

# **Modeling Swelling and Swelling Pressure in Expansive Clays and Clay-Sand Mixtures**

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International High-Level Radioactive Waste Management Conference  
April 28–May 2, 2013, Albuquerque, New Mexico

# Outline

- Purpose of modeling expansive clays
- Constitutive model
- Material parameters for a bentonite-sand mixture
- Numerically simulated oedometer free-swell test
  - Calculated deformation and pressure
  - Effects on void ratio (input to hydrology model)
  - Using measured strain to characterize swelling behavior
- Summary

## Expansive Clays and Clay-Sand Mixtures

- Likely material for buffers, backfill, or seal in designs for geologic disposal of nuclear waste in deep saturated zones
- Constructed unsaturated but expected to re-saturate during and after thermal period
- May swell as moisture content increases
  - Swelling is expected to reduce flow and transport
  - High swelling pressure could damage design components
  - Low swelling pressure could favor flowing water reaching the waste package and degradation of design components
- Constitutive model needed to evaluate mechanical behavior and provide input to hydrologic modeling

# Constitutive Model Features

- Defines response to external and internal loads
  - External loads from gravity or applied load
  - Internal loads
    - Temperature change (not included in analysis discussed in this presentation)
    - Suction
    - Physico-chemical swelling or shrinkage as moisture content changes
- Incorporates suction effects on strength and stiffness
- Parameters based on well-established laboratory testing
  - Moisture retention characteristic curve
  - Oedometer compression curves
  - Oedometer free-swell curve

# Approach to Constitutive Modeling: General Stress-Strain Relationships

- Strain increments are additive and separable

$$\Delta e = \Delta e^E + \Delta e^P + \Delta e^{Th} + \Delta e^{CW}$$

$\Delta e =$  Total strain increment (system equilibrium)

$\Delta e^E =$  Elastic strain increment (elasticity theory)

$\Delta e^P =$  Plastic strain increment (plasticity theory)

$\Delta e^{Th} =$  Thermal strain increment

$\Delta e^{CW} =$  Physico-chemical swelling strain increment

- Incremental stress-strain relationships based on elasticity

$$\{\Delta\sigma\} = [C](\{\Delta e\} - \{\Delta e^{Th}\} - \{\Delta e^{CW}\} - \{\Delta e^P\})$$

$\Delta\sigma =$  Effective stress increment

$[C] =$  Elastic stiffness matrix  
(Pressure-dependent bulk modulus)

# Approach to Constitutive Modeling: General Stress-Strain Relationships cont'd

- Plastic strain based on plasticity theory
- Physico-chemical-swelling strain
  - Free swelling (function of moisture content change)
  - Moisture content gradient
  - Mechanical boundary conditions
- Thermal strain
  - Free thermal expansion (function of temperature change)
  - Temperature gradient
  - Mechanical boundary conditions
  - Thermal effects are not included in the swelling analysis described in this presentation

# Bishop Parameter (Suction Stress)

Suction stress  $\leftarrow$  Bishop parameter  $\leftarrow$  Effective Saturation

$$\{\sigma\} = \{\sigma^T\} - p_s\{\mathbf{I}\}$$

$\sigma$  = Effective stress

$$\sigma^T = \text{Total stress}$$

$$p_s = -(u_a - \chi s)$$

= Suction pressure

$\chi$  = Bishop parameter

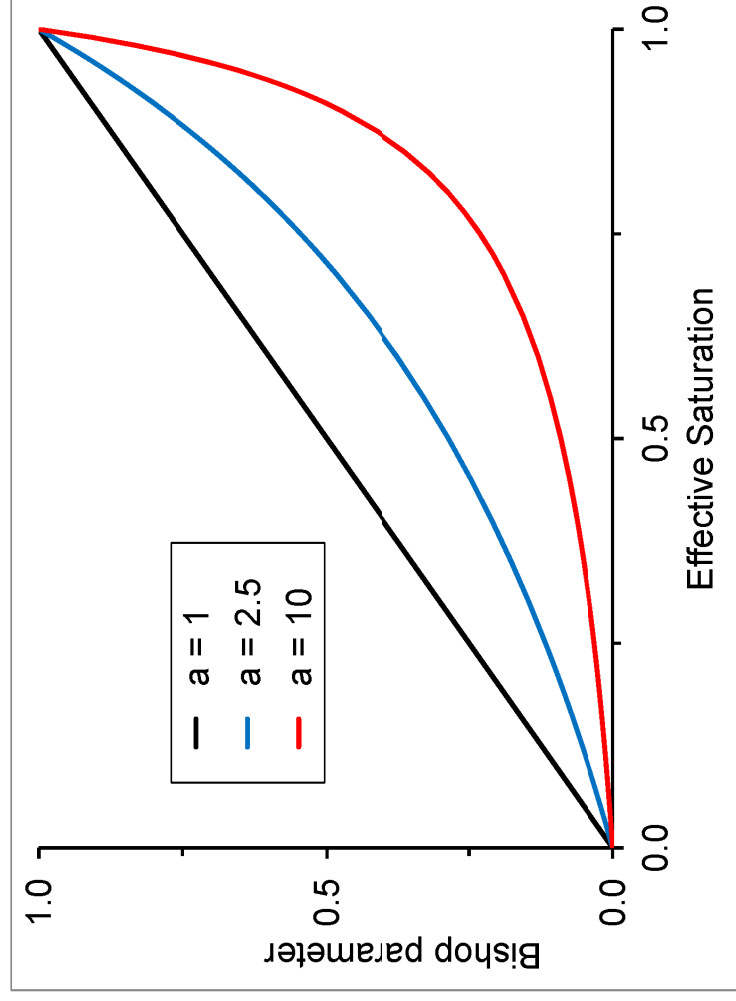
$s$  = Suction;  $u_a$  = Air pressure

$$\chi = \frac{S_e}{a_{b_s} + (1 - a_{b_s})S_e}$$

$S_e$  = Effective saturation

$a_{b_s}$  = Fitting parameter

$S_e$  based on moisture retention curve



# Effective Pressure Due to Suction for a Bentonite-Sand Mixture

- Bishop parameter evaluated using moisture retention curve

$$p_s = \chi s \quad (\text{for } u_a = 0)$$

= Effective pressure due to suction ( $s$ )

