

UNITED STATES OF AMERICA  
NUCLEAR REGULATORY COMMISSION

BEFORE THE ATOMIC SAFETY AND LICENSING BOARD

In the Matter of

CHARLISSA C. SMITH

(Reactor Operator License for Vogtle  
Electric Generating Plant)

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Docket No. 55-23694-SP

AFFIDAVIT

Personally appeared before the undersigned attesting officer, duly authorized to administer oaths, the undersigned deponent signatory hereto, who, have been duly sworn, on oaths states and deposes as follows:

1.

I, [REDACTED] I am 52 years old and I am a resident of Columbia County, Georgia living at [REDACTED]

Background and experience Directly related to subject of affidavit:

9/2008 – 1/2012 Nuclear Operations Plant Instructor, Lead (SRO) – Vogtle Nuclear Plant; Burke County, Georgia (Southern Nuclear Operating Company)  
Responsible for oversight of accredited Operations Initial Training programs.

Other background and related experience to subject of affidavit:

Qualifications: USNRC Senior Reactor Operator (SRO) License for Vogtle Electric Generating Plant (Hot License). July 27, 1990 → Present  
USNRC Reactor Operator (RO) License for Vogtle Electric Generating Plant (Cold License). September 16, 1986 → July 27, 1990

Work Experience: 30+ years Nuclear Power Electric Generating Plant

*Positions:* Shift Supervisor – Nuclear (Operations Department CAPCO)  
Nuclear Operations Plant Instructor, Lead (SRO) [Training Supervisor]  
Nuclear Operations Plant Instructor (SRO)  
Fuel Handling Supervisor  
Operations Surveillance and ESFAS/ECCS Flow Balance Test Coordinator  
Peer Evaluator - Self-Assessment Accreditation Team  
Host Peer - Institute for Nuclear Power Operations Accreditation Team  
Peer Evaluator - INPO Accreditation Team  
Plant Operator (RO)  
Assistant Plant Operator (NLO)  
Plant Equipment Operator (NLO)

I am the individual that prepared the Preliminary License applications in 2012 for the six individuals that failed the 2011 licensing exam. All six applications, including Charliisa Smith's, were identical with the exception of personal information. Each application contained a request for a waiver. Pages 14 - 17 of this affidavit include a copy of the Preliminary NRC 398 forms sent to the NRC prior to February 23, 2012.

Sequence of Events and Chain of related emails:

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**From:** [REDACTED]

**Sent:** Thursday, January 03, 2013 1:40 PM

**To:** [REDACTED]

**Subject:** Carla C. Smith

This chain of emails provides the details as to the Sequence of Events and waiver correspondence regarding Carla C. Smith and her Certified and Preliminary "Personal Qualification Statement – License" applications that are required by NUREG 1021 ES-202 C.1.f and C.1.e for the 2012 Hot License 16R/17 class at Plant Vogtle Units 1 & 2. I was responsible for completing and submitting to the NRC the preliminary applications and I then turned over responsibility for submittal of the certified applications to [REDACTED] as I changed job responsibilities from being the Initial Training Supervisor to being the Continuing Training Supervisor in January of 2012. Copies of both the preliminary and certified applications as well as letter NOT-04359 that was delivered to the NRC are attached to this email.

