

AGREEMENT TO TRANSFER RECORDS TO THE NATIONAL ARCHIVES OF THE UNITED STATES

1. INTERIM CONTROL NO. (NARA Use Only)

TERMS OF AGREEMENT
 The records described below and on the attached 2 pages are deposited in the National Archives of the United States in accordance with 44 U.S.C. 2107. The transferring agency certifies that any restrictions on the use of these records are in conformance with the requirements of 5 U.S.C. 552.
 In accordance with 44 U.S.C. 2108, custody of these records becomes the responsibility of the Archivist of the United States at the time of transfer of the records. It is agreed that these records will be administered in accordance with the provisions of 44 U.S.C. Chapter 21, 36 CFR XII, 36 CFR Part 1256 and such other rules and regulations as may be prescribed by the Archivist of the United States (the Archivist). Unless specified and justified below, no restrictions of the use of these records will be imposed other than the general and specific restrictions on the use of records in the National Archives of the United States that have been published in 36 CFR Part 1256 or in the *Guide to the National Archives of the United States*. The Archivist may destroy, donate, or otherwise dispose of any containers, duplicate copies, unused forms, blank stationary, non-archival printed or processed material, or other non-record material in any manner authorized by law or regulation. Without further consent, the Archivist may destroy deteriorating or damaged documents after they have been copied in a form that retains all of the information in the original document. The Archivist will use the General Records Schedule and any applicable records disposition schedule (SF 115) of the transferring agency to dispose of non-archival materials contained in this deposit.

2A. AGENCY APPROVAL Signature <u><i>Deborah Armentrout</i></u> Date <u>7/14/14</u>	3A. NARA APPROVAL Signature _____ Date _____
2B. NAME, TITLE, MAILING ADDRESS Deborah Armentrout, Agency Records Officer 11545 Rockville Pike, T-5 F17, Rockville, MD 20852	3B. NAME, TITLE, MAILING ADDRESS Vernon Smith, Branch Chief 8601 Adelphi Rd, Rm 2600, College Park, MD 20740

RECORDS INFORMATION

4A. RECORDS SERIES TITLE Records created by advisory commissions, committees, councils, boards, or other groups established under the FACA	
4B. DATE SPAN OF SERIES <u>12/31/2003</u> (Attach any additional description.)	
5A. AGENCY OR ESTABLISHMENT Nuclear Regulatory Commission	9. PHYSICAL FORMS <input checked="" type="checkbox"/> Paper Documents <input type="checkbox"/> Posters <input type="checkbox"/> Paper Publications <input type="checkbox"/> Maps and Charts <input type="checkbox"/> Microfilm/Microfiche <input type="checkbox"/> Arch / Eng Drawings <input type="checkbox"/> Electronic Records <input type="checkbox"/> Motion / sound / Video <input type="checkbox"/> Photographs <input type="checkbox"/> Other (specify): _____
5B. AGENCY MAJOR SUBDIVISION	
5C. AGENCY MINOR SUBDIVISION	
5D. UNIT THAT CREATED RECORD	10. VOLUME: _____ CONTAINERS: _____ Cu. Mtr. _____ (Cu. Ft. _____) Number <u>843</u> Type <u>FRC boxes</u>
5E. AGENCY PERSON WITH WHOM TO CONFER ABOUT THE RECORDS Name <u>Alicia Mullins</u> Telephone Number (<u>240</u>) <u>428-0533</u>	11. DATE RECORDS ELIGIBLE FOR TRANSFER TO THE ARCHIVES <u>01/01/2014</u>
6. DISPOSITION AUTHORITY: <u>GRS26/2A N1-GRS-07-05 Item 2.a</u>	12. ARE RECORDS FULLY AVAILABLE FOR PUBLIC USE? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO <i>(If no, attach limits on use and justification.)</i>
7. IS SECURITY CLASSIFIED INFORMATION PRESENT? <input checked="" type="checkbox"/> NO <input type="checkbox"/> YES LEVEL: <input type="checkbox"/> Confidential <input type="checkbox"/> Secret <input type="checkbox"/> Top Secret SPECIAL MARKINGS: <input type="checkbox"/> RD/FRD <input type="checkbox"/> SCI <input type="checkbox"/> NATO INFORMATION STATUS: <input type="checkbox"/> Other _____ <input type="checkbox"/> Segregated <input type="checkbox"/> Declassified	13. ARE RECORDS SUBJECT TO THE PRIVACY ACT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO <i>(If yes, cite Agency system Number and Federal Register volume and page number of most recent notice and attach a copy of this notice.)</i>
8. CURRENT LOCATION OF RECORDS _____ Agency (Complete 8A only) <input checked="" type="checkbox"/> Federal Records Center (Complete 8 B only)	14. ATTACHMENTS <input type="checkbox"/> Agency Manual Excerpt <input type="checkbox"/> Listing of Records Transferred <input type="checkbox"/> Additional Description <input type="checkbox"/> NA from 14097 or Equivalent <input type="checkbox"/> Privacy Act Notice <input type="checkbox"/> Microform Inspection Report <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> SF(s) 135
8A. ADDRESS	
8B. FRC ACCESSION NUMBER	CONTAINER NUMBER(S)
<u>See attached</u>	<u>See attached</u>
FRC LOCATION <u>Washington National Records Center</u>	

