

### EXAM ASSIGNMENT TICKLER

<b>Chief:</b>	Steve Garchow	<b>Facility:</b>	GG	<b>Date of Written Exam:</b>	11/8/2013
<b>Start of Op Test:</b>		11/11/2013		<b>End of Op Test:</b> 11/16/2013	
<b>Written Exam Developed By: NRC / Facility</b>			<b>Operating Test Developed By: NRC / Facility</b>		
Due Date	Description	Date Complete	Initials	Notes	
5/10/2013	Written Exam & Op Test Dates Confirmed	4/25/13	JNB		
7/5/2013	NRC Examiners & Facility Contact Assigned	4/25/13	JNB		
7/5/2013	Facility Contact Briefed on Security & Other Req's	4/25/13	JNB		
7/5/2013	Corporate Notification Letter sent	4/26/13	JNB	ES-201 Att-4 produced by CE	
8/9/2013	Reference material due (if NRC authored)	NA	JNB	ES-201 Att-3	
8/23/2013	Integrated exam outlines due	8/20/13	JNB		
8/30/2013	Outlines reviewed by CE; feedback approved by BC	8/24/13	JNB	ES-201-2 signed by CE & BC	
8/30/2013	Feedback on integrated outlines provided to facility	8/24/13	JNB		
9/20/2013	DRAFT exam / docs / support reference material due	9/20/13	JNB		
10/4/2013	Peer review of written exam complete	NA	JNB	Document review on ES-401-9	
10/4/2013	Preliminary license applications due	9/20/13	JNB	NRC Forms 398/396	
10/11/2013	Preliminary license applications and waivers reviewed	9/20/13	JNB		
10/11/2013	DRAFT exam reviewed by CE; feedback approved by BC	10/1/13	JNB		
10/11/2013	Feedback on DRAFT exam provided to facility	10/1/13	JNB		
8/11/2008	On-site validation & 10% audit of license applications	10/30/13	JNB		
10/25/2013	Final applications due & List of Applicants prepared	11/4/13	JNB	ES-201-4 prepared by LA	
11/1/2013	Final applications approved & waiver letters sent	11/4/13	JNB		
11/1/2013	Branch Chief approves FINAL exam (Written & Op Test)	11/5/13	JNB	LA produces / BC signs Exam Approval Letter (ES-201 Att-5)	
11/1/2013	Proctoring/written exam admin guidelines reviewed w/ facility	10/30/13	JNB		
11/4/2013	Exam material to exam team	11/4/13	JNB		
11/11/2013	Administer Operating Test	11/14/13	JNB		
11/23/2013	Facility post-exam documentation due	11/19/13	JNB		
11/23/2013	NRC written exam grading completed	11/19/13	JNB	ES-403-1 to BC	
11/23/2013	Examiner's document op test results on ES 303's	11/20/13	JNB		
11/30/2013	Chief Examiner review of written exam & op test completed	11/20/13	JNB	Signed ES 303's to BC	
12/7/2013	Branch Chief review of exam results completed	11/21/13	JNB		
12/14/2013	Waivers/deferrals reviewed for impact on licensing decision	11/21/13	JNB		
12/14/2013	License/Denial letters mailed; Facility notified of results	11/21/13	JNB		
12/14/2013	RPS/IP number of examinees updated	11/21/13	JNB	print Report-21	
12/28/2013	Examination Report Issued	12/2/13	JNB	produced by CE	
1/4/2014	SUNSI checklist complete and exam docs to ADAMS	12/2/13	JNB	SUNSI checklist to LA	
1/11/2014	Ref Mat'l Returned after Final Resolution of Appeals	NA	JNB		