### **Sequence of Events Synopsis/Facts**

- 6/7/11 – The Vogtle Unit 1 & 2 NOTS submitted an email correspondence inquiry to the NRC Chief Examiner for the March/April 2012 license examinations about a potential operating examination waiver for Carla Smith.
- 7/13/11 – Formal letter (NOT-04359) signed by the site VP sent to the USNRC Region II headquarters (addressed to Mark Franke) requesting a waiver of the Operating Examination portion of the Initial Licensed examinations scheduled in March 2012. This request included Carla Smith.
- 8/2/11 – The NRC Chief Examiner replied to the 6/7/11 inquiry stating that the NRC Region II would likely deny a waiver of the operating test portion of the March/April 2012 license examination for Carla Smith.
- 9/9/11 – The Vogtle Unit 1 & 2 NOTS submitted an email correspondence again to the NRC Chief Examiner about operating examination waivers.
- 9/27/11 – The NRC Chief Examiner replied to the 9/9/11 correspondence reiterating the previous statement of a likely denial of a waiver for Carla Smith and additionally adding that he had briefed regional NRC management on the inquiry and that only with submittal of actual license applications would the waiver decision be formally evaluated and determined.
- Prior to 2/23/12 – Per the NUREG-1021 ES-204 process, preliminary license applications submitted to the NRC for all 6 candidates from HL16 that failed the NRC written examination with the request for an Operating Examination waiver denoted per NRC Form item # 4.f.2
- 2/23/12 – The Vogtle Unit 1 & 2 program Training Supervisor was notified by the Vogtle Unit 1 & 2 Examination Supervisor that the NRC Chief Examiner for March/April 2012 license examinations stated that time was not available to process Carla's application with waiver request and likely denial prior to the scheduled examination dates pending.

- 2/23/12 – The Vogtle Unit 1 & 2 program Training Supervisor notified Training management of the situation in progress with the preliminary license applications and previous item statement.
  - After 2/23/12 and before 3/12/12 – The NRC Chief Examiner for the March/April 2012 license examinations was notified that a waiver for Carla Smith for the Operating portion of the license examinations would not be requested and subsequently the “Certified” license application submitted did not include the preliminary item # 4.f.2 request.
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**From:** [REDACTED]

**Sent:** Unknown

**To:** [REDACTED]; [REDACTED]; [REDACTED]; [REDACTED]

**Subject:** RE: Vogtle NRC Examination License Application Waivers

(NRC ) Michael Meeks was notified this morning that we are NOT requesting a Waiver for Carla Smith for the Operating Portion of the Exam. She will take the entire exam.

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**From:** [REDACTED]

**Sent:** Thursday, February 23, 2012 7:19 PM

**To:** [REDACTED]

**Subject:** Vogtle NRC Examination License Application Waivers

**Importance:** High

All of you were sent this because it seems some people are not fully understanding why license applications were filed as they were as related to requesting of operating examination waivers for all six HL16 candidates that failed only the written NRC examination last 4/1/11.

It was no intention of mine to cause confusion to the situation or give the appearance of any misunderstanding or misconception. I had the waivers request delineated on the license applications as I have been instructed to do so for months now.

If you start at the first email in this chain of communiqué with the NRC, you will note that we notified the NRC of our intentions as a courtesy and means to understand the NUREG-1021 ES-204 rules to Region 2 starting last summer so we could also prepare our candidates for the examinations coming up next month (March 2012).

Record of Correspondence:

- June 7, 2011
- August 2, 2011
- September 9, 2011

Based on the response from the NRC, was I supposed to concede that no waiver would be given or ask for it based on NUREG-1021 instructions? I surely don't won't the NRC unduly upset, but I thought and intended to follow the formal and legal way required.

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**From:** [REDACTED]

**Sent:** Thursday, February 23, 2012 5:39 PM

**To:** [REDACTED]

**Cc:** [REDACTED]

**Subject:** FW: Vogtle NRC Exam Waiver Questions

In the correspondence dated 9/27/11 from last fall (included below in this message), Michael Meeks stated ***"For C. Smith, Region II would likely deny ..."*** and also that his response was ***"... preliminary answers insofar as we have not received/evaluated the actual applications"*** and therefore the license applications and the list I sent you today [REDACTED] is as the

process in NUREG-1021 ES-204 delineates for all of the 6 candidates from HL16 that failed the NRC written examination on 4/1/11.