NARA PROVIDES

15. SHIPPING INSTRUCTIONS TO AGENCIES/REMARKS REGARDING DISPOSITION	RG
16. RECORDS ACCEPTED INTO THE NATIONAL ARCHIVES OF THE UNITED STATES Signature _____ Date _____	17. NATIONAL ARCHIVES ACCESSION NO.

INSTRUCTIONS

GENERAL: This form may be initiated by the transferring agency or the National Archives and Records Administration (NARA). Prepare a separate SF 258 for each series or SF 115 item being transferred.

WHEN INITIATED BY AN AGENCY: The agency completes blocks 2 and 4 through 14 using the instructions below. Block 2 must be signed and dated. Send the original to the appropriate address 60 days before the records are to be transferred to the National Archives.

WHEN INITIATED BY NARA: NARA completes blocks 1 and 4 through 14 and sends the original to the transferring agency's records officer. The agency completes block 2, completes or corrects blocks 4 through 14. Block 2 must be signed and dated. The agency sends the original to the appropriate address 60 days before the records are to be transferred to the National Archives.

MAILING ADDRESS: Mail the completed form to either the address below or the appropriate National Archives regional archives.

Accessions Control Staff (NN-E)
Office of the National Archives
National Archives and Records Administration
8601 Adelphi Road
College Park, MD 20740-6001

If you do not know the address of the appropriate regional archives, telephone the accessions Control Staff at 301-713-6655.

* * * *

1. **INTERIM CONTROL NUMBER:** Leave blank. NARA will fill in.

2. **AGENCY APPROVAL:** The agency records officer having the delegated authority to transfer the records with NARA should sign and date the form here (2A) and provide his/her name, title and mailing address (2B).

3. **NARA APPROVAL:** When a proposal to transfer records to the National Archives of the United States is approved, the appropriate NARA official completes 3A and 3B.

4A/B. **RECORDS SERIES TITLE/DATE SPAN OF SERIES:** The information provided should include a records series title, a statement of how the records are arranged, dates of coverage, and sufficient detail to describe the body of records being transferred. If access to the records is gained or facilitated through an index, box list, or other finding aid, include it with the records being transferred. Indicate the appropriate disposition authority number if the index is scheduled separately. If the records being transferred. Indicate the appropriate disposition authority number if the index is scheduled separately. If the records are in a Federal records Center (FRC) attach each applicable SF 135 Records Transmittal and Receipt. For electronic records, describe and related documentation.

