

**POLICY ISSUE  
(Notation Vote)**

March 31, 2015

SECY-15-0046

FOR: The Commissioners

FROM: Mark A. Satorius  
Executive Director for Operations

SUBJECT: REQUEST FOR APPROVAL OF STAFF RECOMMENDATION TO AUTHORIZE INDIAN POINT NUCLEAR GENERATING; JAMES A. FITZPATRICK NUCLEAR POWER PLANT; NINE MILE POINT NUCLEAR STATION; AND R.E. GINNA NUCLEAR POWER PLANT TO USE SECTION 161A PREEMPTION AUTHORITY

PURPOSE:

The purpose of this paper is to seek Commission approval for the U.S. Nuclear Regulatory Commission (NRC) staff's recommendation to approve the individual requests of Entergy Nuclear Operations (Entergy) and Exelon Generation Company (Exelon) to use the preemption authority granted to the Commission under Section 161A of the Atomic Energy Act of 1954, as amended, at Indian Point Nuclear Generating, James A. FitzPatrick Nuclear Power Plant, Nine Mile Point Nuclear Station, and R.E. Ginna Nuclear Power Plant respectively. In accordance with Staff Requirements Memorandum (SRM)-SECY-12-0027, "Preemption Authority Pursuant to Section 161A, 'Use of Firearms by Security Personnel,' of the Atomic Energy Act of 1954, as Amended," dated May 3, 2012 (Agencywide Documents Access and Management System (ADAMS) Accession No. ML12124A377), this paper includes the staff's technical evaluation and draft Confirmatory Order for each facility (Indian Point, Enclosures 1 and 2; James A. Fitzpatrick Enclosures 3 and 4; Nine Mile Point Enclosures 5 and 6; R.E. Ginna Enclosures 7 and 8). In addition, a draft letter to the Governor of New York is proposed for signature by the Chairman (Enclosure 9), informing the Governor that the NRC is granting Section 161A preemption authority to these New York facilities should the Commission approve the staff's recommendation.

CONTACT: Dennis Gordon, NSIR/DSP  
301- 287-3633

There are no resource implications as a result of commitments made in this paper.

#### BACKGROUND:

SRM-SECY-12-0027, Task 2, requires the staff to submit, individually to the Commission for review and approval, a recommendation to approve or deny each request for Section 161A preemption authority, to include the staff's technical evaluations and draft orders designating facilities as receiving preemption authority if the staff's recommendation is to grant the request.

To accomplish this, the staff submitted for Commission approval, SECY-12-0125 (ADAMS Accession No. ML12164A839), which provided two draft orders addressing the need to take interim action prior to the completion of the final rulemaking on enhanced weapons for a limited class of NRC-licensed facilities. In SRM-SECY-12-0125 (ADAMS Accession No. ML12326A653), the Commission approved the staff's proposed six-step process for dispositioning licensee preemption requests, which included issuance of a designation order followed by a confirmatory order.

Following the Commission-approved six-step process, in SECY-13-0006 (ADAMS Accession No. ML12339A053), the staff submitted for Commission approval a draft final order designating an interim class of NRC-licensed facilities as eligible to apply for preemption authority. In SRM-SECY-13-0006 (ADAMS Accession No. ML13121A322), the Commission approved the staff recommended draft order, and on June 5, 2013, the staff issued Enforcement Action 13-092, "Order Designating an Interim Class of NRC Licensed Facilities That Are Eligible To Apply to the Commission for Authorization To Use the Authority Granted Under the Provisions of Section 161A of the Atomic Energy Act of 1954, as Amended" (hereafter referred to as the "designation order" (ADAMS Accession No. ML13121A459)). Specifically, the designation order provides a process through which the licensee of each eligible facility may apply for Section 161A preemption authority, as well as requiring the security personnel at each designated facility to be subject to a firearms background check.

#### DISCUSSION:

In response to the June 5, 2013, designation order, Indian Point Nuclear Generating, James A. FitzPatrick Nuclear Power Plant, Nine Mile Point Nuclear Station, and R.E. Ginna Nuclear Power Plant submitted requests for Section 161A preemption authority. In SRM-SECY-12-0125, the Commission approved the staff recommendation, set forth in Step 5 of the six-step process, to revise the Confirmatory Order template as needed if the staff's recommendation is to approve a licensee request for preemption authority. Therefore, in Section 4 of the enclosed draft Confirmatory Orders, the staff has provided two provisions that would, if the Orders are approved by the Commission, require the licensee to: (1) review and revise their NRC-approved security plans, as needed, to describe how the licensee will implement Section 161A preemption authority; and (2) continue to subject all personnel who require access to firearms in the performance of their official duties to a firearms background

check, in accordance with the requirements in the designation order. In addition, the staff made minor clarifying and editorial changes to the Confirmatory Order template.

If approved, a final Confirmatory Order would designate these four NRC-licensed facilities as receiving preemption authority. In addition, if the Commission grants the licensees' requests for authorization to use Section 161A preemption authority, the staff will implement inspection activities through region-based inspection staff to confirm licensee compliance with the final confirmatory orders, as well as the June 5, 2013, designation order.