Facility: Grand Gulf Nuclear Station, Unit 1		Date of Examination: November 8 through November 15, 2013		
Item	Task Description	Initials		
		a	b*	c#
1. W R I T T E N	a. Verify that the outline(s) fit(s) the appropriate model, in accordance with ES-401.	SOR	B	JMB
	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.	SOR	B	JMB
	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	SOR	B	JMB
	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	SOR	B	JMB
2. S I M U L A T O R	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients.	SOR	B	JMB
	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days.	SOR	B	JMB
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.	SOR	B	JMB
3. W / T	a. Verify that the systems walk-through outline meets the criteria specified on Form ES-301-2: (1) the outline(s) contain(s) the required number of control room and in-plant tasks distributed among the safety functions as specified on the form (2) task repetition from the last two NRC examinations is within the limits specified on the form (3) no tasks are duplicated from the applicants' audit test(s) (4) the number of new or modified tasks meets or exceeds the minimums specified on the form (5) the number of alternate path, low-power, emergency, and RCA tasks meet the criteria on the form.	SOR	B	JMB
	b. Verify that the administrative outline meets the criteria specified on Form ES-301-1: (1) the tasks are distributed among the topics as specified on the form (2) at least one task is new or significantly modified (3) no more than one task is repeated from the last two NRC licensing examinations	SOR	B	JMB
	c. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.	SOR	B	JMB
4. G E N E R A L	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam sections.	SOR	B	JMB
	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.	SOR	B	JMB
	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	SOR	B	JMB
	d. Check for duplication and overlap among exam sections.	SOR	B	JMB
	e. Check the entire exam for balance of coverage.	SOR	B	JMB
	f. Assess whether the exam fits the appropriate job level (RO or SRO).	SOR	B	JMB
a. Author	Steve Reeves / <u>Steve Reeves</u>		Date	9/20/13
b. Facility Reviewer (**)	Michael Bacon / <u>Michael Bacon</u>			9/20/13
c. NRC Chief Examiner (#)	Stephen Gorchow / <u>Stephen Gorchow</u>			11/4/13
d. NRC Supervisor	Clyde Osterholtz / <u>Clyde Osterholtz</u>			11/5/13
Note:	# Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required. * Not applicable for NRC-prepared examination outlines			

Facility:	GGNS	Date of Exam	11/8/2013	Exam Level: RO <input checked="" type="checkbox"/> SRO <input type="checkbox"/>		
Item Description	Initial					
	a	b*	c*			
1. Questions and answers are technically accurate and applicable to the facility.	SDR	B	JMB			
2. a. NRC K/As are referenced for all questions. b. Facility learning objectives are referenced as available.	SDR	B	JMB			
3. SRO questions are appropriate in accordance with Section D.2.d of ES-401	N/A	N/A	JMB			
4. The sampling process was random and systematic (If more than 4 RO or 2 SRO questions were repeated from the last 2 NRC licensing exams, consult the NRR OL program office).	SDR	B	JMB			
5. Question duplication from the license screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate: <input checked="" type="checkbox"/> the audit exam was systematically and randomly developed; or <input type="checkbox"/> the audit exam was completed before the license exam was started; or <input type="checkbox"/> the examinations were developed independently; or <input type="checkbox"/> the licensee certifies that there is no duplication; or <input type="checkbox"/> other (explain)	SDR	B	JMB			
6. Bank use meets limits (no more than 75 percent from the bank, at least 10 percent new, and the rest new or modified); enter the actual RO / SRO-only question distribution(s) at right.	Bank	Modified	New	SDR	B	JMB
	37 <small>Including 4 repeats from 2011/2012 exams</small>	0	38			
7. Between 50 and 60 percent of the questions on the RO exam are written at the comprehension/ analysis level; the SRO exam may exceed 60 percent if the randomly selected K/As support the higher cognitive levels; enter the actual RO / SRO question distribution(s) at right.	Memory		C/A	SDR	B	JMB
	34 37	41 38				
8. References/handouts provided do not give away answers or aid in the elimination of distractors.	SDR	B	JMB			
9. Question content conforms with specific K/A statements in the previously approved examination outline and is appropriate for the tier to which they are assigned; deviations are justified.	SDR	B	JMB			
10. Question psychometric quality and format meet the guidelines in ES Appendix B.	SDR	B	JMB			
11. The exam contains the required number of one-point, multiple choice items; the total is correct and agrees with the value on the cover sheet.	SDR	B	JMB			
Printed Name / Signature a. Author <u>Steve Reeves / Steve Ren</u> b. Facility Reviewer (*) <u>Maria L. Baran / MJB</u> c. NRC Chief Examiner (#) <u>Stephen Garchow / Jim Baran</u> d. NRC Regional Supervisor <u>Clyde Osterholtz / CC Osterholtz</u>				Date 9-17-13 9/19/13 11/4/13 11/5/13		
Note: * The facility reviewer's initials/signature are not applicable for NRC-developed examinations. # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.						

