



**UNITED STATES  
NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

October 2, 2014

MEMORANDUM TO: Mark A. Satorius  
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S  
ISSUANCE OF GENERAL LICENSES (OIG-12-A-14)

REFERENCE: ACTING DIRECTOR, OFFICE OF FEDERAL AND STATE  
MATERIALS AND ENVIRONMENTAL MANAGEMENT  
PROGRAMS, MEMORANDUM DATED  
AUGUST 22, 2014

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated August 22, 2014. Based on this response, recommendations 2, 3, and 4 are closed. Recommendation 1 was previously closed. All recommendations related to this report are now closed.

If you have any questions or concerns, please call me at 415-5915 or Sherri Miotla, Team Leader, at 415-5914.

Attachment: As stated

cc: M. Galloway, OEDO  
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## Audit Report

### AUDIT OF NRC'S ISSUANCE OF GENERAL LICENSES

#### OIG-12-A-14

#### Status of Recommendations

- Recommendation 2: Visit all general licensees currently in possession of general licensed devices containing IAEA Category 1, 2, or 3 sources within 2 years. Focus such visits on:
- Reviewing records of leak testing and proper operations of open-close mechanisms and indicators.
  - Device use, installations, maintenance and repair to determine that the devices are being used within authorized parameters.

Agency Response Dated  
August 22, 2014:

There are currently no general licensees with generally licensed devices (GLDs) in their possession that contain IAEA Category 1 or 2 sources of byproduct material. There are a total of 11 general licensees in possession with GLDs with Category 3 sources. The regional offices visited and inspected these general licensees. The inspections were performed between April and July 2014 (ADAMS Accession Nos. ML14150A189, ML14178B332, ML14126A826, ML14135A212, ML14140A176, ML14121A235, ML14122A267, ML14134A048, ML14195A303, ML14210A290, and ML14210A351). The inspections typically found that the devices were properly secured, installed, operated and maintained. In addition, the general licensees maintained adequate records of leak tests, shutter tests, and calibrations. However, some Severity Level IV violations were identified involving the failure to do leak tests, and one example of a failure to lock a shutter. All licensees provided appropriate corrective actions for these issues. The staff considers this action to be completed.

Point of Contact: Hector Rodriguez, FSME

OIG Analysis: The action meets the intent of the recommendation. The recommendation is therefore considered closed.

**Status:** Closed.

## Audit Report

### AUDIT OF NRC'S ISSUANCE OF GENERAL LICENSES

OIG-12-A-14

#### Status of Recommendations

Recommendation 3: Monitor agency records for 2 years to identify new general licensees entering the marketplace and visit those in possession of IAEA Category 1, 2, or 3 general licensed devices to ensure that devices are properly installed and that general licensees have adequate understanding of the relevant regulations and their responsibilities.

Agency Response Dated  
August 22, 2014:

The staff implemented a procedure to monitor the General License Tracking System (GLTS) on a quarterly basis to identify new general licensees entering the marketplace with general licensed devices containing IAEA Category 1, 2, or 3 sources. Over the past two years only one new general licensee entered the market place with a device containing a Category 3 source. The licensee possessing this new device was included in the inspections discussed under recommendation 2 (ADAMS Accession No. ML14122A267). The staff considers this action to be completed.

Point of Contact: Hector Rodriguez, FSME

OIG Analysis: The action meets the intent of the recommendation. The recommendation is therefore considered closed.

**Status:** Closed.

## Audit Report

### AUDIT OF NRC'S ISSUANCE OF GENERAL LICENSES

OIG-12-A-14

#### Status of Recommendations

Recommendation 4: Analyze information retrieved from Recommendations 1, 2, and 3 and take additional regulatory action, if needed, within 6 months after completion of data collection.

Agency Response Dated  
August 22, 2014:

The staff analyzed the information gathered from completion of Recommendation 1, 2 and 3, and determined that no additional regulatory action is needed. The staff considers this action to be completed.

Point of Contact: Hector Rodriguez, FSME

OIG Analysis: The action meets the intent of the recommendation. The recommendation is therefore considered closed.

**Status:** Closed.