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NRC Staff Hearing Exhibits

NRC Staff Exhibit #	Witness/Panel	Description
		DIRECT EXHIBITS
NRCR00101	A. Simmons	"Prefiled Direct Testimony of Anneliese Simmons On Contention FC-1" and "Affidavit of Anneliese Simmons Concerning Prefiled Direct Testimony on Contention FC-1" (The Staff filed both **PROPRIETARY** and redacted versions of this exhibit).
NRC000102	A. Simmons	Statement of Professional Qualifications for Anneliese Simmons.
NRC000103	A. Simmons	Letter from David B. Matthews, NRC, to Mark McBurnett, NINA, South Texas Project Units 3 And 4 Combined License Application Foreign Ownership, Control Or Domination Review (Apr. 29, 2013) (ML13105A351).
NRC000104	A. Simmons	NRC, Evaluation by the Office of Nuclear Reactor Regulation on Behalf of the Office of New Reactors, South Texas Project Units 3 and 4, Docket Nos. 52-102-and 52-103 (Apr. 29, 2013) (ML13105A387) (**PROPRIETARY** enclosure to Exhibit NRC000103).
NRC000105	A. Simmons	NRC, Evaluation by the Office of Nuclear Reactor Regulation on Behalf of the Office of New Reactors, South Texas Project Units 3 and 4, Docket Nos. 52-102-and 52-103 (Apr. 29, 2013) (ML13150A284) (redacted version of Exhibit NRC000104).
NRC000106	A. Simmons	Final Standard Review Plan on Foreign Ownership, Control, or Domination, 64 Fed. Reg. 52,355 (Sept. 28, 1999).
NRC000107	A. Simmons	SECY-99-229, Monitoring Of Foreign Ownership Of Reactor Licensees – Response To Staff Requirements Memorandum (February 17, 1999) Regarding SECY-98-246 (Sept. 20, 1999) (ML993140200).
NRC000108	A. Simmons	Staff Requirements -SECY-99-229 -Monitoring of Foreign Ownership of Reactor Licensees - Response to Staff Requirements Memorandum (February 17, 1999) Regarding SECY-98-246 (Oct. 28, 1999) (ML993130257).

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NRC Staff Exhibit #	Witness/Panel	Description
NRC000109	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000054. South Texas Project Units 3 and 4, COL Application, Part 1, Revision 9 (Apr. 17, 2013) (ML13115A291) (portions).
NRC000110	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000068. South Texas Project Units 3 and 4, Supplemental Response to Request for Additional Information (June 7, 2012) (ML12164A166) (**PROPRIETARY**).
NRC000111	A. Simmons	NRC Memorandum from Robert Carlson, NRR, to Michael Gartman, NRO, regarding Acceptance Review Result for the South Texas Project Units 3 and 4 Combined License Application (Oct. 31, 2007) (ML080500509).
NRC000112	A. Simmons	South Texas Project Units 3 and 4, COL Application, Part 1, Revision 0 (Sept. 20, 2007) (ML072830812) (redacted version).
NRC000113	A. Simmons	South Texas Project Units 3 and 4, COL Application, Part 1, Revision 2 (Sept. 24, 2008) (ML082830951) (redacted version).
NRC000114	A. Simmons	South Texas Project Units 3 and 4, COL Application, Part 1, Revision 3 (Sept. 16, 2009) (ML092931176) (redacted version).
NRC000115	A. Simmons	South Texas Project Units 3 and 4, COL Application, Part 1, Revision 4 (Oct. 5, 2010) (ML102860171) (redacted version).
NRC000116	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000078. Press Release, NRG Energy, Inc. Provides Greater Clarity on the South Texas Nuclear Development Project (STP 3&4) (Apr. 19, 2011) (available at http://phx.corporate-ir.net/External.File?item=UGFyZW50SUQ9OTAwMzB8Q2hpbGRJRD0tMXxUeXBlPTM=&t=1).