$$\chi = \chi(S_e, a_{bs})$$

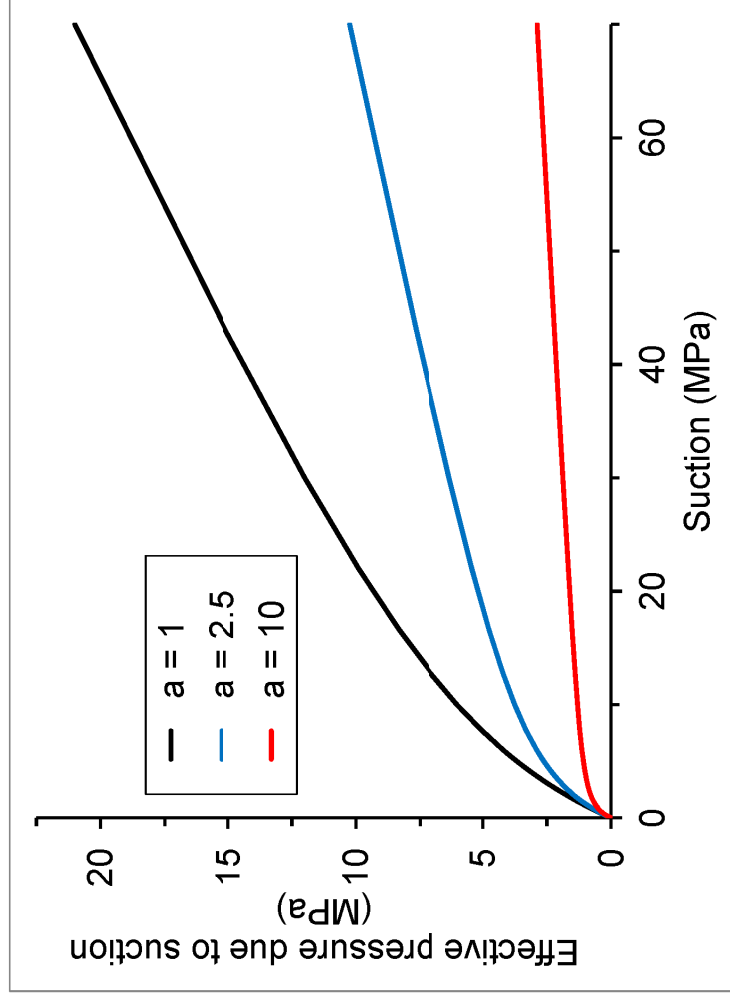
Evaluated for  $a_{bs} = 1, 2.5, 10$

Pre-consolidation pressure

$$P_c = P_{c0} + P_{cs}$$

$$P_{c0} = 0.8 \text{ MPa} \quad \text{for } s = 0$$

$$P_{cs} = p_s$$

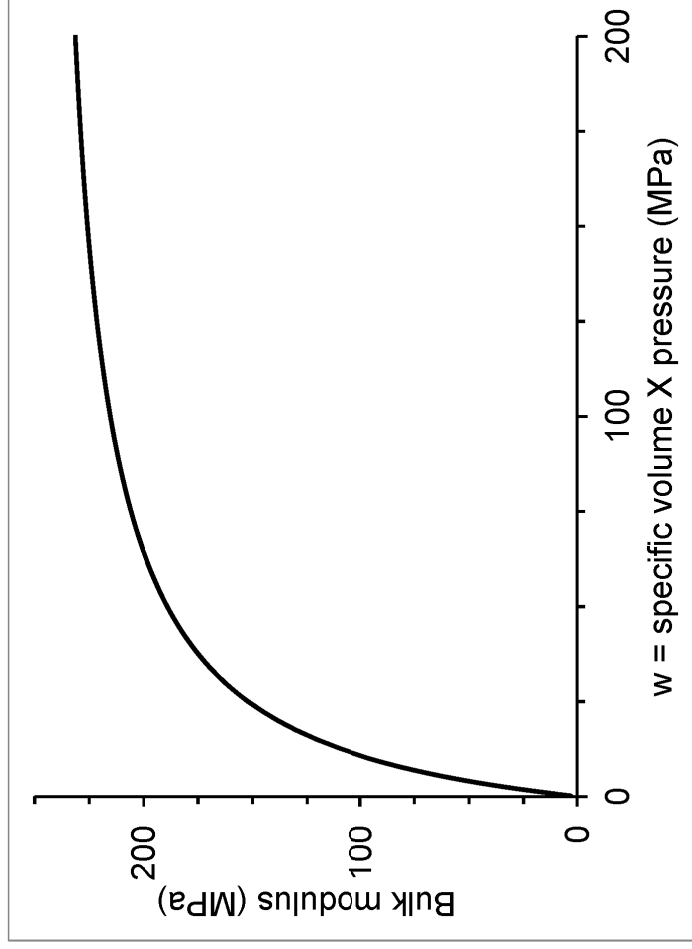


Oedometer test results and moisture retention data based on J.D. Barnichon (Personal Communication), Head of LR2S Laboratory, Institute for Radiological Protection and Nuclear Safety, France, "DECOVALEX D-2015: description of Task A (SEALEX experiment)", August 2012. jean-dominique.barnichon@irsn.fr



