

**Nuclear Innovation North America LLC, Docket Nos. 52-12-COL and 52-13-COL
October 2013 Contested Hearing**

NRC Staff Hearing Exhibits

NRC Staff Exhibit #	Witness/Panel	Description
DIRECT EXHIBITS		
NRC000101	A. Simmons	“Prefiled Direct Testimony of Anneliese Simmons On Contention FC-1” and “Affidavit of Anneliese Simmons Concerning Prefiled Direct Testimony on Contention FC-1” (<u>PROPRIETARY</u>).
NRC000102	A. Simmons	Statement of Professional Qualifications for Anneliese Simmons.
NRC000103	A. Simmons	Letter from David B. Matthews, NRC, to Mark McBurnett, NINA, South Texas Project Units 3 And 4 Combined License Application Foreign Ownership, Control Or Domination Review (Apr. 29, 2013) (ML13105A351).
NRC000104	A. Simmons	NRC, Evaluation by the Office of Nuclear Reactor Regulation on Behalf of the Office of New Reactors, South Texas Project Units 3 and 4, Docket Nos. 52-102-and 52-103 (Apr. 29, 2013) (ML13105A387) (<u>PROPRIETARY</u> enclosure to Exhibit NRC000103).
NRC000105	A. Simmons	NRC, Evaluation by the Office of Nuclear Reactor Regulation on Behalf of the Office of New Reactors, South Texas Project Units 3 and 4, Docket Nos. 52-102-and 52-103 (Apr. 29, 2013) (ML13150A284) (redacted version of Exhibit NRC000104).
NRC000106	A. Simmons	Final Standard Review Plan on Foreign Ownership, Control, or Domination, 64 Fed. Reg. 52,355 (Sept. 28, 1999).
NRC000107	A. Simmons	SECY-99-229, Monitoring Of Foreign Ownership Of Reactor Licensees – Response To Staff Requirements Memorandum (February 17, 1999) Regarding SECY-98-246 (Sept. 20, 1999) (ML993140200).
NRC000108	A. Simmons	Staff Requirements -SECY-99-229 -Monitoring of Foreign Ownership of Reactor Licensees - Response to Staff Requirements Memorandum (February 17, 1999) Regarding SECY-98-246 (Oct. 28, 1999) (ML993130257).

**Nuclear Innovation North America LLC, Docket Nos. 52-12-COL and 52-13-COL
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NRC000109	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000054. South Texas Project Units 3 and 4, COL Application, Part 1, Revision 9 (Apr. 17, 2013) (ML13115A291) (portions).
NRC000110	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000068. South Texas Project Units 3 and 4, Supplemental Response to Request for Additional Information (June 7, 2012) (ML12164A166) (PROPRIETARY).
NRC000111	A. Simmons	NRC Memorandum from Robert Carlson, NRR, to Michael Gartman, NRO, regarding Acceptance Review Result for the South Texas Project Units 3 and 4 Combined License Application (Oct. 31, 2007) (ML080500509).
NRC000112	A. Simmons	South Texas Project Units 3 and 4, COL Application, Part 1, Revision 0 (Sept. 20, 2007) (ML072830812) (redacted version).
NRC000113	A. Simmons	South Texas Project Units 3 and 4, COL Application, Part 1, Revision 2 (Sept. 24, 2008) (ML082830951) (redacted version).
NRC000114	A. Simmons	South Texas Project Units 3 and 4, COL Application, Part 1, Revision 3 (Sept. 16, 2009) (ML092931176) (redacted version).
NRC000115	A. Simmons	South Texas Project Units 3 and 4, COL Application, Part 1, Revision 4 (Oct. 5, 2010) (ML102860171) (redacted version).
NRC000116	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000078. Press Release, NRG Energy, Inc. Provides Greater Clarity on the South Texas Nuclear Development Project (STP 3&4) (Apr. 19, 2011) (available at http://phx.corporate-ir.net/External.File?item=UGFyZW50SUQ9OTAwMzB8Q2hpbGRJRD0tMXxUeXBIPtM=&t=1).

**Nuclear Innovation North America LLC, Docket Nos. 52-12-COL and 52-13-COL
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NRC000117	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000045. South Texas Project Units 3 and 4, COL Application, Part 1, Revision 6 (Aug. 30, 2011) (ML11252A537) (portions).
NRC000118	A. Simmons	Letter from David B. Matthews, NRC, to Mark McBurnett, NINA, South Texas Project Units 3 and 4 Combined License Application Financial Review (Dec. 13, 2011) (ML113390176).
NRC000119	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000049. South Texas Project Units 3 and 4, Supplemental Response to Request for Additional Information (Feb. 23, 2012) (ML12060A106).
NRC000120	A. Simmons	NRG Energy, Inc., Annual Report (Form 10-K) (Feb. 22, 2011) (available at http://www.sec.gov/Archives/edgar/data/1013871/000104746911001125/a2202097z10-k.htm).
NRC000121	A. Simmons	Letter from Kirkland B. Andrews, Executive Vice President and Chief Financial Officer, NRG Energy Inc. to SEC, regarding NRG Energy, Inc. Form 10-K for the fiscal year ended December 31, 2011 Filed February 28, 2012 File No. 001-15891 (June 14, 2012) (available at http://www.sec.gov/Archives/edgar/data/1013871/000110465912043513/filename1.htm).
NRC000122	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000046. South Texas Project Units 3 and 4, Response to Request for Additional Information (Nov. 8, 2011) (ML11318A014).
NRC000123	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000043. Third Amended and Restated Operating Agreement of Nuclear Innovation North America LLC (May 8, 2009) (ML12019A121) (PROPRIETARY).

