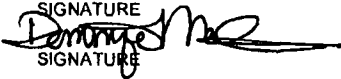
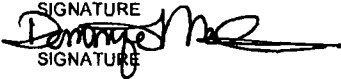


NRC FORM 662 (3-2007)		U.S. NUCLEAR REGULATORY COMMISSION		1. DATE OF ISSUE 04/15/2013	2. AGREEMENT NUMBER NRC-HQ-12-I-10-0008	3. MOD NO. 3
<b>AWARD OF INTERAGENCY AGREEMENT</b>				4. AGENCY LOCATOR NO. 31000001	5. B & R NUMBER 2013-40-51-P-156	
6. ISSUED BY U.S. Nuclear Regulatory Commission Dominique Malone Mailstop: TWB/ 1 A31M Washington, DC 20555				7. JOB CODE B1457	8. APPROPRIATION SYMBOL 31x0200	
PROJECT MANAGER Ron Deavers				9. BOC 253A	10. DOCUMENT IDENTIFICATION NUMBER	
				11. NAME AND ADDRESS OF SERVICING AGENCY US Department of Transportation Federal Aviation Administration 6500 S. MacArthur Blvd OKC, OK 73169		
12. JOB CODE TITLE IAA for NRC Prism Implementation.					13. AGREEMENT PERFORMANCE PERIOD BEGIN 06/01/2012 END 05/31/2013	
<b>14. OBLIGATION AVAILABILITY PROVIDED BY</b>						
A. THIS ACTION					\$ 210000	
B. TOTAL PLACED PRIOR TO THIS ACTION WITH THE PERFORMING ORGANIZATION UNDER THIS JOB CODE FOR THIS FISCAL YEAR					\$ 5960935	
C. TOTAL ORDERS TO DATE FOR THIS JOB CODE FOR THIS FISCAL YEAR					\$ 210000	
D. TOTAL ORDERS TO DATE FOR THIS AGREEMENT					\$ 6380935	
<b>15. ATTACHMENTS</b>				<b>16. SECURITY</b>		
THE FOLLOWING ATTACHMENTS ARE MADE A PART OF THIS AGREEMENT <input checked="" type="checkbox"/> STATEMENT OF WORK <input type="checkbox"/> ADDITIONAL TERMS AND CONDITIONS <input checked="" type="checkbox"/> OTHER (Specify) <u>DOT/ESC Forms</u>				<input type="checkbox"/> WORK ON THIS AGREEMENT INVOLVES CLASSIFIED INFORMATION <input checked="" type="checkbox"/> WORK ON THIS AGREEMENT INVOLVES SENSITIVE UNCLASSIFIED INFORMATION <input type="checkbox"/> WORK ON THIS AGREEMENT IS UNCLASSIFIED AND NOT SENSITIVE		
17. FEE BILLABLE UNDER 10 CFR PART 170 <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				18. REMARKS This modification is being issued to allow for monthly vulnerability scanning and to provide incremental funding. DUNS number 614876758		
19. AUTHORITY TO ENTER INTO INTERAGENCY AGREEMENT (Check only one)						
<input type="checkbox"/> ENERGY REORGANIZATION ACT OF 1974, AS AMENDED				<input type="checkbox"/> OTHER (Specify)		
<input checked="" type="checkbox"/> THE ECONOMY ACT OF 1932						
<input type="checkbox"/> THE CLINGER-COHEN ACT OF 1996						
20. ADVANCE PAYMENT <input type="checkbox"/> IS NOT AUTHORIZED <input checked="" type="checkbox"/> IS AUTHORIZED (Requires approval by Director, DFS/OCFO)						
<b>21. ESTIMATED COST FOR FULL PERFORMANCE OF THIS AGREEMENT</b>						
	FY 12	FY 13	FY 14	FY 15	FY 16	TOTAL
\$	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
<b>22. CERTIFICATION OF FUNDS</b>						
This certifies that funds in the amount cited in Block 14.A. are available in the current fiscal year allowance for work authorized by this agreement.						
FUNDS CERTIFICATION OFFICIAL (Typed Name) Patti Humphreys				SIGNATURE 		DATE 04/09/2013
<b>23. SIGNATURES</b>						
NRC ISSUING AUTHORITY (Typed Name and Title) Dominique Malone				SIGNATURE 		DATE 04/29/2013
SERVICING AGENCY OFFICIAL/DESIGNEE (Typed Name and Title)				SIGNATURE		DATE

