



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

March 23, 2015

MEMORANDUM TO: Mark A. Satorius
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
INFORMATION TECHNOLOGY GOVERNANCE
(OIG-14-A-04)

REFERENCE: DIRECTOR, OFFICE OF INFORMATION SERVICES,
MEMORANDUM DATED MARCH 10, 2015

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated March 10, 2015. Based on this response, recommendation 2 remains resolved and recommendation 3 is closed. Recommendations 1 and 4 were previously closed. Please provide an updated status of the resolved recommendations by March 1, 2016.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: M. Galloway, OEDO
B. Pham, OEDO
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J. Flanagan, OIS
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AUDIT REPORT

AUDIT OF NRC'S INFORMATION TECHNOLOGY GOVERNANCE

OIG-14-A-04

Status of Recommendations

Recommendation 2:

Revise NRC Management Directive 2.8 to include:

- Current IT governance stakeholder requirements.
- A definition of IT governance, structure, and processes.

Agency Response

Dated March 10, 2015:

The Office of Information Services (OIS) worked with the Office of Administration to establish a timeline for the revision of Management Directive (MD) 2.8, "Project Management Methodology." The revised MD 2.8 will be renamed MD 2.X, "Integrated Information Technology Management Framework." MD 2.X will then replace and eliminate MD 2.8. OIS will submit two concurrent requests for the Executive Director of Operation's approval: one for issuing MD 2.X and one for eliminating MD 2.8. This project is proceeding according to schedule.

The OIS working group has drafted the first revision to the MD, subsequent handbooks, and the supporting online guidance. The working group plans to send the completed MD to all offices and regions for formal review and concurrence by the end of the third quarter of fiscal year 2015.

Target Completion Date: February 2016

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives the revised MD 2.X, "Integrated IT/IM Governance Framework," and verifies that it contains current IT governance stakeholder requirements and a definition of IT governance, structure, and processes.

Status:

Resolved.

AUDIT REPORT

AUDIT OF NRC'S INFORMATION TECHNOLOGY GOVERNANCE

OIG-14-A-04

Status of Recommendations

Recommendation 3: Update and finalize the CONOPS to be consistent with current practice, including a schedule for full implementation.

Agency Response

Dated March 10, 2015:

On January 5, 2015, OIS released the OIS Concept of Operations (ConOps) Report (PDF; Version 1.0, dated December 8, 2014). This document is a key product of business modeling efforts that began in September 2013. The content was directly derived from the Business Modeling Language model. OIS formatted the base document to show all OIS divisions and branches, including a description of each group's functions and activities. ConOps is a living document that must be updated regularly. To ensure regular updates, OIS will require periodic division-level reviews.

Target Completion Date: Completed December 8, 2014

OIG Analysis:

OIG understands the business modeling efforts involved and determined that the OIS Concept of Operations Report meets the intent of the recommendation because the CONOPS will be updated regularly and have periodic division-level reviews instead of the recommendation's request of a schedule for full implementation. This recommendation is therefore considered closed.

Status:

Closed.