

D.4.1.3 Self-Heating Effects (T_j)

For equipment that is energized during most of its life, a self-heating effect is measured or established. If the equipment is energized only for short durations, this effect may be determined to be negligible. Temperature effects due to the solenoid of an energized valve may be significant (over 40°C). In determining junction temperatures of semiconductor devices, known operating parameters along with the thermal impedance are used. If the power dissipation is not known, a 50 percent operating stress is assumed.

D.4.2 Accelerated Aging Temperature (T_j)

Temperatures used for actual accelerated thermal aging tests are determined based on the equipment or component specifications in an attempt to prevent damage from high temperature alone and second-order (non-Arrhenius) effects such as the glass transition temperature of plastics. A maximum of 130°C is typically used for electronic component aging, but this is evaluated on a case basis. If the device is energized during the accelerated aging process, the self-heating effect as determined in the preceding section is added to the oven temperature to determine the total aging temperature (T_j).

D.4.3 Examples of Arrhenius Calculations**D.4.3.1 For a Normally Energized Component Aged Energized – The Self-Heating Effect is Added to Both (T_o) and (T_j):**

Conditions: $T_a = 25^\circ\text{C}$, $T_r = 10^\circ\text{C}$
 $T_j = 25^\circ\text{C}$, $eV = 0.5$,
 Aging time = t_j
 Oven temperature = 130°C
 Qualified life goal = 10 years

Therefore $T_o = 25 + 10 + 25 = 60^\circ\text{C} = 333\text{K}$
 $T_j = 130 + 25 = 155^\circ\text{C} = 428\text{K}$
 $t_1 = 10e^{\frac{-0.5(428 - 333)}{\text{K}(428 \times 333)}} = 0.20907 \text{ years}$
 $t_1 = 0.20907 \text{ years} \times \frac{8760 \text{ hours}}{1 \text{ year}} = 1831 \text{ hours}$

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D.4.3.2 For a Normally De-energized Component Aged Energized – the Self-heating Effect is Added Only to T_j :

Conditions: $T_a = 25^\circ\text{C}$, $T_r = 10^\circ\text{C}$
 $T_j = 25^\circ\text{C}$, $eV = 0.5$, Aging time = t_1
 Oven temperature = 130°C
 Qualified life goal = 10 years

Therefore $T_o = 25 + 10 = 35^\circ\text{C} = 308\text{K}$

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$$T_i = 130 + 25 = 155^\circ\text{C} = 428\text{K}$$

$$t_1 = 10e^{-\frac{0.5(428-308)}{K(428 \times 308)}} = 0.05082 \text{ years}$$

$$t_1 = 0.05082 \text{ years} \times \frac{8760 \text{ hours}}{1 \text{ year}} = 445 \text{ hours}$$

D.5 Post-Accident Thermal Aging

Most cases, some safety-related postaccident performance capability is specified by the functional requirements. As a consequence, to qualify equipment to IEEE 323, the effects of post-accident thermal aging must be simulated after the high-energy line break test. This section establishes the accelerated thermal aging parameters employed in performing this simulation.

D.5.1 Post-Accident Operating Temperatures

Assuming continuous operation of containment safeguards systems following an accident, the containment environment temperature is reduced to the external ambient temperature well within one year for any postulated high-energy line break. However, to allow for possible variations in plant operations following an accident, the limiting design high-energy line break envelope extending to one year is indicated by [Figure 3D.5-8](#).

For safety-related equipment located inside containment, either the self-heating effects of the operating unit, under post-accident conditions, may be insignificant compared to the heat input from the external environment (transmitters, RTDs), or the unit may not be in continuous operation during this phase (valve operators). So it may not be necessary to add a specific temperature increment to account for self-heating of these devices following an accident. The portion of [Figure 3D.5-8](#) that is not addressed by DBA testing is then input at T_0 into the Arrhenius equation to calculate appropriate accelerated aging parameters for post-accident conditions. However, as noted in [Section D.4](#), if the equipment is energized during the aging simulation period, the self-heating effect is added to both T_0 and T_i .

D.5.2 Accelerated Thermal Aging Parameters for Post-Accident Conditions

The aging temperature most often used for post-accident simulation is 250°F (121°C). This temperature is selected as a maximum for electronic components and is generally used for tests. Using this value and the conservative activation energy of 0.5 eV, the Arrhenius equation is applied to the curve in [Figure 3D.5-8](#) from one day to four months or to one year in small increments of time. The required aging times to simulate these small increments are then summed to yield a total test time of 42 days to simulate four months and about 67 days to simulate one year post-accident operation. Including appropriate margin adds four and seven days respectively to the total test time.

If an activation energy of 0.8 eV is justified, the Arrhenius equation yields 19 days to simulate four months and 26 days to simulate one year with two days and three days margin to be included in the total test time.