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NRC Staff Exhibit #	Witness/Panel	Description
NRC000117	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000045. South Texas Project Units 3 and 4, COL Application, Part 1, Revision 6 (Aug. 30, 2011) (ML11252A537) (portions).
NRC000118	A. Simmons	Letter from David B. Matthews, NRC, to Mark McBurnett, NINA, South Texas Project Units 3 and 4 Combined License Application Financial Review (Dec. 13, 2011) (ML113390176).
NRC000119	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000049. South Texas Project Units 3 and 4, Supplemental Response to Request for Additional Information (Feb. 23, 2012) (ML12060A106).
NRC000120	A. Simmons	NRG Energy, Inc., Annual Report (Form 10-K) (Feb. 22, 2011) (available at http://www.sec.gov/Archives/edgar/data/1013871/000104746911001125/a2202097z10-k.htm).
NRC000121	A. Simmons	Letter from Kirkland B. Andrews, Executive Vice President and Chief Financial Officer, NRG Energy Inc. to SEC, regarding NRG Energy, Inc. Form 10-K for the fiscal year ended December 31, 2011 Filed February 28, 2012 File No. 001-15891 (June 14, 2012) (available at http://www.sec.gov/Archives/edgar/data/1013871/000110465912043513/filename1.htm).
NRC000122	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000046. South Texas Project Units 3 and 4, Response to Request for Additional Information (Nov. 8, 2011) (ML11318A014).
NRC000123	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000043. Third Amended and Restated Operating Agreement of Nuclear Innovation North America LLC (May 8, 2009) (ML12019A121) (**PROPRIETARY**).

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NRC Staff Exhibit #	Witness/Panel	Description
NRC000124	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000050. South Texas Project Units 3 and 4, Response to Request for Additional Information (May 17, 2012) (ML12144A310).
NRC000125	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000071. Toshiba Corp., Ann. Report – Year Ending Mar. 31, 2012 – Operational Review 2012 (2012) (available at http://www.toshiba.co.jp/about/ir/en/finance/ar/ar2012/tar2012e.pdf) (portions).
NRC000126	A. Simmons	South Texas Project Units 3 and 4, COL Application, FSAR, Tier 2, Chapter 1, Section 1.4, Identification of Agents and Contractors, Revision 9 (Apr. 17. 2013) (ML13115A356).
NRC000127	A. Simmons	Letter from Michael T. Sweeny, Westinghouse Elec. Co., LLC, to Director of Office of Nuclear Material Safety and Safeguards, NRC, regarding purchase by Toshiba Corporation of the Shaw Group indirect ownership interest in Westinghouse Electric Company, LLC Licenses: SNM-1107, Docket No: 70-115 and SNM-33, Docket No. 70-33 (Oct. 17, 2012) (ML12324A021).
NRC000128	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000058. NINA, Approved Minutes of April 5, 2011 Meeting of Board of Managers of NINA (**PROPRIETARY**).
NRC000129	A. Simmons	NRG Energy, Inc., Quarterly Report (Form 10-Q) (May 5, 2011) (available at http://www.sec.gov/Archives/edgar/data/1013871/000110465911026199/a11-8686_110q.htm).
NRC000130	A. Simmons	Letter from Andrew D. Mew, Accounting Branch Chief, U.S. SEC, to Kirkland B. Andrews, Chief Financial Officer, NRG Energy, Inc., regarding NRG Energy, Inc. Form 10-K for the fiscal year ended December 31, 2011 filed February 28, 2012 File No. 001-15891 (June 4, 2012) (available at http://www.sec.gov/Archives/edgar/data/1013871/000000000012028634/filename1.pdf).

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NRC Staff Exhibit #	Witness/Panel	Description
NRC000131	A. Simmons	Douglas G. Baird & Robert K. Rasmussen, *Private Debt and the Missing Lever of Corporate Governance*, 154 U. Pa. L. Rev. 1209 (2006) (available at https://www.law.upenn.edu/journals/lawreview/articles/volume154/issue5/BairdRasmussen154U.Pa.L.Rev.1209(2006).pdf) (pages 1209, 1226-1232).
NRC000132	A. Simmons	2010 Amended and Restated TANE Credit Agreement (Nov. 29, 2010) (ML12138A120) (**PROPRIETARY**).
NRC000133	A. Simmons	NINA Consolidated Financial Statements, Dec. 31, 2010 and 2009 (With Independent Auditor's Report Thereon) (May 2, 2011) (ML12121A398) (**PROPRIETARY**).
NRC000134	A. Simmons	Ramos, Michael J., Practitioner's Guide to GAAS 2008 (John Wiley & Sons, Inc. 2008) (pages 287-289).
NRC000135	A. Simmons	Public Company Accounting Oversight Board, Interim Auditing Standard, Section 341, The Auditor's Consideration of an Entity's Ability to Continue as a Going Concern: Consideration of Conditions and Events (available at http://pcaobus.org/Standards/Auditing/Pages/AU341.aspx) (portions).
NRC000136	A. Simmons	2010 Amended and Restated TANE Credit Agreement, [First] Supplement to Credit Agreement (Nov. 29, 2010) (ML12138A120) (**PROPRIETARY**).
NRC000137	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Second Supplement to Credit Agreement (May 15, 2011) (ML12138A120) (**PROPRIETARY**).
NRC000138	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000059. NINA, Approved Minutes of April 12, 2012 Meeting of Board of Managers of NINA (**PROPRIETARY**).