5. Fully identify the unit (5D) that created or organized the records. Usually this not the agency's records management office. Place the creating unit within its organization hierarchy (5A-5C). For example, the responsible unit is a branch (5D), within a division (minor subdivision) (5C), within an office (major subdivision) (5B), and within the agency or major component of a department (5A). Block 5A should be the official or legal name of the agency or bureau as published in the U.S. Government Manual. In block 5E include the name and telephone number (including the area code) of a person who should be contacted if NARA has any questions about the records. If the originating agency no longer exists, provide the name of the contact person at the successor agency.

6. **DISPOSITION AUTHORITY:** This citation must be included. It can be either the item number assigned to the records within a records disposition schedule (SF 115) approved by NARA or the item number assigned to the records within an agency records disposition manual based on a NARA-approved SF 115. If the agency manual number is used, attach a copy of the pertinent pages from the agency manual.

7. **IS SECURITY CLASSIFIED INFORMATION PRESENT?** If the records contain security classified information, check "Yes" and indicate the highest level of classification present. Indicate any additional applicable national security special access restrictions (e.g., Sensitive Compartmented Information - SCI or North Atlantic Treaty Organization - NATO). Restricted Data and Formerly Restricted Data - RD/FRD - refers to information subject to the Atomic Energy Act of 1954. Check "Segregated" to indicate that security classified records have been segregated from unclassified records or information subject to special access restrictions has been segregated from other classified information. Check "Declassified" to indicate whether any records have been declassified, and provide both the authority for declassification and a description of the declassified records.

8. **CURRENT LOCATION OF RECORDS.** Check the appropriate box for the current location of the records. If the records currently are in a Federal records center, complete 8B. If the records are located in the transferring agency or other location, complete 8A.

8A. For records located in the transferring agency or other location, provide a complete address.

8B. For records located in a Federal records center, name the center, provide the FRC accession number and container number(s), and the FRC location.

9. **PHYSICAL FORM(S):** Check all the boxes that apply to the records included in the transfer.

10. **VOLUME:** Include both the cubic feet of the records and the number and type of containers holding the records. For example:

Cu. ft. 15; Number 15; Type FRC boxes. Provide separate volume figures for each physical type of records, continuing on a separate sheet as necessary.

11. **DATE RECORDS ELIGIBLE FOR TRANSFER TO THE ARCHIVES:** Indicate the date the records are eligible for deposit in the National Archives. This date is determined by the disposition instructions for each item in the approved SF 115 or agency manual.

12. **ARE THE RECORDS FULLY AVAILABLE FOR PUBLIC USE?** If the records are exempt from release pursuant to the FOIA, 5 U.S.C. 552(b)(1)-(9) and (c)(1)-(3), this must be fully justified. List all exemptions that apply. If exemption (b)(1) is cited, complete block 7 accordingly. If (b)(3) is cited, include the full citation for the relevant statute. If the records are subject to copyright, identify affected items and the copyright holder.

13. **ARE THESE RECORDS SUBJECT TO THE PRIVACY ACT?** The National Archives is required to notify the public, through the Federal Register, when it takes custody of records subject to the provisions of the Privacy Act, 5 U.S.C. 552a. The originating agency should use this block to indicate whether the records covered by this SF 258 are part of a Privacy Act "system of records" and include a citation to a copy of the Privacy Act notice published by the agency for the system. NARA will use the transferring agency's notice to inform the public, through the Federal Register, that the records have been transferred to the National Archives and that no further modification of them is possible.

14. **ATTACHMENTS:** Check the appropriate box(es) and indicate the attachment(s) being submitted with this form.

15. **SHIPPING INSTRUCTIONS TO AGENCIES/REMARKS REGARDING DISPOSITION:** NARA uses this space to provide shipping instructions relating to transfers.

16. **RECORDS ACCEPTED INTO THE NATIONAL ARCHIVES OF THE UNITED STATES:** The appropriate NARA representative signs block 16 after the records have been received at a NARA facility and NARA has confirmed that the records received are the records described in block 4. Transfers to NARA are not final until NARA has signed block 16. NARA sends the agency a copy of the completed form.