Taking Self Examinations

RO's

[Redacted]

Instant SRO's

[Redacted]

SRO Upgrade

[Redacted]

Requesting waivers for Operating Examinations

RO's

[Redacted]

Instant SRO's

[Redacted]

Carla Smith

SRO Upgrade

[Redacted]

**From:** [Redacted]

**Sent:** Friday, February 03, 2012 2:03 PM

**To:** [Redacted]

**Subject:** FW: Vogtle NRC Exam Waiver Questions

**From:** Meeks, Michael [mailto:Michael.Meeks@nrc.gov]

**Sent:** Tuesday, September 27, 2011 11:01 AM

**To:** [Redacted]

Cc: [REDACTED]

Subject: RE: Vogtle NRC Exam Waiver Questions

[REDACTED]

Thanks for your email. I am just back in the office today from a two-week exam, and starting to go through my email backlog.

[REDACTED] wrote: "For item 3 below, we will be requesting a waiver for no greater than 80 days of the 36 months responsible power plant experience for an instant SRO candidate (ES-202 D.2.a.(1)). All other requirements will be met."

Good, therefore as long as the individual has 6 months of responsible nuclear power plant experience at Vogtle this should be a routine waiver that we can approve within the Region per ES-204 D.1.i. When the results of the exam are issued, the individual applicant would receive a letter stating that he had passed the exam, and once you certify to us that the remaining 80 days of responsible nuclear power plant experience are met (i.e. after the exam), we would issue the individual a license.

- [REDACTED] wrote: "Also, how would I go about finding out whether or not Region II will grant an operating exam waiver for the individuals submitted? This would be very beneficial as we tailor their remediation program to the specific needs."

I'm not sure I understand if you are asking a new question. For [REDACTED] [REDACTED] as long as these individuals' applications demonstrate that they have completed a remedial training program to address deficiencies, these would be routine waivers approved by Region II. These five individuals would have the operating test portion of the exam waived, and would only need to take the written exam. For C. Smith, Region II would likely deny a waiver of the operating test portion of the exam. However, she could re-take the entire exam (both a complete operating exam and the written). I had briefed regional management on the above individuals and received concurrence before I sent my



earlier email; so they were “preliminary” answers insofar as we have not received/evaluated the actual applications.

Please let me know if you have any further questions—

Best regards,

Michael Meeks

Operations Examiner/Operations Engineer

U.S. Nuclear Regulatory Commission (Region II)

245 Peachtree Center Ave. NE (Suite 1200)

Atlanta, GA 30303-1257

office: 404.997.4467

email: [Michael.Meeks@nrc.gov](mailto:Michael.Meeks@nrc.gov)

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**From:** [REDACTED]

**Sent:** Friday, September 09, 2011 2:15 PM

**To:** Meeks, Michael

**Cc:** [REDACTED]

**Subject:** RE: Vogtle NRC Exam Waiver Questions

For item 3 below, we will be requesting a waiver for no greater than 80 days of the 36 months responsible power plant experience for an instant SRO candidate (ES-202 D.2.a.(1)). All other requirements will be met.

Also, how would I go about finding out whether or not Region II will grant an operating exam waiver for the individuals submitted? This would be very beneficial as we tailor their remediation program to the specific needs.

Thanks for your help,

[REDACTED]

*Nuclear Operations Training Supervisor*

*Vogtle Electric Generating Plant*

*External:* [REDACTED]

*Internal:* [REDACTED]

*Pager:* [REDACTED]

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**From:** Meeks, Michael [mailto:Michael.Meeks@nrc.gov]

**Sent:** Tuesday, August 02, 2011 10:18 AM

**To:** [REDACTED]

**Cc:** [REDACTED]

**Subject:** RE: Vogtle NRC Exam Waiver Questions

[REDACTED]

Sorry to be so long getting back to you. I have checked with Mark Bates and Malcolm and have preliminary answers for you:

1. For [REDACTED] these would be routine waivers approved by Region II. These individuals would have the operating test portion of the exam waived, and would only need to take the written exam. When their applications are submitted, they would need to specify deficiencies (i.e. as noted in the last NRC exam) and the remedial training they did to correct these deficiencies.

