

August 6, 2013

Note to File Regarding the Westinghouse Addendum to the Self-audit Submission

On August 1, 2013, NRC staff (Jenny Tobin and Jeff Cruz) held a call with Westinghouse staff (Michele Gutman, Richard Fruehauf, Carol Fischer, Arn Nikula and Nathan Harsch) regarding the June 10 letter to the NRC. The June letter is an addendum to the January 22 letter conveying the results of the Westinghouse self-audit conducted over the last 5 years. The addendum letter disclosed that Westinghouse made multiple shipments of minor reactor components to Brazil that were not covered under the specific license XCOM1102. Review by NRC staff found that Westinghouse does maintain licenses XR081 and XCOM1249 that could have authorized the export of the minor reactor component; the Brazilian government assurances for XR081/XCOM1249 cover those components. The incorrect specific license citations were used in multiple shipments 2009-2013 (as stated in the attachment to Westinghouse's June 10 letter).

The NRC responded to the original January letter on June 7 which included a non-cited violation for incorrectly using specific license numbers on shipping papers and internal Westinghouse tracking documents. Since Westinghouse has already been issued a non-cited violation for this error, the additional instance conveyed in the June 10 letter need not be responded to formally. NRC staff discussed this with Westinghouse and proposed that a public note to file (instead of a formal letter response) was the preferred response mechanism. Westinghouse agreed that the note to file was an appropriate response to their June 10 letter.