

### Certificate of Compliance (CoC) rulemakings(5)

This rule scored 40 points (15, 10, 10, 5) because it is a:

- moderate contributor towards the Safety Goal and implements Strategy 6.
- moderate contributor towards Openness and Effectiveness organizational excellence objectives and implements Openness Strategy 3 and Effectiveness Strategy 8.
- the staff sent SECY-01-0226, dated December 18, 2001, identifying the streamlined process that will be used for CoC rulemakings, about five per year.
- there is significant interest by CoC holders and licensees in timely approval of CoC rulemaking, with NMSS/SFST requiring public availability of the CoC rulemaking schedule in: (<http://www.nrc.gov/waste/spent-fuel-storage/sfs-schedule-rulemaking.html>)

### AI26 - Part 35 - Amendments/Medical Event Definition

This rule scored 40 points (15, 10, 10, 5) because it is a:

- moderate contributor towards the Safety Goal and implements Strategy 6.
- moderate contributor towards the Effectiveness organizational excellence objective and implements Effectiveness Strategies 6 and 7.
- SRM-M100511 dated May 28, 2010 (following a Commission briefing on Medical Event (ME) definitions on May 11, 2010) directed staff to prepare a final rule including ME definitions (also see SRM-SECY-10-0062, dated August 10, 2010).
- the medical community and patient stakeholders have significant participation in this rule, with public workshops held in June and August 2011, and 57 comment letters submitted on the first proposed rule, published on August 6, 2008.

### FSME02 - Part 73 - Enhanced Security at Fuel Cycle Facilities (Enhanced Security and Fingerprinting)

This rule scored 40 points (15, 10, 10, 5) because it is a:

- significant contributor towards the Security Goal.
- moderate contributor towards the Effectiveness organizational effectiveness objective and implements Effectiveness Strategies 1 and 7.
- COMSECY-04-0037 provides Commission direction to prepare this rule.
- there is significant interest in this rule from industry and stakeholders.

### AI50 - (FSME08) Part 40 Integrated Safety Analysis

This rule scored 40 points (20, 10, 10, 0) because it is a:

- significant contributor towards the Safety Goal.
- moderate contributor towards the Effectiveness organizational effectiveness objective and implements Effectiveness Strategies 1 and 7.
- proposed rule was published on May 17, 2011 (76 FR 28336).
- when prioritized, the rule had insignificant industry interest (Factor D, Public Priority).

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FSME26 - Enhanced Weapons (including fingerprinting)

(RBA)

This rule scored 40 points (15, 10, 10, 5) because it is a:

- significant contributor towards the Security Goal.
- moderate contributor towards the Effectiveness organizational effectiveness objective and implements Effectiveness Strategies 1 and 7.
- supported by NSIR as a planned follow on to the NRR enhanced weapons rulemaking.
- there is significant interest in this rule from industry and stakeholders.

AI92 - Part 61 - Site Specific Analysis

(RBA)

This rule scored 40 points (15, 10, 10, 5) because it is a:

- moderate contributor towards the Safety Goal and implements strategy 5.
- moderate contributor towards the Effectiveness organizational effectiveness objective and implements Effectiveness Strategy 1.
- Jim need: SRM-SECY-xxxx directs staff to prepare this rule.
- there is significant interest in this rule from industry and stakeholders.

FSME19 - Spent Fuel Reprocessing

(RBA)

This rule scored 40 points (20, 10, 5, 5) because it is a:

- significant contributor towards the Safety Goal.
- moderate contributor towards the Effectiveness organizational effectiveness objective and implements Effectiveness Strategy 1 and Openness Strategy 5.
- SRM-SECY-xxxx provides Commission direction to prepare this rule.
- there is significant interest in this rule from industry and stakeholders.

P02 - Part 26 - Fitness for Duty - Security Force Fatigue at Nuclear Facilities

This rule scored 37 points (15, 7, 10, 5) because it is a:

- significant contributor towards the Security Goal.
- moderate contributor towards the Openness organizational effectiveness objective and implements Openness Strategy 3.
- supported by NSIR as a follow-on to the NRR Part 26 rule.
- there is significant interest in this rule from industry and stakeholders.

