March 10, 2014

MEMORANDUM TO: Kathryn M. Brock
                   Acting Assistant for Operations

FROM: Stephen D. Dingbaum */RA/*
                   Assistant Inspector General for Audits

SUBJECT: STATUS OF OPEN AUDIT RECOMMENDATIONS –
                   MARCH 2014

Attached is the OIG status of all open audit recommendations as of March 7, 2014. Based on OIG's records, the agency is overdue on its response associated with the following:

- OIG-01-A-03, *Government Performance and Results Act: Review of the FY 1999 Performance Report* (response due 8/30/13).
- OIG-05-A-17, *Audit of NRC's Decommissioning Program* (response due 3/3/14).

Should you have any questions, please feel free to contact Steven Zane, Deputy Assistant Inspector General for Audits, at 301-415-5912.

Attachment: As stated

cc: J. Arildsen, OEDO
        C. Jaegers, OEDO
        S. Hudson, OCFO
        RidsEDOMailCenter

Summary of Audit Recommendations for Open OIG Audits

Report #	Report Title	Unresolved	Resolved	Closed
OIG-01-A-03	Government Performance and Results Act: Review of the Fiscal Year 1999 Performance Report	0	2	0
OIG-03-A-15	Audit of NRC's Regulatory Oversight of Special Nuclear Materials	0	2	6
OIG-05-A-17	Audit of NRC's Decommissioning Program	0	1	3
OIG-07-A-06	Audit of NRC's Regulation of Nuclear Fuel Cycle Facilities	0	1	0
OIG-08-A-16	Audit of NRC's Premium Class Travel	0	1	6
OIG-09-A-06	Audit of the Committee to Review Generic Communications	0	1	1
OIG-10-A-11	Social Engineering Assessment Report	0	2	10
OIG-10-A-13	Audit of NRC's Telework Program	0	1	7
OIG-10-A-20	Audit of NRC's Vendor Inspection Program	0	5	5
OIG-11-A-02	Audit of NRC's Non-Concurrence Process	0	3	5
OIG-11-A-08	Audit of NRC's Implementation of 10 CFR Part 21, Reporting of Defects and Noncompliance	0	3	2
OIG-11-A-14	Audit of NRC's Oversight of Master Materials Licensees	0	2	3
OIG-11-A-15	Audit of NRC's Shared S Drive	0	2	3
OIG-12-A-02	Independent Evaluation of NRC's Contract Award Process	0	1	4
OIG-12-A-04	Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for FY 2011	0	4	2
OIG-12-A-09	Audit of NRC's Use of Confirmatory Action Letters	0	1	3
OIG-12-A-10	Audit of NRC's Management of the Baseline Security Inspection Report	0	4	1
OIG-12-A-12	Audit of NRC's Protection of Safeguards Information (SGI)	0	4	4
OIG-12-A-13	Audit of NRC's Management of Import/Export Authorizations	0	1	6
OIG-12-A-14	Audit of NRC's Issuance of General Licenses	0	3	1
OIG-12-A-15	Audit of NRC's Oversight of Industrial Radiography	0	6	2
OIG-12-A-16	Audit of NRC's Inspections, Tests, Analyses, and Acceptance Criteria (ITAAC) Process	1	2	7
OIG-12-A-18	Memorandum Report: Audit of NRC's Contract Administration of the EPM Contract	0	3	1
OIG-12-A-21	Audit of NRC·s 10 CFR Part 31 General Licensing Program	0	2	0
OIG-13-A-02	Audit of NRC's Process for Calculating License Fees	0	2	2
OIG-13-A-03	Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2012	0	6	7
OIG-13-A-07	Information Security Risk Evaluation of Region IV - Arlington, TX	0	1	5
OIG-13-A-08	Independent Evaluation of NRC's Use and Security of Social Media	0	7	27
OIG-13-A-09	Audit of NRC's Progress in Carrying Out The "25 Point Implementation Plan to Reform Federal Information Technology Management"	0	1	3
OIG-13-A-16	Audit of NRC's Safeguards Information Local Area Network and Electronic Safe	0	5	2
OIG-13-A-17	Audit of NRC's Travel Charge Card Program	0	8	4