# Pressure-Dependent Bulk Modulus, $K$ , for a Bentonite-Sand Mixture

- Based on recompression segment of nonlinear specific volume ( $v$ ) versus effective pressure ( $p$ ) curve
  - Slope of recompression curve
  - Increases with effective pressure
  - Increases with compaction
  - Reversible



## Bulk modulus ( $K$ )

$$K = \frac{1}{\kappa_r} \left[ w_0 + \frac{w - w_0}{1 + b(w - w_0)} \right]$$

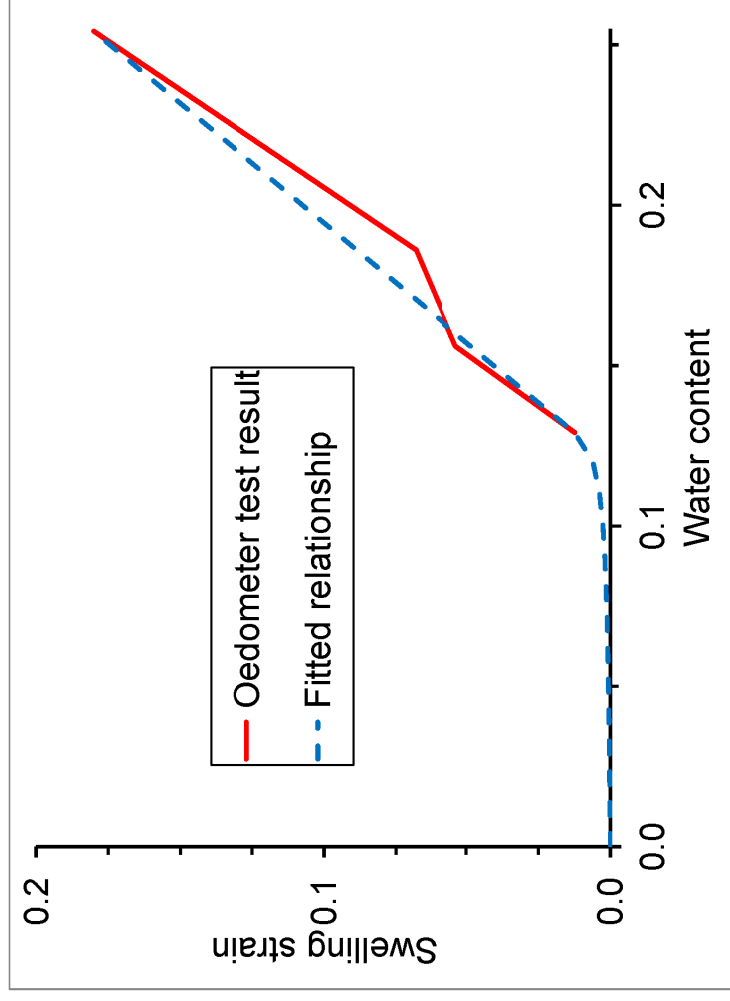
$$w = vp; \quad w_0 = v_0 p_0$$

$$b = 1/(\kappa_r K_{\infty} - w_0)$$

$K_{\infty}$  = maximum bulk modulus

# Unit Swelling Potential for a Bentonite-Sand Mixture

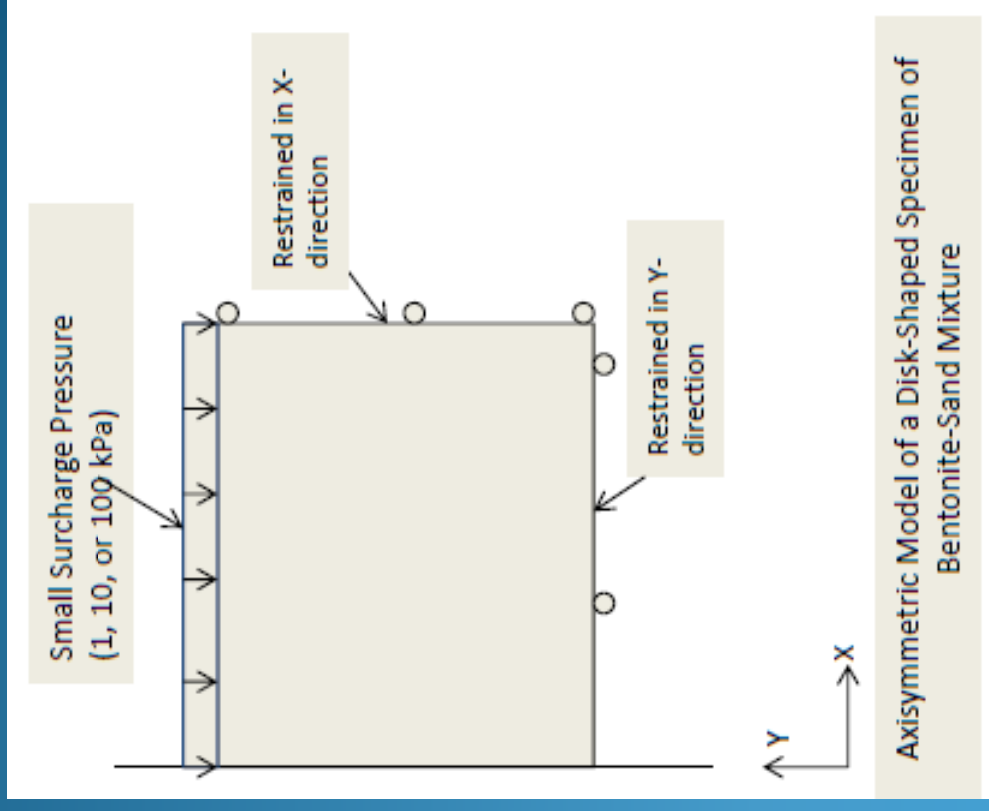
- Equals slope of swelling strain versus water content curve
- Used to define physico-chemical swelling increment in constitutive model