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NRC Staff Exhibit #	Witness/Panel	Description
NRC000139	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000064. NINA, Approved Minutes of February 26, 2013 Meeting of Board of Managers of NINA (**PROPRIETARY**).
NRC000140	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Second Supplement (June 30, 2011) (ML12138A120) (**PROPRIETARY**).
NRC000141	A. Simmons	2010 Amended & Restated TANE Credit Agreement, Third Supplement to Credit Agreement (Aug. 31, 2011) (ML12138A120) (**PROPRIETARY**).
NRC000142	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment 3 to First and Second Supplements and Amendment 1 to Third Supplement (Oct. 4, 2011) (ML12138A120) (**PROPRIETARY**).
NRC000143	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment 4 to First and Second Supplements and Amendment 2 to Third Supplement (Nov. 9, 2011) (ML12138A120) (**PROPRIETARY**).
NRC000144	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Credit Agreement, Amendment 5 to Second Supplement and Amendment 3 to Third Supplement (Feb. 24, 2012) (ML12138A120) (**PROPRIETARY**).
NRC000145	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Credit Agreement, Amendment 6 to Second Supplement and Amendment 4 to Third Supplement (Apr. 27, 2012) (ML12138A120) (**PROPRIETARY**).

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NRC Staff Exhibit #	Witness/Panel	Description
NRC000146	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Credit Agreement, Amendment 7 to Second Supplement and Amendment 5 to Third Supplement (Oct. 23, 2012) (**PROPRIETARY**).
NRC000147	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Credit Agreement, Amendment 8 to Second Supplement and Amendment 6 to Third Supplement (Mar. 27, 2013) (**PROPRIETARY**).
NRC000148	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Credit Agreement, Amendment 9 to Second Supplement and Amendment 7 to Third Supplement (May 20, 2013) (**PROPRIETARY**).
NRC000149	A. Simmons	Shaw Group, Inc., Current Report (Form 8-K) (Dec. 3, 2010) (available at http://www.sec.gov/Archives/edgar/data/914024/000095012310110851/c09322e8vk.htm).
NRC000150	A. Simmons	Shaw Group, Inc., Annual Report (Form 10-K) (Oct. 19, 2012) (available at http://www.sec.gov/Archives/edgar/data/914024/000143774912010416/shaw_10k-083112.htm).
NRC000151	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000054. South Texas Project Units 3 and 4, COL Application, FSAR, Tier 2, Chapter 1, Appendix 1D - Negation Action Plan, Revision 9 (Apr. 17, 2013) (ML13115A351).
NRC000152	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000044. South Texas Project Units 3 and 4, Response to Request for Additional Information (Aug. 4, 2011) (ML11217A222).

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NRC Staff Exhibit #	Witness/Panel	Description
NRC000153	A. Simmons	NRC, Safety Evaluation by the Office of Nuclear Reactor Regulation, Proposed Transfer of Clinton Power Station Operating License from Ill. Power Co. to Amergen Energy Company, LLC, Docket No. 50-461 (Nov. 24, 1999) (ML993550412) (redacted version).
NRC000154	A. Simmons	NRC, Revised Safety Evaluation by the Office of Nuclear Reactor Regulation, Direct and Indirect Transfers of Control of Renewed Facility Operating Licenses Due to the Proposed Corporate Restructuring Calvert Cliffs Nuclear Power Plant, Unit Nos. 1 and 2; Calvert Cliffs Independent Spent Fuel Storage Installation, Nine Mile Point Nuclear Station, Unit Nos. 1 and 2; and R.E. Ginna Nuclear Power Plant, Docket Nos. 50-317, 50-318, 72-8, 50-220, 50-410, and 50-244 (Oct. 30, 2009) (ML093010003) (redacted version).
NRC000155	A. Simmons	U.S. Dept. of Transportation, Application of Virgin America, Inc., Order to Show Cause, Docket No. OST-2005-23307 (Mar. 20, 2007) (available at http://www.regulations.gov/contentStreamer?objectId=0900006480e7d5a9&disposition=attachment&contentType=pdf) (redacted version).
NRC000156	A. Simmons	Barry Epstein, et al., *Wiley GAAP 2010* (John Wiley & Sons, Inc. 2009) (pages 634, 675-76).
NRC000157	A. Simmons	Shaw Group, Inc., Annual Report (Form 10-K) (Oct. 28, 2011) (available at http://www.sec.gov/Archives/edgar/data/914024/000143774911007885/shaw_10k-083111.htm).
		REBUTTAL EXHIBITS
NRCR00158	A. Simmons	"Prefiled Rebuttal Testimony of Anneliese Simmons On Contention FC-1" and "Affidavit of Anneliese Simmons Concerning Prefiled Rebuttal Testimony on Contention FC-1" (The Staff filed both **PROPRIETARY** and redacted versions of this exhibit).
NRC000159	A. Simmons	NRG Energy, Inc., South Texas Project Units 3 and 4: NRG Participation Status Update (Apr. 19, 2011).

