



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

July 3, 2013

Mr. Joseph W. Shea
Vice President, Corporate Nuclear Licensing
Tennessee Valley Authority
3R Lookout Place
1101 Market Street
Chattanooga, TN 37402-2801

SUBJECT: WATTS BAR NUCLEAR PLANT, UNITS 1 AND 2 – STAFF ASSESSMENT OF LICENSEES' RESPONSE TO INFORMATION REQUEST PURSUANT TO 10 CFR 50.54(f) LETTER - NEAR-TERM TASK FORCE RECOMMENDATION 9.3, COMMUNICATIONS, (TAC NOS. MF0045 AND MF1871)

Dear Mr. Shea:

By letter dated March 12, 2012 (Agencywide Documents Access and Management System Accession No. ML12053A340), the U.S. Nuclear Regulatory Commission (NRC) issued a Request for Information (RFI) pursuant to Section 50.54, paragraph (f) of Title 10 of the *Code of Federal Regulations*, hence referred to as the RFI. This request was issued as a part of implementing lessons-learned from the accident at the Fukushima Dai-ichi nuclear power plant. Enclosure 5 to the RFI letter contained specific requested information associated with the NRC's Near Term Task Force (NTTF) Recommendation 9.3 for "Emergency Preparedness." Enclosure 5 focused on communications and requested that licensees: assess their current communications systems and equipment used during an emergency event with the specified assumptions in the RFI letter; identify any enhancements that may be needed; and assess the means to power the new and existing communications equipment onsite and offsite during a prolonged station blackout event.

The Tennessee Valley Authority (TVA) in its letter dated June 11, 2012, identified interim actions taken or planned to enhance existing communications systems power supplies during implementation of the communication systems improvements. By letter dated October 31, 2012, TVA provided an assessment of the current communications systems and equipment to be used during an emergency event for Watts Bar Nuclear Plant (WBN), Units 1 and 2. On January 23, 2013, the NRC issued a letter to all power reactor licensees and holders of construction permits regarding eight generic technical issues for resolution regarding the licensee communication submittals for Recommendation 9.3. In response to that letter, TVA submitted a letter dated February 22, 2013.

The NRC staff has reviewed TVA's responses to the RFI letter associated with Recommendation 9.3 for communications for WBN, as documented in the enclosed staff assessment. The NRC staff determined that TVA's assessment for communications is reasonable, and the interim measures, analyzed existing systems, and proposed enhancements will help to ensure that communications are maintained during a beyond design-base accident.

J. Shea

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Further, in coordination with NTTF Recommendation 4.2 (mitigating strategies), NRC staff is planning to following up with TVA to confirm that upgrades to the site's communications systems have been completed.

If you have any questions, please contact me at (301) 415-8480.

Sincerely,

A handwritten signature in black ink, appearing to read "Andrew Hon". The signature is fluid and cursive, with the first name "Andrew" and the last name "Hon" clearly distinguishable.

Andrew Hon, Project Manager
Plant Licensing Branch II-2
Division of Operating Reactor Licensing
Office of Nuclear Reactor Regulation

Docket Nos. 50-390 and 50-391

Enclosure:
Safety Assessment

cc w/encl: Distribution via Listserv



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

SAFETY ASSESSMENT BY THE OFFICE OF NUCLEAR REACTOR REGULATION

ASSESSMENT OF COMMUNICATIONS IN RESPONSE TO

REQUEST FOR INFORMATION DATED MARCH 12, 2012

TENNESSEE VALLEY AUTHORITY

WATTS BAR NUCLEAR PLANT, UNITS 1 AND 2

DOCKET NOS. 50-390; 50-391

1.0 INTRODUCTION

By letters dated May 11, 2012 (Agencywide Documents Access and Management System (ADAMS) Accession No. ML12136A131), June 11, 2012 (ADAMS Accession No. ML12164A678), October 31, 2012 (ADAMS Accession No. ML12311A297), and February 22, 2013 (ADAMS Accession No. ML13058A067), Tennessee Valley Authority (TVA, the licensee) provided information related to an assessment of its communications capabilities in response to the U.S. Nuclear Regulatory Commission (NRC)'s March 12, 2012 (ADAMS Accession No. ML12053A340), request for information (RFI) under Title 10 to the *Code of Federal Regulations* (10 CFR), Section 50.54(f) regarding the Near-Term Task Force (NTTF) Recommendation 9.3 on emergency preparedness communications for Watts Bar Nuclear Plant, Units 1 and 2.