Oedometer test result based on J.D. Barnichon (Personal Communication), Head of LR2S Laboratory, Institute for Radiological Protection and Nuclear Safety, France, "DECOVALEX D-2015: description of Task A (SEALEX experiment)," August 2012. [jean-dominique.barnichon@irsn.fr](mailto:jean-dominique.barnichon@irsn.fr)

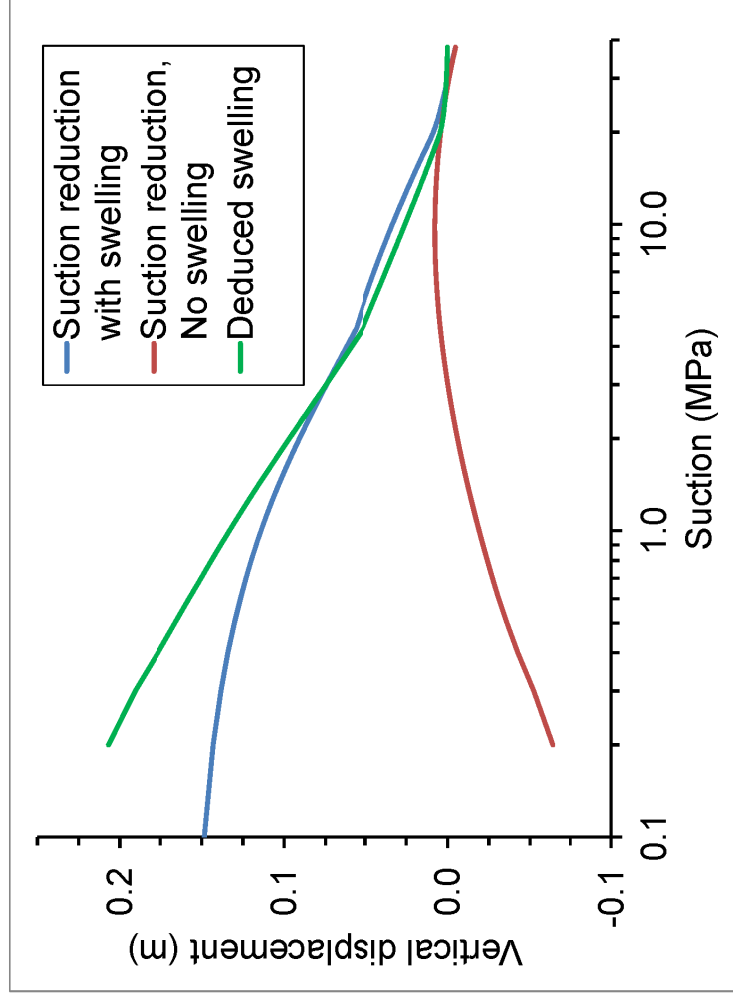
# Numerically Simulated Oedometer Free-Swell Testing

- Axisymmetric model
- Restrained on bottom and sides
- Small surcharge pressure at the top (1, 10, or 100 kPa)
- Suction decreased from 38 MPa to 0.1 MPa in small steps
- Water content calculated from prescribed suction using moisture retention curve
- Mechanical response calculated using FLAC with constitutive model described in this presentation



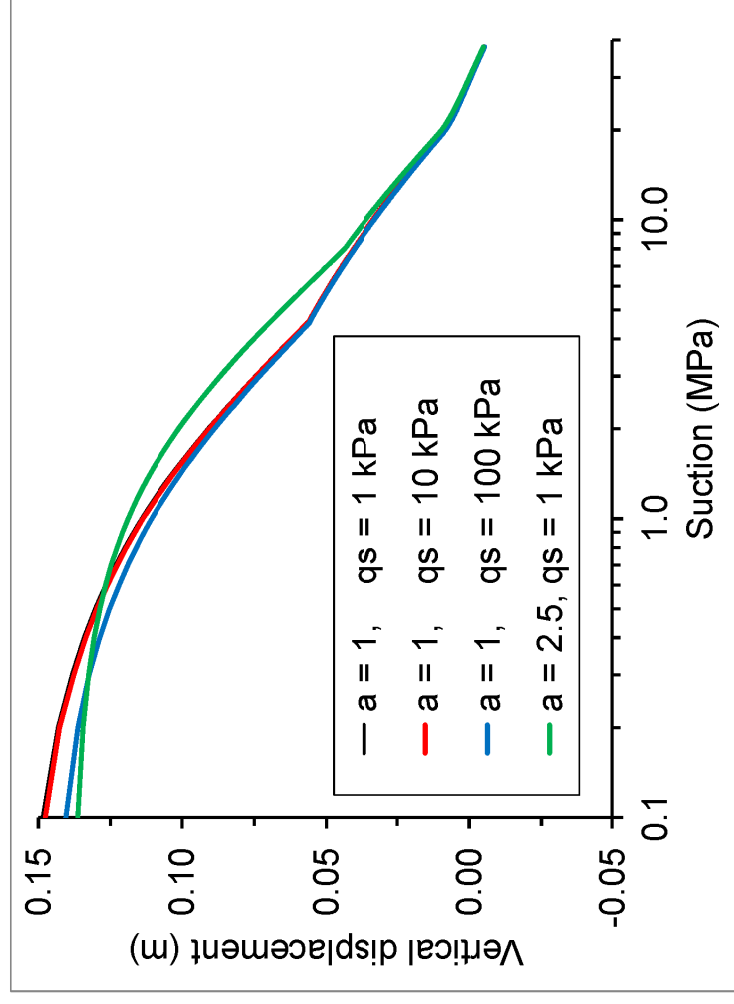
# Vertical Displacement (from Simulated Oedometer Free-Swell Test)

- Deformation due to physico-chemical swelling is dominant
- Other deformation mechanisms could be important
  - Elastic swelling due to decreasing suction
  - Compression due to decreasing elastic stiffness under decreasing pressure



