



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

July 9, 2013

MEMORANDUM TO: R. William Borchardt
Executive Director for Operations

FROM: Stephen D. Dingbaum **/RA/**
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF THE
NUCLEAR REGULATORY COMMISSION'S SHARED "S"
DRIVE (OIG-11-A-15)

REFERENCE: DIRECTOR, OFFICE OF INFORMATION SERVICES,
MEMORANDUM DATED JUNE 21, 2013

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated June 21, 2013. Based on this response, recommendations 2, 3, and 4 remain resolved. Recommendations 1 and 5 were closed previously. Please provide an updated status of the resolved recommendations by January 6, 2014.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: R. Mitchell, OEDO
K. Brock, OEDO
J. Arildsen, OEDO
C. Jaegers, OEDO

Audit Report

AUDIT OF THE NUCLEAR REGULATORY COMMISSION'S SHARED "S" DRIVE

OIG-11-A-15

Status of Recommendations

<u>Recommendation 2:</u>	Revise current information security training for NRC staff to address specific practices for protecting Sensitive Unclassified Non-Safeguards Information (SUNSI) on the agency's shared network drives.
Agency Response Dated June 21, 2013:	The Office of the Chief Human Capital Officer (OCHCO) and Office of Information Services (OIS) are working jointly on this effort. Currently this recommendation has not been fully staffed because of competing priorities and limited funding under CR. We anticipate closing this recommendation no later than the fourth quarter of fiscal year (FY) 2014. The point of contact for this recommendation is Deborah Dennis, OIS/CSD/FPIB, (301) 415-5704.
OIG Analysis:	The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives documentation verifying that the training addresses protection of SUNSI on the agency's shared network drives.
Status:	Resolved.

Audit Report

AUDIT OF THE NUCLEAR REGULATORY COMMISSION'S SHARED "S" DRIVE

OIG-11-A-15

Status of Recommendations

- Recommendation 3: Develop Controlled Unclassified Information (CUI) policies and guidance for storing and protecting CUI in agency shared drives, and:
- a. post this guidance on the NRC intranet; and
 - b. include this guidance in annual training

Agency Response Dated
June 21, 2013:

The federal-wide CUI Advisory Council continues to develop policies for safeguarding CUI. The current draft policy will go through a final round of informal commenting before submittal through the formal Office of Management and Budget (OMB) comment process. Also, efforts continue to align the requirements of the National Institute of Standards and Technology (NIST) and OMB issuances with the CUI program. At this time, the CUI Executive Agent, National Archives and Records Administration (NARA), has not established a definitive timeline for the informal and formal comment process, or when CUI guidance will be issued consistent with NIST requirements and OMB issuances. The current estimated publication of the final CUI policy is FY 2014. Therefore, Recommendations 3, 3a, and 3b, are not expected to be completed until sometime in late FY 2014 or early FY 2015. The point of contact for this recommendation is Deborah Dennis, OIS/CSD/FPIB, (301) 415-5704.

OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when CUI policies and guidance are developed, posted on the intranet, and included in annual training.

Status: Resolved.

Audit Report

AUDIT OF THE NUCLEAR REGULATORY COMMISSION'S SHARED "S" DRIVE

OIG-11-A-15

Status of Recommendations

<u>Recommendation 4:</u>	Provide Information Technology (IT) coordinators with role-based training focusing on NRC information and network security policies, and means for ensuring staff compliance with these policies.
Agency Response Dated June 21, 2013:	An iLearn Web-based training course has been developed and is in draft in iLearn. OCHCO has not been able to complete the transition for the course over to production yet due to competing priorities due to Training Centralization. The point of contact for this recommendation is Judy L. Petsch, CSO/PSTT, (301) 415-5148.
OIG Analysis:	The proposed action meets the intent of the recommendation. This recommendation will be closed when IT coordinators are provided training on NRC information and network security policies and a means to ensure compliance with these policies.
Status:	Resolved.