D.6 References

1. EPRI NP-1558, Project 890-1, "A Review of Equipment Aging Theory and Technology," September 1980.

Table 3D.D-1 (Sheet 1 of 2)
Activation Energies From Westinghouse Reports

Material	Electron Volts
Melamine-Glass, G5	0.29
Epoxy B-725	0.48
Ester-Glass, GPO-3	0.57
RTV Silicone	0.60
Phenolic-Asbestos, A	0.61
Nylon 33 GF	0.70
Acetal	0.73
Mineral Phenolic	0.74
Silicone Varnish	0.74
Polypropylene	0.81
Polysulfone	0.83
Phenolic-Cotton, C	0.84
Formvar	0.85
Epoxy	0.88
Epoxy Adhesive	0.89
Nylon	0.90
Pressboard	0.91
Kapton	0.93
Silicone	0.94
Phenolic-Asbestos, A	0.94
Cast Epoxy	0.98
Urethane-Nylon	0.99
Phenolic-Glass, G-3	1.01
Polycarbonate	1.01
Phenolic-Paper, X	1.02
Epoxy Wire	1.05
Epoxy-Glass, FR-4	1.05
Varnish Cotton	1.06
PVC	1.08
Ester-Glass, GPO-1	1.09
Cellulose Phenolic	1.10
X-Link Ethylene	1.11
Urethane	1.12
Ester-Glass, GPO-2	1.13
Ester-Nylon	1.14

Table 3D.D-1 (Sheet 2 of 2)
Activation Energies From Westinghouse Reports

Material	Electron Volts
Ester-Glass, GPO-1	1.16
32102BK Varnish	1.16
Vulcanized Fiber	1.16
Cellulose Mineral Phenolic	1.17
Mylar	1.18
Cast Epoxy	1.18
32101EV Varnish	1.18
Epoxy	1.18
Silicone	1.18
Phenolic-Paper, XX	1.20
Vulanized Fiber	1.21
Cellulose Phenolic	1.24
Phenolic-Glass, G-3	1.24
Kraft Phenolic	1.25
Neoprene	1.26
Amide-Imide Varnish	1.31
Loctite 75	1.38
Acetyl. Cotton	1.39
Silicone-Asbestos	1.41
Epoxy-Glass, FR-4	1.50
Mylar	1.58
Nomex	1.59
Omega Varnish	1.59
Epoxy-Glass, G-11	1.64
Polythermaleze	1.64
Kraft Paper	1.67
Valox 310SE-0	1.75
Varnished Kraft	1.86
Nomex	1.91
Ester-Glass, GPO-3	2.03
Phenolic-Cotton, C	2.12
Melamine-Glass, G-5	2.18

