4/17/2014	N/A
ML13344B157 (public), ML13344B163 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Steam Dryer Acoustic Load Definition," NEDE-33312P, Class III (Proprietary), Revision 5, December 2013, and NEDO-33312, Class I (Non-proprietary), Revision 5, December 2013	Dec. 2013	N/A
ML13344B158 (public), ML13344B164 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Steam Dryer Structural Evaluation," NEDE-33313P, Class III (Proprietary), Revision 5, December 2013, and NEDO-33313, Class I (Non-proprietary), Revision 5, December 2013	Dec. 2013	N/A
ML13344B159 (public), ML13344B176 (non-public), ML13344B175 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Steam Dryer – Plant Based Load Evaluation Methodology, PBLE01 Model Description," NEDE-33408P, Class III (Proprietary), Revision 5, December 2013, and NEDO-33408, Class I (Non-proprietary), Revision 5, December 2013	Dec. 2013	N/A
ML120170304 (public)	Letter from Michael E. Mayfield, NRC, to Gerald G. Head, GEH, "Economic Simplified Boiling Water Reactor Design Certification Rulemaking Schedule," January 19, 2012	1/19/2012	Mayfield, M
ML120790454 (public),	NRC Staff Audit of Steam Dryer Design Methodology Supporting Chapter 3 of the Economic Simplified Boiling Water Reactor Design Certification Document, Revision #1 (Audit Plan),	3/20/2012	N/A

ML120760509 (non-public)	March 20, 2012 (PUBLIC), March 20, 2012 (PROPRIETARY)		
ML12166A127 (public), ML12137A497	Audit Report of ESBWR Steam Dryer Design Methodology Supporting Chapter 3 of ESBWR Design Control Document (Audit Report), June 14, 2012 (PUBLIC), May 17, 2012 (PROPRIETARY)	6/14/2012 5/17/2012	N/A
ML12073A165 (public)	Letter from Kerri Kavanagh, NRC, to Gerald G. Head, GEH, "Quality Assurance Implementation Inspection of Economic Simplified Boiling Water Reactor," March 14, 2012	3/14/2012	Kavanagh, K
ML12187A102 (public), ML12129A438 (non-public)	NRC Inspection Report 05200010/2012-201 and Notice of Violation, July 6, 2012 (PUBLIC), May 31, 2012 (PROPRIETARY)	7/06/2012 5/31/2012	Kavanagh, K
ML14093A138 (public)	GE Hitachi Nuclear Energy, "ESBWR Safeguards Assessment Report," NEDE-33391, Class III (Safeguards, Security-Related, and Proprietary), Revision 3, March 2010, and NEDO-33391, Class I (Non-safeguards, Non-security related, and Non-proprietary), Revision 3, March 2014	Mar. 2010 Mar. 2014	Head, J
ML14093A145 (public), ML14093A146 (non-public)	GE Nuclear Energy, "Fuel Rod Thermal-Mechanical Analysis Methodology (GSTRM)," NEDC-31959P (Proprietary), April 1991, and NEDO-31959 (Non-proprietary), April 1991	Apr. 1991	N/A
ML14093A250 (public), ML012610605 (non-public)	GE Nuclear Energy, J. S. Post and A. K. Chung, "ODYSY Application for Stability Licensing Calculations," NEDC-32992P-A, Class III (Proprietary), July 2001, and NEDO-32992-A, Class I (Non-proprietary), July 2001	Jul. 2001	Chung, A; Post, J
ML14094A227 (public), ML14094A228 (non-public)	Global Nuclear Fuel, "Cladding Creep Collapse," NEDC-33139P-A, Class III (Proprietary), July 2005, and NEDO-33139-A, Class I (Non-proprietary), July 2005	Jul. 2005	Harding, M
ML14093A142 (public), ML14093A143 (non-public)	GE Nuclear Energy, "GE Marathon Control Rod Assembly," NEDE-31758P-A (Proprietary), October 1991, and NEDO-31758-A (Non-proprietary), October 1991	Oct. 1991	N/A
ML100220484 (public),	GE Nuclear Energy, "TASC-03A, A Computer Program for Transient Analysis of a Single Channel," NEDC-32084P-A, Revision 2, Class III (Proprietary), July 2002, and NEDO-32084-A,	Jul. 2002 Sep. 2002	N/A

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ML14093A216 (public), ML003740145 (non-public)	GE Nuclear Energy, "Methodology and Uncertainties for Safety Limit MCPR Evaluations," NEDC-32601 P- A, Class III (Proprietary), and NEDO-32601-A, Class I (Non-proprietary), August 1999	Aug. 1999	N/A