Facility:	GGNS	Date of Exam	11/8/2013	Exam Level: RO <input type="checkbox"/>	SRO <input checked="" type="checkbox"/>	
Item Description				Initial		
				a	b*	c*
1.	Questions and answers are technically accurate and applicable to the facility.			SDR	B	SNG
2.	a.	NRC K/As are referenced for all questions.		SDR	B	SNG
	b.	Facility learning objectives are referenced as available.				
3.	SRO questions are appropriate in accordance with Section D.2.d of ES-401			SDR	B	SNG
4.	The sampling process was random and systematic (If more than 4 RO or 2 SRO questions were repeated from the last 2 NRC licensing exams, consult the NRR OL program office).			SDR	B	SNG
5.	Question duplication from the license screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate: <input checked="" type="checkbox"/> the audit exam was systematically and randomly developed; or <input type="checkbox"/> the audit exam was completed before the license exam was started; or <input type="checkbox"/> the examinations were developed independently; or <input type="checkbox"/> the licensee certifies that there is no duplication; or <input type="checkbox"/> other (explain)			SDR	B	SNG
6.	Bank use meets limits (no more than 75 percent from the bank, at least 10 percent new, and the rest new or modified); enter the actual RO / SRO-only question distribution(s) at right.	Bank	Modified	SDR	B	SNG
		1	1			
7.	Between 50 and 60 percent of the questions on the RO exam are written at the comprehension/ analysis level; the SRO exam may exceed 60 percent if the randomly selected K/As support the higher cognitive levels; enter the actual RO / SRO question distribution(s) at right.	Memory		SDR	B	SNG
		12 7	C/A			
8.	References/handouts provided do not give away answers or aid in the elimination of distractors.			SDR	B	SNG
9.	Question content conforms with specific K/A statements in the previously approved examination outline and is appropriate for the tier to which they are assigned; deviations are justified.			SDR	B	SNG
10.	Question psychometric quality and format meet the guidelines in ES Appendix B.			SDR	B	SNG
11.	The exam contains the required number of one-point, multiple choice items; the total is correct and agrees with the value on the cover sheet.			SDR	B	SNG
a. Author b. Facility Reviewer (*) c. NRC Chief Examiner (#) d. NRC Regional Supervisor		Printed Name / Signature Steve Reeves / <i>[Signature]</i> Michael L. Bacon / <i>[Signature]</i> Stephen Gardner / <i>[Signature]</i> Clyde Osterholte / <i>[Signature]</i>			Date 9-17-13 9/19/13 11/13/13 11/5/13	
Note: * The facility reviewer's initials/signature are not applicable for NRC-developed examinations. # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.						

Facility: GGNS		Date of Examination: 11/11-15/13		Operating Test Number: LOT-2013	
1. General Criteria			Initials		
			a	b*	c#
a.	The operating test conforms with the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	SDR	B	JMG	
b.	There is no day-to-day repetition between this and other operating tests to be administered during this examination.	SDR	B	JMG	
c.	The operating test shall not duplicate items from the applicants' audit test(s). (see Section D.1.a.)	SDR	B	JMG	
d.	Overlap with the written examination and between different parts of the operating test is within acceptable limits.	SDR	B	JMG	
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	SDR	B	JMG	
2. Walk-Through Criteria			--	--	--
a.	Each JPM includes the following, as applicable: <ul style="list-style-type: none"> <li>• initial conditions</li> <li>• initiating cues</li> <li>• references and tools, including associated procedures</li> <li>• reasonable and validated time limits (average time allowed for completion) and specific designation if deemed to be time-critical by the facility licensee</li> <li>• operationally important specific performance criteria that include:                         <ul style="list-style-type: none"> <li>- detailed expected actions with exact criteria and nomenclature</li> <li>- system response and other examiner cues</li> <li>- statements describing important observations to be made by the applicant</li> <li>- criteria for successful completion of the task</li> <li>- identification of critical steps and their associated performance standards</li> <li>- restrictions on the sequence of steps, if applicable</li> </ul> </li> </ul>	SDR	B	JMG	
b.	Ensure that any changes from the previously approved systems and administrative walk-through outlines (Forms ES-301-1 and 2) have not caused the test to deviate from any of the acceptance criteria (e.g., item distribution, bank use, repetition from the last 2 NRC examinations) specified on those forms and Form ES-201-2.	SDR	B	JMG	
3. Simulator Criteria			--	--	--
The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached.		SDR	B	JMG	
		Printed Name / Signature		Date	
a.	Author	Steve Reeves / Steve Reen		9/19/13	
b.	Facility Reviewer(*)	Mona Brown / MBR		9/19/13	
c.	NRC Chief Examiner (#)	Stephen Garchow / Tom Starn		11/4/13	
d.	NRC Supervisor	Clyde Osterholtz / CC Osterholtz		11/5/13	
NOTE: * The facility signature is not applicable for NRC-developed tests. # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.					

