



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**

REGION I
2100 RENAISSANCE BLVD., SUITE 100
KING OF PRUSSIA, PA 19406-2713

March 5, 2014

Docket No. 03001295

License No. 06-13022-02

Leonard P. Paplauskas
Associate Vice President for Research, Emeritus
University of Connecticut Health Center
263 Farmington Avenue
Farmington, CT 06030-3930

SUBJECT: NRC INSPECTION REPORT NO. 03001295/2014002, UNIVERSITY OF CONNECTICUT HEALTH CENTER, FARMINGTON, CONNECTICUT SITE AND NOTICE OF VIOLATION

Dear Mr. Paplauskas:

On January 22 - 23, 2014, Maryann Abogunde of this office conducted a security inspection at the above address of activities authorized by the above listed NRC license. The inspection reviewed University of Connecticut Health Center's implementation of: (1) NRC's "Order Imposing Increased Controls" (EA 05-090), dated November 14, 2005; (2) NRC's "Order Imposing Fingerprinting and Criminal History Check Requirements for Unescorted Access to Certain Radioactive Material" (EA 07-305), dated December 5, 2007; and (3) the requirements of 10 CFR 20.2207 to report information to the National Source Tracking System. The inspection consisted of observations by the inspector, interviews with personnel, and an examination of records. The findings of the inspection were discussed with you and other members of your organization by telephone at the conclusion of the inspection on February 27, 2014.

Based on the results of this inspection and in accordance with the NRC Enforcement Policy, the NRC has determined that your activities were not conducted in full compliance with NRC requirements and are detailed and categorized by severity level in the enclosed Notice of Violation (NOV). An NOV is being issued because your organization did not identify the noncompliance.

The NRC has concluded that information regarding the reason for the violation(s) in the enclosed NOV, and the corrective actions taken and planned to correct the violation(s) and prevent recurrence, is already adequately addressed on the docket. Therefore, you are not required to respond to this letter unless the description therein does not accurately reflect your corrective actions or your position. In that case, or if you choose to provide additional information, you should follow the instructions specified in the enclosed NOV.

<p>Enclosures transmitted herewith contain SUNSI. When separated from enclosures, this transmittal document is decontrolled.</p>

L. Paplauskas

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Because this issue involved security-related information, if you choose to respond, your response will not be made available electronically for public inspection in the NRC Public Document room or the NRC's Agencywide Document Access and Management System (ADAMS), accessible from the NRC Web Site at <https://www.nrc.govreading-rm/adams.html>. Please mark your entire response "**Security Related – Withhold under 10 CFR 2.390.**"

The Enclosures contain "Security-Related Information" and will be withheld from public disclosure by the NRC and must be protected from unauthorized disclosure. Additional information concerning "Security Related Information" is also discussed in Regulatory Information Summary RIS-2005-031, "Control of Security-Related Sensitive Unclassified Non-Safeguards Information" (ML053480073), which is available on the NRC Web site at <http://www.nrc.gov>; select **Electronic Reading Room; All Collections; Generic Communications; Regulatory Issue Summaries; RIS-05-031.**

Current NRC regulations and guidance are included on the NRC's website at www.nrc.gov; select **Nuclear Materials; Med, Ind, & Academic Uses**; then **Regulations, Guidance and Communications**. The current Enforcement Policy is included on the NRC's website at www.nrc.gov; select **About NRC, Organizations & Functions; Office of Enforcement; Enforcement documents**; then **Enforcement Policy (Under 'Related Information')**. You may also obtain these documents by contacting the Government Printing Office (GPO) toll-free at 1-866-512-1800. The GPO is open from 8:00 a.m. to 5:30 p.m. EST, Monday through Friday (except Federal holidays).

The NRC's Safety Culture Policy Statement became effective in June 2011. While a policy statement and not a regulation, it sets forth the agency's *expectations* for individuals and organizations to establish and maintain a positive safety culture. You can access the policy statement and supporting material that may benefit your organization on NRC's safety culture Web site at <http://www.nrc.gov/about-nrc/regulatory/enforcement/safety-culture.html>. We strongly encourage you to review this material and adapt it to your particular needs in order to develop and maintain a positive safety culture as you engage in NRC-regulated activities.

L. Paplauskas

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Please contact Maryann Abogunde at (610) 337-5090 if you have any questions regarding this matter.

Sincerely,

Original signed by James Dwyer

James P. Dwyer, Chief
Medical Branch
Division of Nuclear Materials Safety

Enclosures:

Notice of Violation (**Contains Security-Related Information**)

cc: Kenneth W. Price, M.P.H., C.H.P., Radiation Safety Officer (with enclosures)
State of Connecticut (without enclosures)

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L. Paplauskas

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SUNSI Review Complete: MAbogunde

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