2. For C. Smith, Region II would likely deny a waiver of the operating test portion of the exam. However, she could re-take the entire exam (both a complete operating exam and the written).

3. For the individual in the March 2013 class, we need some additional information. ES-204 D.1.i allows the region to approve a routine waiver of "up to 6 months of the 3 years of (responsible nuclear) power plant experience for an RO (or an SRO), but not to exceed 2

months of the year of onsite experience for an RO and 1 month of the 6 for an SRO.”  
Therefore, if the applicant meets this criteria, Region II could approve a routine waiver. If the applicant does not meet this criteria, we would need to receive authorization from the IOLB program office (NRC Headquarters) to grant the waiver. In either case, after the applicant passed the NRC exam, we would issue a “pass” letter stating that the applicant passed the exam, and that the license would be issued once the applicant completes the required experience.

Please let me know if you have any further questions—

Best regards,

Michael Meeks

Operations Examiner/Operations Engineer

U.S. Nuclear Regulatory Commission (Region II)

245 Peachtree Center Ave. NE (Suite 1200)

Atlanta, GA 30303-1257

office: 404.997.4467

email: [Michael.Meeks@nrc.gov](mailto:Michael.Meeks@nrc.gov)

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**From:** [REDACTED]

**Sent:** Tuesday, June 07, 2011 7:24 AM

**To:** Meeks, Michael

**Cc:** [REDACTED] mann, Malcolm; [REDACTED]

**Subject:** Vogtle NRC Exam Waiver Questions

Michael,

We are in the process of identifying individuals in which we plan on requesting a waiver for the March 2012 Operating Exam in accordance with ES-204, Section D.1.a. The individuals in which we are confident that we will request an Operating Exam waiver are:

[REDACTED]  
[REDACTED] and  
[REDACTED]

Three other individuals from that class also passed the March 2011 Operating Exam; however, we are presently evaluating their status and are inquiring as to whether or not Region II would approve an Operating Exam waiver for the individuals below:

[REDACTED]  
[REDACTED] and

Charlissa Smith (55-23694).

If I understand ES-204, these waiver requests should be documented on Form 398 when the license applications are submitted and would be considered routine waivers with review/approval through the Region II office. I also understand that the requirements of D.1.k must also be met to waive the 24 month GFE requirement. However, in order to allow us to develop an appropriate recovery plan, I am asking if Region II would evaluate the status of the individuals listed above and indicate whether or not a waiver would be approved. If I need to follow up with a formal request (i.e. letter), please let me know so I may submit it in a timely manner.

In addition, I understand that you may not be the Chief Examiner for our March 2013 exam, however, I am asking for some assistance as to how I should proceed with a similar issue.

There is one individual that will be enrolled in the LOIT program this fall and is scheduled to take an Initial Operating Exam in March 2013 that is about 2 months shy of the prerequisite 36 month eligibility requirement. We currently plan on requesting a waiver for this individual as well but I

do not believe this would be considered a routine waiver as described in ES-204; thus requiring NRR approval. As you might expect, we would like to know whether or not this waiver request would be accepted. My question, how should I proceed? Should I submit a formal letter (signed by our VP) requesting evaluation of this request prior to submitting the Form 398 application? If so, when would submittal of a request be considered timely?

Any assistance on these issues would be greatly appreciated.



*Nuclear Operations Training Supervisor*

*Vogtle Electric Generating Plant*

*External: 706.826.3922*

*Internal: 8.695.3922*

*Pager: 750*

A courtesy letter was sent to the NRC, NOT 03459. Page 18 of this affidavit includes a copy of that letter.