NRC FORM 662 (3-2007) PRINTED ON RECYCLED PAPER

TEMPLATE - ADM001

SUNSI REVIEW COMPLETE

MAY 07 2013

ADM002

**NRC CONTACTS:  
TECHNICAL:**

FULL NAME Ron Deavers		ADDRESS Mailstop TWFN/ 9 K11 Washington, DC 20555
TELEPHONE NUMBER (301) 415-7301	FACSIMILE NUMBER (301) 415-0666	
E-MAIL ADDRESS Ron.Deavers@nrc.gov		

**ADMINISTRATIVE:**

FULL NAME Dominique Malone		ADDRESS Mailstop TWB/ 1 A31M Washington, DC 20555
TELEPHONE NUMBER (301) 492-3613	FACSIMILE NUMBER (301)492-3437	
E-MAIL ADDRESS Dominique.Malone@nrc.gov		

**OTHER AGENCY'S CONTACTS:  
TECHNICAL:**

FULL NAME Mike Upton		ADDRESS 6500 S. MacArthur Blvd OKC, OK 73169
TELEPHONE NUMBER 405-954-8980	FACSIMILE NUMBER	
E-MAIL ADDRESS Mike.Upton@faa.gov		

**ADMINISTRATIVE:**

FULL NAME Susan Bramante		ADDRESS 6500 S. MacArthur., MPB 204 OKC, OK 73169
TELEPHONE NUMBER (405) 954-4747	FACSIMILE NUMBER	
E-MAIL ADDRESS Susan.Bramante@faa.gov		

**BILLING INFORMATION:** To receive reimbursement under this agreement, forward to NRC on a (check one):

monthly     quarterly     other \_\_\_\_\_ basis; an original and three copies of Standard Form 1081 in accordance with the Treasury Fiscal Requirements Manual, Bulletin No. 78-09, or, if possible, bill monthly through the OPAC system. Send reimbursement requests to the following address:

Payment Policy and Obligations Team  
Mail Stop: T-9 F30  
Division of Financial Services  
Office of the Chief Financial Officer  
U.S. Nuclear Regulatory Commission  
Washington, DC 20555-0001

Any NRC funds remaining unexpended at the end of a fiscal year may be carried over into future fiscal years unless otherwise notified by NRC.

**REPORTING REQUIREMENTS:** Submit reports to the NRC in accordance with the statement of work. Submit financial status reports on a (check one):

monthly     quarterly     other \_\_\_\_\_ basis. These reports shall contain a brief letter status report which summarizes the expenditure of NRC funds. This report shall address the following categories, as applicable: (1) staff effort; (2) travel; (3) equipment and supplies; and (4) subcontract costs. Each report shall include by category: (a) costs for the previous month; (b) cumulative costs and uncosted obligations to date; and (c) projections for the remainder of the NRC obligated funds. The first monthly report shall provide the initial projections, and subsequent reports shall either indicate revised projections or indicate "no change in the cost and uncosted expenditure projection."

Submit these reports to the NRC Technical Contact by the 20th day of the month following the reporting period.

**TERMINATING THE AGREEMENT:** This agreement may be unilaterally terminated by either party generally upon 30 days' written notice to the other party. NRC will pay its share of any project expenses up to the termination date. Any expenses incurred in terminating this agreement will be paid by the party terminating the agreement. Any unexpended funds shall be returned to the NRC.

The purpose of this modification is to:

- 1) Include three (3) additional servers in the ESC Demilitarized zone to support NRC two factor authentications for STAQS user access. The additional servers are essential to the implementation and were inadvertently overlooked in the original implementation plan and cost estimate. The servers are required to support two-factor authentication and allow the NRC to meet the criteria to achieve Authority to Operate, which is an essential element for deploying the STAQS system.
- 2) Obligate funds in the amount of \$210,000.00 for monthly vulnerability scanning, continuing implementation of STAQS, the addition of the three (3) servers in the ESC Demilitarized zone, and provide incremental funding. Accordingly, the total obligated amount on this IAA is increased by \$210,000.00 from \$5,960,935.00 to \$6,170,935.00.

Period of Performance – June 1, 2012- May 31, 2013.