Summary of Audit Recommendations for Open OIG Audits

Report #	Report Title	Unresolved	Resolved	Closed
OIG-13-A-18	Audit of NRC's Budget Execution Process	0	8	0
OIG-13-A-20	Audit of NRC's Compliance With 10 CFR Part 51 Relative to Environmental Impact Statements	6	0	0
OIG-13-A-21	Audit of NRC's Implementation of Federal Classified Information Laws and Policies	0	7	0
OIG-13-A-22	Audit of NRC's Ongoing Eligibility for Access Authorization	0	2	0
OIG-14-A-02	Audit of NRC's Oversight of Active Component Aging	2	0	0
OIG-14-A-03	Independent Evaluation of NRC's Implementation of FISMA for FY 2013	0	3	0
OIG-14-A-04	Audit of NRC's Information Technology Governance	0	4	0
OIG-14-A-05	Audit of NRC's Full-Time Telework Program	7	0	0
OIG-14-A-08	Audit of NRC's Use of the NEWFlex Program	0	5	0
OIG-14-A-10	Audit of NRC's Process for Addressing Bankruptcy of Materials Licensees	0	2	0
	Total Recommendations:	**16**	**118**	**132**

Status of Open OIG Audit Recommendations
as of 03/07/2014

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
OIG-01-A-03 Government Performance and Results Act: Review of the Fiscal Year 1999 Performance Report					
1	Develop a Management Directive	EDO, OCFO	EDO	08/30/2013	Resolved
3	Include guidance on reporting unmet goals	EDO, OCFO	EDO	08/30/2013	Resolved
OIG-03-A-15 Audit of NRC's Regulatory Oversight of Special Nuclear Materials					
1	Conduct periodic inspections	EDO, NMSS	EDO	07/31/2014	Resolved
3	Document risk informed approach	EDO, NMSS	EDO	07/31/2014	Resolved
OIG-05-A-17 Audit of NRC's Decommissioning Program					
1	Retain Supporting Documentation	EDO, NMSS, OCFO	EDO	03/03/2014	Resolved
OIG-07-A-06 Audit of NRC's Regulation of Nuclear Fuel Cycle Facilities					
1	Fuel Cycle Facility framework	EDO, NMSS	EDO	09/30/2014	Resolved
OIG-08-A-16 Audit of NRC's Premium Class Travel					
1	Update MD 14.1	OCFO	OIG	04/04/2014	Resolved
OIG-09-A-06 Audit of the Committee to Review Generic Communications					
1	Develop agencywide backfit review process	EDO, RES	EDO	05/16/2014	Resolved
OIG-10-A-11 Social Engineering Assessment Report					
4	Authentication Controls	CSO, EDO, OIS	EDO	04/30/2014	Resolved
5	Removeable Storage	CSO, EDO, OIS	EDO	04/30/2014	Resolved
OIG-10-A-13 Audit of NRC's Telework Program					
6	Develop a management directive	ADM, EDO, HR	OIG	04/04/2014	Resolved
OIG-10-A-20 Audit of NRC's Vendor Inspection Program					
2	Develop a methodology to identify vendors.	EDO, NRO, NRR	OIG	03/10/2014	Resolved
5	Clarify Commercial-Grade Dedication	EDO, NRO, NRR	OIG	03/10/2014	Resolved
6	Commercial-Grade Sampling	EDO, NRO, NRR	OIG	03/10/2014	Resolved
7	Part 21 Compliance Guidance	EDO, NRO, NRR	OIG	03/10/2014	Resolved
9	Develop Guidance to Approve Accredited Commercial-Grade Calibration Labs	EDO, NRO, NRR	OIG	03/10/2014	Resolved
OIG-11-A-02 Audit of NRC's Non-Concurrence Process					
3	Finalize MD 10.158	EDO, OE	EDO	03/14/2014	Resolved
4	On-demand non-concurrence process training	EDO, OE	EDO	03/14/2014	Resolved
8	Perform regularly scheduled assessments of NCP	EDO, OE	EDO	03/14/2014	Resolved
OIG-11-A-08 Audit of NRC's Implementation of 10 CFR Part 21, Reporting of Defects and Noncompliance					
1	Revise 10 CFR Part 21	EDO, NRO, NRR	EDO	06/20/2014	Resolved
2	Expedite publication of interim guidance	EDO, NRO, NRR	EDO	06/20/2014	Resolved