**PERSONALLY IDENTIFIABLE INFORMATION - WITHHOLD UNDER 10 CFR 2.390**

NRC FORM 398 (1-2010) 10 CFR 55.31, 55.35, 55.47, and 55.67		U.S. NUCLEAR REGULATORY COMMISSION		APPROVED BY CARD: NO. 3160-0090 (Additional burden per response to comply with the regulatory collection request 233 note. NRC requires this information to ensure full legal compliance with all requirements for issuing reactor operator certifications. See comments regarding burden estimate to the Records and FOIA Policy Services Branch (7-5 P&S), U.S. Nuclear Regulatory Commission Washington, DC 20545-0001, or by e-mail to <a href="mailto:records@nrc.gov">records@nrc.gov</a> , and to the Desk Officer, Office of Information and Regulatory Affairs, NECA-10282, (3150-0060), Office of Management and Budget, Washington, DC 20503. If a record used to create an information collection does not display a currently valid OMB control number, the OMB may not conduct or sponsor, and a person is not required to respond to, the information collection.)		EXPIRES: 04/28/2013 DATE RECEIVED (To be completed by NRC)	
<b>PERSONAL QUALIFICATION STATEMENT-LICENSEE</b> TO REMAIN VALID, THIS FORM MUST NOT BE ALTERED							
1. APPLICANT'S FULL NAME (Last, First, Middle) AND ADDRESS (Include ZIP Code)				4. TYPE OF APPLICATION (Check appropriate boxes)			
<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input checked="" type="checkbox"/> Ms.  <b>SMITH, CHARLISSA CARLETTE'</b> 5029 REYNOLDS WAY GROVETOWN, GA 30813				<input type="checkbox"/> a. NEW <input type="checkbox"/> b. RENEWAL <input type="checkbox"/> c. UPGRADE <input type="checkbox"/> d. MULT-UNIT (Amend to include Additional Unit) <input checked="" type="checkbox"/> e. REAPPLICATION <input checked="" type="checkbox"/> 1 - FIRST DENIAL <input type="checkbox"/> 2 - SECOND DENIAL <input type="checkbox"/> 3 - THIRD DENIAL <input type="checkbox"/> 4 - WITHDRAWAL			
2. CITIZENSHIP <input checked="" type="checkbox"/> a. UNITED STATES <input type="checkbox"/> b. OTHER (Specify) _____				<input checked="" type="checkbox"/> f. WAIVER REQUESTED (Justify in Item 17) <input type="checkbox"/> 1 - WRITTEN (Category _____) <input checked="" type="checkbox"/> 2 - OPERATING (Category <b>All</b> ) <input type="checkbox"/> 3 - ELIGIBILITY <input type="checkbox"/> 4 - MEDICAL <input checked="" type="checkbox"/> 5 - OTHER <input checked="" type="checkbox"/> g. DATE PASSED GFE MM YY 1 2 0 9			
3. BIRTH DATE MONTH DAY YEAR 1 1 2 2 7 5							