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NRC Staff Exhibit #	Witness/Panel	Description
NRC000160	A. Simmons	NRG Energy, Inc., Quarterly Report (Form 10-Q) (Aug. 2, 2010) (available at http://www.sec.gov/Archives/edgar/data/1013871/000095012310070956/y84600e10vq.htm).
NRC000161	A. Simmons	Shaw Group, Inc. Quarterly Report (Form 10-Q) (Apr. 11, 2011) (available at http://www.sec.gov/Archives/edgar/data/914024/000143774911002239/shaw_10q-022811.htm).
NRC000162	A. Simmons	NRC, Safety Evaluation Indirect Transfer of Control of Facility Operating Licenses for Haddam Neck Plant (DPR-61) and Yankee Nuclear Power Station (DPR-3); Threshold Determination of Facility Operating License for Maine Yankee Atomic Power Station (DPR-36) Due to the Proposed Merger of Northeast Utilities and NStar Docket Nos. 50-213, 50-029, 50-309, 72-39, 72-31, and 72-30 (Dec. 20, 2011) (ML11327A029).
NRC000163	A. Simmons	NRC, Notice of Violation, Yankee Atomic Power Co. (Jan. 27, 2012) (ML120300360); Letter from Michael D. Waters, NRC, to Wayne Norton, Yankee Atomic Power Co., Yankee Atomic Electric Company, Yankee Nuclear Power Station – Notice of Violation EA-2011-283 (TAC No. l24566) (Jan. 27, 2012) (ML12027A200); Letter from Michael D. Waters, NRC, to Wayne Norton, Yankee Atomic Power Co., Yankee Atomic Electric Company, Yankee Nuclear Power Station – Notice of Violation EA-2011-271 (TAC No. L24538) (Jan. 27, 2012) (ML120300334).
NRC000164	A. Simmons	Letter from Catherine Haney, NRC, to Wayne Norton, Yankee Atomic Power Co., Confirmatory Order (Effective Immediately) TAC No. L24538 (June 4, 2012) (ML12124A373); Letter from Catherine Haney, NRC, to Wayne Norton, Yankee Atomic Power Co., Confirmatory Order (Effective Immediately) TAC No. L24565 (June 4, 2012) (ML12124A372); Letter from Catherine Haney, NRC, to Wayne Norton, Yankee Atomic Power Co., Confirmatory Order (Effective Immediately) TAC No. L24566 (June 4, 2012) (ML12124A374).
NRC000165	A. Simmons	Constellation Energy Group, Inc. Quarterly Report (Form 10-Q) (Nov. 6, 2009) (available at http://www.sec.gov/Archives/edgar/data/9466/000104746909009718/a2195176z10-q.htm).

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NRC Staff Exhibit #	Witness/Panel	Description
NRC000166	A. Simmons	Letter from Ho K. Nieh, NRC, to Henry B. Baron, Constellation Energy Nuclear Group, LLC, Nine Mile Point Nuclear Station, R.E. Ginna Nuclear Power Plant and Calvert Cliffs Nuclear Power Plants – Notice of Violation; NRC Inspection Reports No. 05000220/2012008 & 05000410/2012008; 05000317/2012008 & 05000318/2012008; 05000244/2012007 (Nov. 1, 2012) (ML12213A182).
NRC000167	A. Simmons	NRC, NRC Regulatory Issue Summary 2001-06 Criteria for Triggering a Review under 10 CFR 50.80 for Non-Owner Operator Service Companies (Feb. 17, 2001) (ML010390294).
NRC000168	N/A	SECY-98-246, Standard Review Plan Regarding Foreign Ownership, Control, or Domination of Applicants for Reactor Licenses (Oct. 23, 1998) (ML992910133).
NRC000169	N/A	Staff Requirements – SECY-98-246 – Standard Review Plan Regarding Foreign Ownership, Control, or Domination of Applicants for Reactor Licenses (Feb. 17, 1999) (ML003753727).
NRC000170	N/A	NRC, Internal Commission Procedures, Chapter III - Voting (July 5, 2011) (ML11269A125).