

COMPANIES IN WHICH NRC EMPLOYEES COVERED BY 5 C.F.R. §5801.102 ARE PROHIBITED FROM OWNING STOCK, BONDS, OR OTHER SECURITY INTERESTS

1. Nuclear Power Reactor Licensees

Alabama Power Company
Alachua Electric Department, FL*
Allegheny Electric Cooperative, Incorporated
Ameren Corporation
American Electric Power Company, Incorporated (also known as AEP)
Anaheim Electric Division, CA*
Arizona Public Service Company (also known as APS)
Bangor Hydro Electric Company
Bushnell Utility Department, FL*
Carolina Power & Light Company
Central Iowa Power Cooperative
Central Vermont Public Service Corporation
City of Austin, TX*
City Public Service of San Antonio, TX*
CMS Energy Corporation
Connecticut Yankee Atomic Power Company
Constellation Energy Group
Corn Belt Power Cooperative
CPS Energy
Dairyland Power Cooperative
Dalton Water and Light Commission, GA (also known as Dalton Utilities)*
Dominion Resources, Incorporated
DTE Energy Company (also known as Detroit Energy Company)
Duke Energy Corporation
Eastern Utilities Associates
Edison International
El Paso Electric Company
Energy Capital Partners II, LLC**
Energy Northwest
Entergy Corporation (and all Entergy subsidiaries)
Exelon Corporation
FirstEnergy Corporation
Florida Municipal Power Agency*
Florida Progress Corporation
Gainesville-Alachua County Regional Electric, Water, and Sewer Utilities Board, FL*
GPU (also known as Georgia Power Company)
Great Plains Energy
Hudson Light & Power Department, MA*
Indiana Michigan Power Company
Iowa Electric Light and Power Company
IPC Development Company
Jersey Central Power and Light Company
Kansas Electric Power Cooperative*
Kissimmee Utility Authority, FL*
Leesburg Municipal Electric Department, FL*

Long Island Power Authority
Los Angeles Department of Water & Power*
Luminant Generation Company
Maine Public Service Company
Massachusetts Municipal Wholesale Electric Company*
MidAmerican Energy Company
Montaup Electric Company
Municipal Electric Authority of Georgia*
National Grid Group, plc
Nebraska Public Power District*
New Smyrna Beach Utilities Commission, FL*
NextEra Energy, Incorporated (previously Florida Power & Light or FPL)
North Carolina Eastern Municipal Power Agency*
North Carolina Electric Membership Corporation*
North Carolina Municipal Power Agency
Northeast Nuclear Energy Company
Northeast Utilities
NRG Energy, Incorporated
Ocala Utilities Division, FL*
Oglethorpe Power Corporation
Old Dominion Electric Cooperative
Omaha Public Power District*
Orlando Utilities Commission, FL*
Pacific Gas & Electric Company
Pennsylvania Electric Company
Piedmont Municipal Power Agency*
Pinnacle West Capital Corporation
PPL Corporation
Public Service Company of New Mexico (also known as PNM)
Public Service Enterprise Group (also known as PSE & G)
Reliant Energy
Resources Capital Management Company
Riverside Public Utilities, CA*
Rockwell Holdco, Incorporated**
Sacramento Municipal Utility District*
Salt River Project Agricultural Improvement & Power District*
Saluda River Electric Cooperative
San Diego Gas & Electric Company
SCANA Corporation
Scottish Power, plc
Seminole Electric Cooperative, Incorporated
Sempra Energy Corporation
South Carolina Public Service Authority*
South Mississippi Electric Power Association
Southern California Edison Company
Southern California Public Power Authority*
Southern Company
STP Nuclear Operating Company (also known as the South Texas Project)
Taunton Municipal Lighting Plant, MA*
Tennessee Valley Authority
Textron Financial Corporation

Unitil Corporation
Virginia Electric & Power Company
Westar Energy
Western Massachusetts Electric Company
Wolf Creek Nuclear Operating Company
WP&L Holdings
Xcel Energy, Incorporated
Yankee Atomic Electric Company

2. Manufacturers/Vendors of Power or Test Reactors

AECL Technologies, Incorporated
Areva
ASEA Brown Boveri
Babcock & Wilcox Company
BNFL, Ltd.
British Nuclear Group
Framatome
General Electric Company
Hitachi Ltd.
IHI Corporation
McDermott International, Incorporated
Mitsubishi Heavy Industries, Ltd.
Toshiba Corporation
Washington Group International, Incorporated

