

**EXAM ASSIGNMENT TICKLER**

<b>Chief:</b>	Duane Strickland	<b>Facility:</b>	RBS	<b>Date of Written Exam:</b>	11/12/2012
<b>Start of Op Test:</b>		11/12/2012		<b>End of Op Test:</b> 11/16/2012	
<b>Written Exam Developed By:</b> NRC / Facility			<b>Operating Test Developed By:</b> NRC / Facility		
Due Date	Description	Date Complete	Initials	Notes	
5/14/2012	Written Exam & Op Test Dates Confirmed	2/27/12	DSW		
7/9/2012	NRC Examiners & Facility Contact Assigned	2/27/12	DSW		
7/9/2012	Facility Contact Briefed on Security & Other Req's	6/18/12	DSW		
7/9/2012	Corporate Notification Letter sent	6/19/12	DSW	ES-201 Att-4 produced by CE	
8/13/2012	Reference material due (if NRC authored)	N/A		ES-201 Att-3	
8/27/2012	Integrated exam outlines due	8/27/12	DSW		
9/3/2012	DRAFT exam outlines reviewed by Chief Examiner	9/10/12	DSW	ES-201-2 signed by CE	
9/3/2012	Branch Chief approves outline feedback to facility			ES-201-2 signed by BC	
9/24/2012	DRAFT exam / docs / support reference material due	9/6/12	DSW		
10/8/2012	Peer review of written exam complete	9/19/12	DSW	Document review on ES-401-9	
10/8/2012	Preliminary license applications due	✓	DSW	NRC Forms 398/396	
10/15/2012	Preliminary license applications and waivers reviewed	✓	DSW	no waivers	
10/22/2012	Branch Chief approves exam feedback to facility	✓	DSW		
10/22/2012	Exams reviewed with facility	✓	DSW		
	On-site validation & 10% audit of license applications	✓	DSW		
10/29/2012	Final applications due & List of Applicants prepared	✓	DSW	ES-201-4 prepared by LA	
11/5/2012	Final applications approved & waiver letters sent	✓	DSW		
11/5/2012	Branch Chief approves FINAL exam (Written & Op Test)	11/5/12	DSW	LA produces / BC signs Exam Approval Letter (ES-201 Atch 5)	
11/5/2012	Proctoring/written exam admin guidelines reviewed w/ facility	11/5/12	DSW		
11/5/2012	Exam material to exam team	11/5/12	DSW		
11/12/2012	Administer Operating Test on-site	11/12/12	DSW		
11/19/2012	Facility post-exam documentation due	11/16/12	DSW		
11/23/2012	NRC written exam grading completed	11/22/12	DSW	ES-403-1 to BC	
11/23/2012	Examiners document op test results on ES 303's	11/23/12	DSW		
11/30/2012	Chief Examiner review of written exam & op test completed	✓	DSW	Signed ES 303's to BC	
12/7/2012	Branch Chief review of exam results completed	✓	DSW		
12/14/2012	Waivers/deferrals reviewed for impact on licensing decision	N/A	DSW		
12/14/2012	License/Denial letters mailed; Facility notified of results	✓	DSW		
12/14/2012	RPS/IP number of examinees updated	✓	DSW	print Report-21	
12/28/2012	Examination Report Issued	✓	DSW	produced by CE	
12/31/2012	Exam docs to ADAMS and SUNSI checklist complete	✓	DSW	SUNSI checklist to LA	
1/11/2013	Ref Mat'l Returned after Final Resolution of Appeals	NA	DSW		