#	Description	Responsible Office	Next Action By	Date Due	Rec Status

OIG-11-A-08 Audit of NRC's Implementation of 10 CFR Part 21, Reporting of Defects and Noncompliance (Continued)

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
4	Review, revise and reissue NUREG-0302 10 CFR Part 21 guidance	EDO, NRO, NRR	EDO	06/20/2014	Resolved

OIG-11-A-14 Audit of NRC's Oversight of Master Materials Licensees

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Define MML project managers' roles	EDO, FSME	EDO	02/28/2015	Resolved
5	Define MML licensee regulatory oversight responsibilities	EDO, FSME	EDO	02/28/2015	Resolved

OIG-11-A-15 Audit of NRC's Shared S Drive

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
2	Training to Protect SUNSI on Shared Drives	EDO, OIS	EDO	12/26/2014	Resolved
3	CUI Policies for Shared Drives	EDO, OIS	EDO	12/26/2014	Resolved

OIG-12-A-02 Independent Evaluation of NRC's Contract Award Process

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Develop or update policies and procedures.	ADM, EDO	EDO	06/30/2014	Resolved

OIG-12-A-04 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for FY 2011

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Risk Management	CSO, EDO	EDO	07/31/2014	Resolved
4	Software compliance Assessments	CSO, EDO	EDO	07/31/2014	Resolved
5	Perfomance Measures Included	CSO, EDO	EDO	07/31/2014	Resolved
6	Include Identified Vulnerabilities	CSO, EDO	OIG	07/31/2014	Resolved

OIG-12-A-09 Audit of NRC's Use of Confirmatory Action Letters

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
2	Update CAL guidance	EDO, OE	EDO	04/30/2014	Resolved

OIG-12-A-10 Audit of NRC's Management of the Baseline Security Inspection Report

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Develop and Maintain a Centralized Security Findings Database	EDO, NSIR	EDO	06/30/2014	Resolved
2	Formalize and Implement Process for Maintaining Accurate Data	EDO, NSIR	EDO	06/30/2014	Resolved
3	Formalize and Implement Process for Managing SGI Findings Data	EDO, NSIR	EDO	06/30/2014	Resolved
4	Formalize and Implement Procedures for Testing Draft SDP Tools	EDO, NSIR	EDO	06/30/2014	Resolved

OIG-12-A-12 Audit of NRC's Protection of Safeguards Information (SGI)

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Develop Structured Reporting Process	EDO, NSIR	EDO	06/30/2014	Resolved
2	Update MDs	EDO, NSIR	EDO	06/30/2014	Resolved
5	Update MD 12.7	EDO, NSIR	EDO	06/30/2014	Resolved
8	Refresher Training	EDO, NSIR	EDO	06/30/2014	Resolved

OIG-12-A-13 Audit of NRC's Management of Import/Export Authorizations

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
5	Verify that revenue was collected and deposited.	EDO, OIP	EDO	05/30/2014	Resolved