Obligated Amount – \$6,170,935

Ceiling Amount – \$ 7,745,495

**Interagency/Intra-agency Agreement**

**Parties to the Agreement**

REQUESTING AGENCY/BUYER		SERVICING AGENCY/SELLER	
1A. Department	S. Number Regulatory Commission	1B. Department	Department of Transportation
2A. Agency		2B. Agency	Federal Aviation Administration
3A. Office		3B. Office	Office of Information Technology
4A. OBLIGATION NUMBER		4B. AGREEMENT NUMBER	FY 13 SW L50112 P611000
5A. Agency Location Code (ALC)	3100001	5B. Agency Location Code (ALC)	09001104
6A. Data Universal Numbering Sys # (DUNS)	40530529	6B. Data Universal Numbering Sys # (DUNS)	805772007
7A. Tax Identification # (TIN)		7B. Tax Identification # (TIN)	710288975
8A. Treasury Appropriation Fund Symbol (TAFS)	71X0200	8B. Treasury Appropriation Fund Symbol (TAFS)	69X6662
9A. Trading Partner Code		9B. Trading Partner Code	8912
10A. Accounting Classification Code	Dist. Anncop code	10B. Accounting Classification Code	
1212ACINRCIMPL00000025705ACRET31000 DATE 12X0000000 R00000518 58144; 1212ACINRCIMPL00000025705ACRET40000 DATE 12X0000000 R90000032 17049; 1212ACINRCIMPL00000025705ACRET51000 DATE 12X0000000 R900000314 07700			

**Points of Contact for the Agreement**

REQUESTING AGENCY/BUYER		SERVICING AGENCY/SELLER	
<b>11A. Finance Point of Contact</b>		<b>11B. Finance Point of Contact</b>	
Name	Patti Humphreys	Name	Angela McKinney
Address	1431M Market TARB	Address	6100 S. MacArthur Blvd. AMN-0001
City	Washington DC 20555	City	OKC OK 73169
Phone		Phone	405-954-3312
E-mail	patti.humphreys@nrc.gov	E-mail	angela.mckinney@faa.gov
<b>12A. Program Point of Contact</b>		<b>12B. Program Point of Contact</b>	
Name	Dominique Malone	Name	Bo Penier
Address	1431M Market TARB	Address	6100 S. MacArthur Blvd. AMN-0001
City	Washington DC 20555	City	OKC OK 73169
Phone		Phone	405-954-3301
E-mail	dominique.malone@nrc.gov	E-mail	bo.penier@faa.gov

13. Billing of Performance	DDLS	11.29.2013	14. Legal Authority	Public Law 104-209, Title 2257
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15. Total Agreement Amount (estimate)	\$ 34,778.93	16. Payment Terms and Schedule	Advance Payment Required
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17. Brief Description of the Supplies, Services and Deliverables Required and Option Years, if Any

Adding three virtual servers to the DMZ through the end of implementation

Adding 3 Virtual Servers into DMZ:	
Hosting Services	\$ 18,561.00
Security/Management Services	\$ 2,170.49
Security Services	\$ 14,027.00
<b>Total Costs</b>	<b>\$ 34,778.93</b>

This is the base year of agreement (FPRR/BSW/BSO/PNRC-12). This agreement has 4 option years that can be exercised. The final option year is F116.

Subject to Availability of Funding - Advances will be taken in accordance with continuing resolution guidelines. Upon approval of the DOT Appropriation, advances will be taken quarterly.

**For Internal Use ONLY**

**Franchise Agreement Financial History (addendum)**

**Authorized Approvals**

REQUESTING AGENCY/BUYER	SERVICING AGENCY/SELLER
18. Approved by: Dominique Malone, Sr. Contract Specialist, NRC	20. Approved by: Bo Penier, Program Director, Office of Information Services, AMN-0001
19. Approved by: Patti Humphreys, FCO	21. Approved by: <i>Dominique Malone</i> 4/23/2013

**NRC - Adding 3 VMs in DMZ  
FY13**

Version Number: 2.0

<b>Hosting Services</b>		Server Capacity - includes costs for hardware, software, and associated items, travel (if required) as well as professional services to maintain server(s) at the Primary Facility.	\$18,581.44
		<b>Total:</b>	<b>\$18,581.44</b>
<b>TeleCommunications</b>		Labor (Network and Firewall Management)	\$2,170.49
		<b>Total:</b>	<b>\$2,170.49</b>
<b>Security Services</b>		Scanning Services (Monthly Vulnerability (10 months), Biannual Web App Scan (1 website), and Penetration Scanning (1 test))	\$14,027.00
		<b>Total:</b>	<b>\$14,027.00</b>
<b>NRC - Adding 3 VMs in DMZ</b>			<b>\$34,778.93</b>