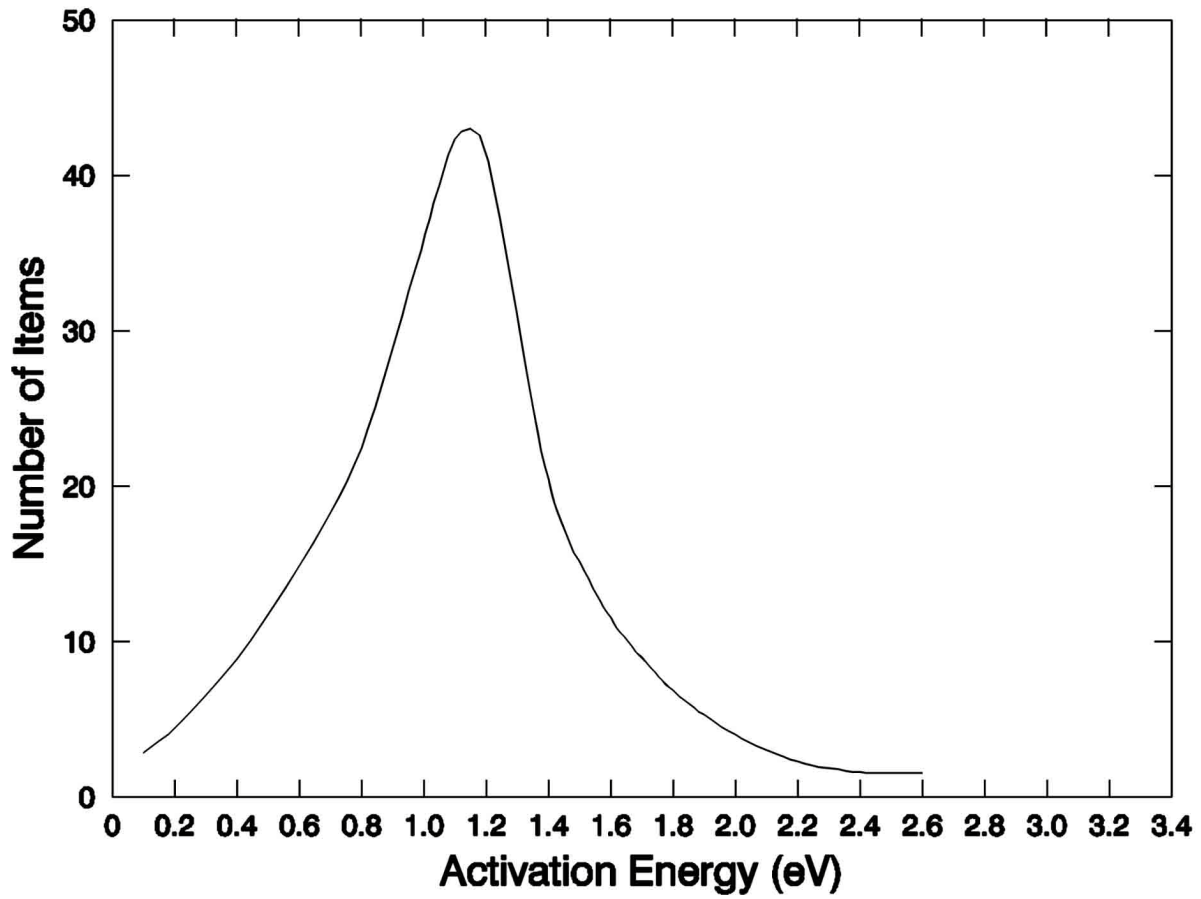


Figure 3D.D-1 Frequency Distribution of Activation Energies of Various Components Materials (EPRI Data)

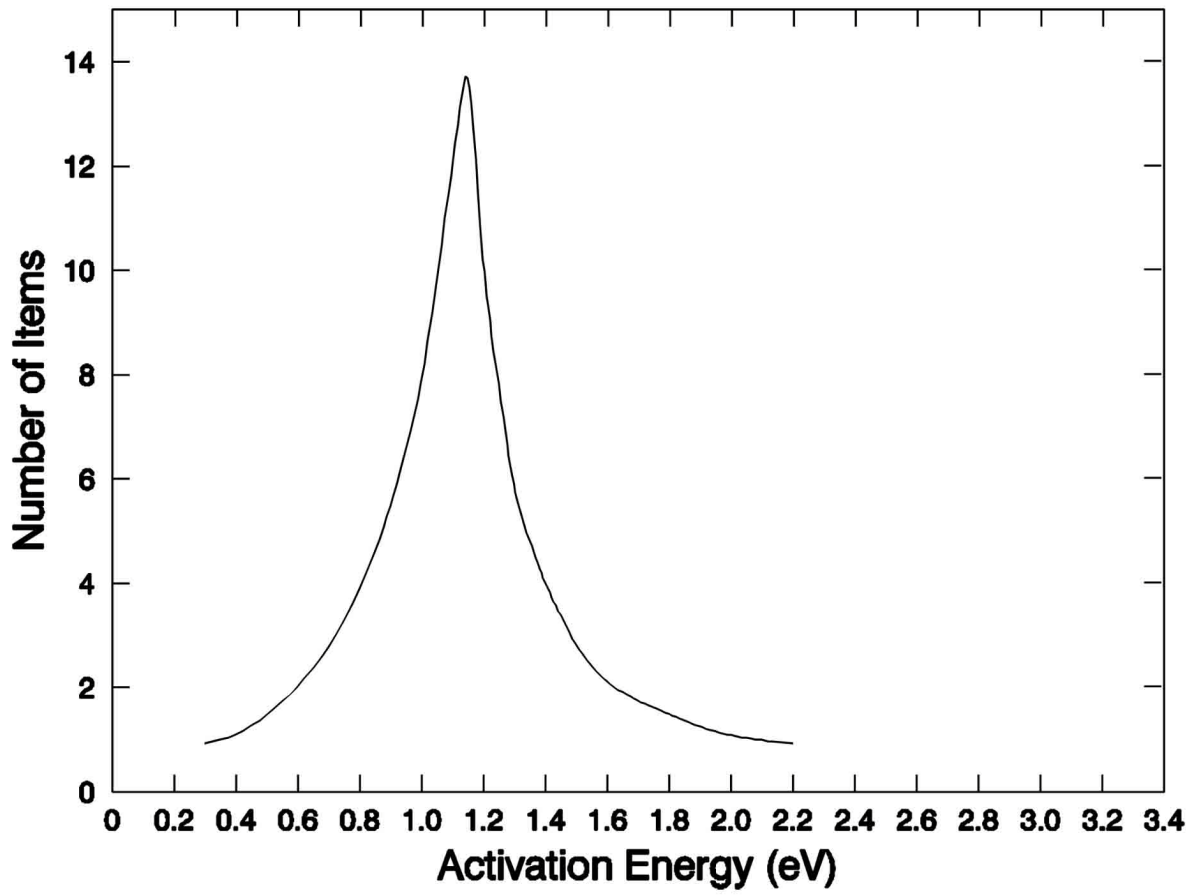


Figure 3D.D-2 Frequency Distribution of Activation Energies of Various Components Materials (Westinghouse Data)

Figure 3D.D-3 not used.