**Nuclear Innovation North America LLC, Docket Nos. 52-12-COL and 52-13-COL
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NRC000124	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000050. South Texas Project Units 3 and 4, Response to Request for Additional Information (May 17, 2012) (ML12144A310).
NRC000125	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000071. Toshiba Corp., Ann. Report – Year Ending Mar. 31, 2012 – Operational Review 2012 (2012) (available at http://www.toshiba.co.jp/about/ir/en/finance/ar/ar2012/tar2012e.pdf) (portions).
NRC000126	A. Simmons	South Texas Project Units 3 and 4, COL Application, FSAR, Tier 2, Chapter 1, Section 1.4, Identification of Agents and Contractors, Revision 9 (Apr. 17, 2013) (ML13115A356).
NRC000127	A. Simmons	Letter from Michael T. Sweeny, Westinghouse Elec. Co., LLC, to Director of Office of Nuclear Material Safety and Safeguards, NRC, regarding purchase by Toshiba Corporation of the Shaw Group indirect ownership interest in Westinghouse Electric Company, LLC Licenses: SNM-1107, Docket No: 70-115 and SNM-33, Docket No. 70-33 (Oct. 17, 2012) (ML12324A021).
NRC000128	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000058. NINA, Approved Minutes of April 5, 2011 Meeting of Board of Managers of NINA (PROPRIETARY) .
NRC000129	A. Simmons	NRG Energy, Inc., Quarterly Report (Form 10-Q) (May 5, 2011) (available at http://www.sec.gov/Archives/edgar/data/1013871/000110465911026199/a11-8686_110q.htm).
NRC000130	A. Simmons	Letter from Andrew D. Mew, Accounting Branch Chief, U.S. SEC, to Kirkland B. Andrews, Chief Financial Officer, NRG Energy, Inc., regarding NRG Energy, Inc. Form 10-K for the fiscal year ended December 31, 2011 filed February 28, 2012 File No. 001-15891 (June 4, 2012) (available at http://www.sec.gov/Archives/edgar/data/1013871/000000000012028634/filename1.pdf).

**Nuclear Innovation North America LLC, Docket Nos. 52-12-COL and 52-13-COL
October 2013 Contested Hearing**

NRC Staff Hearing Exhibits

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NRC000131	A. Simmons	Douglas G. Baird & Robert K. Rasmussen, <i>Private Debt and the Missing Lever of Corporate Governance</i> , 154 U. Pa. L. Rev. 1209 (2006) (available at https://www.law.upenn.edu/journals/lawreview/articles/volume154/issue5/BairdRasmussen154U.Pa.L.Rev.1209(2006).pdf) (pages 1209, 1226-1232).
NRC000132	A. Simmons	2010 Amended and Restated TANE Credit Agreement (Nov. 29, 2010) (ML12138A120) (<u>PROPRIETARY</u>).
NRC000133	A. Simmons	NINA Consolidated Financial Statements, Dec. 31, 2010 and 2009 (With Independent Auditor's Report Thereon) (May 2, 2011) (ML12121A398) (<u>PROPRIETARY</u>).
NRC000134	A. Simmons	Ramos, Michael J., <i>Practitioner's Guide to GAAS 2008</i> (John Wiley & Sons, Inc. 2008) (pages 287-289).
NRC000135	A. Simmons	Public Company Accounting Oversight Board, Interim Auditing Standard, Section 341, The Auditor's Consideration of an Entity's Ability to Continue as a Going Concern: Consideration of Conditions and Events (available at http://pcaobus.org/Standards/Auditing/Pages/AU341.aspx) (portions).
NRC000136	A. Simmons	2010 Amended and Restated TANE Credit Agreement, [First] Supplement to Credit Agreement (Nov. 29, 2010) (ML12138A120) (<u>PROPRIETARY</u>).
NRC000137	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Second Supplement to Credit Agreement (May 15, 2011) (ML12138A120) (<u>PROPRIETARY</u>).
NRC000138	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000059. NINA, Approved Minutes of April 12, 2012 Meeting of Board of Managers of NINA (<u>PROPRIETARY</u>).