TVA's letter dated June 11, 2012, identified the interim actions taken or planned to be taken during the period of implementation of the planned improvements to the communications systems and procedures. TVA, in its letters dated October 31, 2012 and February 22, 2013, provided an assessment of the current communications systems and equipment to be used during an emergency event and identified any enhancements needed to ensure communications are maintained during and following a beyond design basis large-scale natural event. In this assessment it was assumed that a large-scale natural event causes: (1) a loss of all alternating current (ac) power; and (2) extensive damage to normal and emergency communications systems both onsite and in the area surrounding the site (i.e., within 25 miles of the site, consistent with the industry guidance endorsed by NRC's May 15, 2012, letter (ADAMS Accession No. ML12131A043)).

Watts Bar Unit 2 is currently under construction and received the same RFI. Both Unit 1 and Unit 2 share the common control room area and emergency communication assets. TVA's response letters addressed both units. In addition, in the Staff Requirements Memorandum (SRM) for SECY-07-0096, the Commission directed the staff to use the current licensing basis for Unit 1 as the reference basis for the review and licensing of Unit 2. Therefore, these

Enclosure

enhancements to the site communications systems are also expected to be applicable to Unit 2 when it becomes operational after receiving its operating license.

1.1 Background

By letter dated March 12, 2012 (ADAMS Accession No. ML12053A340), the NRC issued an RFI pursuant to 10 CFR 50.54(f). This request was issued as a part of implementing lessons-learned from the accident at the Fukushima Dai-ichi nuclear power plant. Enclosure 5 to the RFI letter contained specific requested information associated with the NRC's NTTF Recommendation 9.3 for "Emergency Preparedness." The NRC, in Enclosure 5, requested that licensees: assess their current communications systems and equipment used during an emergency event with the specified assumptions in the RFI letter; identify any enhancements that may be needed; and assess the means to power the new and existing communications equipment onsite and offsite during a prolonged station blackout event. Specifically, the licensee's assessment should:

- identify any planned or potential improvements to existing onsite communications systems and their required normal and/or backup power supplies;
- identify any planned or potential improvements to existing offsite communications systems and their required normal and/or backup power supplies;
- provide a description of any new communications system(s) or technologies that will be deployed based upon a large-scale natural event and damage to communications systems onsite and offsite; and
- provide a description of how the new and/or improved systems and power supplies will be able to provide for communications during a loss of all ac power.

The RFI letter also asked for licensees to:

- describe any interim actions that have been taken or are planned to be taken to enhance existing communications systems power supplies until the communications assessment and the resulting actions are complete; and
- provide a schedule of the time needed to implement the results of the communications assessment.

The NRC, in the RFI letter, requested that addressees submit a written response to the items in Recommendation 9.3, related to communications, within 90 days of the date of issuance of the letter. The March 12, 2012, letter states that if an addressee cannot meet the requested response date, then the addressee must respond within 60 days of the date of the letter, and describe the alternative course of action that it proposes to take, including any estimated completion date. In its letter dated May 11, 2012, TVA committed to submitting its partial response to the requested information by June 11, 2012, and its completed response regarding a communications assessment and implementation schedule by October 31, 2012. By letter dated June 11, 2012, TVA provided its description of any interim actions (discussed in further detail in Section 3.0) that have been taken or are planned to be taken to enhance existing communications systems power supplies until the communications assessment and the resulting actions are complete. The NRC staff acknowledged, by letter dated July 26, 2012

(ADAMS Accession No. ML12200A106), that TVA provided the information requested in the RFI regarding the 90-day response for Recommendation 9.3 for interim actions.

A followup letter to all power reactor licensees and holders of construction permits for eight generic technical issues for resolution regarding licensee communication submittals associated with Recommendation 9.3 was issued by the NRC on January 23, 2013 (ADAMS Accession No. ML13010A162). By letter dated February 22, 2013 (ADAMS Accession No. ML13058A067), the licensee provided the requested information in response to this followup letter.