17. **NATIONAL ARCHIVES ACCESSION NO.:** NARA assigns this unique, permanent control number to each transfer of records.

Rejected comment: Returned to Agency 2/18/2014: The cited disposition authority (DAL-0431-2011-0013-0003-N1-431-00-010/6/A) has been superseded by GRS26/2A for these records.

NRC response: We agree that these accessions can be superseded by GRS 26/2A.

Transfer Request ID	FRC Transaction Number	# of Containers
TR-0431-2012-0003	W431-01-0690	1
TR-0431-2012-0008	W431-86-0032	12
TR-0431-2012-0010	W431-06-0004	5
TR-0431-2012-0020	W431-06-0019	3
TR-0431-2012-0025	W431-01-0700	14
TR-0431-2012-0028	W431-06-0010	7
TR-0431-2012-0032	W431-01-0695	42
TR-0431-2012-0033	W431-95-0056	13
TR-0431-2012-0035	W431-01-0705	6
TR-0431-2012-0037	W431-01-0638	2
TR-0431-2012-0038	W431-01-0711	13
TR-0431-2012-0039	W431-01-0710	21
TR-0431-2012-0043	W431-05-0221	11
TR-0431-2012-0048	W431-01-0702	5
TR-0431-2012-0054	W431-95-0023	9
TR-0431-2012-0060	W431-06-0040	2
TR-0431-2012-0061	W431-01-0709	5
TR-0431-2012-0063	W431-86-0376	1
TR-0431-2012-0064	W431-01-0704	6
TR-0431-2012-0068	W431-95-0035	29
TR-0431-2012-0070	W431-94-0059	33
TR-0431-2012-0073	W431-01-2482	15
TR-0431-2013-0002	W431-01-0694	17
TR-0431-2013-0007	W431-01-0686	11
TR-0431-2013-0015	W431-07-0118	3
TR-0431-2013-0019	W431-95-0022	15
TR-0431-2013-0030	W431-94-0111	19
TR-0431-2013-0039	W431-93-0020	50
TR-0431-2013-0055	W431-01-0691	21
TR-0431-2013-0072	W431-05-0222	11
TR-0431-2013-0075	W431-99-0040	16
TR-0431-2013-0077	W431-01-2483	15
TR-0431-2013-0086	W431-01-0699	7
TR-0431-2013-0087	W431-01-0689	29
TR-0431-2013-0095	W431-04-0153	1
TR-0431-2013-0098	W431-94-0112	19
TR-0431-2013-0100	W431-01-0401	14
TR-0431-2013-0102	W431-94-0110	19
TR-0431-2013-0104	W431-01-0403	14
TR-0431-2013-0106	W431-01-0693	25

SF-258 Attachment

TR-0431-2013-0124	W431-01-0687	13
TR-0431-2013-0127	W431-01-0399	20
TR-0431-2013-0132	W431-01-0685	24
TR-0431-2013-0138	W431-01-0406	26
TR-0431-2014-0001	W431-01-0712	7
TR-0431-2014-0003	W431-01-0679	24
TR-0431-2014-0008	W431-01-0703	12
TR-0431-2014-0018	W431-03-0021	3
TR-0431-2014-0027	W431-03-0296	2
TR-0431-2014-0031	W431-07-0133	2
TR-0431-2014-0033	W431-05-0217	9
TR-0431-2014-0039	W431-88-0009	46
TR-0431-2014-0051	W431-01-0688	23
TR-0431-2014-0099	W431-01-0407	13
TR-0431-2014-0101	W431-94-0057	16
TR-0431-2014-0109	W431-06-0012	9
TR-0431-2014-0110	W431-06-0037	18
TR-0431-2014-0112	W431-01-0774	15
Total Containers/Boxes		843