ATTACHMENT E

SEISMIC QUALIFICATION TECHNIQUES

E.1 Purpose

The following is the methodology used to seismically qualify seismic Category I mechanical and electrical equipment for the AP1000 equipment qualification program. Qualification work covered by this appendix meets the applicable requirements of IEEE 344-1987 and 382-1996.

E.2 Definitions

The following are definitions of terms unique to or distinct from common industry usage. (See [Section E.4.2.](#))

E.2.1 1/2 Safe Shutdown Earthquake

The 1/2 safe shutdown earth (SSE) is the earthquake level used during seismic testing to seismically age safety-related equipment before performing safe shutdown earthquake testing.

E.2.2 Seismic Category I Equipment

Seismic Category 1 equipment consists of structures, systems, and components required to withstand the effects of the safe shutdown earthquake and remain structurally intact, leak-tight (in case of pressurized systems), and functional to the extent required to perform their safety-related function.

E.2.3 Seismic Category II Equipment

Seismic Category II equipment is that equipment whose continued function is not required, but whose failure could reduce the functioning of seismic Category I structures, systems, and components to an unacceptable level. Seismic Category II equipment must be capable of maintaining structural integrity so that a seismic event up to and including an SSE would not cause such a failure.

E.2.4 Non-seismic Equipment

Equipment designated as non-seismic does not require seismic qualification.

E.2.5 Active Equipment

Equipment that must perform a mechanical or electrical operation during or after (or both) the safe shutdown earthquake in order to accomplish its safety-related function.

E.2.6 Passive Equipment

Equipment where maintenance of structural or pressure integrity is the only requirement necessary for accomplishing its safety-related function.

E.3 Qualification Methods

This section presents a general description of the seismic qualification methods used by AP1000 for the seismic qualification of seismic Category I safety-related mechanical and electrical equipment. Three methods are used: test, analysis, and a combination of the two. The approaches for qualification by testing and by analysis are discussed in [Section E.5](#) and [Section E.6](#), respectively. The following discussion covers the conditions under which each approach is used and the general

requirements applicable to the use of the methods. The qualification sequence is defined in Appendix 3D.

E.3.1 Use of Qualification by Testing

The preferred method for seismic qualification of safety-related Class 1E electrical and electromechanical equipment is seismic testing. The nature of the seismic and vibrational input used depends on where the equipment is used. For equipment mounted so that the seismic environment includes frequency content between 1 and 33 hertz (hard mounted), the seismic test input is multifrequency. For equipment mounted so that seismic ground motion is filtered to contain one predominant structural mode (line mounted), single frequency testing is appropriate. This is the case for equipment mounted on piping systems, ductwork, or cable trays.

E.3.2 Use of Qualification by Analysis

Analysis is used for seismic qualification when one of the following conditions is met:

- The equipment is too large or the interface support conditions cannot adequately be simulated on the test table.
- The only requirement is to maintain structural integrity during a postulated seismic event.
- The equipment represents a linear system, or the nonlinearities can conservatively be accounted for in the analysis. This approach is also applicable to the development of the seismic environment, required response spectrum curve, at the mounting location of a component attached to a larger structure when the device is seismically qualified by separate component testing.
- The analysis is used to document the seismic similarity of the equipment provided and that previously qualified by testing.

Seismic qualification of safety-related electrical equipment by analysis alone is not recommended for complex equipment that cannot be modeled to adequately predict its response. Analysis without testing may be acceptable provided structural integrity alone can ensure the design-intended function.

E.4 Requirements

E.4.1 Damping

Damping level of a component or system describes its capability to dissipate vibrational energy during a seismic event. The damping level used defines the response magnitude of an ideal single degree of freedom linear oscillator when subjected to the specified input as documented by the required response spectrum (RRS) curve. The significance of the damping value used depends on whether qualification is by testing or analysis.

E.4.1.1 Testing

Equipment qualification by testing involves subjecting the base of the equipment to a representative seismic acceleration time history. The response characteristics of the equipment are a function of the inherent damping present in the equipment. In this case the damping value used (typically five percent) serves as a convenient means of showing the compliance of the test response spectrum (TRS) with the required response spectrum.

