

December 16, 2013

MEMORANDUM TO: Stephen D. Dingbaum
Assistant Inspector General for Audits
Office of the Inspector General

FROM: James P. Flanagan, Director **/RA/**
Office of Information Services

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF THE
NUCLEAR REGULATORY COMMISSION'S SHARED "S"
DRIVE (OIG-11-A-15) UPDATE

In response to your July 9, 2013, memorandum to the Executive Director for Operations, below is an updated status of the three resolved recommendations.

Recommendation 2:

Revise current information security training for the U.S. Nuclear Regulatory Commission (NRC) staff to address specific practices for protecting Sensitive Unclassified Non-Safeguards Information (SUNSI) on the agency's shared network drives.

Response:

The Office of the Chief Human Capital Officer (OCHCO) and Office of Information Services (OIS) continue to work jointly on this effort. We anticipate closing this recommendation subsequent to the publication of Controlled Unclassified Information (CUI) policies currently scheduled for fourth quarter of fiscal year (FY) 2014. This recommendation will be closed subsequent to the release of the CUI final rule which will incorporate SUNSI into the Controlled Unclassified Information (CUI) identification and marking system. After the release of the final rule, OCHCO and OIS will be able to finalize the information security training, based on the changes. The point of contact for this recommendation is Ron Gagnon, OIS/CSD/FPIB, 301-415-6873.

CONTACT: Ronald Gagnon, OIS/CSD/FPIB
301-415-6873

Recommendation 3:

Develop CUI policies and guidance for storing and protecting CUI on agency shared drives, and:

- a. post guidance on the NRC intranet; and
- b. include this guidance in annual training.

Response:

The government-wide CUI Advisory Council continues to develop policies for safeguarding CUI. The current draft policy will go through a final round of informal commenting before submittal through the formal Office of Management and Budget (OMB) comment process. Efforts continue to align the requirements of the National Institute of Standards and Technology (NIST) and OMB issuances with the CUI program. At this time, the CUI Executive Agent, National Archives and Records Administration (NARA), has recommended that no changes in policy take place at the Department / Agency level until such time that a final rule has been released by NARA. The current estimated publication of the final CUI policy is July FY 2014. Therefore, Recommendations 3, 3a, and 3b, are not expected to be completed until sometime in late FY 2014 or early FY 2015. The point of contact for this recommendation is Ron Gagnon, OIS/CSD/FPIB, 301-415-6873.

Recommendation 4:

Provide Information Technology (IT) coordinators with role-based training focusing on NRC information and network security policies, and means for ensuring staff compliance with these policies.

Response:

In August 2013 OCHCO placed the IT Coordinator course in production in iLearn. This course provides IT coordinators with role-based training focusing on NRC information and network security policies. OIS provided an up-to-date list of IT coordinators to OCHCO. OCHCO loaded the course on the individual iLearn account for each IT Coordinator and OIS is tracking completion. The point of contact for this recommendation is Cecilia Rajnic, OIS/CSD/COB, 301 415-3587.

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