## NRC STAQS Implementation Project Change Request

<b>Change Request Form</b>	
<b>Change #:</b> NRCIMPL010	<b>Requested By:</b> Ron Deavers/Ray Crouse
<b>Date Requested:</b> 2/6/2013	<b>Change Assigned To:</b> Cliff Johnson/ Matt Taylor
<b>Change Description:</b> 1. Revise the STAQS Implementation IAA to include three additional servers in the ESC Demilitarized zone to support NRC two factor authentication for STAQS user access.	
<b>Business Benefit:</b> Enable the STAQS implementation to positively authenticate all users.	
<b>Implications of Not Making the Change:</b> <ul style="list-style-type: none"><li>• ATO will not be granted</li><li>• STAQS will not be deployed on schedule.</li></ul>	
<b>Impact to the Project:</b> STAQS will not provide secure access and the DAA will not grant an ATO. STAQS will not be deployed as planned.	
<b>Alternatives:</b> 1. None.	
<b>Approval from Project Manager for Recommended Action:</b>	
Cliff Johnson	Project Manager, DOT/FAA/ESC
<b>Name</b>	<b>Title</b>
<b>Date</b>	<b>Signature</b>
<b>Approval from COR for Recommended Action:</b>	
Ron Deavers	Contract Officer Representative, NRC
<b>Name</b>	<b>Title</b>
02/07/2013	Ronald E. Deavers
<b>Date</b>	<b>Signature</b>
<b>Approval from Compusearch PM for Recommended Action:</b>	
James Morgan	Project Manager, Compusearch

Digitally signed by Ronald E. Deavers  
DN: c=US, o=U.S. Government, ou=NRC, ou=U.S.  
Nuclear Regulatory Commission, cn=Ronald E.  
Deavers, o.9.2342.19200300.100.1.1-700000945  
Date: 2013.02.07 19:15:57 -0500'



# NRC STAQS Implementation Project Change Request

<b>Name</b>	<b>Title</b>
<b>Date</b>	<b>Signature</b>
<b>Approval from Sponsor Representative for Recommended Action:</b>	
Ray Crouse	Technical Sponsor Representative, NRC
<b>Name</b>	<b>Title</b>
	<b>Ray Crouse</b>
	<small>Digitally signed by Ray Crouse DN: cn=US, o=U.S. Government, ou=N.R.S. Nuclear Regulatory Commission, cn=Ray Crouse, 0.9.2342.19200300.100.1.1=200009944 Date: 2013.02.07 19:41:56 -0500</small>
<b>Date</b>	<b>Signature</b>
<b>Approval from Sponsor Representative for Recommended Action:</b>	
Victoria Voytko	Functional Sponsor Representative, NRC
<b>Name</b>	<b>Title</b>
	<i>Angela Wilson for Victoria V.</i>
<b>Date</b> 2/8/2013	<b>Signature</b>
<b>Approval from Sponsor for Recommended Action:</b>	
Patrick Madden	Project Sponsor, NRC
<b>Name</b>	<b>Title</b>
	<i>[Signature]</i>
<b>Date</b> 2/8/2013	<b>Signature</b>

**Interagency/Intra-agency Agreement**

**Parties to the Agreement**

REQUESTING AGENCY/BUYER		SERVICING AGENCY/SELLER	
1A. Department	U.S. Nuclear Regulatory Commission	1B. Department	Department of Transportation
2A. Agency		2B. Agency	Federal Aviation Administration
3A. Office		3B. Office	Office of Information Technology
4A. OBLIGATION NUMBER		4B. AGREEMENT NUMBER	FF-FRISM ESCI 12 Rev 13.01
5A. Agency Location Code (ALC)	31000001	5B. Agency Location Code (ALC)	69001104
6A. Data Universal Numbering Sys # (DUNS)	40535509	6B. Data Universal Numbering Sys # (DUNS)	809772007
7A. Tax Identification # (TIN)		7B. Tax Identification # (TIN)	730588975
8A. Treasury Appropriation Fund Symbol (TAFS)	31X0000	8B. Treasury Appropriation Fund Symbol (TAFS)	65X4562
9A. Federal Matter Code		9B. Treasury Point of Code	6512
10A. Accounting Classification Code	Cust. Approp code	10B. Accounting Classification Code	
1217ACINRCIMPL 000000 25705 ACRTS1000 DA FF 12X000000 R90000S107 792 001			