2.0 REGULATORY EVALUATION

The NRC staff reviewed the licensee's responses to the RFI against the regulations and guidance described below.

2.1 Regulations

Section 50.47, "Emergency plans," to 10 CFR Part 50, sets forth emergency plan requirements for nuclear power plant facilities.

Section 50.47(b) establishes the standards that the onsite and offsite emergency response plans must meet for NRC staff to make a positive finding that there is reasonable assurance that the licensee can and will take adequate protective measures in the event of a radiological emergency. Planning Standard (6) of this section requires that a licensee's emergency response plan contain provisions for communications among response organizations to emergency personnel and the public. Planning Standard (8) requires that the design should include adequate emergency facilities and equipment to support emergency response.

Section IV.D of Appendix E, "Emergency Planning and Preparedness for Production and Utilization Facilities," to 10 CFR Part 50, requires that a licensee have the capability to notify responsible State and local governmental agencies within 15 minutes after declaring an emergency. The design objective of the alert and notification system shall be to have the capability to complete the alerting and initiate notification of the public within the plume exposure pathway within approximately 15 minutes. This alerting and notification capability will include a backup method of public alerting and notification.

Section IV.E of Appendix E, "Emergency Planning and Preparedness for Production and Utilization Facilities," to 10 CFR Part 50, states that adequate provisions will be made and described for emergency facilities including at least one onsite and one offsite communications system; and each system shall have a backup power source. These arrangements will include:

- a. Provision for communications with contiguous State/local governments within the plume exposure pathway emergency planning zone.
- b. Provision for communications with Federal emergency response organizations.
- c. Provision for communications among the nuclear power reactor control room, the onsite technical support center, and the emergency operations facility; and among the nuclear facility, the principal State and local emergency operations centers, and the field assessment teams.

- d. Provisions for communications by the licensee with NRC Headquarters and the appropriate NRC Regional Office Operations Center from the nuclear power reactor control room, the onsite technical support center, and the emergency operations facility.

2.2 Guidance

Nuclear Energy Institute (NEI) 12-01 "Guideline for Assessing Beyond Design Basis Accident Response Staffing and Communications Capabilities," presents a methodology for licensees to analyze their ability to perform critical communications during and after a large-scale natural event. NRC staff has previously reviewed (ADAMS Accession No. ML12131A043) NEI 12-01 and determined that it was an acceptable method for licensees to use in responding to NRC's RFI.

The staff reviewed TVA's analyses against the assumptions and guidance within NEI 12-01, Sections 2.2, 2.4 and 4. These sections provide a discussion on the assumptions and criteria to be used for a communications assessment.

3.0 TECHNICAL EVALUATION

In its October 31, 2012, letter, TVA submitted its assessment of communications assuming a large-scale natural event, which would lead to an extended loss of all ac power. This letter included a discussion of required communications links, primary and backup methods of communications, and any identified improvements.

By letter dated February 22, 2013, TVA submitted supplemental information to its October 31, 2012, letter, in response to the NRC generic technical issues for resolution dated January 23, 2013. In addition to the letter dated October 31, 2012, the NRC staff reviewed TVA's letters dated June 11, 2012 (regarding interim actions), and February 22, 2013, as part of this safety assessment.

3.1 Communication Areas Reviewed

3.1.1 Communication Links

Watts Bar Nuclear Plant Unit 1 currently has communications capabilities with offsite response organizations, the NRC, between licensee emergency response facilities, with field and offsite monitoring teams, and with in-plant and offsite licensee emergency response organization staff. As part of its communications assessment, TVA has determined that many of the communications equipment described in their emergency plan can be assumed to not be available. However, certain existing onsite communications system equipment, such as satellite phones, radio-to-radio communications, and sound powered phones, would be available after implementation of planned enhancements, for some communication links listed above given a seismic, high wind, or flooding event. The availability of these systems was determined by evaluating the equipment against seismic, flooding, and high wind events. The final location of the portable equipment will be consistent with criteria contained within NEI 12-06, "Diverse and Flexible Coping Strategies (FLEX) Implementation Guide." NEI 12-01 discusses that this FLEX criteria is a reasonable definition of protectiveness. See additional details in the next section.