E.4.1.2 Analysis

In the case of qualification by analysis, the damping level used is representative of the damping actually present in the equipment. Unless other documented equipment damping data is available, the values specified in [Table 3.7.1-1](#) of [Chapter 3](#) are used.

E.4.2 Interface Requirements

As part of the seismic qualification program, consideration is given to the definition of the clearances needed around the equipment mounted in the plant to permit the equipment to move during a postulated seismic event without causing impact between adjacent pieces of safety-related equipment. This is done as part of seismic testing by measuring the maximum dynamic relative displacement of the top and bottom of the equipment.

When performing qualification by analysis, the relative motion is obtained as part of the analytical results. These motions are reported in the qualification report and are used to determine the required clearance between adjacent pieces of equipment.

In addition, the qualification program takes into account the restraining effect of other interfaces, such as cables and conduits attached to the equipment, which may change the dynamic response characteristic of the equipment.

E.4.3 Mounting Simulation

The mounting conditions simulated by analysis or during seismic test are representative of the equipment as-installed mounting conditions used for the AP1000 equipment. When an interfacing structure exists between the safety-related equipment being qualified and the floor or wall at which the equipment mounting required response spectrum is specified, its flexibility is simulated as part of the qualification program. If this is not done, justification must be provided, demonstrating that the deviations in mounting conditions do not affect the applicability of qualification program.

E.4.4 1/2 Safe Shutdown Earthquake

The AP1000 makes use of a small earthquake having the intensity of one-half of the safe shutdown earthquake at the safety-related equipment mounting location to simulate the fatigue effects of smaller earthquakes that may occur before the postulated safe shutdown earthquake. These small earthquakes correspond to the operating basis earthquakes (OBEs) referenced in IEEE 344-1987. When qualification by testing is used, five of these small earthquakes are used to vibrationally age the equipment before the safe shutdown earthquake. When qualification by analysis is used, two safe shutdown earthquake events are used to simulate the fatigue aging effects. Each event contains 10 peak cycles. These stress cycles are used to verify that the equipment is not subject to failure due to low cycle fatigue.

E.4.5 Safe Shutdown Earthquake

The safe shutdown earthquake required response spectrum curve defines the seismic qualification basis for each piece of safety-related equipment. The seismic level varies according to the mounting location of the equipment. When equipment qualification is based on testing, an additional 10 percent test acceleration margin is added as specified in IEEE 323-1974.

E.4.6 Other Dynamic Loads

Hydrodynamic loads are considered as part of the qualification program, where applicable.

E.5 Qualification by Test

Seismic qualification testing is the preferred method for electrical, mechanical, and electromechanical equipment. Seismic testing shall be performed and input generated as specified in IEEE 344-1987. The nature of the test input used depends on whether the equipment is hard mounted or line mounted. The test program consists of the following elements, as applicable: environmental aging, mechanical aging, vibrational aging, and safe shutdown earthquake testing. For those cases where the equipment is also subject to a loss of coolant or a high-energy line break accident, these accidents are simulated on the same qualification specimen after completion of the testing previously discussed. (See [Subsections 3D.4.4](#) and [3D.7.4](#).)

The characteristics of the required seismic and dynamic input motions should be specified by the response spectrum or time history methods. These characteristics, derived from the structures or systems seismic and dynamic analyses, should be representative of the input motions at the equipment mounting locations.

For seismic and dynamic loads, the actual test input motion should be characterized in the same manner as the required input motion, and the conservatism in amplitude and frequency content should be demonstrated (that is, the test response spectrum should closely resemble and envelop the required response spectrum over the critical frequency range).

Since seismic and the dynamic load excitation generally have a broad frequency content, multi-frequency vibration input motion should be used. However, single frequency input motion, such as sine beats, is acceptable provided the characteristics of the required input motion indicate that the motion is dominated by one frequency (for example, by structural filtering effects), or that the anticipated response of the equipment is adequately represented by one mode, or in the case of structural integrity assurance, that the input has sufficient intensity and duration to produce sufficiently high levels of stress for such assurance. Components that have been previously tested to IEEE-344-1971 should be reevaluated or retested to justify the appropriateness of the input motion used, and requalified if necessary.

For the seismic and dynamic portion of the loads, the test input motion should be applied to one vertical axis and one principal axis (or two orthogonal axes) simultaneously unless it can be demonstrated that the equipment response motion in the horizontal direction is not sensitive to the vibratory motion in the horizontal direction, and vice versa. The time phasing of the inputs in the vertical and horizontal directions must be such that a purely rectilinear resultant input is avoided. An acceptable alternative is to test with vertical and horizontal inputs in-phase, and then repeat the test with inputs 180 degrees out-of-phase. In addition, the test must be repeated with the equipment rotated 90 degrees horizontally.