3. Architectural Engineering Companies

Babcock & Wilcox Company
Bechtel
Black & Veatch
Brown Boveri
Burns & Roe
C.F. Braun
Chicago Bridge & Iron Co
Duke Engineering & Services
Dravo Corporation
Ensearch
Fluor
Gilbert Associates/Commonwealth Companies
Parsons Corporation
Sargeant & Lundy
Southern Services Company
The Shaw Group

4. Fuel Cycle-Related Applicants, Certificate of Compliance Holders, and Licensees

Alaron Corporation
American Nuclear Corporation
Applied Health Physics, Incorporated
Areva
Cameco
Edlow International Company
Energy Capital Partners II, LLC**

Fansteel, Incorporated
Foster Wheeler
General Electric
General Nuclear Systems, Incorporated
Holtec International
Homestake Mining Company
Honeywell International, Incorporated**
Hydro Resources, Incorporated
International Isotopes, Incorporated
Kennecott Uranium Corporation
MDS Nordion
NAC International
Pathfinder Mines Corporation
Radiac Research Corporation
RIO Algom Corporation
Rockwell Holdco, Incorporated**
Scientific Ecology Group, Incorporated
Shieldalloy Metallurgical Corporation
Shine Medical Technologies**
The Shaw Group
Umetco Minerals Corporation
UNC Mining and Milling
Uranium Resources
Urenco
USEC Incorporated
Western Nuclear, Incorporated

5. Energy or Utility Sector Mutual or Exchange Traded Funds*

American Century Utilities Inv
Blackrock Utility and Infrastructure Trust
Db X-trackers Regulated Utilities Fund**
Duff & Phelps Global Utility Income Fund
Duff & Phelps Utility and Corporation
ETFX WNA Global Nuclear Energy Fund
Fidelity Advisor Utilities
Fidelity Select Utilities Portfolio
Fidelity Telecom and Utilities
First Trust Utilities AlphaDEX Fund
First Trust Utilities Select Portfolio
Franklin Utilities
Gabelli Global Utility & Income Trust
Gabelli Utilities
Gabelli Utility Trust
Global X Uranium ETF
Guggenheim Defined Utilities Portfolio
Guggenheim S&P 500 (R) Equal Weight Utilities ETF**
ICON Utilities
iShares Dow Jones US Utilities
iShares S&P Global Nuclear Energy ETF
iShares S&P Global Utilities
ISHARES S&P NUCLEAR

iShares Utilities Sector Bond
Market Vectors Uranium+Nuclear Energy ETF
MUL LY W UTILIT
PowerShares Dynamic Utilities
PowerShares Global Nuclear Energy
ProFunds Utilities UltraSector
ProShares Ultra Utilities
Prudential Jennison Utility
Putnam Global Utilities
Rydex Utilities
Source US Utilities Sector ETF
SSgA Utilities Index Fund
Utilities HOLDRS
Utilities Select Sector SPDR
Van Kampen – Utility Income Portfolio
Vanguard Utilities ETF
Vanguard Utilities Index
Wells Fargo Advantage Utilities
Wells Fargo Advantage Utility & Telecom
Yuanta Global Utility

* This entity is a municipal or state government organization. Ownership of bonds or other financial instruments issued by such an organization is proscribed only when the bonds or other financial instruments are issued specifically for the construction of nuclear power plants.

** This entity has been added by this issuance.

*** This list is compiled based on a recent Office of the General Counsel review of energy and utility mutual fund reports and prospectuses which show that at least 25% of the assets of each fund are entities in the first four categories of this list. Because the holdings of a fund change, employees should contact OGC if a fund listed above holds less than 25% of its assets in prohibited securities. In addition to these NRC-specific limitations, the government-wide restrictions remain on participation in a particular matter by an employee owning more than \$15,000 of any sector fund where the participation could directly and predictably affect the financial interests of any entity in that particular sector fund. Where the matter is a generic one (such as rulemakings) employees can participate if they do not own more than \$50,000 in the affected sector mutual fund.

UPDATED IN NOVEMBER 2013