ML072480121 (public), ML072480125 (non-public)	GE Nuclear Energy, "GE Methodology for Reactor Pressure Vessel Fast Neutron Flux Evaluations," Licensing Topical Report NEDC-32983P-A, Class III (Proprietary), Revision 2, January 2006, and NEDO-32983-A, Class I (Non-proprietary), Revision 2, January 2006	Jan. 2006	N/A
ML080310396 (public), ML080310402 (non-public)	GE Hitachi Nuclear Energy, "General Electric Boiling Water Reactor Detect and Suppress Solution – Confirmation Density," NEDC-33075P-A, Class III (Proprietary), and NEDO-33075-A, Class I (Non- proprietary), Revision 6, January 2008	Jan. 2008	N/A
ML053460471 (public), ML051390233 (non-public)	GE Nuclear Energy, "ESBWR Test and Analysis Program Description," NEDC-33079P, Class III (Proprietary), Revision 1, March 2005, and NEDO-33079, Class I (Non-proprietary), Revision 1, November 2005	Mar. 2005 Nov. 2005	Gamble, R; Shiralkar, B
ML102770606 (public), ML102770608 (non-public)	GE Nuclear Energy, "TRACG Application for ESBWR," NEDC-33083P-A, Revision 1, Class III (Proprietary), September 2010, and NEDO-33083-A, Revision 1, Class I (Non-proprietary), September 2010	Sep. 2010	N/A
ML102770246 (public), ML102770244 (non-public)	Global Nuclear Fuel, "GE14 for ESBWR – Critical Power Correlation, Uncertainty, and OLMCPR Development," NEDC-33237P-A, Revision 5, Class III (Proprietary), and NEDO-33237-A, Revision 5, Class I (Non-proprietary), September 2010	Sep. 2010	N/A
ML060050328 (public), ML060050330 (non-public)	Global Nuclear Fuel, "GE14 Pressure Drop Characteristics," NEDC-33238P, Class III (Proprietary), and NEDO-33238, Class I (Non- proprietary), December 2005	Dec. 2005	Lingenfelter, A
ML102800405 (public),	Global Nuclear Fuel, "GE14 for ESBWR Nuclear Design Report," NEDC-33239P-A, Class III (Proprietary), and NEDO-33239-A, Class I (Non- proprietary), Revision 5, October 2010	Oct. 2010	N/A

ML102800408 (non-public), ML102800425 (non-public)			
ML102770060 (public), ML102770061 (non-public)	Global Nuclear Fuel, "GE14E Fuel Assembly Mechanical Design Report," NEDC-33240P-A, Revision 1, Class III (Proprietary), and NEDO-33240- A, Revision 1, Class I (Non-proprietary), September 2010	Sep. 2010	N/A
ML102730885 (public), ML102730886 (non-public)	Global Nuclear Fuel, "GE14 for ESBWR Fuel Rod Thermal-Mechanical Design Report," NEDC-33242P- A, Revision 2, Class III (Proprietary), and NEDO-33242-A, Revision 2, Class I (Non-proprietary), September 2010	Sep. 2010	N/A
ML102740191 (public), ML102740193 (non-public), ML102740194 (non-public)	Global Nuclear Fuel, "GE14E for ESBWR Initial Core Nuclear Design Report," NEDC-33326P-A, Revision 1, Class III (Proprietary), and NEDO-33326- A, Revision 1, Class I (Non-proprietary), September 2010	Sep. 2010	N/A
ML102860687 (public), ML102860688 (non-public)	GE-Hitachi Nuclear Energy, "Safety Analysis Report for Fuel Storage Racks Criticality Analysis for ESBWR Plants," NEDC-33374P-A, Revision 4, Class III (Proprietary), September 2010, and NEDO-33374-A, Revision 4, Class I (Non-proprietary), September 2010	Sep. 2010	N/A
ML090920867 (public), ML090920868 (non-public)	Global Nuclear Fuel, "Full-Scale Pressure Drop Testing for a Simulated GE14E Fuel Bundle," NEDC-33456P, Class III (Proprietary), and NEDO-33456, Class I (Non-proprietary), Revision 0,	Mar. 2009	N/A
ML102290144 (public), ML092820214 (non-public)	General Electric Company, "General Electric Thermal Analysis Basis Data, Correlation and Design Application," NEDE-10958-PA, Class III (Proprietary), and "General Electric BWR Thermal Analysis Basis (GETAB): Data, Correlation and Design Application," NEDO-10958-A, Class I (Non-proprietary), January 1977	Jan. 1977	Levy, S