Replaces NUREG-1021, Revision 9, Supp 1, Forms ES-201-1 and ES-501-1

2/27/12 Emailed written outlines to Angie Orgeron

Facility: River Bend Station		Date of Examination: 11/12/2012		
Item	Task Description	Initials		
		a	b*	c#
1. W R I T T E N	a. Verify that the outline(s) fit(s) the appropriate model, in accordance with ES-401.	Amo	JS	DLW
	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.	Amo	J	DLW
	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	Amo	J	DLW
	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	Amo	J	DLW
2. S I M U L A T O R	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients.	Amo	J	DLW
	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days.	Amo	J	DLW
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.	Amo	J	DLW
3. W / T	a. Verify that the systems walk-through outline meets the criteria specified on Form ES-301-2: (1) the outline(s) contain(s) the required number of control room and in-plant tasks distributed among the safety functions as specified on the form (2) task repetition from the last two NRC examinations is within the limits specified on the form (3) no tasks are duplicated from the applicants' audit test(s) (4) the number of new or modified tasks meets or exceeds the minimums specified on the form (5) the number of alternate path, low-power, emergency, and RCA tasks meet the criteria on the form.	Amo	J	DLW
	b. Verify that the administrative outline meets the criteria specified on Form ES-301-1: (1) the tasks are distributed among the topics as specified on the form (2) at least one task is new or significantly modified (3) no more than one task is repeated from the last two NRC licensing examinations	Amo	J	DLW
	c. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.	Amo	J	DLW
4. G E N E R A L	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam sections.	Amo	J	DLW
	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.	Amo	J	DLW
	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	Amo	J	DLW
	d. Check for duplication and overlap among exam sections.	Amo	J	DLW
	e. Check the entire exam for balance of coverage.	Amo	J	DLW
	f. Assess whether the exam fits the appropriate job level (RO or SRO).	Amo	J	DLW
a. Author	Angela M. Orgera / Angela M. Orgera		Date: 8-8-2012	
b. Facility Reviewer (*)	John G. Fiala / John G. Fiala		8/27/12	
c. NRC Chief Examiner (#)	Duane G. Strickland / Duane G. Strickland		11/1/12	
d. NRC Supervisor	Vincent Gaddy / Vincent Gaddy		4/1/12	
Note:	# Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required. * Not applicable for NRC-prepared examination outlines			

Facility: <u>River Bend Station</u>		Date of Exam: <u>11/8/12</u>		Exam Level: RO <input checked="" type="checkbox"/> SRO <input checked="" type="checkbox"/>		
Item Description	Initial					
	a	b*	c#			
1. Questions and answers are technically accurate and applicable to the facility.	Ans	3	DU			
2. a. NRC K/As are referenced for all questions. b. Facility learning objectives are referenced as available.	Ans	3	DU			
3. SRO questions are appropriate in accordance with Section D.2.d of ES-401	Ans	3	DU			
4. The sampling process was random and systematic (If more than 4 RO or 2 SRO questions were repeated from the last 2 NRC licensing exams, consult the NRR OL program office).	Ans	3	DU			
5. Question duplication from the license screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate: ___ the audit exam was systematically and randomly developed; or ___ the audit exam was completed before the license exam was started; or ___ the examinations were developed independently; or ___ the licensee certifies that there is no duplication; or ___ other (explain)	Ans	3	DU			
6. Bank use meets limits (no more than 75 percent from the bank, at least 10 percent new, and the rest new or modified); enter the actual RO / SRO-only question distribution(s) at right.	Bank	Modified	New	Ans	3	DU
	12 14	3 12	60 119			
7. Between 50 and 60 percent of the questions on the RO exam are written at the comprehension/ analysis level; the SRO exam may exceed 60 percent if the randomly selected K/As support the higher cognitive levels; enter the actual RO / SRO question distribution(s) at right.	Memory	C/A		Ans	3	DU
	35 18	40 17				
8. References/handouts provided do not give away answers or aid in the elimination of distractors.	Ans	3	DU			
9. Question content conforms with specific K/A statements in the previously approved examination outline and is appropriate for the tier to which they are assigned; deviations are justified.	Ans	3	DU			
10. Question psychometric quality and format meet the guidelines in ES Appendix B.	Ans	3	DU			
11. The exam contains the required number of one-point, multiple choice items; the total is correct and agrees with the value on the cover sheet.	Ans	3	DU			
a. Author <u>Angela M. Orgera / Angela M. Orgera</u> b. Facility Reviewer (*) <u>John Fratrick / John Fratrick</u> c. NRC Chief Examiner (#) <u>Duane G Strickland / Duane G Strickland</u> d. NRC Regional Supervisor <u>Vincent Gaddy / Vincent Gaddy</u>		Date <u>9-5-12</u> <u>9-5-12</u> <u>10-30-12</u> <u>11/7/12</u>				
Note: * The facility reviewer's initials/signature are not applicable for NRC-developed examinations. # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.						