Facility: GGNS		Date of Exam: 11/11-15/13		Scenario Numbers: 1 / 2 / 3		Operating Test No.: LOT - 2013		
QUALITATIVE ATTRIBUTES						Initials		
						a	b*	c#
1.	The initial conditions are realistic, in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.	K	B				MB	
2.	The scenarios consist mostly of related events.	K	B				MB	
3.	Each event description consists of <ul style="list-style-type: none"> <li>the point in the scenario when it is to be initiated</li> <li>the malfunction(s) that are entered to initiate the event</li> <li>the symptoms/cues that will be visible to the crew</li> <li>the expected operator actions (by shift position)</li> <li>the event termination point (if applicable)</li> </ul>	K	B				MB	
4.	No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event.	K	B				MB	
5.	The events are valid with regard to physics and thermodynamics.	K	B				MB	
6.	Sequencing and timing of events is reasonable, and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives.	K	B				MB	
7.	If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.	N/A	N/A				N/A	
8.	The simulator modeling is not altered.	K	B				MB	
9.	The scenarios have been validated. Pursuant to 10 CFR 55.46(d), any open simulator performance deficiencies or deviations from the referenced plant have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios.	K	B				MB	
10.	Every operator will be evaluated using at least one new or significantly modified scenario. All other scenarios have been altered in accordance with Section D.5 of ES-301.	K	B				MB	
11.	All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios).	K	B				MB	
12.	Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios).	K	B				MB	
13.	The level of difficulty is appropriate to support licensing decisions for each crew position.	K	B				MB	
<b>Target Quantitative Attributes (Per Scenario; See Section D.5.d)</b>		<b>Actual Attributes</b>		--	--	--		
1.	Total malfunctions (5-8)	8	8	7	K	B	MB	
2.	Malfunctions after EOP entry (1-2)	2	2	2	K	B	MB	
3.	Abnormal events (2-4)	2	2	2	K	B	MB	
4.	Major transients (1-2)	1	1	1	K	B	MB	
5.	EOPs entered/requiring substantive actions (1-2)	2	1	1	K	B	MB	
6.	EOP contingencies requiring substantive actions (0-2)	1	1	1	K	B	MB	
7.	Critical tasks (2-3)	3	3	2	K	B	MB	

Facility: Grand Gulf		Date of Exam: 11/08/2013	Exam Level: RO <input type="checkbox"/>	SRO <input checked="" type="checkbox"/>
Item Description	Initials			
	a	b	c	
1. Clean answer sheets copied before grading	SDR	B	JMS	
2. Answer key changes and question deletions justified and documented	SDR	B	JMS	
3. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	SDR	B	JMS	
4. Grading for all borderline cases (80 ±2% overall and 70 or 80, as applicable, ±4% on the SRO-only) reviewed in detail	SDR	B	JMS	
5. All other failing examinations checked to ensure that grades are justified	SDR	B	JMS	
6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	SDR	B	JMS	
	Printed Name/Signature		Date	
a. Grader	<u>Steve Reeves / Steve Reeves</u>		<u>11-14-13</u>	
b. Facility Reviewer(*)	<u>MICHAEL L. BACON / [Signature]</u>		<u>11/14/13</u>	
c. NRC Chief Examiner (*)	<u>Stephen Garchow / [Signature]</u>		<u>11/20/13</u>	
d. NRC Supervisor (*)	<u>Vincent Gaddy / Vincent Gaddy</u>		<u>11/21/13</u>	
(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.				

