



**MITSUBISHI HEAVY INDUSTRIES, LTD.**  
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TOKYO, JAPAN

October 9, 2013

Document Control Desk  
U.S. Nuclear Regulatory Commission  
Washington, DC 20555-0001

Attention: Mr. Perry Buckberg

Docket No. 52-021  
MHI Ref: UAP-HF-13243

**Subject: MHI's Response to US-APWR DCD RAI No. 993-7027 (SRP 07-14 Branch Technical Position)**

**Reference:** 1) "Request for Additional Information No. 993-7027, SRP Section 07-14 Branch Technical Position – Guidance on Software Reviews for Digital Computer-Based Instrumentation and Controls Systems - Application Section: 7.1, 7.9," dated February 15, 2013, ML13046A430.

With this letter, Mitsubishi Heavy Industries, Ltd. (MHI) transmits to the U.S. Nuclear Regulatory Commission (NRC) a document entitled "Response to US-APWR DCD RAI No. 993-7027 (SRP 07-14 Branch Technical Position)."

Enclosed is the response to the question contained within Reference 1.

As indicated in the enclosed materials, this document contains information that MHI considers proprietary, and therefore should be withheld from public disclosure pursuant to 10 C.F.R. § 2.390 (a)(4) as trade secrets and commercial or financial information which is privileged or confidential. A non-proprietary version of the document is also being submitted with the information identified as proprietary redacted and replaced by the designation "[ ]."

This letter includes a copy of the proprietary version of the response (Enclosure 2), a copy of the non-proprietary version of the response (Enclosure 3), and the Affidavit of Yoshiki Ogata (Enclosure 1) which identifies the reasons MHI respectfully requests that all material designated as proprietary in Enclosure 2 be withheld from disclosure pursuant to 10 C.F.R. § 2.390 (a)(4).

This letter also includes certain information, designated pursuant to the Commission guidance as sensitive unclassified non-safeguards information, referred to as security-related information (SRI), that is to be withheld from public disclosure under 10 C.F.R. § 2.390. The information that is SRI is identified by brackets. A redacted version is supplied which omits the SRI and is suitable for public disclosure. In the public version, the SRI is replaced by the designation "[Security-Related Information - Withheld under 10 CFR 2.390]."

Please contact to Mr. Joseph Tapia, General Manager of Licensing Department, Mitsubishi Nuclear Energy Systems, Inc. if the NRC has questions concerning any aspect of this submittal. His contact information is provided below.

DOB /  
MRO

Sincerely,



Yoshiki Ogata,  
Executive Vice President  
Mitsubishi Nuclear Energy Systems, Inc.  
On behalf of Mitsubishi Heavy Industries, Ltd.

Enclosures:

1. Affidavit of Yoshiki Ogata
2. Response to US-APWR DCD RAI No. 993-7027 (SRP 07-14 Branch Technical Position)  
(Proprietary)
  - Version containing proprietary information and security-related information
3. Response to US-APWR DCD RAI No. 993-7027 (SRP 07-14 Branch Technical Position)  
(Non-proprietary)
  - Version not containing proprietary information or security-related information

CC: P. Buckberg  
J. Tapia

Contact Information

Joseph Tapia, General Manager of Licensing Department  
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**ENCLOSURE 1**

Docket No. 52-021  
MHI Ref: UAP-HF-13243

**MITSUBISHI HEAVY INDUSTRIES, LTD.**  
**AFFIDAVIT**

I, Yoshiki Ogata, being duly sworn according to law, depose and state as follows:

1. I am Executive Vice President of Mitsubishi Nuclear Energy Systems, Inc., and have been delegated the function of reviewing Mitsubishi Heavy Industries, Ltd.'s (MHI) US-APWR documentation to determine whether it contains information that should be withheld from public disclosure pursuant to 10 C.F.R. § 2.390 (a)(4) as trade secrets and commercial or financial information which is privileged or confidential.
2. In accordance with my responsibilities, I have reviewed the enclosed document entitled "Response to US-APWR DCD RAI No. 993-7027 (SRP 07-14 Branch Technical Position)," dated October, 2013, and have determined that the document contains proprietary information that should be withheld from public disclosure. Those pages containing proprietary information are identified with the label "Proprietary" on the top of the page and the proprietary information has been bracketed with an open and closed bracket as shown here "[ ]." The first page of the document indicates that information identified as "Proprietary" should be withheld from public disclosure pursuant to 10 C.F.R. § 2.390 (a)(4).
3. The basis for holding the referenced information confidential is that it describes the unique design and methodology developed by MHI for performing the I&C design of the US-APWR.
4. The information is not used in the exact form by any of MHI's competitors. This information was developed at significant cost to MHI, since it required the performance of research and development and detailed design for its software and hardware extending over several years. Therefore public disclosure of the materials would adversely affect MHI's competitive position.
5. The referenced information has in the past been, and will continue to be, held in confidence by MHI and is always subject to suitable measures to protect it from unauthorized use or disclosure.
6. The referenced information is not available in public sources and could not be gathered readily from other publicly available information.
7. The referenced information is being furnished to the Nuclear Regulatory Commission (NRC) in confidence and solely for the purpose of supporting the NRC staff's review of MHI's application for certification of its US-APWR Standard Plant Design.
8. Public disclosure of the referenced information would assist competitors of MHI in their design of new nuclear power plants without the costs or risks associated with the design and testing of new systems and components. Disclosure of the information identified as proprietary would therefore have the following negative impacts on the competitive position of MHI in the U.S. nuclear plant market.

- A. Loss of competitive advantage due to the costs associated with development of the safety I&C system. Providing public access to such information permits competitors to duplicate or mimic the safety I&C system design without incurring the associated costs.
- B. Loss of competitive advantage of the US-APWR created by benefits of enhanced plant safety, and reduced operation and maintenance costs associated with the safety I&C system.

I declare under penalty of perjury that the foregoing affidavit and the matters stated therein are true and correct to the best of my knowledge, information, and belief.

Executed on this 9th day of October, 2013.

A handwritten signature in black ink, appearing to read 'Y. Ogata', with a stylized flourish at the end.

Yoshiaki Ogata,  
Executive Vice President  
Mitsubishi Nuclear Energy Systems, Inc.