As an interim measure prior to the implementation of all planned enhancements, TVA purchased additional supplies of portable satellite phones that are available for use onsite. Existing radio-to-radio communications are available to allow for onsite communications; a repeater with antenna will be added prior to June 30, 2013, to augment radio communications. Portable generators have been purchased for the site as well, to help power satellite phone and radio batteries. Portable communications equipment is currently stored in the technical support center.

As a planned enhancement, TVA plans to purchase a new radio system for the site and enhance existing communication systems for links outlined in Section 4 of NEI 12-01. The new radio system and satellite phones will be used as one of the key methods for maintaining each offsite communication link. Communications onsite will utilize combinations of the sound powered phones and radio communications. The existing radio-to-radio communications will be enhanced by the new radio system and associated repeaters. The new radio system and repeaters will be in a protected area with backup power. The existing sound powered phones can augment onsite communications in conjunction with the new radio system. TVA also confirmed that satellite phone communications with offsite response organizations are available at these offsite locations. TVA will put most of these enhancements in place by December 30, 2014.¹

The NRC staff has reviewed TVA's expected communications links within their communications assessment. In reviewing their submittal, the NRC staff considered whether it is reasonable that each communication link can be maintained, after the implementation of all planned enhancements, in accordance with the NRC-endorsed guidance of NEI 12-01. The onsite satellite telephones are expected to help maintain communications offsite by their ability to function without infrastructure postulated to be damaged by a large-scale natural event. The new radio system will help maintain communications offsite and between emergency response facilities due to it being in a protected location with backup power. The new radio system and associated repeaters will also help ensure communications in areas of the plant due to its protective location and backup power. The sound powered phones will provide communications capabilities to augment the radio system in needed areas of the plant. The NRC staff concludes that since TVA's assessment for the availability of communications systems is reasonable, and planned enhancements are to be made for communications areas to help ensure reliability, TVA's interim measures and proposed enhancements will help to ensure that communications are maintained consistent with the assumptions in NRC-endorsed guidance of NEI 12-01.

3.1.2 Equipment Location

Watts Bar Nuclear Plant Unit 1 has analyzed the survivability of their existing equipment for large-scale natural events by utilizing guidance in Electric Power Research Institute NP-6041 "Nuclear Power Plant Seismic Margin" and/or criterion similar to FLEX guidance. Further, equipment locations were also analyzed to be protective against wind and flooding. Enhancements to equipment protection will be made by storing portable equipment in accordance with FLEX criteria. Specifically, a new building is to be constructed to meet NEI 12-06, which will house portable communications equipment and contain its own stand-alone electrical system. Protectiveness criteria (e.g., seismic, winds and flooding) was

¹ Power source items related to FLEX will be completed in alignment with NRC Order EA-12-049.

also used to determine ancillary equipment storage locations, including the new radio repeaters and generators that will be used to support the interim measures and/or planned enhancements. The completion of the new protective building will be in alignment with FLEX.

NRC staff reviewed TVA's submittal and verified that TVA has considered the equipment location and protection contained within the NRC endorsed guidance of NEI 12-01. The NRC staff also verified that all equipment discussed in Section 3.1.1 of this document has been analyzed to be available after a large-scale natural event or would be stored in a reasonably protected area from seismic, flooding, and high wind events as discussed in NEI 12-01. The NRC staff also ensured that ancillary equipment, such as generators, would be protected from seismic, flooding, and high wind events.

Based on this review, the staff considers TVA's analysis of communications assessment equipment survivability and proposed enhancements for equipment location to be consistent with NRC endorsed guidance NEI 12-01. This determination of equipment protection, support the conclusion that these measures will help to ensure communications equipment availability for a large-scale natural event.

3.1.3 Equipment Power and Fuel

Watts Bar Nuclear Plant Unit 1 has analyzed the availability of their communications system power supplies following the loss of all ac power. TVA has proposed a combination of batteries and generators to power site communications equipment, including the satellite phones, and radios. The site strategies will result in: (1) radios allowing for generator charging of batteries; (2) satellite phones allowing for generator charging of batteries; (3) the new site radio system and associated repeaters will have an eight hour battery backup with generator charging after that period; and (4) sufficient fuel for the generators for a greater than 24-hour duration. It is expected that this equipment has power to support communications for a minimum of 24 hours, based on assumptions for impeded site access. Finalized procedures for the generator operator actions will be completed in alignment with NRC order EA-12-049 and will be completed in accordance with licensee procedure NPG-SPP-09.3, "Plant Modifications and Engineering Change Control."