OIG-12-A-14 Audit of NRC's Issuance of General Licenses

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
OIG-12-A-14 Audit of NRC's Issuance of General Licenses (Continued)					
2	Visit Existing Licensees	EDO, FSME	EDO	08/31/2014	Resolved
3	Visit New Licensees	EDO, FSME	EDO	08/31/2014	Resolved
4	Analyze Data & Take Action	EDO, FSME	EDO	08/31/2014	Resolved
OIG-12-A-15 Audit of NRC's Oversight of Industrial Radiography					
1	Require licenses to be clear and consistent	EDO, FSME	EDO	02/02/2015	Resolved
2	Define licensees' location for inspection	EDO, FSME	EDO	02/02/2015	Resolved
3	Selection methodology for field stations	EDO, FSME	EDO	02/02/2015	Resolved
4	Arrange temporary job site inspections	EDO, FSME	EDO	02/02/2015	Resolved
7	Increase awareness of offshore radiography	EDO, FSME	EDO	02/02/2015	Resolved
8	Guidance for NRC inspections in Agreement States	EDO, FSME	EDO	02/02/2015	Resolved
OIG-12-A-16 Audit of NRC's Inspections, Tests, Analyses, and Acceptance Criteria (ITAAC) Process					
4	Develop and deliver training for the ITAAC process based on the results of needs assessments.	EDO, NRO	OIG	04/07/2014	Resolved
7	Determine which SSCs manufactured/assembled off-site should be inspected prior to arrival at construction sites	EDO, NRO	OIG	04/07/2014	Resolved
10	Develop and implement change management process for ITAAC	EDO, NRO	OIG	04/07/2014	Unresolved
OIG-12-A-18 Memorandum Report: Audit of NRC's Contract Administration of the EPM Contract					
1	Update MD 11.1	ADM, EDO, OIS	EDO	06/02/2014	Resolved
3	Develop/implement agencywide standard for invoice review/approval process	ADM, EDO, OIS	EDO	06/02/2014	Resolved
4	Certify the accuracy of invoices for contract NRC-DR-33-10-303	EDO	EDO	06/02/2014	Resolved
OIG-12-A-21 Audit of NRC·s 10 CFR Part 31 General Licensing Program					
1	Licensee Notification	EDO, FSME	EDO	08/31/2014	Resolved
2	Licensee Renotification	EDO, FSME	EDO	08/31/2014	Resolved
OIG-13-A-02 Audit of NRC's Process for Calculating License Fees					
1	Fee rule validation	OCFO	OCFO	03/31/2014	Resolved
4	Supervisory Review	OCFO	OCFO	03/31/2014	Resolved
OIG-13-A-03 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2012					
7	POA&M training	CSO, EDO, OIS	EDO	10/31/2014	Resolved
8	POA&M automated tool	CSO, EDO, OIS	EDO	10/31/2014	Resolved
10	Remote locations contingency planning	CSO, EDO, OIS	EDO	10/31/2014	Resolved
11	IT environment contingency plan	CSO, EDO, OIS	EDO	10/31/2014	Resolved
12	COOPs	CSO, EDO, OIS	EDO	10/31/2014	Resolved

#	Description	Responsible Office	Next Action By	Date Due	Rec Status

OIG-13-A-03 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2012 (Continued)

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
13	remote site COOP	CSO, EDO, OIS	EDO	10/31/2014	Resolved

OIG-13-A-07 Information Security Risk Evaluation of Region IV - Arlington, TX

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
4	Establish general laptop system	EDO, OIS, RIV	EDO	03/28/2014	Resolved

OIG-13-A-08 Independent Evaluation of NRC's Use and Security of Social Media

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
2	Develop and Implement a process to monitor user activity on NRC's social media platforms	EDO, OPA	EDO	07/11/2014	Resolved
17	Engage in active outreach to bloggers and other social media followers	EDO, OPA	EDO	07/11/2014	Resolved
20	Periodically host a blogger's rountable	EDO, OPA	EDO	07/11/2014	Resolved
25	Update the agency's information management and security policies to include social media	CSO, EDO, OIS	EDO	07/11/2014	Resolved
29	Disseminate electronic agencywide Yellow Announcements on a periodic basis regarding social media security	CSO, EDO, OIS	EDO	07/11/2014	Resolved
30	Revise warning messages for network users	CSO, EDO, OIS	EDO	07/11/2014	Resolved
31	Revise the Problem Report to include a link	CSO, EDO, OIS	EDO	07/11/2014	Resolved