In each submittal, the respective licensee has identified specific State firearms laws that the licensee has determined would restrict or prohibit the possession and use of firearms, or access to firearms, at its site. As directed by the Commission in SRM-SECY-12-0027 Task 2, the staff has reviewed each submittal to ensure that these facilities meet the requirements contained in the designation order and the staff developed review criteria entitled "Preemption Authority, Content of Application" (ADAMS Accession No. ML111650348), dated February 27, 2012, as set forth in Step 4 of the six-step process approved by the Commission in SRM-SECY-12-0125. The enclosed technical evaluations address, by site, the staff's review of each submittal.

Based on the staff's technical review, the staff has determined that current New York State firearms laws would prohibit or restrict the use of the firearms and devices currently used to implement the licensee's protective strategy at these Commission-designated facilities. As described in the submittals, these licensees are requesting that the Commission grant Section 161A preemption authority to Indian Point and FitzPatrick (Entergy) and, Nine Mile Point and Ginna (Exelon), to ensure regulatory certainty and to provide an explicit authority under which these licensees may purchase, possess, use, and maintain firearms and devices that are needed to implement their protective strategy at these facilities and that would otherwise not be permitted under existing New York State firearms laws.

In accordance with SRM-SECY-12-0027, the staff is submitting the enclosed items with its recommendation to grant preemption authority to Indian Point, James A. Fitzpatrick, Nine Mile Point, and R.E. Ginna: (1) staff's technical evaluation for each facility (Enclosures 1, 3, 5, and 7); (2) a draft final Confirmatory Order designating each facility as receiving preemption authority (Enclosures 2, 4, 6, and 8); and (3) a draft letter to the Governor of New York for signature by the Chairman (Enclosure 9), informing the Governor that the NRC is granting Section 161A preemption authority to these four facilities located in the State of New York should the Commission approve the staff's recommendation.

#### COMMITMENTS:

The staff is committed to the following activities in this paper. Should the Commission grant Section 161A preemption authority to these four NRC-licensed facilities, the staff will implement associated inspection activities through the Baseline Inspection Program. The objective of these inspections would be to confirm licensee compliance with the final Confirmatory Orders and designation order. The staff will develop inspection guidance to facilitate these inspection activities. The staff will also review any applicable security plan changes made by each licensee describing how Section 161A preemption authority will be implemented at each facility, as required by the Confirmatory Order.

RECOMMENDATIONS:

That the Commission:

1. Approve, Entergy Nuclear Operations and Exelon Generation Company authorization to use Section 161A preemption authority at Indian Point, James A. Fitzpatrick, Nine Mile Point, and R.E. Ginna.
2. Approve, authorization for the Office Directors responsible for licensing these facilities to complete the required license amendment actions and issue the attached draft Final Confirmatory Orders for these facilities.
3. Approve, the Chairman's finalization and issuance (on behalf of the Commission) the enclosed letter to the Governor of New York informing the Governor that the Commission is granting Section 161A preemption authority to Indian Point, James A. Fitzpatrick, Nine Mile Point, and R.E. Ginna.
4. Approve, staff coordination with SECY to support the Chairman's issuance of the Governor's letter concurrent with the issuance of the final Confirmatory Orders and the associated *Federal Register* notice.

COORDINATION:

The Office of the General Counsel has reviewed this package and has no legal objection. The Office of the Chief Financial Officer has reviewed this paper for resource implications and has no objection.

*/RA/*

Mark A. Satorius  
Executive Director  
for Operations

Enclosures:  
As stated

RECOMMENDATIONS:

That the Commission:

1. Approve, the Commission to grant Entergy Nuclear Operations, and Exelon Generation Company authorization to use Section 161A preemption authority at Indian Point, James A. Fitzpatrick, Nine Mile Point, and R.E. Ginna.
2. Approve, the Commission to authorize the Office Directors responsible for licensing these facilities to complete the required license amendment actions and issue the attached draft Final Confirmatory Orders for these facilities.
3. Approve, the Chairman to finalize and issue (on behalf of the Commission) the enclosed letter to the Governor of New York informing the Governor that the Commission is granting Section 161A preemption authority to Indian Point, James A. Fitzpatrick, Nine Mile Point, and R.E. Ginna.
4. Approve, staff coordinate with SECY to support the Chairman's issuance of the Governor's letter concurrent with the issuance of the final Confirmatory Orders and the associated *Federal Register* notice.

COORDINATION:

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Enclosures:  
As stated

ADAMS Accession No.: ML15022A237

WITS W201200098

OFFICE	NSIR/DSP	QTE	NSIR/DSP	NSIR/DSO	NSIR/DSP	NRR
NAME	DGordon	CHsu	DHuyck	MLayton	CLui	WDean
DATE	1/27/15	1/30/15	1/27/15	2/19/15	02/24/15	03/09/15
OFFICE	NMSS	OE	OCFO	OGC	NSIR	EDO
NAME	CHaney	PHolahan DFrust for	MWylie SHa for	NStAmour	JWiggins BMcDermott for	MSatorius
DATE	02/26/15	02/26/15	02/26/15	03/24/15	3/24/15	3/31/15

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