# Operator Licensing Exam Schedule

## From 09/01/2013 To 12/30/2013

Page 1 of 4  
 11/26/2013 10:33:26  
 Report 21

Region: 4 Phase Code: Operating Reactors (5)

Exam Week	Site/Docket No./Insp Rpt.#	# Candidates	Type	Exam Author	Chief Examiner	Examiners Assigned
06/11/2013	Grand Gulf / 050000416 / 2013302 TAC #: X02517		Prep	FFF	GARCHOW, STEPHEN M.	APGER, GABRIEL W. BUCHANAN, THERESA D. GARCHOW, STEPHEN M.
08/01/2013	Grand Gulf / 050000416 / TAC #: X02530		Prep	NFF	GARCHOW, STEPHEN M.	BLOODGOOD, MICHAEL R. FARINA, THOMAS J. GARCHOW, STEPHEN M.
10/07/2013	Grand Gulf / 050000416 / 2013302 TAC #: X02517		Prep	FFF	GARCHOW, STEPHEN M.	APGER, GABRIEL W. BUCHANAN, THERESA D. GARCHOW, STEPHEN M.
11/11/2013	Grand Gulf / 050000416 / 2013302 TAC #: X02517	SROI - 8	Admin	FFF	GARCHOW, STEPHEN M.	APGER, GABRIEL W. BUCHANAN, THERESA D. GARCHOW, STEPHEN M.
11/21/2013	Grand Gulf / 050000416 / 2013302 TAC #: X02517		Doc	FFF	GARCHOW, STEPHEN M.	APGER, GABRIEL W. BUCHANAN, THERESA D. GARCHOW, STEPHEN M.



# 2013 INITIAL

ES-201

Examination Security Agreement

Form ES-201-3

**1. Pre-Examination**

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 11/8 & 11/11/2013 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

**2. Post-Examination**

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 11/8 & 11/11/2013. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. Steve Reeves	Ops Inst./Lead Exam Developer	<i>Steve Reeves</i>	5/13/13	<i>Steve Reeves</i>	11-19-13
2. Kyle Grillis	Exam developer	<i>Kyle Grillis</i>	5/13/13	<i>Kyle Grillis</i>	11-14-13
3. Jonathan Sparks	SRP/Inst Developer	<i>Jonathan Sparks</i>	5/13/13	<i>Jonathan Sparks</i>	11-12-13
4. MICHAEL L. BAGGS	Facility Representative	<i>Michael L. Baggs</i>	5/14/13	<i>Michael L. Baggs</i>	13/00/13
5. Robert Goldman	Supervisor Software	<i>Robert Goldman</i>	5/21/13	<i>Robert Goldman</i>	11/14/13
6. Frank Weimer	Shift Mgr / Ops Resp	<i>Frank Weimer</i>	6-20-13	<i>Frank Weimer</i>	11/14/13
7. Chas Laird	CRS/ops dep	<i>Chas Laird</i>	8-15-13	<i>Chas Laird</i>	11-14-13
8. Mark Pitt	Ops inst. / Exam developer	<i>Mark Pitt</i>	9/15/13	<i>Mark Pitt</i>	11/14/13
9. Jason Combs	SRP/STA	<i>Jason Combs</i>	9/16/13	<i>Jason Combs</i>	11/10/13
10. Gary R. Ellis	RO	<i>Gary R. Ellis</i>	9-5-13	<i>Gary R. Ellis</i>	11-17-13
11. Robert Brinkman	Shift mgr/Validator	<i>Robert Brinkman</i>	9/19/13	<i>Robert Brinkman</i>	11-19-13
12. Ricky Patterson	Shift Manager	<i>Ricky Patterson</i>	9/19/13	<i>Ricky Patterson</i>	11/10/13
13. Phillip K. Huff	RO	<i>Phillip K. Huff</i>	9-9-13	<i>Phillip K. Huff</i>	11-18-13
14. SOAN S. Dixon	CRS	<i>Soan S. Dixon</i>	9-9-13	<i>Soan S. Dixon</i>	11-18-13
15. Dustin Hill	CRS	<i>Dustin Hill</i>	9/10/13	<i>Dustin Hill</i>	11-19-13

