



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

January 7, 2014

MEMORANDUM TO: Mark A. Satorius
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF THE
NUCLEAR REGULATORY COMMISSION'S SHARED "S"
DRIVE (OIG-11-A-15)

REFERENCE: DIRECTOR, OFFICE OF INFORMATION SERVICES,
MEMORANDUM DATED DECEMBER 16, 2013

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated December 16, 2013. Based on this response, recommendation #4 is closed and recommendations 2 and 3 remain in resolved status. Recommendations 1 and 5 were closed previously. Please provide an updated status of the resolved recommendations by December 26, 2014.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: R. Mitchell, OEDO
M. Khanna, OEDO
J. Arildsen, OEDO
C. Jaegers, OEDO

Audit Report

AUDIT OF THE NUCLEAR REGULATORY COMMISSION'S SHARED "S" DRIVE

OIG-11-A-15

Status of Recommendations

- Recommendation 2: Revise current information security training for NRC staff to address specific practices for protecting Sensitive Unclassified Non-Safeguards Information (SUNSI) on the agency's shared network drives.
- Agency Response Dated December 16, 2013: The Office of the Chief Human Capital Officer (OCHCO) and Office of Information Services (OIS) continue to work jointly on this effort. We anticipate closing this recommendation subsequent to the publication of Controlled Unclassified Information (CUI) policies currently scheduled for fourth quarter of fiscal year (FY) 2014. This recommendation will be closed subsequent to the release of the CUI final rule which will incorporate SUNSI into the CUI identification and marking system. After the release of the final rule, OCHCO and OIS will be able to finalize the information security training, based on the changes. The point of contact for this recommendation is Ron Gagnon, OIS/CSD/FPIB, 301-415-6873.
- OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives documentation verifying that the training addresses protection of SUNSI on the agency's shared network drives.
- Status:** Resolved.

Audit Report

AUDIT OF THE NUCLEAR REGULATORY COMMISSION'S SHARED "S" DRIVE

OIG-11-A-15

Status of Recommendations

- Recommendation 3: Develop Controlled Unclassified Information (CUI) policies and guidance for storing and protecting CUI in agency shared drives, and:
- a. post this guidance on the NRC intranet; and
 - b. include this guidance in annual training

Agency Response Dated
December 16, 2013:

The government-wide CUI Advisory Council continues to develop policies for safeguarding CUI. The current draft policy will go through a final round of informal commenting before submittal through the formal Office of Management and Budget (OMB) comment process. Efforts continue to align the requirements of the National Institute of Standards and Technology (NIST) and OMB issuances with the CUI program. At this time, the CUI Executive Agent, National Archives and Records Administration (NARA), has recommended that no changes in policy take place at the Department/Agency level until such time a final rule has been released by NARA. The current estimated publication of the final CUI policy is July FY 2014. Therefore, Recommendations 3, 3a, and 3b, are not expected to be completed until sometime in late FY 2014 or early FY 2015. The point of contact for this recommendation is Ron Gagnon, OIS/CSD/FPIB, 301-415-6873.

OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when CUI policies and guidance are developed, posted on the intranet, and included in annual training.

Status: Resolved.

Audit Report

AUDIT OF THE NUCLEAR REGULATORY COMMISSION'S SHARED "S" DRIVE

OIG-11-A-15

Status of Recommendations

Recommendation 4: Provide Information Technology (IT) coordinators with role-based training focusing on NRC information and network security policies, and means for ensuring staff compliance with these policies.

Agency Response Dated
December 16, 2013:

In August 2013 OCHCO placed the IT Coordinator course in production in ILearn. This course provides IT Coordinators with role-based training focusing on NRC information and network security policies. OIS provided an up-to-date list of IT coordinators to OCHCO. OCHCO loaded the course on the individual ILearn account for each IT coordinator and OIS is tracking completion. The point of contact is Cecilia Rajnic, OIS/CSD/COB, 301-415-3587.

OIG Analysis:

OIG reviewed the ILearn course and determined that the IT coordinators were provided role-based training focusing on NRC information and network security policies and that OIS is tracking the completion of the course. This recommendation is therefore considered closed.

Status:

Closed.