5. TYPE OF LICENSE APPLIED FOR <input type="checkbox"/> a. OPERATOR (RO) <input checked="" type="checkbox"/> b. SENIOR OPERATOR (SRO) <input type="checkbox"/> c. LIMITED SRO (LSRO)				6. CURRENT OR PREVIOUS LICENSE(S) HELD a. DOCKET NO. RO SRO LSRO 055- 2369-4 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> b. LICENSE NUMBER c. EXPIRATION DATE MONTH DAY YEAR d. FACILITY DOCKET NUMBER 050-			
7. NAME AND ADDRESS OF APPLICANT'S EMPLOYER (Include ZIP Code)  Southern Nuclear Operating Company - Plant Vogtle 7821 River Road Waynesboro, GA 30830				10. CURRENT POSITION AT FACILITY <input type="checkbox"/> a. PLANT SUPERINTENDENT/MANAGER <input type="checkbox"/> b. ASSISTANT PLANT SUPERINTENDENT/MGR. <input type="checkbox"/> c. SHIFT SUPERVISOR <input type="checkbox"/> d. STAFF ENGINEER <input type="checkbox"/> e. SHIFT TECHNICAL ADVISOR/SHIFT ENGINEER <input type="checkbox"/> f. INSTRUCTOR <input type="checkbox"/> g. SENIOR CONTROL ROOM OPERATOR <input type="checkbox"/> h. CONTROL ROOM OPERATOR			
8. NAME OF APPLICANT'S FACILITY Vogtle Electric Generating Plant				FACILITY DOCKET NUMBER 050-424			
9. ADDITIONAL FACILITY DOCKETS (Multi-Unit Licensee) 050-425				<input checked="" type="checkbox"/> i. AUXILIARY UNIT OPERATOR/ TRAINING/TURBINE BUILDING/EQUIPMENT OPERATOR (NON- LICENSED OPERATOR) <input checked="" type="checkbox"/> j. OTHER (Specify) <u>Shift Support Supervisor</u> <u>in Training</u>			
11. EDUCATION							
a. HIGH SCHOOL <input checked="" type="checkbox"/> GRADUATE <input type="checkbox"/> GED EQUIVALENCY <input type="checkbox"/> NO		b. COLLEGE MAJOR AREA(S) OF STUDY ENGINEERING OTHER Chemistry		NUMBER OF YEARS 4		HIGHEST DEGREE OBTAINED (Use Code) 0 - NONE 1 - CERTIFICATE 2 - ASSOCIATE 3 - BACHELOR 4 - MASTER 5 - DOCTORAL 3	
				c. VOCATIONAL/TECHNICAL TYPE OF TRAINING		NUMBER OF MONTHS YEAR MONTH YES NO	
12. POWER REACTOR OPERATOR TRAINING PROGRAM							
a. HAS THE APPLICANT COMPLETED THE OPERATOR TRAINING PROGRAM ACCREDITED BY THE NATIONAL NUCLEAR ACCREDITING BOARD? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO				b. IS A "PLANT-REFERENCED SIMULATOR" (AS DEFINED IN 10 CFR 55.4) USED IN THE OPERATOR TRAINING PROGRAM? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO			
13. TRAINING (Since Last Application - See Instructions)				14. SIGNIFICANT CONTROL MANIPULATIONS			
a. CLASSROOM 1 - NUCLEAR POWER PLANT FUNDAMENTALS 2 - PLANT SYSTEMS 3 - PLANT PROCEDURES		MONTH AND YEAR FROM TO NUMBER OF WEEKS		b. Reference Item #17 c. d. e. f. g. h. i.		PLANT SIMULATOR	
b. SIMULATOR c. SRO INSTRUCTION d. EXTRA PERSON ON SHIFT IN CONTROL ROOM e. TIME ON SHIFT ABOVE 20% POWER f. REQUALIFICATION g. OTHER (Specify) Remedial Training		06/2011 03/2012 25					