ML091340077 (public),	Global Nuclear Fuel, "GESTAR II General Electric Standard Application for Reactor Fuel," NEDE-24011- P-A-16, Class III (Proprietary), and NEDO-24011-A-16, Class I	Oct. 2007	N/A

ML091340081 (non-public)	(Non-proprietary), Revision 16, October 2007		
ML091340080 (public), ML091340082 (non-public)	Global Nuclear Fuel, "GESTAR II General Electric Standard Application for Reactor Fuel, Supplement for United States," NEDE-24011-P-A-US-16, Class III (Proprietary), and NEDO-24011-A-US-16, Class I (Non-proprietary), Revision 16, October 2007	Oct. 2007	N/A
ML14104A064 (public), ML070400570 (non-public)	General Electric Company, "Steady State Nuclear Methods," NEDE-30130-P-A, Class III (Proprietary), April 1985, and NEDO-30130-A, Class I (Non-proprietary), May 1985	Apr. 1985 May 1985	N/A
ML071510287 (public), ML071510289 (non-public)	Global Nuclear Fuel, "Global Nuclear Fuels Fuel Bundle Designs," NEDE-31152P, Revision 9, Class III (Proprietary), May 2007, and NEDO-33152, Revision 9, Class I (Non-proprietary), May 2007	May 2007	N/A
ML080370271 (public), ML080370276 (non-public)	GE Hitachi Nuclear Energy, J. G. M. Andersen, et al., "TRACG Model Description," NEDE-32176P, Revision 4, Class III (Proprietary), January 2008, and NEDO-32176, Class I (Non-proprietary), Revision 4, January 2008	Jan. 2008	N/A
ML102770552 (public), ML102770550 (non-public)	GE Hitachi Nuclear Energy, B.S. Shiralkar, et al, "TRACG Application for ESBWR Stability Analysis," NEDE-33083, Supplement 1P-A, Revision 2, Class III (Proprietary), September 2010, and NEDO-33083, Supplement 1-A, Revision 2, Class I (Non-proprietary), September 2010	Sep. 2010	N/A
ML103000353 (public), ML103000355 (non-public)	GE Hitachi Nuclear Energy, "TRACG Application for ESBWR Anticipated Transient Without Scram Analyses," NEDE-33083, Supplement 2P-A, Revision 2, Class III (Proprietary), October 2010 and NEDO-33083, Supplement 2-A, Revision 2, Class I (Non-proprietary), October 2010	Oct. 2010	Kingston, R
ML102770606 (public), ML102770608 (non-public)	GE Hitachi Nuclear Energy, "TRACG Application for ESBWR Transient Analysis," NEDE-33083, Supplement 3P-A, Revision 1, Class III (Proprietary), and NEDO-33083, Supplement 3-A, Revision 1, Class I (Non-proprietary), September 2010	Sep. 2010	N/A
ML102810320 (public),	GE Hitachi Nuclear Energy, "Gamma Thermometer System for LPRM Calibration and Power Shape Monitoring," NEDE-33197P-A, Revision 3, Class III (Proprietary), and NEDO-33197-A,	Oct. 2010	N/A

ML102810341 (non-public)	Revision 3, Class I, (Non-proprietary), October 2010		
ML100480284 (public), ML100480285 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Man-Machine Interface System and Human Factors Engineering Implementation Plan," NEDE-33217P, Class III (Proprietary), and NEDO-33217, Class I (Non-proprietary), Revision 6, February 2010	Feb. 2010	N/A
ML100480209 (public), ML100480202 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Human Factors Engineering Allocation of Function Implementation Plan," NEDE-33220P, Class III (Proprietary), and NEDO-33220, Class I (Non-proprietary), Revision 4, February 2010	Feb. 2010	N/A
ML100480212 (public), ML100480213 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Human Factors Engineering Task Analysis Implementation Plan," NEDE-33221P, Class III (Proprietary), and NEDO-33221, Class I (Non-proprietary), Revision 4, February 2010	Feb. 2010	Kingston, R
ML100550837 (public), ML100550844 (non-public)	GE Hitachi Nuclear Energy, "ESBWR – Software Management Program Manual," NEDE-33226P, Class III (Proprietary), Revision 5, February 2010, and NEDO-33226, Class I (Non-proprietary), Revision 5, February 2010	Feb. 2010	N/A
ML102740171 (public), ML102740178 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Control Rod Nuclear Design," NEDE-33243P-A, Revision 2, Class III (Proprietary), September 2010, and NEDO-33243- A, Revision 2, Class I (Non-proprietary), September 2010	Sep. 2010	N/A
ML102770208 (public), ML102770209 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Marathon Control Rod Mechanical Design Report," NEDE-33244P-A, Class III (Proprietary), Revision 2, September 2010, and NEDO-33244-A, Revision 2, Class I (Non-proprietary), September 2010	Sep. 2010	N/A
ML100550839 (public), ML100550847 (non-public)	GE Hitachi Nuclear Energy, "ESBWR – Software Quality Assurance Program Manual," NEDE-33245P, Class III (Proprietary), Revision 5, February 2010, and NEDO-33245, Class I (Non-proprietary), Revision 5, February 2010	Feb. 2010	N/A
ML102920241 (public),	GE Hitachi Nuclear Energy, "Reactor Internals Flow Induced Vibration Program," NEDE-33259P-A, Class III (Proprietary), Revision 3, October 2010, and NEDO-33259-A, Class I	Feb. 2010	N/A

ML102920248 (non-public)	(Non-proprietary), Revision 3, October 2010		
ML082600720 (public), ML082600721 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Containment Load Definition," NEDE-33261P, Class III (Proprietary), and NEDO-33261, Class I (Non-proprietary), Revision 2, June 2008	Jun. 2008	N/A
ML100480179 (public), ML100480180 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Human Factors Engineering Human-System Interface Design Implementation Plan," NEDE-33268P, Class III (Proprietary), and NEDO-33268, Class I (Non-proprietary), Revision 5, February 2010	Feb. 2010	N/A
ML100480182 (public), ML100480183 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Human Factors Engineering Verification and Validation Implementation Plan," NEDE-33276P, Class III (Proprietary), and NEDO-33276, Class I (Non-proprietary), Revision 4, February 2010	Feb. 2010	N/A
ML102880103 (public), ML102880104 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Cyber Security Program Plan," NEDE-33295P, Class III (Proprietary), Revision 2, September 2010, and NEDO-33295, Class I (Non-proprietary), Revision 2, September 2010	Sep. 2010	N/A
ML101450251 (public), ML101450253 (non-public)	GE Hitachi Nuclear Energy, "GEH ESBWR Setpoint Methodology," NEDE-33304P, Class III (Proprietary), and NEDO-33304, Class I (Non-proprietary), Revision 4, May 2010	May 2010	N/A
ML13344B157 (public), ML13344B163 (non-public)	GE Hitachi Nuclear Energy, "ESBWR Steam Dryer Acoustic Load Definition," NEDE-33312P, Class III (Proprietary), Revision 5, December 2013, and NEDO-33312, Class I (Non-proprietary), Revision 5, December 2013	Dec. 2013	N/A
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ML13344176 (non-public), ML13344B175 (non-public)	December 2013, and NEDO-33408, Class I (Non- proprietary), Revision 5, December 2013		
ML100920316 (public), ML100920317 (non-public), ML100920318 (non-public)	GE Hitachi Nuclear Energy “ESBWR Safety Analysis– Additional Information,” NEDE-33440P, Class III (Proprietary), and NEDO-33440, Class I (Non- proprietary), Revision 2, March 2010	Mar. 2010	N/A
ML102880499 (public), ML102880500 (non-public)	GE Hitachi Nuclear Energy, “ESBWR Qualification Plan Requirements for a 72-Hour Duty Cycle Battery,” NEDE-33516P-A, Revision 2, Class III (Proprietary), September 2010, and NEDO-33516-A, Revision 2, Class I (Non-proprietary), September 2010	Sep. 2010	N/A
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ML14093A218 (public)	Bechtel, “Tornado and Extreme Wind Design Criteria for Nuclear Power Plants,” Topical Report BC-TOP-3-A, Revision 3, August 1974	Aug. 1974	Bertwell, W; Rotz, J; Yeh, G
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ML14093A212 (public)	GE Nuclear Energy, "BWR Owners' Group Long- Term Stability Solutions Licensing Methodology," NEDO-31960-A, November 1995	Nov. 1995	N/A
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ML100110150 (public)	GE Hitachi Nuclear Energy, "NP-2010 COL Demonstration Project Quality Assurance Program," NEDO-33181, Revision 6, August 2009	Aug. 2009	Kingston, R
ML100350104 (public)	GE Hitachi Nuclear Energy, "ESBWR Human Factors Engineering Functional Requirements Analysis Implementation Plan," NEDO-33219, Class I (Non-proprietary), Revision 4, February 2010	Feb. 2010	Kingston, R
ML100110150 (public)	GE Hitachi Nuclear Energy, "Quality Assurance Requirements for Suppliers of Equipment and Services to the GEH ESBWR Project," NEDO-33260, Revision 5, April 2008	Apr. 2008	Kingston, R
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ML100330609 (public)	GE Hitachi Nuclear Energy, "ESBWR Human Factors Engineering Human Reliability Analysis Implementation Plan," NEDO-33267, Class I (Non- proprietary), Revision 4, January 2010	Jan. 2010	Kingston, R
ML100270770 (public)	GE Hitachi Nuclear Energy, "ESBWR Human Factors Engineering Human Performance Monitoring Implementation Plan," NEDO-33277, Class I (Non- proprietary), Revision 4, January 2010	Jan. 2010	Kingston, R
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ML100110150 (public)	GE Hitachi Nuclear Energy, "ESBWR Reliability Assurance Program," NEDO-33289, Class I (Non- proprietary), Revision 2, September 2008	Sep. 2008	Kingston, R
ML091130628 (public)	GE Hitachi Nuclear Energy, "ESBWR Initial Core Transient Analyses," NEDO-33337, Class I (Non-proprietary), Revision 1, April 2009	Apr. 2009	Goodson, C

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ML110670047 (public)	SRM for SECY-11-0006, "Staff Requirements – SECY-11-0006 - Proposed Rule - Economic Simplified Boiling-Water Reactor Design Certification," dated March 8, 2011	3/8/2011	Vietti-Cook, A
ML102220172 (public)	SECY-11-0006, "Proposed Rule-Economic Simplified Boiling-Water Reactor Design Certification," dated January 7, 2011	1/7/2011	Borchardt, R
ML102220215 (public)	<i>Federal Register</i> Notice – Proposed Rulemaking – ESBWR Design Certification	1/7/2011	Vietti-Cook, A
ML102220247 (public)	Draft Environmental Assessment – Proposed Rule – ESBWR Design Certification	1/7/2011	N/A
ML103070392 (public)	ESBWR Final Safety Evaluation Report	3/9/2011	N/A
ML14043A516 (public)	Background: ESBWR Supplemental Proposed Rule: Congressional letters		Powell, A
ML102220215 (public)	SECY-11-0006 Enclosure 1, Federal Register Notice Proposed Rulemaking ESBWR Design Certification	1/07/2011	Vietti-Cook, A
ML102220247 (public)	SECY-11-0006 Enclosure 2, Draft Environmental Assessment by the U.S. Nuclear Regulatory Commission Relating to the Certification of the ESBWR Standard Plant Design	1/07/2011	N/A
ML102220172 (public)	SECY-11-0006, Proposed Rule, Economic Simplified Boiling Water Reactor Design Certification	1/07/2011	Borchardt, R
ML110830714 (public)	Proposed Rule: ESBWR Design Certification	3/24/2011	Mendiola, D
ML112521106 (public)	Commission Memorandum and Order (CLI-11-05) regarding ESBWR Design Certification Amendment	9/09/2011	Vietti-Cook, A

ML113120076 (public)	ACRS Memorandum - Final Rule ESBWR Design Certification	11/22/2011	Matthews, D
ML120300236 (public)	GEH Slides for 1/31/12 ESBWR Steam Dryer Public Meeting	1/30/2012	Spicher, T
ML14100A304 (public)	NUREG-1966, "Final Safety Evaluation Report Related to the Certification of the ESBWR Standard Design"	4/10/2014	N/A
Non-Public	WITS 201400002 Memo to Mark Satorius from Glenn Tracy re Supplemental Proposed Rule for the ESBWR Design Certification	4/16/2014	Tracy, G