Facility: <u>River Bend Station</u>		Date of Examination: <u>11/12/12</u>		Operating Test Number:	
1. General Criteria			Initials		
			a	b*	c#
a.	The operating test conforms with the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	Amo	S	AW	
b.	There is no day-to-day repetition between this and other operating tests to be administered during this examination.	Amo	S	AW	
c.	The operating test shall not duplicate items from the applicants' audit test(s). (see Section D.1.a.)	Amo	S	AW	
d.	Overlap with the written examination and between different parts of the operating test is within acceptable limits.	Amo	S	AW	
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	Amo	S	AW	
2. Walk-Through Criteria			--	--	--
a.	Each JPM includes the following, as applicable: <ul style="list-style-type: none"> <li>• initial conditions</li> <li>• initiating cues</li> <li>• references and tools, including associated procedures</li> <li>• reasonable and validated time limits (average time allowed for completion) and specific designation if deemed to be time-critical by the facility licensee</li> <li>• operationally important specific performance criteria that include:                         <ul style="list-style-type: none"> <li>- detailed expected actions with exact criteria and nomenclature</li> <li>- system response and other examiner cues</li> <li>- statements describing important observations to be made by the applicant</li> <li>- criteria for successful completion of the task</li> <li>- identification of critical steps and their associated performance standards</li> <li>- restrictions on the sequence of steps, if applicable</li> </ul> </li> </ul>	Amo	S	AW	
b.	Ensure that any changes from the previously approved systems and administrative walk-through outlines (Forms ES-301-1 and 2) have not caused the test to deviate from any of the acceptance criteria (e.g., item distribution, bank use, repetition from the last 2 NRC examinations) specified on those forms and Form ES-201-2.	Amo	S	AW	
3. Simulator Criteria			--	--	--
The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached.		Amo	S	AW	
	Printed Name / Signature		Date		
a.	Author	<u>Angela M. Orgeron</u>	<u>Angela M. Orgeron</u>	<u>9-5-2012</u>	
b.	Facility Reviewer(*)	<u>John Frolick</u>	<u>John Frolick</u>	<u>9/5/12</u>	
c.	NRC Chief Examiner (#)	<u>Dwaine G Strickland</u>	<u>Dwaine G Strickland</u>	<u>11/5/12</u>	
d.	NRC Supervisor	<u>Vincent Gaddy</u>	<u>Vincent Gaddy</u>	<u>11/5/12</u>	
NOTE: * The facility signature is not applicable for NRC-developed tests. # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.					

11/12/12

Facility: <i>River Bend Station</i>		Date of Exam: ↓	Scenario Numbers: <i>112174</i>		Operating Test No.:
QUALITATIVE ATTRIBUTES		Initials			
		a	b*	c#	
1.	The initial conditions are realistic, in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
2.	The scenarios consist mostly of related events.	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
3.	Each event description consists of <ul style="list-style-type: none"> <li>the point in the scenario when it is to be initiated</li> <li>the malfunction(s) that are entered to initiate the event</li> <li>the symptoms/cues that will be visible to the crew</li> <li>the expected operator actions (by shift position)</li> <li>the event termination point (if applicable)</li> </ul>	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
4.	No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event.	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
5.	The events are valid with regard to physics and thermodynamics.	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
6.	Sequencing and timing of events is reasonable, and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives.	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
7.	If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
8.	The simulator modeling is not altered.	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
9.	The scenarios have been validated. Pursuant to 10 CFR 55.46(d), any open simulator performance deficiencies or deviations from the referenced plant have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios.	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
10.	Every operator will be evaluated using at least one new or significantly modified scenario. All other scenarios have been altered in accordance with Section D.5 of ES-301.	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
11.	All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios).	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
12.	Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios).	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
13.	The level of difficulty is appropriate to support licensing decisions for each crew position.	<i>Amo</i>	<i>z</i>	<i>SLW</i>	
Target Quantitative Attributes (Per Scenario; See Section D.5.d)		Actual Attributes			
1.	Total malfunctions (5-8)	<i>7</i>	<i>16</i>	<i>16</i>	
2.	Malfunctions after EOP entry (1-2)	<i>2</i>	<i>12</i>	<i>12</i>	
3.	Abnormal events (2-4)	<i>2</i>	<i>13</i>	<i>12</i>	
4.	Major transients (1-2)	<i>1</i>	<i>1</i>	<i>1</i>	
5.	EOPs entered/requiring substantive actions (1-2)	<i>2</i>	<i>12</i>	<i>12</i>	
6.	EOP contingencies requiring substantive actions (0-2)	<i>1</i>	<i>12</i>	<i>1</i>	
7.	Critical tasks (2-3)	<i>2</i>	<i>13</i>	<i>12</i>	

Facility: River Bend Station				Date of Exam: 11/8/2012		Exam Level: RO <input checked="" type="checkbox"/> SRO <input checked="" type="checkbox"/>	
Item Description	Initials						
	a	b	c				
1. Clean answer sheets copied before grading	Amo	S	DLW				
2. Answer key changes and question deletions justified and documented	NA	NA	N/A				
3. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	Amo	S	DLW				
4. Grading for all borderline cases (80 ±2% overall and 70 or 80, as applicable, ±4% on the SRO-only) reviewed in detail	Amo	S	DLW				
5. All other failing examinations checked to ensure that grades are justified	NA	NA	N/A				
6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	Amo	S	DLW				
		Printed Name/Signature	Date				
a. Grader	<u>Angela M. Orgeron / Angela Orgeron</u>		<u>11/8/2012</u>				
b. Facility Reviewer(*)	<u>John Frelck / JFW</u>		<u>11/14/2012</u>				
c. NRC Chief Examiner (*)	<u>Duane Strickland / Duane Strickland</u>		<u>11/19/2012</u>				
d. NRC Supervisor (*)	<u>Vincent Gaddy / Vincent Gaddy</u>		<u>11/30/12</u>				
(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.							

