



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**

REGION III
2443 WARRENVILLE ROAD, SUITE 210
LISLE, IL 60532-4352

August 28, 2012

Mr. Mark Schimmel
Site Vice President
Monticello Nuclear Generating Plant
Northern States Power Company, Minnesota
2807 West County Road 75
Monticello, MN 55362-9637

SUBJECT: INFORMATION REQUEST TO SUPPORT UPCOMING BIENNIAL PROBLEM IDENTIFICATION AND RESOLUTION INSPECTION AT THE MONTICELLO NUCLEAR GENERATING PLANT

Dear Mr. Schimmel:

This letter is to request information to support our Biennial Problem Identification and Resolution (PI&R) Inspection beginning October 1, 2012, at the Monticello Nuclear Generating Plant. This inspection will be performed in accordance with the U.S. Nuclear Regulatory Commission (NRC) Baseline Inspection Procedure 71152.

Experience has shown that these inspections are extremely resource intensive for both the NRC inspectors and the utility staff. In order to minimize the impact that the inspection has on the site and to ensure a productive inspection, we have enclosed a list of documents required for the inspection.

The documents requested are copies of performance reports and lists of information necessary to ensure the inspection team is adequately prepared for the inspection. The information may be provided in CD-ROM/DVD format and should be ready for NRC review by September 20, 2012. Please provide one copy to the Resident Inspector Office at the Monticello Nuclear Generating Plant, and two copies to Mr. Robert Lerch, the Lead Inspector at the Region III office located at 2443 Warrenville Road, Suite 210, Lisle, Illinois 60532-4352. Please ensure that the information is indexed and titled to the information request number and subject.

If there are any questions about the material requested, or the inspection in general, please do not hesitate to call Mr. Lerch at 630-829-9759 or E-mail at Robert.Lerch@NRC.gov.

This letter does not contain new or amended information collection requirements subject to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.). Existing information collection requirements were approved by the Office of Management and Budget, Control Number 3150-0011.

M. Schimmel

-2-

The NRC may not conduct or sponsor, and a person is not required to respond to, a request for information or an information collection requirement unless the requesting document displays a currently valid Office of Management and Budget Control Number.

In accordance with 10 CFR 2.390 of the NRC's "Rules of Practice," a copy of this letter and its enclosure will be available electronically for public inspection in the NRC Public Document Room or from the Publicly Available Records System (PARS) component of NRC's Agencywide Documents Access and Management System (ADAMS), accessible from the NRC Web site at <http://www.nrc.gov/reading-rm/adams.html> (the Public Electronic Reading Room).

Sincerely,

/RA/

Kenneth Riemer, Chief
Branch 2
Division of Reactor Projects

Docket No. 50-263
License No. DPR-22

Enclosure:
Requested Information to Support PI&R Inspection

cc w/encl: Distribution via ListServ

**Requested Information to Support
Problem Identification and Resolution (PI&R) Inspection**

1. A copy of the administrative procedure(s) for the corrective action program (CAP), the trending program, quality assurance audit program, self-assessment program, corrective action effectiveness review program, and industry experience review program.
2. A copy of the Employee Concerns Program (ECP)/Ombudsman administrative procedure.
3. A copy of Quality Assurance (QA) audits of the corrective action program completed since July 2010.
4. The plan for self-assessments of the corrective action program (CAP) and a copy of self-assessments of the corrective action program completed since July 2010.
5. A list of self-assessments and audits (including QA) completed since July 2010.
6. A list of all open condition reports (CRs) sorted by significance level and then initiation date. Include the date initiated, a description of the issue, and the significance level.
7. Separate lists of root causes, apparent causes and common cause evaluations completed since July 2010.
8. A list of CRs closed since July 2010 sorted by significance level and then initiation date. Include a description, the significance level, the date initiated and closed, and whether there was an associated operability evaluation.
9. A list of effectiveness reviews completed since July 2010.
10. A list of CRs reports initiated since July 2010 that involve inadequate or ineffective corrective actions. Include the date initiated, a brief description, status, and significance level for each item.
11. A list of CRs initiated since July 2010 that identify trends of conditions adverse to quality. Include the date initiated, a brief description, status, and significance level for each item.
12. A copy of any performance reports for the past two years used to track the CAP effectiveness.
13. A copy of any CAP trend reports for the past two years.
14. A list of operating experience documents received since July 2010 and any associated CRs or items used to document review of the operating experience.
15. A list of test failures (IST or Technical Specifications surveillances) since July 2010, with a brief description of component/system which failed. Include the CR number documenting review of the failure.

16. A list of CRs issued during the last refueling outage sorted by system and component, including a brief description, status, and significance level for each item.
17. A list of Maintenance Rule (a)(1) systems and components, and the associated health reports since July 2010. Include the current maintenance rule status of the systems.
18. Copies of corrective actions taken for issues identified in NRC findings and violations since July 2010.
19. A list of all corrective actions and their completion status for any NRC observations or findings since July 2010.
20. A copy of the most recent operating experience program effectiveness review.
21. A list of the top 10 risk-significant systems and top 10 risk-significant components.
22. A list of CAP routine meeting times and places.
23. A list of CRs addressing safety relief valves dating back to July 2007.
24. A list of all CRS reports generated as a result of Industry Operating Experience (including those associated with INPO issues).
25. A list of open temporary modifications, operability determinations, control room deficiencies, and operator workarounds.
26. The total number of CRs generated monthly in the entire system and by department for 2011 and 2012 (January thru July).

Documents requested to be available on-site during the inspection:

- a. Updated Safety Analysis Report*
- b. Technical Specifications*
- c. Procedures
- d. Copies of any self-assessments and associated condition reports generated in preparation for the inspection*
- e. A copy of the QA manual*
- f. Results of any independent and self-assessments of Safety Culture/Safety-Conscious Work Environment completed in the last two years, including condition reports, corrective actions, effectiveness reviews, etc. resulting from the assessments.
- g. A list of issues brought to the ECP/Ombudsman and the actions taken for resolution
- h. The latest organization chart and phone list*
- i. A list of the organization codes used in the CAP*

Note: If the above items marked with an asterisk are available as an electronic document, please include the electronic file with the initial information requested.

In addition, inspectors will require computer access to the CAP database while on site.

M. Schimmel

-2-

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Letter to M. Schimmel from K. Riemer dated August 28, 2012

SUBJECT: INFORMATION REQUEST TO SUPPORT UPCOMING BIENNIAL PROBLEM
IDENTIFICATION AND RESOLUTION INSPECTION AT THE MONTICELLO
NUCLEAR GENERATING PLANT

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