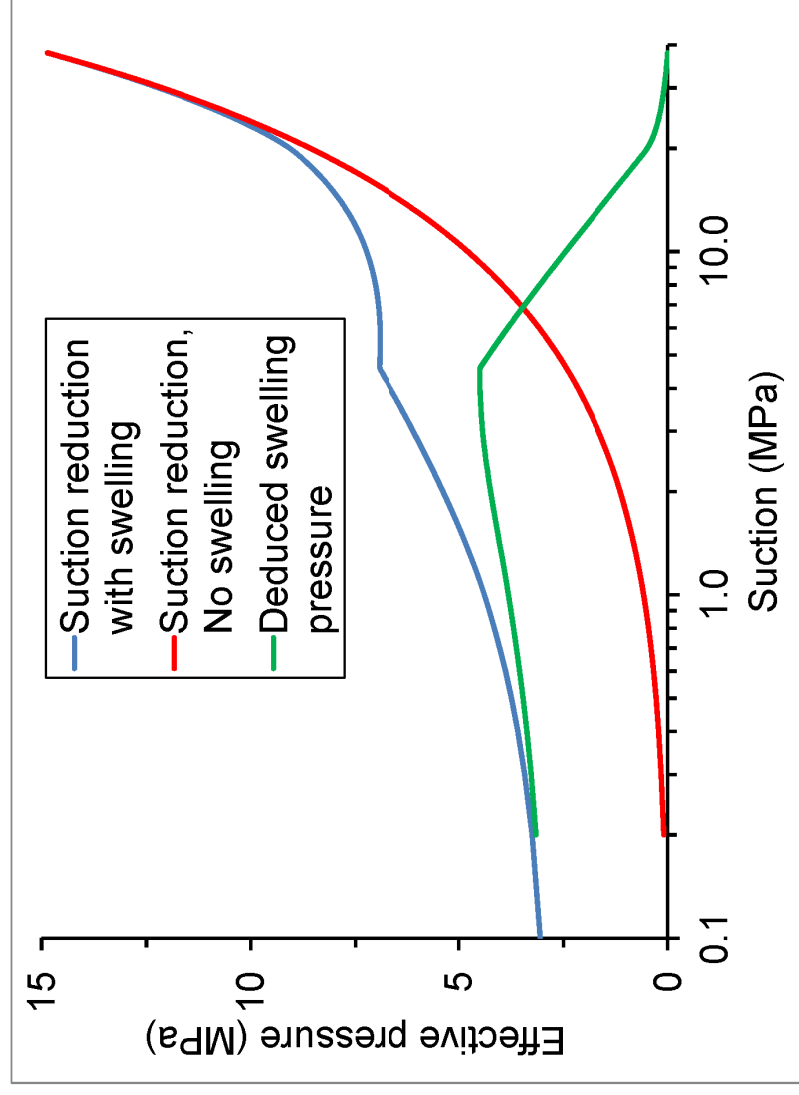
# Sensitivity of Vertical Displacement

- Calculated deformation appears insensitive to
  - $a_{bs}$  fitting parameter for suction stress ( $a = a_{bs}$ )
  - applied surcharge pressure ( $q_s$ )



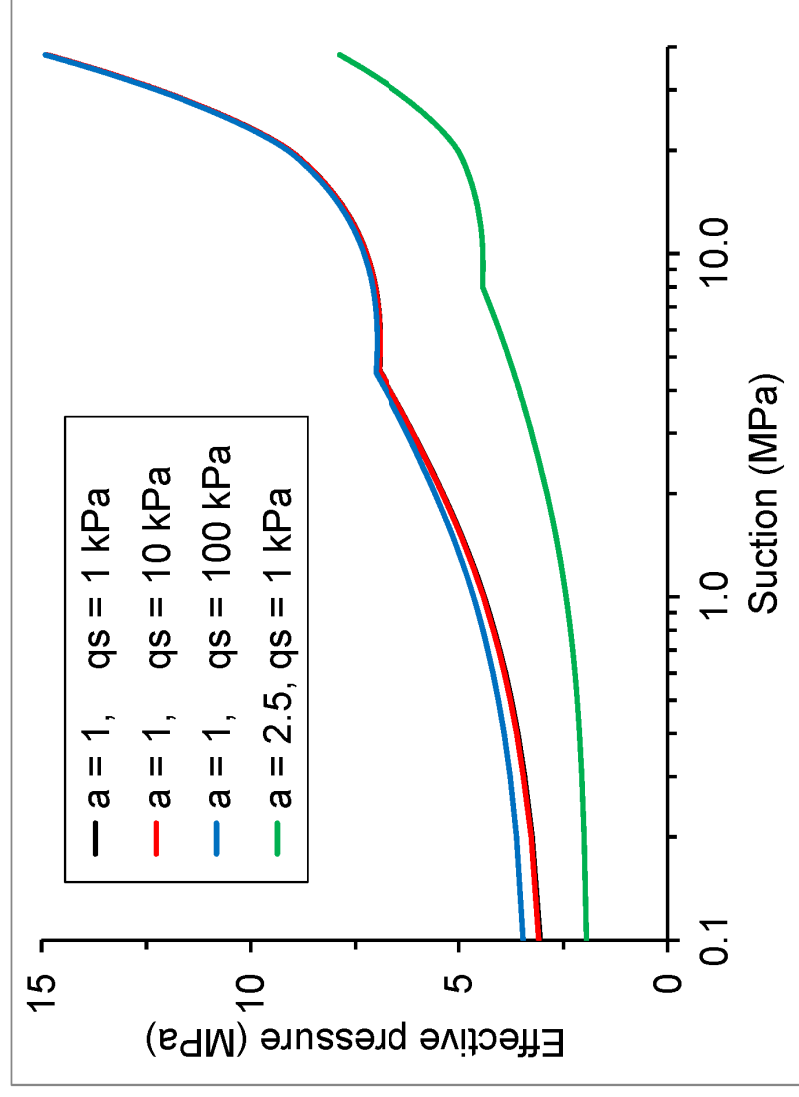
# Swelling Pressure (from Simulated Oedometer Free-Swell Test)

