



**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

December 10, 2014

MEMORANDUM TO: Mark A. Satorius  
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S  
COMMUNICATIONS SECURITY (OIG-14-A-21)

REFERENCE: DEPUTY EXECUTIVE DIRECTOR FOR REACTOR AND  
PREPAREDNESS PROGRAMS, OFFICE OF EXECUTIVE  
DIRECTOR FOR OPERATIONS, MEMORANDUM DATED  
NOVEMBER 7, 2014

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated November 7, 2014. Based on this response, recommendations 1 through 4 are resolved. Please provide an updated status of the resolved recommendations by August 3, 2015.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: M. Johnson, OEDO  
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## Audit Report

### AUDIT OF NRC'S COMMUNICATIONS SECURITY PROGRAM

OIG-14-A-21

#### Status of Recommendations

Recommendation 1: Develop and maintain current, written Emergency Plans (EP) appropriate for natural disasters and hostile actions against their [licensee] facilities in accordance with NSA/CSS [National Security Agency/Central Security Service] Policy Manual No. 3-16.

#### Agency Response

Dated November 7, 2014: Agree: The U.S. Nuclear Regulatory Commission (NRC) Headquarters and Regional Offices shall implement, maintain and review EPs in accordance with NSA/CSS Policy Manual 3-16. EPs will be reviewed annually. The Standard Operating Procedures directing COMSEC equipment user duties and testing have been implemented.

OIG Analysis: The proposed action of developing and maintaining current written emergency plans in accordance with NSA/CSS Policy Manual No. 3-16 meets the intent of the recommendation. This recommendation will be closed when OIG receives documentation of the emergency plans and verifies that it discusses natural disasters and hostile actions against facilities.

**Status:** Resolved.

## Audit Report

### AUDIT OF NRC'S COMMUNICATIONS SECURITY PROGRAM

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#### Status of Recommendations

Recommendation 2: Review COMSEC emergency plans annually.

#### Agency Response

Dated November 7, 2014: Agree: The U.S. Nuclear Regulatory Commission (NRC) Headquarters and Regional Offices shall implement, maintain and review EPs in accordance with NSA/CSS Policy Manual 3-16. EPs will be reviewed annually. The Standard Operating Procedures directing COMSEC equipment user duties and testing have been implemented.

OIG Analysis: The proposed action of reviewing COMSEC emergency plans annually meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that the plans will be reviewed annually.

**Status:** Resolved.

## Audit Report

### AUDIT OF NRC'S COMMUNICATIONS SECURITY PROGRAM

OIG-14-A-21

#### Status of Recommendations

Recommendation 3: Include current emergency plan information and COMSEC equipment user duties with COMSEC equipment testing.

#### Agency Response

Dated November 7, 2014: Agree: The U.S. Nuclear Regulatory Commission (NRC) Headquarters and Regional Offices shall implement, maintain and review EPs in accordance with NSA/CSS Policy Manual 3-16. EPs will be reviewed annually. The Standard Operating Procedures directing COMSEC equipment user duties and testing have been implemented.

OIG Analysis: The proposed action of including current emergency plan information and COMSEC equipment user duties with COMSEC equipment testing meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that the emergency plan information and equipment duties were included when the equipment was tested.

**Status:** Resolved.

## Audit Report

### AUDIT OF NRC'S COMMUNICATIONS SECURITY PROGRAM

OIG-14-A-21

#### Status of Recommendations

Recommendation 4: Procure a secure fax maintenance support contract that specifies maintenance performance standards and links these standards to vendor compensation.

#### Agency Response

Dated November 7, 2014: Agree. The NRC staff will develop a secure fax maintenance contract during fiscal year 2015, with specific goal-oriented tasks. The contract will support the entire secure fax inventory for multiple years, beyond the 1-year contract period.

Target Completion Date: July 31, 2015

#### OIG Analysis:

Procuring a secure fax maintenance support contract that specifies maintenance performance standards and links these standards to vendor compensation, meets the intent of the recommendation. This recommendation will be closed when OIG receives contract documentation verifying that the contract has goal-oriented tasks included.

#### Status:

Resolved.