



**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

January 7, 2014

MEMORANDUM TO: Mark A. Satorius  
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATION: AUDIT OF THE  
COMMITTEE TO REVIEW GENERIC  
REQUIREMENTS (OIG-09-A-06)

REFERENCE: CHAIRMAN, COMMITTEE TO REVIEW GENERIC  
REQUIREMENTS, MEMORANDUM DATED  
NOVEMBER 7, 2013

Attached is the Office of the Inspector General's analysis and status of recommendation 1 as discussed in the agency's response dated November 7, 2013. Based on the response, recommendation 1 remains resolved. Please provide an updated status on recommendation 1 by May 16, 2014.

If you have questions or concerns, please call me at 415-5915 or RK Wild, Team Leader, at 415-5948.

Attachment: As stated

cc: R. Mitchell, OEDO  
M. Khanna, OEDO  
J. Arildsen, OEDO  
C. Jaegers, OEDO

## Audit Report

### AUDIT OF THE COMMITTEE TO REVIEW GENERIC REQUIREMENTS

OIG-09-A-06

#### Status of Recommendation

Recommendation 1: Develop, document, implement, and communicate an agencywide process for reviewing backfit issues to ensure that generic backfits are appropriately justified based on NRC regulations and policy.

Agency Response Dated  
November 7, 2013:

On October 28, 2013, the Office of Administration (ADM) notified the Committee to Review Generic Requirements (CRGR) that the Executive Director for Operations signed the draft final Management Directive (MD) 8.4, "Management of Facility-specific Backfitting and Information Collection" on October 9, 2013, and ADM issued the subject document on October 28, 2013. At this point, the CRGR completed its responsibilities with regards to the issuance of the revised MD 8.4. The CRGR, in cooperation with the Office of the Chief Human Capital Officer (OCHCO), continues to work to establish a centralized agency resource for backfit training. On March 11, 2013, OCHCO provided an electronic version of the backfit training modules to the CRGR and the Office of the General Counsel (OGC) for their review. After review by the CRGR and OGC, it was determined that the backfit training modules as provided by OCHCO should be expanded in scope and depth to better meet the agency's backfit training needs. Recently, on November 6, 2013, the CRGR, OGC, and OCHCO had a meeting with other major stakeholders to discuss and obtain input regarding further development of the training program. This was beneficial in that it provided more input on the needs of the agency. We are in the early stages of plans to have future discussions regarding ongoing activities to enhance and finalize the work already accomplished by OCHCO on the development of the agency backfit training program.

## Audit Report

### AUDIT OF THE COMMITTEE TO REVIEW GENERIC REQUIREMENTS

OIG-09-A-06

#### Status of Recommendation

Recommendation 1 (continued):

OIG Analysis:

OIG has reviewed the updated version of MD 8.4 and notes that it meets the intent of the recommendation. OIG will close the recommendation once we review the revised backfit training programs that are currently under review by NRC staff with an expected completion date in FY14. Per discussion with the agency point of contact, OIG will be provided with updated estimated completion dates with the next audit followup memo in May 2014.

**Status:**

Resolved.