- Swelling pressure depends on several factors
  - Suction history
  - Unit swelling potential
  - Moisture content gradient
  - Boundary restraint



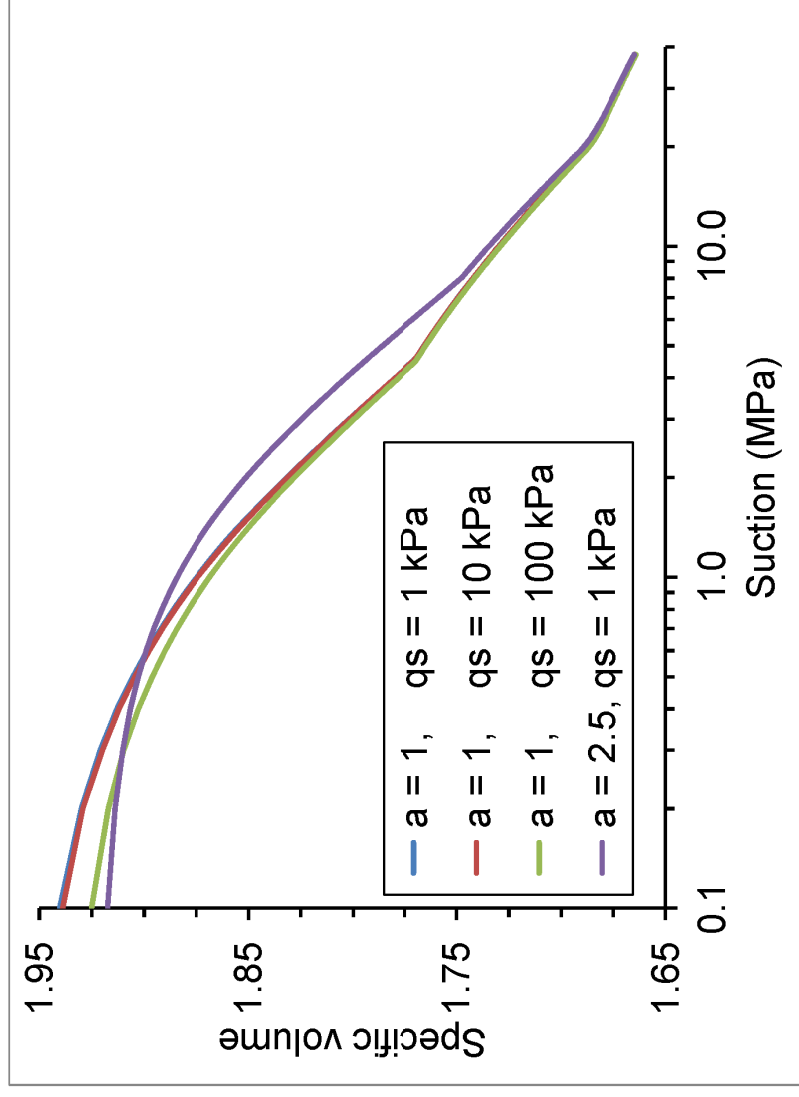
# Sensitivity of Swelling Pressure

- Appears sensitive to  $a_{bs}$  fitting parameter ( $a = a_{bs}$ )
- Appears insensitive to the applied surcharge pressure



# Specific Volume (and Void Ratio) (from Simulated Oedometer Free-Swell Test)

- Appears insensitive to  $a_{bs}$  fitting parameter ( $a = a_{bs}$ )
- Appears insensitive to the applied surcharge pressure