AI12 - Part 37 Physical Protection of Byproduct Material

This rule scored 37 points (15, 10, 7, 5) because it is a:

- significant contributor towards the Security Goal.
- significant contributor towards the Openness and Effectiveness organizational effectiveness objectives.
- proposed rule published June 15, 2010 (75 FR 33902).
- there is significant interest in this rule from industry and stakeholders.

### Part 35 Amendments (PRM-35-20, etc)

This rule scored 35 points (10, 10, 10, 5) because it is an:

- indirect contributor to the Safety Goal.
- significant contributor towards the Openness and Effectiveness organizational effectiveness objectives.
- SRM-SECY-08-0179 provides Commission direction to prepare this rule.
- there is significant interest in this rule from industry and stakeholders.

### FSME32 - Part 61 Comprehensive Revision

(RBA)

This rule scored 35 points (10, 10, 10, 5) because it is a:

- less substantial contributor towards the Safety Goal.
- significant contributor towards the Openness organizational effectiveness objective.
- SRM-SECY-xxxx provides Commission direction to prepare this rule.
- there is significant interest in this rule from industry and stakeholders.

### FSME33 - Part 61 Waste Classification Scheme

(RBA)

This rule scored 35 points (10, 10, 10, 5) because it is a:

- less substantial contributor towards the Safety Goal.
- significant contributor towards the Openness organizational effectiveness objective.
- SRM-SECY-xxxx provides Commission direction to prepare this rule.
- there is significant interest in this rule from industry and stakeholders.

### FSME35 - Petitions for Rulemaking (All Offices)

This rule scored 35 points (15, 10, 5, 5) because it is a:

- moderate contributor towards the Safety and Security Goals.
- significant contributor towards the Openness and Effectiveness organizational effectiveness objectives.
- response to accepted petitions should be timely (see Regulatory Guide 10.12).
- there is significant interest in this rule from industry and stakeholders.

### FSME37 - Regulatory Infrastructure (All Offices)

This rule scored 35 points (15, 10, 5, 5) because it is a:

- moderate contributor towards the Safety and Security Goals.
- significant contributor towards the Openness and Effectiveness organizational effectiveness objectives.
- staff cooperation in all facets of completing rules is essential for high quality products.
- there is significant interest in this rule from industry and stakeholders.

FSME38 - Regulatory Analysis (All Offices)

This rule scored 35 points (15, 10, 5, 5) because it is a:

- moderate contributor towards the Safety and Security Goals.
- significant contributor towards the Openness and Effectiveness organizational effectiveness objectives.
- regulatory analysis documents are required for most rules.
- there is significant interest in this rule from industry and stakeholders.

AI78 - ISFSI Security Requirements for Radiological Sabotage

(RBA)

This rule scored 33 points (10, 10, 10, 3) because it is a:

- moderate contributor towards the Security Goal.
- significant contributor towards the Openness organizational effectiveness objective.
- SRM-SECY-xxxx provides Commission direction to prepare this rule.
- there is moderate interest in this rule from industry and stakeholders.

FSME04 - Enhanced Security at Decommissioning Plants (i.e., codifying ICMs)

This rule scored 33 points (10, 10, 10, 3) because it is a:

- moderate contributor towards the Security Goal.
- significant contributor towards the Openness organizational effectiveness objective.
- codifying Interim Compensatory Measures orders, issued 2/25/2002.
- there is moderate interest in this rule from industry and stakeholders.

FSME09 - Cat I/Cat II Transportation Rulemaking ("Part 71, 73 - Transportation Security Rule")

This rule scored 33 points (10, 10, 10, 3) because it is a:

- moderate contributor towards the Security Goal.
- significant contributor towards the Openness organizational effectiveness objective.
- NSIR sponsored regulatory basis.
- there is moderate interest in this rule from industry and stakeholders.

AI11 - Part 71 - Compatibility With IAEA Transportation Standards

This rule scored 30 points (10, 10, 5, 5) because it is a:

- less substantial contributor towards the Safety Goal.
- moderate contributor towards the Effectiveness organizational effectiveness objective and implements Effectiveness Strategies 1 and 4.
- final rule must be published at same time as DOT final rule.
- there is significant interest in this rule from industry and stakeholders.