E.5.1 Qualification of Hard-Mounted Equipment

Hard-mounted equipment is seismically tested mounted on a test table capable of producing multifrequency, multiaxis inputs. The waveform characteristics of the input are random and scaled in such a way that the test response spectrum equals or exceeds the required response spectrum (including margin). The input signal meets the requirements of Subsection 7.6.3 of IEEE 344-1987.

Furthermore, the test input simulates the multidirectional nature of the earthquake. The preferred method for meeting this requirement is to use a triaxial test table capable of producing three statistically independent, orthogonal input motions. In this case the seismic testing consists of five 1/2 safe shutdown earthquake tests and one safe shutdown earthquake test in one orientation.

Using a biaxial test table is acceptable if it is justified that the horizontal and vertical test inputs conservatively simulate the three-dimensional nature of the seismic event. One acceptable approach

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is to mount the equipment on the test table with its front-to-back axis oriented at 45 degrees to the horizontal drive axis and scale the horizontal component of the input by a factor of the square root of two. Statistically independent inputs are preferred and, if used, the test can be performed in two stages, with the equipment rotated 90 degrees about the vertical axis. In this case, the five 1/2 safe shutdown earthquake inputs need to be applied only in the first orientation.

If a dependent biaxial test table is used, the test is performed in four stages. The first stage involves five 1/2 safe shutdown earthquake tests and one safe shutdown earthquake test in the first orientation. The second, third, and fourth orientations are obtained by successively rotating the equipment 90 degrees clockwise from its previous position. One safe shutdown earthquake test is performed in each of the last three orientations.

Each multifrequency test has a minimum of 15 seconds of strong motion input. The strong motion portion is preceded and followed by a period of testing where the test input is ramped up and ramped down, respectively, so that the equipment is not subjected to impact loading. The adequacy of each test run is evaluated using the criteria set forth in Subsection 7.6.3.1 of IEEE 344-1987.

E.5.2 Qualification of Line-Mounted Equipment

Line-mounted equipment, because of the dynamic filtering characteristics of its mounting, is effectively subject to single frequency input. This condition is common for valves and sensors supported by piping systems, cable trays, and duct systems. This equipment is qualified consistent with the requirements of IEEE 382-1996.

In some cases this equipment may also be used in the hard-mounted condition. In this case multifrequency, multiaxis testing is also required unless justification is provided that the previous single frequency tests demonstrate the capability of the equipment to operate under the hard-mounted seismic conditions. Because of the large size of typical valves, it may be necessary to perform separate testing of the operators and valve assembly.

E.5.2.1 Seismic Qualification Test Sequence

The seismic qualification process is broken down into the following steps:

1. Mount the equipment on a rigid test fixture and perform a resonant search test to demonstrate that the equipment is structurally rigid (fundamental frequency greater than 33 hertz) and does not amplify the seismic motions acting at the equipment mounting interface.
2. Perform single frequency testing on the line-mounted equipment.
3. Perform multifrequency, multiaxis testing on the equipment, if appropriate.
4. If an active valve assembly is to be seismically qualified, additional testing is needed as follows:
 - a. Perform a static pull test on the valve.
 - b. Perform a static seismic analysis using a verified model of the valve and its extended structure to demonstrate that the valve has adequate structural strength to perform its safety-related function without exceeding the design allowable stresses specified in ASME Code, Section III, Subsection NB, NC, or ND for pressure-retaining parts, as

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appropriate, and Subsection NF for non-pressure-retaining boundary parts. Limiting extended structure stress to material yield strength minimizes deflections, which could interfere with valve stroke function.

E.5.2.2 Line Vibration Aging

Line-mounted equipment may be subject to operational vibrations resulting from normal plant operations. The potential fatiguing effect of this vibrational aging is simulated as part of the qualification program. This requirement is satisfied by subjecting the equipment to a sine sweep from 5 to 100 to 5 hertz at an acceleration level of 0.75g or such reduced acceleration at low frequencies to limit the double amplitude to 0.025 inch as specified in Section 5.3.a, Part III of IEEE 382-1996.

E.5.2.3 Single Frequency Testing

The single frequency testing acceleration waveform is either sine beat or sine dwell applied at one-third octave frequency intervals as specified in IEEE 382-1996. Each dwell has a time length adequate to permit performance of functional testing, with a minimum time of 15 seconds. To account for the three-dimensional nature of the seismic event, the test input level is taken as the square root of two times the required input motion (RIM) level specified in IEEE 382. The level includes the 10 percent test margin. Each test series is performed using single axis input. The test series is performed successively in each of three orthogonal axes.