NRC FORM 398 (1-2010)

15. EXPERIENCE DETAILS					
POSITION TITLE	FROM	TO	MONTHS	FACILITY	DUTIES

16. FOR RENEWALS ONLY			
a. HOURS OPERATED FACILITY: <input type="checkbox"/> < 100 (LESS THAN) <input type="checkbox"/> 100 - 1000 <input type="checkbox"/> > 1000 (MORE THAN)	b. DATE AND RESULT OF LAST WRITTEN COMPREHENSIVE REQUALIFICATION EXAM AND ANNUAL OPERATING TEST.	DATE	RESULT <input type="checkbox"/> PASS <input type="checkbox"/> FAIL <input type="checkbox"/> PASS <input type="checkbox"/> FAIL

17. COMMENTS
Item #4.f.2: Passed all categories of the Operating test given from March 16-24, 2011. Item #4.f.5: Reference attachment to this license application. Item #13.g: Reference attachment to this license application. Item #14: Reference attachment to this license application.

18. NRC FORM 306, CERTIFICATION OF MEDICAL EXAMINATION BY FACILITY LICENSEE, IS ATTACHED

19. SIGNATURES			
<b>ANY FALSE STATEMENT OR OMISSION IN THIS DOCUMENT, INCLUDING ATTACHMENTS, MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS.</b>			
19a. I certify under penalty of perjury that the information in this document and attachments is true and correct in accordance with the instructions. I further certify that I have notified my current employer of: (1) all previous employers; (2) any instance where I have been tested by a Health and Human Services (HHS) Certified Drug Testing Laboratory or a Licensee's testing facility for alcohol or a controlled substance, and the test results exceeded the cutoff levels established pursuant to 10 CFR Part 26; (3) any instance where I have been arrested for the sale, use, or possession of a controlled substance described in 10 CFR Part 26; and (4) any reasons for removal or revocation of unrestricted access of a nuclear facility. I also authorize the NRC to submit the results of examinations to my employers for use in preparing training programs, as necessary.			
SIGNATURE - APPLICANT	DATE		
CHECK APPLICABLE BOX FOR TYPE OF APPLICATION (i.e., check (b) if item 4.a, 4.c, 4.d, or 4.e is checked; check (c) if item 4.b, "RENEWAL," applies) <input checked="" type="checkbox"/> b. I certify that: (1) the above named individual has successfully completed the facility licensee's requirements to be licensed as an Operator/Senior Operator pursuant to Title 10, Code of Federal Regulations, Part 55; (2) the individual has a need for an Operator/Senior Operator license to perform his/her assigned duties; and (3) the facility will be made available for the examination. I also certify under penalty of perjury that the information in this document and attachments is true and correct. <input type="checkbox"/> c. I certify that the above named individual completed the approved requalification program (with the exceptions noted in item 17) required by section 50.54(-1) of 10 CFR 50, and that he/she has discharged his/her licensed responsibilities competently and safely. I also certify under penalty of perjury that the information in this document and attachments is true and correct.			
TRAINING COORDINATOR	SENIOR MANAGEMENT REPRESENTATIVE ON SITE		
PRINTED OR TYPED NAME AND TITLE <b>Robert J. Brown, Jr. - Vogtle Training Manager</b>	PRINTED OR TYPED NAME AND TITLE <b>Tom E. Tynan, Vice President - Vogtle</b>		
SIGNATURE	DATE	SIGNATURE	DATE

FOR NRC USE					
WAIVER (Check or Complete items, as applicable) <input type="checkbox"/> MEETS REQUIREMENTS <input type="checkbox"/> DOES NOT MEET REQUIREMENTS (Explain below)					
CATEGORY	GRANTED BY		DENIED BY		
	HEADQUARTERS	REGION	HEADQUARTERS	REGION	
WRITTEN					
OPERATING					
ELIGIBILITY					
MEDICAL					
OTHER					
SIGNATURE					DATE

Attachment to License Application

Smith, Charlissa Carlette - Docket # 055-23694

Item # 4.f.5: Per NUREG 1021 ES-204 section D.1.k, request waiver of the GFE within 2 years of application due to applicant successfully completing study and passing a GFE that was prepared by the Plant Vogtle training staff in accordance with section D of NUREG 1021 ES-205 using the ES-204 D.1.k. (2) method and administered under controlled conditions on 10/28/11

Item #13.g: Applicant has successfully completed a comprehensive remedial training program for SRO duties. The program included remedial training on areas of weakness identified in the denial letter dated May 9, 2011 and on the knowledge areas of the NRC K/A catalog (NUREG-1122) that an SRO applicant may be evaluated on. Applicant successfully completed a facility developed 100 question SRO written Audit examination.



**NRC FORM 398 APPLICATION INFORMATION ATTACHMENT (NUREG 1021 ES-202)**

Smith, Charlissa Carlette'  
Docket ID # 055-23694

**Item # 17. Comments**

Item # 14:

\* Credited 10 CFR 55.31(a)(5) and NUREG-1021 ES-202 Section C.1.c Control Manipulations (date, time, type, magnitude, location).