459 Safeguarding Shipments of Spent Fuel

(RBA)

This rule scored 30 points (10, 10, 5, 5) because it is a:

- moderate contributor towards the Security Goal and implements Security Strategy 1.
- significant contributor towards the Effectiveness organizational effectiveness objective.
- SRM-SECY-xxxx provides Commission direction to prepare this rule.
- there is significant interest in this rule from industry and stakeholders.

FSME30 - Part 42 - Domestic Licensing of Uranium and Thorium Recovery Facilities (RBA)

This rule scored 30 points (10, 10, 5, 5) because it is an:

- indirect contributor to the Safety Goal.
- a significant contributor towards the Openness organizational effectiveness objective.
- SRM-SECY-xxxx provides Commission direction to prepare this rule.
- there is significant interest in this rule from industry and stakeholders.

P03 - Part 40 In-Situ Leach Uranium Recovery Facility Rulemaking (RBA)

This rule scored 29 points (15, 7, 5, 2) because it is a:

- moderate contributor towards the Safety Goal.
- moderate contributor towards the Effectiveness organizational effectiveness objective and implements Effectiveness Strategy 2.
- SRM-SECY-xxxx provides Commission direction to prepare this rule.
- there is marginal interest in this rule from industry and stakeholders.

FSME07 - Part 20 Major Revision (RBA)

This rule scored 29 points (10, 6, 8, 5) because it is a:

- less substantial contributor towards the Safety Goal.
- an indirect contributor to Openness organization effectiveness strategy 1, Effectiveness organizational effectiveness strategy 1, and Operational excellence strategy 1.
- SRM-SECY-xxxx provides Commission direction to prepare this rule.
- there is significant interest in this rule from industry and stakeholders.

FSME36 - Part 26 - Application to Material License - Only ISFSIs and Decommissioning Reactors (RBA)

This rule scored 29 points (10, 10, 7, 2) because it is an:

- indirect contributor towards the Safety Goal.
- significant contributor towards the Effectiveness organizational effectiveness objective.
- SRM-SECY-xxxx provides Commission direction to prepare this rule.
- there is marginal interest in this rule from industry and stakeholders.

AH15 - Distribution of Source Material to Exempt Persons (40.22)

This rule scored 22 points (15, 7, 0, 0) because it is a:

- moderate contributor towards the Safety Goal.
- moderate contributor towards the Effectiveness organizational effectiveness objective and implements Effectiveness strategy 8.
- no positive value was assigned to either internal factor C or external factor D.

FSME16 - Criminal Sanctions for Trespassing and Sabotage - Addresses EPA 654 and 655 (RBA)

This rule scored 22 points (5, 7, 5, 5) because it is an:

- indirect contributor to the Security Goal.
- moderate contributor towards the Effectiveness organizational effectiveness objective and implements Effectiveness Strategy 4.
- SRM-SECY-09-0087 provides Commission direction to prepare this rule.
- there is significant interest in this rule from industry and stakeholders.

AG41 - Spent Fuel Shipment, Tribal Notification of, Parts 71 and 73

This rule scored 21 points (5, 6, 5, 5) because it is a:

- less substantial contributor towards the Security Goal.
- less substantial contributor towards Openness organizational effectiveness strategy 3.
- proposed rule published December 8, 2010 (75 FR 75641).
- there is significant interest in this rule from industry and stakeholders.

AH91 - Requirements for Distribution of Byproduct Material, Parts 30, 31, 32, 40, and 70

This rule scored 13 points (0, 10, 0, 3) because it has:

- no affect on Safety or Security Goals.
- a significant contributor towards the Openness and Effectiveness organizational effectiveness objectives.
- after prioritization, the proposed rule was published (June 24, 2010; 75 FR 36211).
- there is marginal interest in this rule from industry and stakeholders.

FSME25 - Irradiation of Products Using Neutrons and Electrons (Gemstone, etc.) (Part 30)

This rule scored 9 points (0, 7, 0, 2) because it has:

- no affect on Safety or Security Goals.
- a moderate contributor towards the Effectiveness organizational effectiveness objective and implements Effectiveness strategy 2.
- support only from a technical program Division (FSME/MSSA).
- there is marginal interest in this rule from industry and stakeholders.