E.5.2.4 Seismic Aging

The aging effect of the five 1/2 safe shutdown earthquake earthquakes can be simulated by exposing the equipment to two sinusoidal sweeps at one-half of the safe shutdown earthquake required input motion level in each orthogonal axis. Each sweep shall go from 2 to 35 hertz to 2 hertz at a rate not to exceed one octave per minute. One sweep is performed with the equipment in its inactive mode, and the other with the equipment in its safety-related operational mode.

E.5.2.5 Static Deflection Testing of Active Valves

The seismic testing just discussed is normally performed only on the valve operator and the attached appurtenances. If the valve assembly is rigid, the operability of the valve assembly during a postulated seismic event may be demonstrated by performing a static pull test using a peak acceleration value equivalent to a triaxial acceleration of 6g. If the valve assembly is determined to be flexible, a supplemental analysis of the seismic response of the flexible valve and its supporting piping is performed to determine the actual acceleration level present at the center of gravity of the valve assembly.

The valve is placed in a suitable test fixture with the operator and appurtenances mounted and oriented as in the normal valve assembly installation. The valve is mounted so that the extended structure is freestanding and supported only by the valve nozzles. The valve is positioned so that the horizontal and vertical load components simulating the three-dimensional nature of the seismic event produce a worst-case stress condition in the valve extended structure.

During testing, the valve shall be internally pressurized and nozzle loads applied. Static loads simulating dead weight and seismic loads are applied to the extended structure. The tests are normally performed at ambient temperature. These loads simulate to the extent feasible the load distribution acting on critical parts of the valve assembly. The valve is actuated using the actuator system seismically qualified according to IEEE 382-1996. The valve assembly is cycled from its normal to the desired safety-related position within the time limits defined in the equipment specification. Leakage measurements are made, where required, and compared to the allowable values specified in the valve design specification.

E.5.3 Operational Conditions

When equipment being qualified performs a safety-related function during the safe shutdown earthquake, the equipment is operated and monitored to demonstrate that the equipment functions properly before, during, and after the seismic event. If the test time is not long enough to complete the required functional tests, the length of the strong motion test time is increased to permit completion of the required functional testing.

Where functional testing is dependent on external electrical supply, the testing is performed using the worst-case electrical supply conditions.

E.5.4 Resonant Search Testing

Resonant search testing is performed to provide data on the natural frequency and dynamic response characteristics of the equipment qualified. For hard-mounted equipment being qualified by seismic testing, resonant search testing is done to provide additional information but is not required for qualification of the equipment. This is an important consideration because frequency testing for hard-mounted equipment is normally performed with the equipment mounted on the test table, where dynamic interaction of the table and the equipment has a significant effect on the measured natural frequency.

For qualification of line-mounted valve assemblies, it is necessary that the assemblies be rigid. To meet this requirement, the assembly mounted to a rigid test fixture so that the frequencies measured are indeed representative of the valve assembly. If it is not feasible to provide a rigid fixture, as is likely the case when testing such very large valves, as the main steam and feedwater isolation valves, additional tests and analyses may be required to determine if the apparent flexibility measured is due to the test fixture or to the characteristic of the valve assembly itself.

If the resonant search test data is being generated to verify the accuracy of an analytical modeling technique, the test specimen mounting details must accurately simulate the boundary conditions used in the analytical model.

E.6 Qualification by Analysis

Section E.3.2 defines the limits on the use of analysis to demonstrate seismic qualification of safety-related equipment. The following sections describe the analytical methods to be employed for qualification of equipment. There are two techniques, static and dynamic, used to qualify equipment. The success of either method depends on the ability of the analytical model to describe the response of the system to seismic loads. Alternative methods of analysis are accepted if their conservatism is documented.

The analysis is used to demonstrate the structural adequacy of the equipment being qualified. This is done by showing that the calculated stresses do not exceed the design allowable stresses specified in ASME Code, Section III, Subsection NB, NC, or ND for pressure-retaining equipment and Subsection NF for nonpressure-retaining equipment.

E.6.1 Modeling

Analysis may be performed by hand calculations, finite element, or mathematical models that adequately represent the mass and stiffness characteristics of the equipment. The model contains enough degrees of freedom to adequately represent the dynamic behavior over the frequency range of interest. It includes the essential features of the equipment.

Dynamic properties reflect the in-service operating conditions, such as structural coupling, dynamic effects of contained liquids, and externally applied restraints (where appropriate). Where the modeled equipment exhibits some nonlinear behavior, this nonlinearity is modeled unless justification is provided that it is insignificant or that the linear model provides conservative results. The adequacy of the model or of the modeling techniques is shown by comparing the predicted responses to the responses predicted by benchmark problems or modal testing. Acceptable benchmark problems include hand calculations, analysis of the same problem using a comparable verified public-domain program, empirical data, or information from the technical literature.

