

From: [Lawyer, Dennis](#)
To: dennis.kraemer@pw.utc.com
Subject: United Technologies Corporation, Request for Additional Information Concerning Financial Assurance, Control 583676
Date: Tuesday, May 06, 2014 10:59:00 AM

Dear Mr. Dennis Kraemer,

Recently a self-assessment of financial assurance documents associated with Nuclear Regulatory Commission License No. SMB-151, Docket No. 04000791 was performed. It was determined that some documents were not submitted with the Standby Trust Agreement dated June 23, 2006. In order to continue our review, we need the following additional information:

Please submit the following documents associated with the Standby Trust Agreement dated June 23, 2006: Certificate of Resolution, Certificate of Events, and a Letter of Acknowledgement. Please follow the model for each of these documents contained in NUREG-1757, Volume 3, Revision 1, "Consolidated NMSS Decommissioning Guidance - Financial Assurance, Recordkeeping, and Timeliness." The NUREG can be found at <http://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1757/v3/> and the specific model pages are A-54, A-53, and A-55.

We will continue our review upon receipt of this information. Please reply to my attention at the Region 1 Office (Address below) and refer to Mail Control No. 583676. If you have technical questions regarding this letter, please call me at (610) 337-5366.

The NRC's Safety Culture Policy Statement became effective in June 2011. While a policy statement and not a regulation, it sets forth the agency's *expectations* for individuals and organizations to establish and maintain a positive safety culture. You can access the policy statement and supporting material that may benefit your organization on NRC's safety culture Web site at <http://www.nrc.gov/about-nrc/regulatory/enforcement/safety-culture.html>. We strongly encourage you to review this material and adapt it to your particular needs in order to develop and maintain a positive safety culture as you engage in NRC-regulated activities.

Please note that you may not reply to this letter by return e-mail. Your reply must be in writing by letter or facsimile (610-337-5269). Please reply within 30 days.

Region 1 Office Mailing Address: Licensing Assistance Team, US Nuclear Regulatory Commission Region I, 2100 Renaissance Boulevard, Suite 100, King of Prussia, PA 19406-2713.

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