**Points of Contact for the Agreement**

REQUESTING AGENCY/BUYER		SERVICING AGENCY/SELLER	
11A. Finance Point of Contact		11B. Finance Point of Contact	
Name	Patricia Humphreys	Name	Angela McKinney
Address	1431M Malsted TWB Washington DC 20565	Address	6500 S MacArthur Blvd MFB 209 Or 1 OR 97159
Phone		Phone	405-954-2617
E-mail	patricia.humphreys@nrc.gov	E-mail	angela.mckinney@faa.gov
12A. Program Point of Contact		12B. Program Point of Contact	
Name	Dominique Malone	Name	Bo Peeler
Address	1431M Malsted TWB Washington DC 20565	Address	6500 S MacArthur Blvd MFB 209 OR 97159
Phone	202-462-0612	Phone	405-954-3321
E-mail	dominique.malone@nrc.gov	E-mail	bo.peeler@faa.gov

13. Period of Performance	13 Months	14. Legal Authority	
DOLS	11/29/2013	Public Law 104-205, Title 2957	
		AMC 1 Franchise Fund Policy Statement 11/2010 4.9/2010	

15. Total Agreement Amount (estimate)	\$ 107,792.00	16. Payment Terms and Schedule	Advance Payment Required
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17. Point Description of the Supplies, Services and Deliverables Required and Option Years, if Any

Scanning Services		
Development Environment	\$	16,918.00
Test Environment	\$	20,248.00
Utility Migration Environment	\$	19,721.00
Training Environment	\$	17,327.00
Production Environment	\$	16,827.00
Disaster Recovery Environment	\$	6,081.00
Travel	\$	10,175.00
<b>Total Costs</b>	<b>\$</b>	<b>107,792.00</b>

FY12 is the base year of agreement (FF-FRISM ESCI-PHRC-12). This agreement has 4 option years that can be exercised. The final option year is FY16.

Subject to Availability of Funding: Advances will be taken in accordance with continuing resolution guidelines. Upon approval of the (H) Appropriation, advances will be taken quarterly.

For Internal Use ONLY

**Franchise Agreement Financial History (addendum)**

**Authorized Approvals**

REQUESTING AGENCY/BUYER		SERVICING AGENCY/SELLER	
20. Name of the Authorized Approver	Dominique Malone, Sr. Contract Specialist, NRC	20. Name of the Authorized Approver	Bo Peeler, Program Director, Office of Information Services, AMK-003
21. Signature		21. Signature	
22. Date	Patricia Humphreys, FCO	22. Date	4/23/2013



ESC

**NRC STAQS Implementation Project  
Change Request**

Approval from COR for Recommended Action:	
Ron Deavers	Contract Officer Representative, NRC
Name	Title
<i>Ron Deavers</i>	
Date	Signature
<i>11-29-12</i>	<i>[Signature]</i>
Approval from Sponsor Representative for Recommended Action:	
Ray Crouse	Sponsor Representative, NRC
Name	Title
<i>Ray Crouse</i>	
Date	Signature
<i>11-29-12</i>	<i>[Signature]</i>
Approval from Sponsor for Recommended Action:	
Pat Madden	Project Sponsor, NRC
Name	Title
Date	Signature
<i>11/29/2012</i>	<i>[Signature]</i>



## NRC STAQS Implementation Project Change Request

<b>Change Request Form</b>	
<b>Change #:</b> NRCIMPL003	<b>Requested By:</b> ESC Information System Security
<b>Date Requested:</b> 09/27/2012	<b>Change Assigned To:</b> Matt C Taylor
<b>Change Description:</b> Implement monthly vulnerability scanning for all servers, databases, and web applications that are connected to the MMAC backbone. This pertains to the following environments: Development, Test, Data Migration, Training, and Production	
<b>Business Benefit:</b> Comply with FAA/ESC Information System Security Policy requiring monthly vulnerability scans for any server, application, or database that is connected to the network.	
<b>Implications of Not Making the Change:</b> <ul style="list-style-type: none"><li>• Risk audit findings, system disconnection, and service interruption.</li></ul>	
<b>Impact to the Project:</b> <ol style="list-style-type: none"><li>1. Additional Scanning Service expense.</li></ol>	
<b>Alternatives:</b> None	
<b>Requested Action:</b>  ESC Information System Security staff recommends that ESC accept the change based on a quote to be provided by the ESC Business Office to the NRC Contract Officer.	
<b>Approval from Project Manager for Recommended Action:</b>	
Cliff Johnson	Project Manager, DOT/FAA/ESC
<b>Name</b>	<b>Title</b>
<b>Date</b>	<b>Signature</b>