In addition to documenting the modeling technique, a quality assurance program is in place that defines the requirements for the control, verification, and documentation for the computer programs used for qualification of safety-related equipment. The computer programs used in the qualification process are verified on the same computer on which the qualification analysis is performed.

E.6.2 Qualification by Static Analysis

For rigid equipment, the seismic forces resulting from one seismic input direction are calculated for each node point by multiplying the nodal mass in that direction by the appropriate zero period acceleration (ZPA) floor acceleration. The combined system response of the equipment to the simultaneous loads acting in all three directions is calculated by combining the three components, using the square root sum of the squares (SRSS) method. The square root sum of the squares method is used to account for the statistical independence of the individual orthogonal seismic components.

E.6.3 Qualification by Dynamic Analysis

If the lowest natural frequency of the equipment lies below the cutoff frequency, the response of the equipment to the seismic event in each orthogonal direction will be dynamically amplified and the equipment is said to be flexible. The analysis is performed in compliance with the guidelines set forth in the SSAR and in Regulatory Guides 1.92, 1.100, and 1.122.

The preferred method of analysis is the response spectrum method. In this method the responses in each equipment mode are calculated separately and combined by the square root sum of the squares method, provided the modes are not closely spaced. (Consecutive modes are said to be closely spaced if their frequencies differ from that of the first mode in the group by less than 10 percent.) The responses for each mode in a group are combined absolutely. The group response is then combined with the remaining modal responses using the square root sum of the squares method. The responses for each of the three orthogonal seismic components can then be combined as discussed in [Section E.6.2](#). The applicable damping levels are noted in [Table 3.7.1-1](#) of [Chapter 3](#).

E.6.3.1 Response Spectrum Analysis

Modes up to and including the cutoff frequency are included in this summation. In some cases, the structure is basically rigid, with some of the flexible mode representing local effects. This situation is evaluated by reviewing the modal masses applicable to a given seismic input direction. If the sum of the effective modal masses used in the response spectrum analysis is greater than 0.9 times the total equipment mass, the model is assumed to adequately represent the total equipment mass. If this criterion is not satisfied, it means that a significant part of the equipment seismic response is due to the static seismic response of the higher equipment modes (above the cutoff frequency). If this situation occurs, the analyst determines the component of the response due to the higher modes and combines it with the flexible response component by square root sum of the squares. (This requirement is discussed in the SSAR, [Subsection 3.7.2](#).)

E.6.3.2 Static Coefficient Method

As an alternative to the response spectrum method, the static coefficient method of analysis may be used. In this method the frequencies of the equipment are not determined, but a static analysis is performed, assuming that a peak acceleration equal to 1.5 times the peak spectral acceleration given in the applicable required response spectrum acts on the structure as described in [Section E.6.2](#).

The static coefficient of 1.5 takes into account the combined effects of multifrequency excitation and multimode response for equipment and structures that can be represented by a simple model. A lower static coefficient may be used when it can be demonstrated that it will yield conservative results.

E.6.3.3 Time History Analysis

The time-history method of analysis is the preferred method of analysis when the equipment exhibits significant nonlinear behavior or when it is necessary to generate response spectra for specific component mounting locations in the equipment. The acceptable methods that are used to develop the seismic time histories are discussed in Regulatory Guide 1.122, ASME Code, Section III, Appendix N, and in Section 6.2 of IEEE 344-1987. Other analytical methods may be used to generate in-equipment response spectra provided that they are verified to produce accurate and/or conservative results.

E.7 Qualification by Test Experience

This method of qualification is not used.

E.8 Performance Criteria

E.8.1 Equipment Qualification by Test

The performance criterion for qualification of equipment is that the equipment successfully perform its safety-related function during and after the postulated seismic event. Acceptance requires, as a minimum, that:

- No spurious or unwanted outputs occur in the circuits that could impair the safety-related functional operability of the equipment;
- No gross structural damage of the equipment occur during the seismic event that could lead to the equipment or any part thereof becoming a missile. Local inelastic deformation of the equipment is permitted; and,
- Satisfactory completion of specified baseline tests are demonstrated before, during, and after the seismic test sequence.

E.8.2 Equipment Qualification by Analysis

E.8.2.1 Structural Integrity

The analysis verifies that the equipment, when subjected to the worst case combination of operating and seismic loads, maintains its structural integrity. In addition the analysis shows that the equipment is not subject to low cycle fatigue failure when subject to postulated seismic loading. Finally the analysis verifies that seismically induced equipment motion does not lead to impacting with other nearby equipment.

E.8.2.2 Operability

Analysis can be used to demonstrate equipment operability for those pieces of equipment where structural integrity or limitation of deformation guarantees operability. As an example the analysis of active equipment verifies that the combination of operating and postulated seismic loads do not produce stress levels or deformations that exceed established functional limits. The rationale for use of these limits is justified.