August 15, 2013

MEMORANDUM TO: R. William Borchardt
Executive Director for Operations

FROM: Stephen D. Dingbaum/RA/
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: INFORMATION
SECURITY RISK EVALUATION OF REGION I (OIG-13-A-06)

REFERENCE: REGIONAL ADMINISTRATOR MEMORANDUM DATED
JULY 30, 2013

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated May 17, 2013. Based on this response, all recommendations related to this report are now closed.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: R. Mitchell, OEDO
K. Brock, OEDO
J. Arildsen, OEDO
C. Jaegers, OEDO

Evaluation Report

INFORMATION SECURITY RISK EVALUATION OF REGION I

OIG-13-A-06

Status of Recommendations

Recommendation 1:

Update Region I Instruction 0710.1, Region I Security Plan, to reflect the new office location, describe the current access procedures for visitors, and describe functions now performed by security guards.

Agency Response Dated
July 30, 2013:

Region I revised Regional Instruction 0710.1. The revised Instruction includes updated procedures and functions (responsibilities) as a result of the Region I Office relocation. The current access control procedures for visitors are posted in a How-To Instruction entitled Security Access to Region I Office on the Region I intranet. Supporting documentation will be sent under a separate cover. We consider this matter complete.

OIG Analysis:

OIG reviewed the Updated Region I Instruction 0710.1, Region I Security Plan, and determined that it reflected the new office location, described the current access procedures for visitors, and described functions now performed by security guards. This recommendation is therefore considered closed.

Status:

Closed.

Evaluation Report

INFORMATION SECURITY RISK EVALUATION OF REGION I

OIG-13-A-06

Status of Recommendations

<u>Recommendation 2:</u>	Update Region I Instruction 0730.1, NRC *Identification Badge Issuance and Protection,* to describe the current badge issuance procedures and to reflect the current NRC employee badge characteristics.

Agency Response Dated
July 30, 2013: Region I consolidated the badge issuance procedures that reflect the current NRC employee badge characteristics into lower tier implementing instructions (How-To instructions and standard operating procedures (SOPs)) in conjunction with the revision of Regional Instruction 0710.1, Region I Security Plan. As a result of this consolidation effort, Region I canceled Regional Instruction, 0730.1. Supporting documentation will be sent under a separate cover. We consider this matter complete.

OIG Analysis: OIG acknowledges the action of cancelling Region I Instruction 0730.1 (described in the agency's initial response to this recommendation) and revising Regional Instruction 0710.1 to include the requirements of the recommendation. OIG reviewed both the Regional Instruction 0710.1 and the How-to instructions and standard operating procedures and determined that the recommendation specifics were incorporated into these documents. This recommendation is therefore closed.

Status: Closed.

Evaluation Report

INFORMATION SECURITY RISK EVALUATION OF REGION I

OIG-13-A-06

Status of Recommendations

<u>Recommendation 3:</u>	Update Regional Instruction 0180.1, *Region I System of Instructions*, to specify which regional implementing instructions require annual review and update.
Agency Response Dated July 30, 2013:	Requirements to update Region I IT security program procedures and implementing instructions annually in accordance with external requirements (e.g., Federal Information Security Management Act of 2002) were added to the revised version of Regional Instruction 0710.1, Region I Security Plan. Supporting documentation will be sent under a separate cover. We consider this matter complete
OIG Analysis:	OIG acknowledges that the Region chose to update Regional Instruction 0710.1, Security Plan, instead of updating Regional Instruction 0180.1, Region I System of Instructions. OIG determined that Regional Instruction 0710.1, Security Plan, specified which regional implementing instructions require annual review and updating.
Status:	Closed.