



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**

REGION III
2443 WARRENVILLE RD. SUITE 210
LISLE, IL 60532-4352

August 4, 2014

Ms. Karen Fili
Site Vice President
Monticello Nuclear Generating Plant
Northern States Power Company, Minnesota
2807 West County Road 75
Monticello, MN 55362-9637

SUBJECT: INFORMATION REQUEST TO SUPPORT UPCOMING BIENNIAL PROBLEM
IDENTIFICATION AND RESOLUTION INSPECTION AT THE MONTICELLO
NUCLEAR GENERATING PLANT

Dear Ms. Fili:

This letter is to request information to support our Biennial Problem Identification and Resolution (PI&R) Inspection beginning September 22, 2014, at the Monticello Nuclear Generating Plant. This inspection will be performed in accordance with the U.S. Nuclear Regulatory Commission (NRC) Baseline Inspection Procedure 71152.

Experience has shown that these inspections are extremely resource intensive for both the NRC inspectors and the utility staff. In order to minimize the impact that the inspection has on the site and to ensure a productive inspection, we have enclosed a list of documents required for the inspection.

The documents requested are copies of performance reports and lists of information necessary to ensure the inspection team is adequately prepared for the inspection. The information may be provided in CD-ROM/DVD format and should be ready for NRC review by September 5, 2014. Please provide one copy to the Resident Inspector Office at the Monticello Nuclear Generating Plant, and three copies to Mr. Nirodh Shah, the Lead Inspector at the Region III office located at 2443 Warrenville Road, Suite 210, Lisle, Illinois 60532-4352. Please ensure that the information is indexed and titled to the information request number and subject.

If there are any questions about the material requested, or the inspection in general, please do not hesitate to call Mr. Shah at 630-829-9821 or Nirodh.Shah@nrc.gov.

This letter does not contain new or amended information collection requirements subject to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.). Existing information collection requirements were approved by the Office of Management and Budget, Control Number 3150-0011.

K. Fili

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The NRC may not conduct or sponsor, and a person is not required to respond to, a request for information or an information collection requirement unless the requesting document displays a currently valid Office of Management and Budget Control Number.

In accordance with Title 10 of the *Code of Federal Regulations* 2.390, "Public Inspections, Exemptions, Requests for Withholding," of the NRC's "Rules of Practice," a copy of this letter, its enclosure, and your response (if any) will be available electronically for public inspection in the NRC Public Document Room or from the Publicly Available Records System (PARS) component of NRC's Agencywide Documents Access and Management System (ADAMS). ADAMS is accessible from the NRC Web site at <http://www.nrc.gov/reading-rm/adams.html> (the Public Electronic Reading Room).

Sincerely,

/RA/

Kenneth Riemer, Chief
Branch 2
Division of Reactor Projects

Docket No. 50-263
License No. DPR-22

Enclosure:
Requested Information to Support PI&R Inspection

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**Requested Information to Support
Problem Identification and Resolution Inspection**

1. A copy of the administrative procedure(s) for the corrective action program (CAP), the trending program, quality assurance audit program, self-assessment program, corrective action effectiveness review program, and industry experience review program.
2. A copy of the Employee Concerns Program (ECP)/Ombudsman administrative procedure.
3. A copy of Quality Assurance (QA) audits of the corrective action program completed since September 2012.
4. The plan for self-assessments of the CAP and a copy of self-assessments of the corrective action program completed since September 2012.
5. A list of self-assessments and audits (including QA) completed since September 2012.
6. A list of all open condition reports (CRs) sorted by significance level and then initiation date. Include the date initiated, a description of the issue, and the significance level.
7. Separate lists of root causes, apparent causes and common cause evaluations completed since September 2012.
8. A list of CRs closed since September 2012 sorted by significance level and then initiation date. Include a description, the significance level, the date initiated and closed, and whether there was an associated operability evaluation.
9. A list of effectiveness reviews completed since September 2012.
10. A list of CRs reports initiated since September 2012 that involve inadequate or ineffective corrective actions. Include the date initiated, a brief description, status, and significance level for each item.
11. A list of CRs initiated since September 2012 that identify trends of conditions adverse to quality. Include the date initiated, a brief description, status, and significance level for each item.
12. A copy of any performance reports for the past two years used to track the CAP effectiveness.
13. A copy of any CAP trend reports for the past two years.
14. A list of operating experience documents received since September 2012 and any associated CRs or items used to document review of the operating experience.
15. A list of test failures (IST or Technical Specifications surveillances) since September 2012, with a brief description of component/system which failed. Include the CR number documenting review of the failure.

Enclosure

16. A list of CRs issued during the last refueling outage sorted by system and component, including a brief description, status, and significance level for each item.
17. A list of Maintenance Rule (a)(1) systems and components, and the associated health reports since September 2012. Include the current maintenance rule status of the systems.
18. A list of CRs and copies of corrective actions taken for issues identified in NRC findings and violations since September 2012.
19. A list of CRs documenting issues identified either at Xcel corporate or at Prairie Island since September 2012 that were reviewed for applicability to Monticello.
20. A copy of the most recent operating experience program effectiveness review.
21. A list of the top 10 risk-significant systems and top 10 risk-significant components.
22. A list of CAP routine meeting times and places.
23. A list of CRs addressing aging management issues dating back to September 2009.
24. A summary of those actions taken to reduce the CAP backlog since September 2012; in particular, a list of any condition reports that were summarily closed in order to reduce the backlog.
25. A list of all CRS reports generated as a result of Industry Operating Experience (including those associated with INPO issues).
26. A list of open temporary modifications, operability determinations, control room deficiencies, and operator workarounds.
27. The total number of CRs generated monthly in the entire system and by department for calendar years 2012-2014 (January thru December).
28. Separate lists of CRs downgraded from classification level "A" to "B" and "B" to "C" along with the documented justification for downgrade for each.
29. A list of CRs addressing control of contracted work issues dating back to September 2009.
30. Copies of Department/Program roll-up and/or trend review reports generated since September 2012.

Documents Requested to be Available On-site During the Inspection:

- a. Updated Safety Analysis Report*
- b. Technical Specifications*
- c. Procedures
- d. Copies of any self-assessments and associated condition reports generated in preparation for the inspection*
- e. A copy of the QA manual*
- f. Results of any independent and self-assessments of Safety Culture/ Safety-Conscious Work Environment completed in the last two years, including condition reports, corrective actions, effectiveness reviews, etc. resulting from the assessments
- g. A list of issues brought to the ECP/Ombudsman and the actions taken for resolution
- h. The latest organization chart and phone list*
- i. A list of the organization codes used in the CAP*

Note: If the above items marked with an asterisk are available as an electronic document, please include the electronic file with the initial information requested.

In addition, inspectors will require computer access to the CAP database while on site.

Please also ensure that the work space provided for the team includes at least two white boards.

K. Fili

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Sincerely,

/RA/

Kenneth Riemer, Chief
Branch 2
Division of Reactor Projects

Docket No. 50-263
License No. DPR-22

Enclosure:
Requested Information to Support PI&R Inspection

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DISTRIBUTION:

See next page

DOCUMENT NAME: Monticello Request for Information (PI&R)

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Letter to Karen Fili from Ken Riemer dated August 4, 2014

SUBJECT: INFORMATION REQUEST TO SUPPORT UPCOMING BIENNIAL PROBLEM
IDENTIFICATION AND RESOLUTION INSPECTION AT THE MONTICELLO
NUCLEAR GENERATING PLANT

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