The NRC staff has reviewed TVA's communications assessment power supplies. In reviewing their submittal, the NRC staff finds it reasonable that power for the existing equipment and proposed enhancement equipment, as listed in Section 3.1.1 of this document, would remain available for a 24-hour duration, based on the expected availability of programmatic controls, generator fuel, and generators. Additionally, TVA's proposed enhancement is in accordance with NRC-endorsed guidance of NEI 12-01.

Based on this review, the staff considers TVA's analysis of equipment power and proposed enhancements for equipment power to be consistent with NRC-endorsed guidance NEI 12-01. This determination of available equipment power, support the conclusion that these measures will help to ensure communications equipment functionality for a large-scale natural event.

3.1.4 Procedures and Training

Watts Bar Nuclear Plant Unit 1 plans to implement site programmatic control strategies for communications equipment, in accordance with procedure NPG-SPP-09.3 and FLEX. This will ensure programmatic controls for potential shared use, operator action, testing, and maintenance. Procedures for emergency preparedness-related communications equipment will be in place by October 15, 2014. Licensee staff training will be evaluated and the results will be incorporated into operations and emergency response organization training programs by October 15, 2014.

Existing site procedures allow for the notification of plant employees after a large-scale natural event. TVA also has procedures in place for emergency response organization staff's self-activation due to large-scale disasters. These existing site capabilities will activate the offsite emergency response organization and notify plant staff.

The NRC staff reviewed TVA's commitments on the planned quality assurance and maintenance of the equipment and licensee staff training on the use of this equipment. The NRC staff determined that TVA's submittal is in accordance with the NRC-endorsed guidance of NEI 12-01.

Based on this review, the staff considers TVA's planned proceduralization for equipment use and licensee staff training to be consistent with NRC-endorsed guidance, NEI 12-01. This determination of equipment availability and functionality support the conclusion that these measures will help to ensure communications equipment functionality for a large-scale natural event.

3.2 Regulatory Commitments

TVA's regulatory commitments were provided in its submission dated October 31, 2012 and February 23, 2013, in response to the March 12, 2012, RFI.

The NRC staff's review did not solely rely on the regulatory commitments made for determination of the acceptability of TVA's communications assessment and the interim measures, analyzed existing systems, and proposed enhancements for the site.

4.0 CONCLUSION

The NRC staff has reviewed TVA's communications assessment for communications with or among: offsite response organizations, NRC, licensee emergency response facilities, field and offsite monitoring teams, and on-site and in-plant response teams. In reviewing their submittal, the NRC staff considered the factors outlined above, and determined that their assessment of existing equipment, proposed enhancements and interim actions were in accordance with the NRC-endorsed guidance of NEI 12-01. The staff concludes that TVA's assessment for communications is reasonable, and TVA's interim measures, analyzed existing systems, and proposed enhancements will help to ensure that communications are maintained. Further, in coordination with the NTF Recommendation 4.2 (mitigating strategies), NRC staff is planning to follow up with TVA to confirm that upgrades to the site's communications systems have been completed.

In the SRM for SECY-07-0096, the Commission directed the staff to use the current licensing basis for Unit 1 as the reference basis for the review and licensing of Unit 2; therefore, these enhancements to the site communications systems are also expected to help maintain communications for Watts Bar Unit 2.

Principal Contributor: Richard Chang

Date: July 3, 2013

J. Shea

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Further, in coordination with the NTTF Recommendation 4.2 (mitigating strategies), NRC staff is planning on following up with TVA to confirm that upgrades to the site's communications systems have been completed.

If you have any questions, please contact me at (301) 415-8480.

Sincerely,

/RA/

Andrew Hon, Project Manager
Plant Licensing Branch II-2
Division of Operating Reactor Licensing
Office of Nuclear Reactor Regulation

Docket Nos. 50-390 and 50-391

Enclosure:
Safety Assessment

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***by e-mail**

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