



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

November 25, 2013

MEMORANDUM TO: Mark A. Satorius
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
ONGOING ELIGIBILITY FOR ACCESS AUTHORIZATION
(OIG-13-A-22)

REFERENCE: DEPUTY EXECUTIVE DIRECTOR FOR CORPORATE
MANAGEMENT, OFFICE OF THE EXECUTIVE DIRECTOR
FOR OPERATIONS, MEMORANDUM DATED
OCTOBER 25, 2013

Attached is the Office of the Inspector General's analysis and status of recommendations 1 and 2 as discussed in the agency's response dated October 25, 2013. Based on this response, recommendations 1 and 2 are in resolved status. Please provide an updated status of the resolved recommendations by January 31, 2014.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: K. Brock, OEDO
J. Arildsen, OEDO
C. Jaegers, OEDO
R. Mitchel, OEDO

AUDIT REPORT

AUDIT OF NRC'S ONGOING ELIGIBILITY FOR ACCESS AUTHORIZATION

OIG-13-A-22

Status of Recommendations

Recommendation 1: Develop and implement annual training that reinforces comprehension and confirms acceptance of NRC's personnel reporting requirements for continued access authorization eligibility.

Agency Response

Dated October 25, 2013: Agree. To ensure NRC employees are aware of and properly trained in the personnel security reporting requirements, the Division of Facilities and Security, Personnel Security Branch (PSB), has met and reached agreement with the Office of the Chief Human Capital Officer to modify an existing annual training course to include the necessary security reporting requirements.

Targeted Completion Date: December 31, 2014

OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that the annual training course reinforces comprehension and confirms acceptance of NRC's personnel reporting requirements for continued access authorization eligibility.

Status: Resolved.

AUDIT REPORT

AUDIT OF NRC'S ONGOING ELIGIBILITY FOR ACCESS AUTHORIZATION

OIG-13-A-22

Status of Recommendations

Recommendation 2: Develop and implement a process that assigns consequences for individuals who do not comply with NRC's personnel reporting requirements for continued access authorization eligibility.

Agency Response

Dated October 25, 2013: Agree. PSB will continue to handle and accept reporting of items, events, or information in the same way (i.e., direct contact with staff through telephone, e-mail, and the resource mailbox). PSB will develop, document (through revision of internal procedures), and implement a process that assigns consequences for individuals who do not comply with NRC's personnel reporting requirements for continued access authorization eligibility by December 2014.

Targeted Completion Date: December 31, 2014

OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that a process was developed and implemented that assigns consequences for individuals who do not comply with NRC's personnel reporting requirements for continued access authorization eligibility.

Status: Resolved.