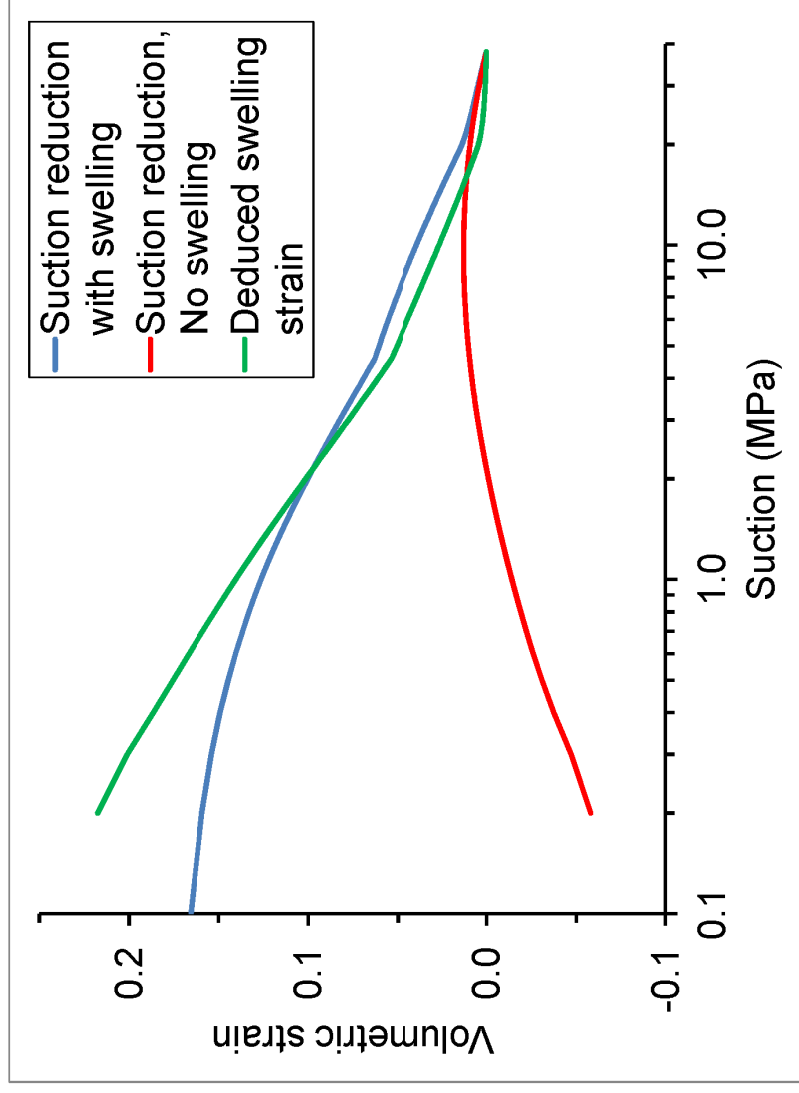




# Swelling Strain

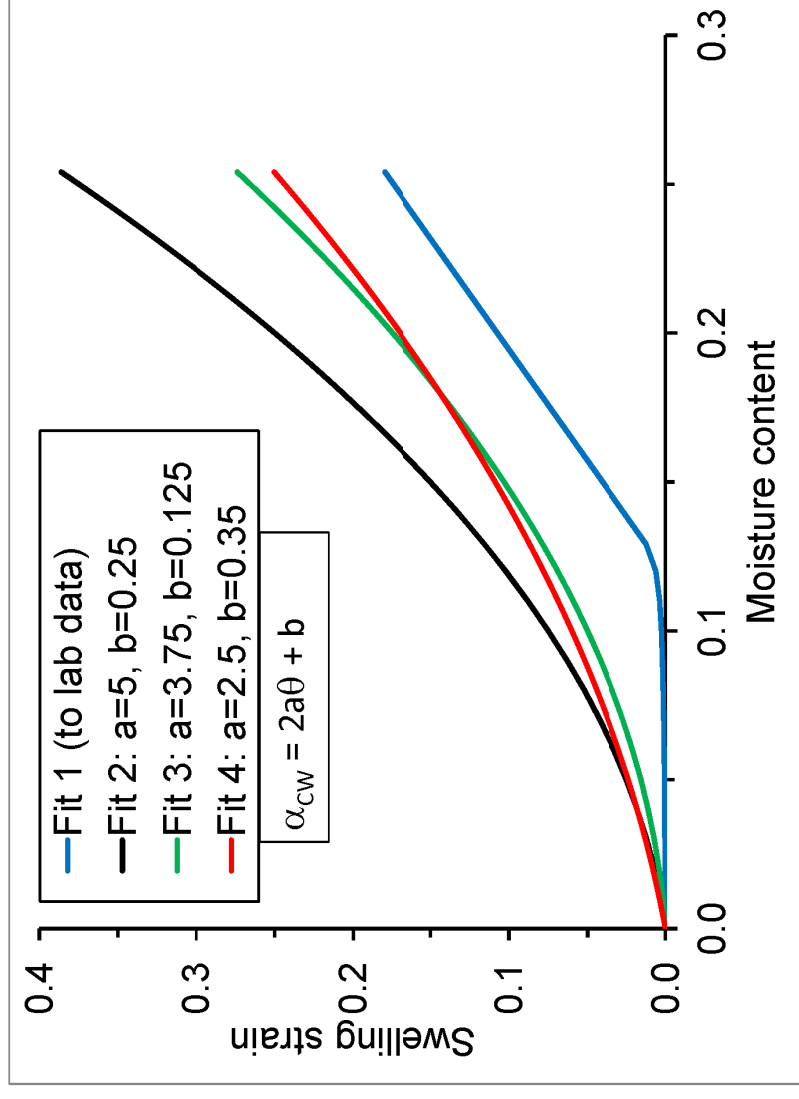
(from Simulated Oedometer Free-Swell Test)

- Calculated strain is smaller than deduced swelling strain
- Therefore, measured strain from oedometer free-swell test is smaller than swelling strain
- Therefore, unit swelling potential based on measured strain may underestimate swelling behavior



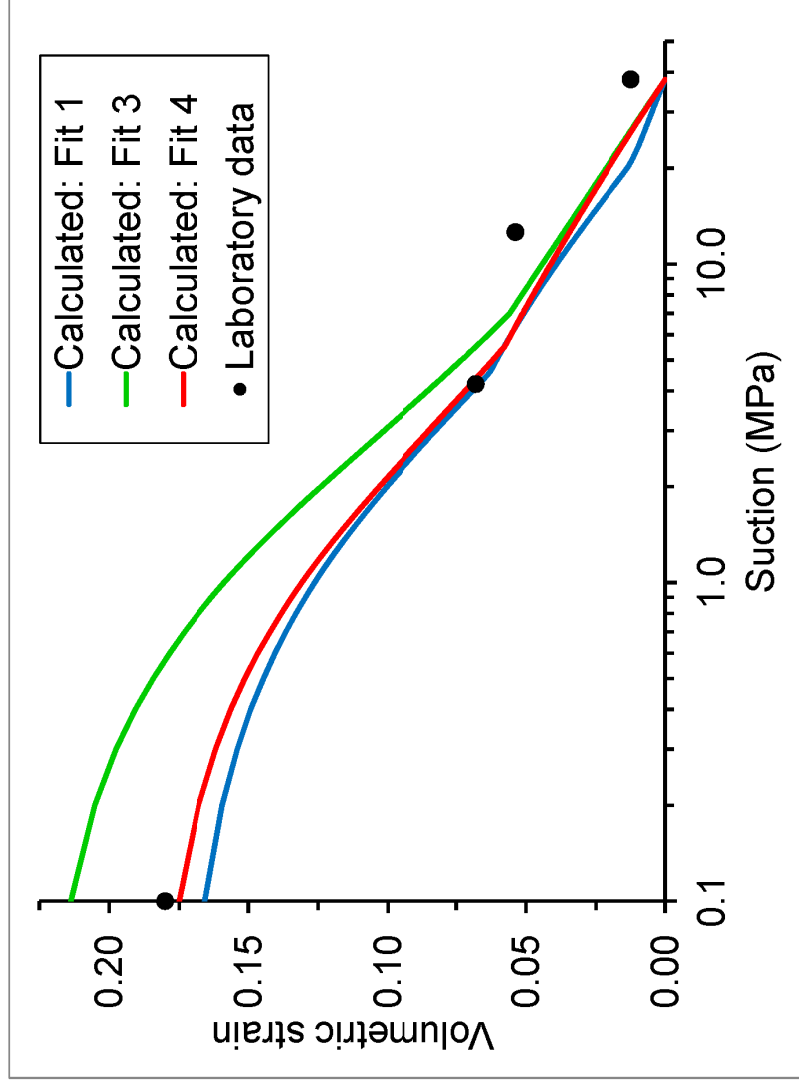
# Estimating Unit Swelling Potential From Oedometer Free-Swell Test Results