NOTES:

# 2013 INITIAL

ES-201

Examination Security Agreement

Form ES-201-3

**1. Pre-Examination**

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of **11/8 & 11/11/2013** as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

**2. Post-Examination**

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of **11/8 & 11/11/2013**. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
J Weeks	FIN/SRO	<i>J Weeks</i>	9/11/13	per email	11-19-13 Slam
Marty McAdams	OPS INST/PC70 A	<i>Marty McAdams</i>	9-10-13	No Contact with Company	11-14-13
Danny Jarecki	OPS INST	<i>Danny Jarecki</i>	9-12-13	No Contact with Company	11/19/13
Charles Roberts	OPS INST	<i>Charles Roberts</i>	9-16-13	No Contact with Company	11/14/13
Roger Bond	SRO SRO	<i>Roger Bond</i>	9/12/13	No Contact with Company	11/11/13
JR Miller	SRO	<i>JR Miller</i>	9/11/13	No Contact with Company	11/11/13
Leroy Hunsley	SRO	<i>Leroy Hunsley</i>	9/12/13	No Contact with Company	11/11/13
DAVID BUEKUS	SRO	<i>David Buekus</i>	9/12/13	No Contact with Company	11/11/13
April Hargrave	RO	<i>April Hargrave</i>	9/12/13	No Contact with Company	11/11/13
John Ferguson Corbin	R.O.	<i>John Ferguson Corbin</i>	9-16-13	No Contact with Company	11/11-13-13
Michael STAVES	R.O.	<i>Michael Staves</i>	9-16-13	No Contact with Company	11-11-13-13
Andrew Osborn	RO	<i>Andrew Osborn</i>	9-17-13	No Contact with Company	11-11-13
Ricky Liddell	ADM - Trng	<i>Ricky Liddell</i>	9-17-13	No Contact with Company	10-2-13 (D)
Ailey Collins	OPS training	<i>Ailey Collins</i>	9/17/13	No Contact with Company	11/14/13

NOTES:

① Only Audit Exam JPM's were reviewed, No NRC exam material was reviewed

# 2013 INITIAL

ES-201

**Examination Security Agreement**

Form ES-201-3

**1. Pre-Examination**

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of **11/8 & 11/11/2013** as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

**2. Post-Examination**

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of **11/8 & 11/11/2013**. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
Steven Angel	NOA / Reactor Operator	<i>Steven Angel</i>	10/29/13	Per Engrly	11/19/13	
Michael Rosen	ops. ops. reactor oversight	<i>Michael Rosen</i>	11/11/13	<i>Michael Rosen</i>	11/14/13	
Matthew Franklin	ops instructor / Training	<i>Matthew Franklin</i>	11/11/13	<i>Matthew Franklin</i>	11/14/13	
Blaine Gierard	ops assessors / Training	<i>Blaine Gierard</i>	11/11/13	<i>Blaine Gierard</i>	11/14/13	
David Cooper	ops Instructor Training	<i>David Cooper</i>	11/11/13	<i>David Cooper</i>	11/14/13	
Charles Bortemiller	ops Training	<i>Charles Bortemiller</i>	11/11/13	<i>Charles Bortemiller</i>	11-14-13	
Dean Sareswilit	ops Training	<i>Dean Sareswilit</i>	11/11/13	<i>Dean Sareswilit</i>	11-19-13	
Gabe Kinich	ops Training	<i>Gabe Kinich</i>	11/11/13	<i>Gabe Kinich</i>	11/14/13	
ROBERT McNamee	TRAINING	<i>Robert McNamee</i>	11-13-13	<i>Robert McNamee</i>	11-14-13	

NOTES: