NUREG-1021, Rev. 10

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Region III Operator Licensing

Operator Licensing Workshop

July 2015



Rev. 10



> Reason for Rev. 10

- > Integrate new reactor technologies
 - > AP-1000
 - > ABWR
- ➤ Incorporate outstanding changes from NRR, Regions and industry

Major changes to 1021



- Changes to operating exam forms and minor changes to competency ratings
 - ➤ Modified Tier 3 of examination outline for both 401s to allow 1 item in Radiation control if second one is substituted for another tier 3 item



- ➤ Modified times on Examination Preparation Checklist
 - ➤ Discussed with examiners and industry to try and reduce congestion points in exam preparation process.
- ➤ Unintentional RPS or ESF actuations due to operator error scenario critical task
- > Expanded use of time compression to 'jump' forward
 - passive plants have important operator tasks that may need to be performed many hours after an event



Some Other Changes

- ➤ SRO-Only guidance added to ES-401 and ES-401N as Attachment 2.
- ➤ Clarification added to ES-402 regarding reference material (CWG)
- ➤ Clarification added to ES-201 and 501 regarding submittal of sample test items (CWG)



- Clarification added to ES-402 and Appendix E regarding time extensions (CWG)
- Clarification added to ES-303 regarding grading of errors (CWG)
- ➤ Added additional GFE waiver which the Region can approve
- > Added an additional reason to reject a K/A to ES-401



- ➤ Revised ES-301 "Administrative Topics" to remove EOPs from the examples of items in the Emergency Procedures/Plan
- Encourages the use of video for dynamic simulator exam
- > Revised ES-301 to include ESF JPM for all applicants
- ➤ Added "verifiable actions" guidelines to ES-301 as Attachment 2

Forms and competency ratings



- Relatively minor changes for example:
 - 301-4 qualitative attributes, defined * and #, and added clarifying information such as tying to competency forms
 - 301-5 foot note allows chief examiner to place SRO-I in BOP position if necessary to observe control manipulations
 - 303-3 no longer get more credit for recognizing alarm than diagnosing or more credit for locating control than manipulating

Examination Prep Checklist



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61 / 515	ES-201		Form ES-201-1			
	E3-201	Examination Preparation Checklist	FOIII E3-201-1			
O Legs	Facility:	Date of Examination	:			
	Developed by: Written: Facility NRC // Operating Facility NRC					
	Target Date*	Task Description (Reference)	Chief Examiner's Initials			
	-180	Examination administration date confirmed (C.1.a; C.2.a and b)				
	-150	2. NRC examiners and facility contact assigned (C.1.d; C.2.e)				
	-150	3. Facility contact briefed on security and other requirements (C.2.c)				
	-150	Corporate notification letter sent (C.2.d)				
	-120	5. Reference material due (C.1.e; C.3.c; Attachment 3)				
	-90	6. Integrated examination outline(s) due, including Forms ES-201-2, ES-201-3, ES-301-1, ES-301-2, ES-301-5, ES-D-1, ES-401-1/2, ES-401N-1/2, ES-401-3, ES-401N-3, ES-401-4, and ES-401N-4, as applicable (C.1.e and f; C.3.d)				
	-85	7. Examination outline(s) reviewed by NRC and feedback provided to facility licensee (C.2.h; C.3.e)				
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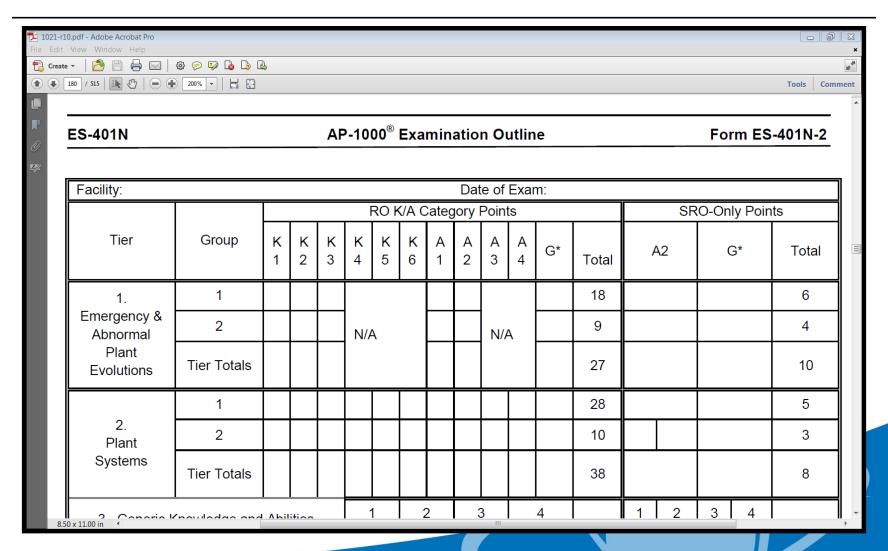
Examination Prep Checklist



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	-60	8. Proposed examinations (including written, walk-through JPMs, and scenarios, as applicable), supporting documentation (including Forms ES-301-3, ES-301-4, ES-301-5, ES-301-6, and ES-401-6, ES-401N-6, and any Form ES-201-3 updates), and reference materials due (C.1.e, f, g and h; C.3.d)	•
<i>139.</i>	-45	9. Written exam and operating test reviews completed. (C.3.f)	
	-30	10. Preliminary license applications (NRC Form 398's) due (C.1.l; C.2.g; ES-202)	
	-21	11. Examination approved by NRC supervisor for facility licensee review (C.2.h; C.3.f)	
	-21	12. Examinations reviewed with facility licensee (C.1.j; C.2.f and h; C.3.g)	
	-14	13. Final license applications due and Form ES-201-4 prepared (C.1.I; C.2.i; ES-202)	
	-14	14. Written examinations and operating tests approved by NRC supervisor (C.2.i; C.3.h)	
	-7	15. Facility licensee management queried regarding the licensee's views on the examination. (C.2 j)	
	-7	16. Final applications reviewed; 1 or 2 (if >10) applications audited to confirm qualifications / eligibility; and examination approval and waiver letters sent (C.2.i; Attachment 5; ES-202, C.2.e; ES-204)	
	-7	17. Proctoring/written exam administration guidelines reviewed with facility licensee (C.3.k)	
8.50 x 11.00 in	-7	18. Approved scenarios, job performance measures, and questions distributed to NRC	-

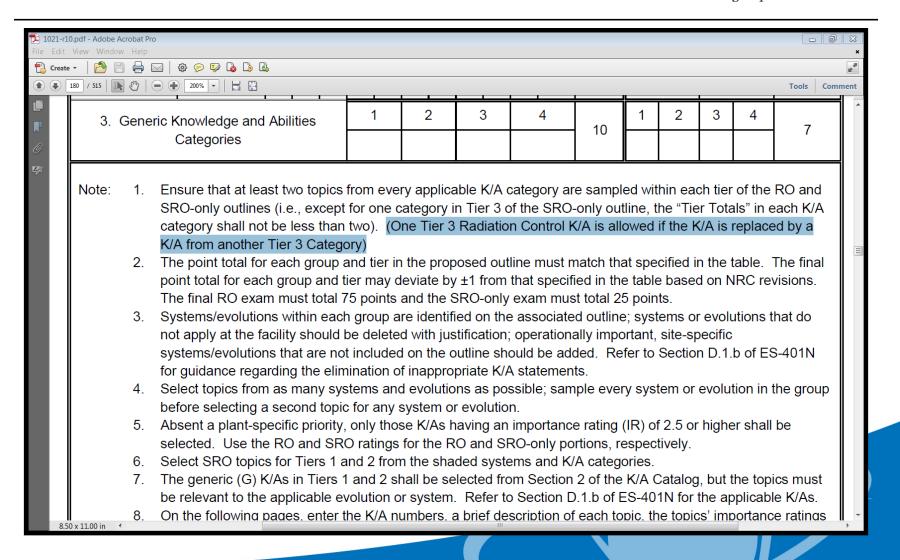
Exam Outline





Exam Outline





Summary



- Rev. 10 of NUREG-1021 incorporates a new section, ES-401N, AP-1000 and ABWR.
- Operating exam process is still the same as current operating plants
- Incorporates other changes from NRR and industry to clarify and update NUREG

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