**Nuclear Innovation North America LLC, Docket Nos. 52-12-COL and 52-13-COL
October 2013 Contested Hearing**

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NRC Staff Exhibit #	Witness/Panel	Description
NRC000139	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000064. NINA, Approved Minutes of February 26, 2013 Meeting of Board of Managers of NINA (<u>PROPRIETARY</u>) .
NRC000140	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Second Supplement (June 30, 2011) (ML12138A120) (<u>PROPRIETARY</u>) .
NRC000141	A. Simmons	2010 Amended & Restated TANE Credit Agreement, Third Supplement to Credit Agreement (Aug. 31, 2011) (ML12138A120) (<u>PROPRIETARY</u>) .
NRC000142	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment 3 to First and Second Supplements and Amendment 1 to Third Supplement (Oct. 4, 2011) (ML12138A120) (<u>PROPRIETARY</u>) .
NRC000143	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment 4 to First and Second Supplements and Amendment 2 to Third Supplement (Nov. 9, 2011) (ML12138A120) (<u>PROPRIETARY</u>) .
NRC000144	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Credit Agreement, Amendment 5 to Second Supplement and Amendment 3 to Third Supplement (Feb. 24, 2012) (ML12138A120) (<u>PROPRIETARY</u>) .
NRC000145	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Credit Agreement, Amendment 6 to Second Supplement and Amendment 4 to Third Supplement (Apr. 27, 2012) (ML12138A120) (<u>PROPRIETARY</u>) .
NRC000146	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Credit Agreement, Amendment 7 to Second Supplement and Amendment 5 to Third Supplement (Oct. 23, 2012) (<u>PROPRIETARY</u>) .

**Nuclear Innovation North America LLC, Docket Nos. 52-12-COL and 52-13-COL
October 2013 Contested Hearing**

NRC Staff Hearing Exhibits

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NRC000147	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Credit Agreement, Amendment 8 to Second Supplement and Amendment 6 to Third Supplement (Mar. 27, 2013) (<u>PROPRIETARY</u>).
NRC000148	A. Simmons	2010 Amended and Restated TANE Credit Agreement, Amendment to Credit Agreement, Amendment 9 to Second Supplement and Amendment 7 to Third Supplement (May 20, 2013) (<u>PROPRIETARY</u>).
NRC000149	A. Simmons	Shaw Group, Inc., Current Report (Form 8-K) (Dec. 3, 2010) (available at http://www.sec.gov/Archives/edgar/data/914024/000095012310110851/c09322e8vk.htm).
NRC000150	A. Simmons	Shaw Group, Inc., Annual Report (Form 10-K) (Oct. 19, 2012) (available at http://www.sec.gov/Archives/edgar/data/914024/000143774912010416/shaw_10k-083112.htm).
NRC000151	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000054. South Texas Project Units 3 and 4, COL Application, FSAR, Tier 2, Chapter 1, Appendix 1D - Negation Action Plan, Revision 9 (Apr. 17, 2013) (ML13115A351).
NRC000152	A. Simmons	RESERVED - SEE APPLICANT EXHIBIT STP000044. South Texas Project Units 3 and 4, Response to Request for Additional Information (Aug. 4, 2011) (ML11217A222).
NRC000153	A. Simmons	NRC, Safety Evaluation by the Office of Nuclear Reactor Regulation, Proposed Transfer of Clinton Power Station Operating License from Ill. Power Co. to Amergen Energy Company, LLC, Docket No. 50-461 (Nov. 24, 1999) (ML993550412) (redacted version).

July 1, 2013

**Nuclear Innovation North America LLC, Docket Nos. 52-12-COL and 52-13-COL
October 2013 Contested Hearing**

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NRC000154	A. Simmons	NRC, Revised Safety Evaluation by the Office of Nuclear Reactor Regulation, Direct and Indirect Transfers of Control of Renewed Facility Operating Licenses Due to the Proposed Corporate Restructuring Calvert Cliffs Nuclear Power Plant, Unit Nos. 1 and 2; Calvert Cliffs Independent Spent Fuel Storage Installation, Nine Mile Point Nuclear Station, Unit Nos. 1 and 2; and R.E. Ginna Nuclear Power Plant, Docket Nos. 50-317, 50-318, 72-8, 50-220, 50-410, and 50-244 (Oct. 30, 2009) (ML093010003) (redacted version).
NRC000155	A. Simmons	U.S. Dept. of Transportation, Application of Virgin America, Inc., Order to Show Cause, Docket No. OST-2005-23307 (Mar. 20, 2007) (available at http://www.regulations.gov/contentStreamer?objectId=0900006480e7d5a9&disposition=attachment&contentType=pdf) (redacted version).
NRC000156	A. Simmons	Barry Epstein, et al., <i>Wiley GAAP 2010</i> (John Wiley & Sons, Inc. 2009) (pages 634, 675-76).
NRC000157	A. Simmons	Shaw Group, Inc., Annual Report (Form 10-K) (Oct. 28, 2011) (available at http://www.sec.gov/Archives/edgar/data/914024/000143774911007885/shaw_10k-083111.htm).