- Fit 1 assumes swelling strain equals measured strain
- Fits 2, 3, and 4
  - Strain versus moisture content relationships similar to Fit 1
  - Swelling strain greater than measured strain



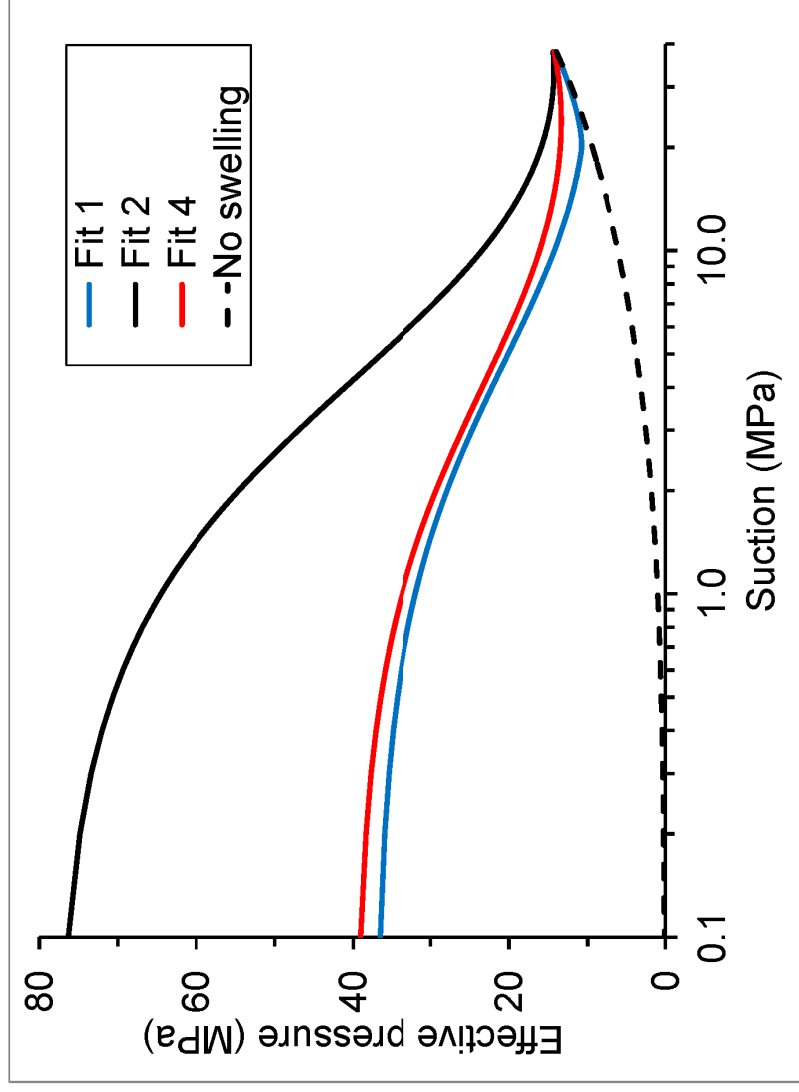
# Estimating Unit Swelling Potential: Comparing Measured and Calculated Strains

- Unit swelling potential based on Fits 1 and 4 gives strains closest to measured strain
- Laboratory data includes non-zero strain at the numerical “zero-strain” state



# Estimating Unit Swelling Potential: Comparing Calculated Pressure Cases

- Unit swelling potential based on Fits 1 and 4 give essentially the same pressure
- Measured strain may be adequate for calculating unit swelling potential



# Summary

- Demonstrated an approach for modeling swelling and swelling pressure
- Approach uses constitutive model for unsaturated clays
  - Suction stress determined using Bishop's modified effective stress principle
  - Incorporates suction effects on mechanical properties
  - Stress-strain relations based on elasto-plasticity
- Described results of numerically simulated oedometer free-swell testing
  - Bentonite-sand mixture specimen
  - Subjected to decreasing suction through water influx
  - Calculated mechanical response
    - Dominated by swelling effects
    - Affected by decreasing bulk modulus (caused by suction decrease)
    - Simulations agree well with laboratory test results
  - Volumetric strain from oedometer free-swell test is adequate to characterize swelling behavior

## Acknowledgments

This presentation describes work performed by CNWRA for NRC under Contract No. NRC-HQ-12-R-02-0126. The activities reported here were performed on behalf of the NRC Office of Nuclear Material Safety and Safeguards, Division of Spent Fuel Alternative Strategies. This presentation is an independent product of CNWRA and does not necessarily reflect the view or regulatory position of NRC. The NRC staff views expressed herein are preliminary and do not constitute a final judgment or determination of the matters addressed or of the acceptability of any licensing action that may be under consideration at NRC.