Operator Licensing Exam Schedule  
From 10/01/2012 To 12/31/2012

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Region: 4 Phase Code: Operating Reactors (5)

Exam Week	Site/Docket No./Insp Rpt #	# Candidates	Type	Exam Author	Chief Examiner	Examiners Assigned
04/01/2012	River Bend / 05000458 / TAC #: X02496	RO - 3 SROU - 3	Prep	FFF	STRICKLAND, DUANE G.	APGER, GABRIEL W. LARSON, BRIAN T. STRICKLAND, DUANE G.
11/11/2012	River Bend / 05000458 / TAC #: X02496	RO - 3 SROU - 3	Doc	FFF	STRICKLAND, DUANE G.	APGER, GABRIEL W. LARSON, BRIAN T. STRICKLAND, DUANE G.
11/12/2012	River Bend / 05000458 / TAC #: X02496	RO - 3 SROU - 3	Admin	FFF	STRICKLAND, DUANE G.	APGER, GABRIEL W. LARSON, BRIAN T. STRICKLAND, DUANE G.

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of Nov 8-16 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of Nov 8-16. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. <u>Angelo M. Oregon</u>	<u>Exam Development Lead</u>	<u>Angelo Oregon</u>	<u>2-16-12</u>	<u>Angelo M. Oregon</u>	<u>11-15-12</u>	
2. <u>GARY DEGRAW</u>	<u>Supt. Simulator</u>	<u>Gary Degraw</u>	<u>7/12/12</u>	<u>Gary Degraw</u>	<u>11/15/12</u>	
3. <u>John D. Lee</u>	<u>Simulator Sr Specialist</u>	<u>John D. Lee</u>	<u>7/12/12</u>	<u>John D. Lee</u>	<u>11/15/12</u>	
4. <u>HALLE TESFAY</u>	<u>Simulator Sr. Specialist</u>	<u>Halle Tesfay</u>	<u>7/12/12</u>	<u>Halle Tesfay</u>	<u>11/15/12</u>	
5. <u>Dave Bergstrom</u>	<u>EXAM DEVELOPER</u>	<u>Dave Bergstrom</u>	<u>7/12/12</u>	<u>Dave Bergstrom</u>	<u>11-15-12</u>	
6. <u>Jim Schenk</u>	<u>AOM-Shift</u>	<u>Jim Schenk</u>	<u>7-20-12</u>	<u>Jim Schenk</u>	<u>11-15-12</u>	
7. <u>John Fralick</u>	<u>Facility Renewer</u>	<u>John Fralick</u>	<u>7/25/12</u>	<u>John Fralick</u>	<u>11-15-12</u>	
8. <u>James Henderson</u>	<u>Control Room Supervisor</u>	<u>James Henderson</u>	<u>8-15-12</u>	<u>James Henderson</u>	<u>11-15-12</u>	
9. <u>SCOTT DALLAS</u>	<u>NCB</u>	<u>Scott Dallas</u>	<u>8-13-12</u>	<u>Scott Dallas</u>	<u>11/15/12</u>	
10. <u>ALFONSO CROCE</u>	<u>CRS/STA</u>	<u>Alfonso Croce</u>	<u>8-13-12</u>	<u>Alfonso Croce</u>	<u>11/15/12</u>	
11. <u>BOBBY RICKETS</u>	<u>NCB</u>	<u>Bobby Rickets</u>	<u>8-13-12</u>	<u>Bobby Rickets</u>	<u>11-15-12</u>	
12. <u>J.W. GATES</u>	<u>AOM-STAFF</u>	<u>J.W. Gates</u>	<u>9/4/12</u>	<u>J.W. Gates</u>	<u>11-15-12</u>	
13. <u>John Hedgepeth</u>	<u>Preceptor Trng Staff</u>	<u>John Hedgepeth</u>	<u>11-8-12</u>	<u>John Hedgepeth</u>	<u>11-15-12</u>	
14. <u>Sid Durbin</u>	<u>Preceptor</u>	<u>Sid Durbin</u>	<u>11-8-12</u>	<u>Sid Durbin</u>	<u>11/15/12</u>	
15. <u>John East</u>	<u>Preceptor</u>	<u>John East</u>	<u>11-12-12</u>	<u>John East</u>	<u>11/15/12</u>	

NOTES: Grant Dempsey

Delta Via-telecom