

November 19, 2012

MEMORANDUM TO: Those on the Attached List

FROM: R.W. Borchardt */RA by Michael R. Johnson for/*
Executive Director for Operations

SUBJECT: UNITED STATES NUCLEAR REGULATORY COMMISSION -
ROLES AND RESPONSIBILITIES OF THE PROGRAM,
SUPPORT AND REGIONAL OFFICES WITHIN THE
INDEPENDENT SPENT FUEL STORAGE INSTALLATION
SECURITY PROGRAM

The Office of the Inspector General (OIG) provided the results of its audit of the U.S. Nuclear Regulatory Commission's (NRC) Oversight of Independent Spent Fuel Storage Installation (ISFSI) Security (OIG-11-A-10) in a report dated May 3, 2011 (Agencywide Documents Access and Management System Accession No. ML111250326). In that report, OIG recommendation 1 stated that the NRC should develop and implement an overarching process document that defines and clearly documents the roles and responsibilities for all of the offices involved with ISFSI security.

In response to that recommendation, the Office of Nuclear Security and Incident Response (NSIR), as the office responsible for planning, coordinating, and managing the overall development and implementation of policies, guidance, and oversight activities for security programs at ISFSIs, developed the enclosed overarching process document. NSIR coordinated with and obtained concurrence on the document – entitled “NRC Offices Roles and Responsibilities within the Independent Spent Fuel Storage Installation (ISFSI) Security Program” from all NRC’s program, support, and regional offices involved with ISFSI security.

The purpose of this memorandum is to notify the program, support, and regional offices that the Executive Director for Operations approves the key roles and responsibilities in the ISFSI security program as described in the enclosed document. Each applicable program, support, and regional office should revise internal processes related to the ISFSI security program to reflect the responsibilities outlined in the enclosure, as needed.

Enclosure:
NRC Offices Roles and Responsibilities
within the ISFSI Security Program

CONTACT: Susan Stuchell, NSIR/DSP
301-415-2240

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within the ISFSI Security Program

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DATE	9/20/12	9/24/12	9/26/12	9 / 26 /12	9/ 26/12	
OFFICE	NMSS	OGC	RGN I	RGN II	RGN III	
NAME	MLombard	NStAmour	WDean	VMcCree	CCasto	
DATE	9/24/12	10 /04 /12	10 / 1 /12	10 / 1 /12	10 / 1 /12	
OFFICE	RGN IV	NRR	FSME	NMSS	NSIR/D	EDO
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NRC Offices Roles and Responsibilities within the Independent Spent Fuel Storage Installation (ISFSI) Security Program

Office	Role	Responsibilities
NSIR	<u>10 CFR Section 1.46 (a)</u> Develops overall agency policy and provides management direction for evaluation and assessment of technical issues involving security at nuclear facilities.	<ol style="list-style-type: none"> 1. Plan, coordinate, and manage the overall development and implementation of policies, guidance, and oversight activities for security programs at ISFSIs. 2. Support licensing, rulemaking and inspection activities. 3. Maintain ISFSI security-related manual chapters, qualification journals and guidance documents. 4. Review security-related plans submitted by licensees and applicants. 5. Support the Regions during ISFSI security inspections. 6. Maintain information related to ISFSI security inspections in a centralized location. 7. Facilitate counterpart communications.
NMSS	<u>10 CFR Section 1.42 (b)(1)</u> Develops and implements NRC policy for the regulation of reactor spent fuel storage; and safe management and disposal of spent fuel.	<ol style="list-style-type: none"> 1. Provides the infrastructure to ensure appropriate coordination of licensing and safety inspections of NMSS regulated facilities. 2. Plan, coordinate, and manage the overall development and implementation of policies, guidance, and oversight activities for safety programs at ISFSIs. 3. Issue Security Orders as required and provide responses to NSIR for review. 4. Maintain manual chapters, qualification journals and guidance documents for activities related to safety at all NMSS regulated facilities. 5. Support Region ISFSI safety inspections. 6. Allocate program funds for ISFSI security-related programs and inspections. 7. Maintain information related to ISFSI safety inspections in a centralized location.
Regions	<u>10 CFR Section 1.47</u> Execute established NRC policies and assigned programs relating to inspection, enforcement, licensing, State agreements, State liaison, and emergency response within Regional boundaries set out in Section 1.5(b) of this part.	<ol style="list-style-type: none"> 1. Plan, coordinate, budget and track ISFSI security inspections. 2. Discuss findings, concerns, and observations as needed with other offices. 3. Implement Manual Chapter (MC) and appropriate inspection guidance. 4. Provide timely feedback on manual chapters, qualification journals and guidance documents related to ISFSI security or safety. 5. Train and qualify ISFSI security inspectors. 6. Provide information regarding ISFSI inspections to NMSS, NRR, and NSIR.
NRR	<u>10 CFR Section 1.43 (f)</u> Performs other functions required for implementation of the reactor licensing,	<ol style="list-style-type: none"> 1. Provide support to NMSS and NSIR with the infrastructure for inspecting and assessing reactor licensee's performance as it relates to ISFSI security programs. 2. Coordinate the review and approval of operating reactor

	inspection, and safeguard programs.	<p>safeguards contingency and physical security plans (PSP).</p> <ol style="list-style-type: none"> 3. Coordinate the review of submitted PSPs with an ISFSI annex with NMSS and NSIR (e.g., changes under 10 CFR 50.54(p)). 4. Allocate program funds for ISFSI security inspections occurring within the reactor oversight program. (Appendix C to MC 2515)
FSME	<p><u>10 CFR Section 1.41 (b) (23)</u> Manages the decommissioning of facilities and sites when their licensed functions are over.</p>	<ol style="list-style-type: none"> 1. Coordinate the review of ISFSI PSP and related security plans with NSIR and NMSS for decommissioned facilities and sites until the fuel is completely transferred to the ISFSI.

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