OIG-13-A-09   Audit of NRC's Progress in Carrying Out The "25 Point Implementation Plan to Reform Federal Information Technology Management"

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
4	Create short- and long-term plans for the 3WFN data center.	OIS, EDO	EDO	10/31/2014	Resolved

OIG-13-A-16 Audit of NRC's Safeguards Information Local Area Network and Electronic Safe

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
2	Establish Formal Workflow Process	EDO, NSIR, OIS	EDO	12/31/2014	Resolved
3	Update Current Folder Structure	EDO, NSIR, OIS	EDO	12/31/2014	Resolved
4	Create Folder Guide for SLES	EDO, NSIR, OIS	EDO	12/31/2014	Resolved
6	Develop Change Control Process	EDO, NSIR, OIS	EDO	12/31/2014	Resolved
7	Develop Stuctured Access Process within SLES	EDO, NSIR, OIS	EDO	12/31/2014	Resolved

OIG-13-A-17 Audit of NRC's Travel Charge Card Program

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Pay bills daily or weekly.	EDO, OCFO	EDO	05/02/2014	Resolved
2	Check refund deals to ensure competitive rate.	EDO, OCFO	EDO	05/02/2014	Resolved
3	Explore "tag along" options.	EDO, OCFO	EDO	05/02/2014	Resolved
4	Revise MD 14.1.	EDO, OCFO	EDO	05/02/2014	Resolved
5	Identify and enforce use of card by frequent travelers.	EDO, OCFO	EDO	05/02/2014	Resolved
6	Implement mandatory split disbursement.	EDO, OCFO	EDO	05/02/2014	Resolved
10	Benchmark to atleast 3 agencies.	EDO, OCFO	EDO	05/02/2014	Resolved
11	Analyze benchmarking information.	EDO, OCFO	EDO	05/02/2014	Resolved

OIG-13-A-18 Audit of NRC's Budget Execution Process

#	Description	Responsible Office	Next Action By	Date Due	Rec Status

OIG-13-A-18 Audit of NRC's Budget Execution Process (Continued)

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Implement Planning, Budgeting & Performance Management process	EDO, OCFO	OCFO	03/31/2014	Resolved
2	Standardize Job Codes	EDO, OCFO	OCFO	03/31/2014	Resolved
3	Budget object codes	EDO, OCFO	OCFO	03/31/2014	Resolved
4	Define FAIMIS training needs	EDO, OCFO	OCFO	03/31/2014	Resolved
5	Provide FAIMIS training	EDO, OCFO	OCFO	03/31/2014	Resolved
6	Develop Recordkeeping Procedures	EDO, OCFO	OCFO	03/31/2014	Resolved
7	Training Recordkeeping	EDO, OCFO	OCFO	03/31/2014	Resolved
8	Clarify Allowance Holder delegations	EDO, OCFO	OCFO	03/31/2014	Resolved

OIG-13-A-20 Audit of NRC's Compliance With 10 CFR Part 51 Relative to Environmental Impact Statements

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Develop Agencywide Guidance	EDO, FSME, NMSS, NRO, NRR	EDO	03/15/2014	Unresolved
2	Implement Agencywide Guidance	EDO, FSME, NMSS, NRO, NRR	EDO	03/15/2014	Unresolved
3	Develop Agencywide Guidance	EDO, FSME, NMSS, NRO, NRR	EDO	03/15/2014	Unresolved
4	Implement Agencywide Guidance	EDO, FSME, NMSS, NRO, NRR	EDO	03/15/2014	Unresolved
5	Develop Agencywide Guidance	EDO, FSME, NMSS, NRO, NRR	EDO	03/15/2014	Unresolved
6	Implement Agencywide Guidance	EDO, FSME, NMSS, NRO, NRR	EDO	03/15/2014	Unresolved

OIG-13-A-21 Audit of NRC's Implementation of Federal Classified Information Laws and Policies