Date	Time	Type	Power Change	Temp Change	Location	Position	Notes
5/25/10	22:20	* 6 gallons boration	0%	0.13°F	Unit 2	OATC	RCS boration per 13009-2 to maintain Tav <sub>g</sub> for 100% power per 12004-C
10/21/10	18:20	* Raised Turbine load 1.4%	1.4%	0.5°F	Unit 1	UO	Raised turbine load using load limiter to achieve 100% reactor power.
1/11/11	19:46	* 10.1% Power reduction	10.1%	3.9°F	Simulator	OATC	SEG V-LO-61204 scenario 2 Rod insertion 26 steps w/ frequent borations to raise boron from 44 to 57 ppm.
1/13/11	22:56	* 10.6% Power reduction	10.6%	4.06°F	Simulator	UO	SEG V-LO-61204 scenario 2 Reduced turbine load in 10-20 MWe increments using the load set potentiometer.
1/18/11	20:52	* 30.4% Rapid load reduction	30.4%	14.3°F	Simulator	OATC	SEG V-LO-61204 scenario 1 Inserted rods 115 steps and completed two 150 gal borations during load reduction.
5/9/10	14:52	20 gallons dilution	0%	0.06°F	Unit 1	OATC	RCS dilution per 13009-1 to maintain Tav <sub>g</sub> for 100% power per 12004-C
5/10/10	07:06	20 gallons dilution	0%	0.07°F	Unit 1	OATC	RCS dilution per 13009-1 to maintain Tav <sub>g</sub> for 100% power per 12004-C
5/10/10	12:04	20 gallons dilution	0%	0.06°F	Unit 1	OATC	RCS dilution per 13009-1 to maintain Tav <sub>g</sub> for 100% power per 12004-C
5/26/10	04:05	6 gallons boration	0%	0.10°F	Unit 1	OATC	RCS boration per 13009-1 to maintain Tav <sub>g</sub> for 100% power per 12004-C
1/11/11	18:28	38.7% Rapid load reduction	547 MWe	13.8°F	Simulator	UO	SEG V-LO-61204 scenario 1 Reduced turbine load at 5%/min per 18013-C

Southern Nuclear  
Operating Company, Inc.  
Vogtle Electric Generating Plant  
7821 River Road  
Waynesboro, Georgia 30680  
Tel 703 724 1562 or 703 554 3961



July 13, 2011

NOT-04359

U. S. Nuclear Regulatory Commission, Region II  
Marquis One Tower - Suite 1200  
245 Peachtree Center, N.E.  
Atlanta, Georgia 30303

ATTN: Mr. Mark Franke

VOGTLE ELECTRIC GENERATING PLANT  
LICENSE CANDIDATE WAIVER REQUEST

Dear Mr. Franke:

Southern Nuclear Operating Company (SNC) is submitting, in accordance with NUREG 1021, ES-204 Section D.1.a, a request for waiver for the Operating Exam portion of the Initial License Examination scheduled to be administered at the Vogtle Electric Generating Plant in March 2012 for the individuals identified below. The individuals passed the Operating Exam portion of the Initial License Exam administered in March, 2011.

<u>CANDIDATE</u>	<u>DOCKET NUMBER</u>
[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]
C.C. Smith	55-23074

Please contact [REDACTED] if you should have questions.

Sincerely,

T. E. Tynan  
Vice President - Vogtle

U. S. Nuclear Regulatory Commission  
NOT-04359  
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TET/mcm

Attachments

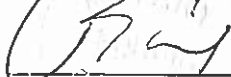
- xc: Southern Nuclear Operating Company  
Operations Manager  
Employee License File  
R-Type C5000, w/o attachment
  
- xc: U. S. Nuclear Regulatory Commission, Washington, DC  
Document Control Desk, w/o attachment
  
- xc: U. S. Nuclear Regulatory Commission, Region II  
Victor McCree, Regional Administrator  
Senior Resident Inspector - Vogtle, w/o attachment

This 20<sup>th</sup> day of May, 2013



#Sworn to and subscribed before me

This 20 day of May, 2013

  
\_\_\_\_\_  
Notary Public

*NP 44 Sept 2013*