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Update Original Classifier training standards	ADM, EDO, NSIR	EDO	07/25/2014	Resolved
2	Train Original Classifiers.	ADM, EDO, NSIR	EDO	07/25/2014	Resolved
3	Use iLearn to document classifier training.	ADM, EDO, NSIR	EDO	07/25/2014	Resolved
4	Revise Management Directive 12.2 to require self-inspections in accordance with Federal standards.	ADM, EDO, NSIR	EDO	07/25/2014	Resolved
5	Conduct self-inspections in accordance with Federal standards.	ADM, EDO, NSIR	EDO	07/25/2014	Resolved
6	Revise staff position descriptions and evaluation criteria.	ADM, EDO, NSIR	EDO	07/25/2014	Resolved
7	Update MD 12.2 to align with Federal standards and NRC policy and procedures.	ADM, EDO, NSIR	EDO	07/25/2014	Resolved

OIG-13-A-22 Audit of NRC's Ongoing Eligibility for Access Authorization

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Training	ADM, EDO	EDO	07/30/2014	Resolved
2	Consequences	ADM, EDO	EDO	07/30/2014	Resolved

OIG-14-A-02 Audit of NRC's Oversight of Active Component Aging

Status of Open OIG Audit Recommendations
as of 03/07/2014

#	Description	Responsible Office	Next Action By	Date Due	Rec Status

OIG-14-A-02 Audit of NRC's Oversight of Active Component Aging (Continued)

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Perform and document need for active aging component oversight program,	EDO, NRR	EDO	03/28/2014	Unresolved
2	Monitor/Collect active component age-related data.	EDO, NRR	EDO	03/28/2014	Unresolved

OIG-14-A-03 Independent Evaluation of NRC's Implementation of FISMA for FY 2013

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Update inventory for contractor systems.	CSO, EDO, OIS	EDO	01/02/2015	Resolved
2	Complete authorization activities.	CSO, EDO, OIS	EDO	01/02/2015	Resolved
3	Develop procedures for IT security.	CSO, EDO, OIS	EDO	01/02/2015	Resolved

OIG-14-A-04 Audit of NRC's Information Technology Governance

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Revise Charters	EDO, OIS	EDO	06/30/2014	Resolved
2	Revise MD 2.8	EDO, OIS	EDO	06/30/2014	Resolved
3	Finalize the CONOPS	EDO, OIS	EDO	06/30/2014	Resolved
4	Communication Strategy	EDO, OIS	EDO	06/30/2014	Resolved

OIG-14-A-05 Audit of NRC's Full-Time Telework Program

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Policy for telework training	EDO, HR	OIG	03/07/2014	Unresolved
2	Require telework training	EDO, HR	OIG	03/07/2014	Unresolved
3	Track telework training	EDO, HR	OIG	03/07/2014	Unresolved
4	Recordkeeping desk procedures	EDO, HR	OIG	03/07/2014	Unresolved
5	Complete transition to electronic files.	EDO, HR	OIG	03/07/2014	Unresolved
6	Develop process to update SharePoint.	EDO, HR	OIG	03/07/2014	Unresolved
7	Provide appropriate access to SharePoint.	EDO, HR	OIG	03/07/2014	Unresolved

OIG-14-A-08 Audit of NRC's Use of the NEWFlex Program

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	Update NEWFlex intranet information	EDO, HR	EDO	10/31/2014	Resolved
2	Add NEWFlex training to Supervisor Development Program	EDO, HR	EDO	10/31/2014	Resolved
3	Make NEWFlex training available for all employees	EDO, HR	EDO	10/31/2014	Resolved
4	Update HRMS procedures for split schedules	EDO, OCFO	EDO	10/31/2014	Resolved
5	Develop NEWFlex metrics and monitoring procedures	EDO, HR	EDO	10/31/2014	Resolved

OIG-14-A-10 Audit of NRC's Process for Addressing Bankruptcy of Materials Licensees

#	Description	Responsible Office	Next Action By	Date Due	Rec Status
1	comprehensive source/s needed	EDO, FSME	EDO	01/02/2015	Resolved
2	Develop guidance	EDO, FSME	EDO	01/02/2015	Resolved