

EDO Principal Correspondence Control

FROM: DUE: 09/14/12

EDO CONTROL: G20120347
DOC DT: 05/21/12
FINAL REPLY:

Stephen D. Dingbaum, OIG

TO:

Borchardt, EDO

FOR SIGNATURE OF :

** GRN **

CRC NO:

Sheron, RES

DESC:

ROUTING:

Status of Recommendations: Audit of the Committee
to Review General Requirements (OIG-09-A-06)
(EDATS: OEDO-2012-0292)

Borchardt
Weber
Johnson
Ash
Mamish
OGC/GC
Arildsen, OEDO

DATE: 05/21/12

ASSIGNED TO:

CONTACT:

RES

Sheron

SPECIAL INSTRUCTIONS OR REMARKS:

Please provide response to Stephen D. Dingbaum, OIG addressing Recommendation 1. Be sure to include the target completion date and the current point-of-contact. Forward final memo via email to Judy Gordon, cc: Stephen Dingbaum, Steven Zane and the RidsEdoMailCenter by September 14, 2012. If applicable, be sure to attach in email any Enclosures to the response. See additional instructions attached.

Template: EDO-001

E-RIDS: EDO-01

EDATS

Electronic Document and Action Tracking System

EDATS Number: OEDO-2012-0292

Source: OEDO

General Information

Assigned To: RES

OEDO Due Date: 9/14/2012 11:00 PM

Other Assignees:

SECY Due Date: NONE

Subject: Status of Recommendations: Audit of the Committee to Review Generic Requirements (OIG-09-A-06)

Description:

CC Routing: NONE

ADAMS Accession Numbers - Incoming: NONE

Response/Package: NONE

Other Information

Cross Reference Number: G20120347, OIG-09-A-06

Staff Initiated: NO

Related Task:

Recurring Item: NO

File Routing: EDATS

Agency Lesson Learned: NO

OEDO Monthly Report Item: NO

Process Information

Action Type: Memo

Priority: Medium

Sensitivity: None

Signature Level: RES

Urgency: NO

Approval Level: No Approval Required

OEDO Concurrence: NO

OCM Concurrence: NO

OCA Concurrence: NO

Special Instructions: Please provide response to Stephen D. Dingbaum, OIG addressing Recommendation 1. Be sure to include the target completion date and the current point-of-contact. Forward final memo via email to Judy Gordon, cc: Stephen Dingbaum, Steven Zane and the RidsEdoMailCenter by September 14, 2012. If applicable, be sure to attach in email any Enclosures to the response. See additional instructions attached.

Document Information

Originator Name: Stephen D. Dingbaum

Date of Incoming: 5/21/2012

Originating Organization: OIG

Document Received by OEDO Date: 5/21/2012

Addressee: R. W. Borchardt, EDO

Date Response Requested by Originator: 9/14/2012

Incoming Task Received: Memo



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**
WASHINGTON, D.C. 20555-0001

OFFICE OF THE
INSPECTOR GENERAL

May 21, 2012

MEMORANDUM TO: R. William Borchardt
Executive Director for Operations

FROM: Stephen D. Dingbaum
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATION: AUDIT OF THE
COMMITTEE TO REVIEW GENERIC
REQUIREMENTS (OIG-09-A-06)

REFERENCE: ACTING CHAIRMAN, COMMITTEE TO REVIEW
GENERIC REQUIREMENTS, MEMORANDUM
DATED MAY 1, 2012

Attached is the Office of the Inspector General's analysis and status of recommendation 1 as discussed in the agency's response dated May 1, 2012. Based on the response, recommendation 1 remains resolved. Please provide an updated status on recommendation 1 by September 14, 2012.

If you have questions or concerns, please call me at 415-5915 or RK Wild, Team Leader, at 415-5948.

Attachment: As stated

cc: N. Mamish, OEDO
K. Brock, OEDO
J. Arildsen, OEDO
C. Jaegers, OEDO

Audit Report

AUDIT OF THE COMMITTEE TO REVIEW GENERIC REQUIREMENTS

OIG-09-A-06

Status of Recommendation

Recommendation 1: Develop, document, implement, and communicate an agencywide process for reviewing backfit issues to ensure that generic backfits are appropriately justified based on NRC regulations and policy.

Agency Response Dated
May 1, 2012:

On February 21, 2012, the staff of the Committee to Review Generic Requirements (CRGR) provided a revision of the draft final Management Directive (MD) 8.4, "Management of Facility-Specific Backfitting and Information Collection" to the Office of Administration (ADM) for its final edits and formatting. At this point, the responsibilities of the CRGR with regards to the issuance of the revised MD 8.4 are completed. ADM continues to have the lead for issuance of the final MD.

Moreover, the CRGR staff has been in contact with the lead in the Office of Human Resources Division of Human Resources Training and Development (HRTD) regarding moving forward with the Web-based Backfit Training Program. The HRTD division has the lead in developing the backfit training program, and they have taken steps to engage all the relevant stakeholders and subject matter experts (e.g., the Office of the General Counsel backfit expert) to ensure proper coordination and identification of the resources needed to establish the backfit training program. Recent communications with the HRTD contact indicates that a tentative date for mid July has been set for providing a draft backfit training program to the various program offices for review. A final version incorporating the input from the program offices will be available to the CRGR for review approximately six weeks after the draft has been reviewed by the program offices.

Audit Report

AUDIT OF THE COMMITTEE TO REVIEW GENERIC REQUIREMENTS

OIG-09-A-06

Status of Recommendation

Recommendation 1 (continued):

After the CRGR approves the training program, the initial plans are to launch the Web-based training program using the agency iLearn platform. The program will be graded from beginner to advanced and will include a periodic refresher training component.

OIG Analysis:

The current update continues to meet the intent of the original recommendation. OIG will close the recommendation once we receive and review the final version of MD 8.4 and after reviewing the new backfit training programs per the updated schedule above to ensure it fulfills the recommendation's intent.

Status:

Resolved.

Additional Instructions to Action Offices/Regions on Responding to OIG Requests for Status Updates for OIG Report Recommendations

The action Offices/Region should provide a memorandum addressed to the Assistant Inspector General for Audits (AIGA) signed by the Office Director or Regional Administrator. The response should provide the status of each recommendation in the associated OIG audit report.

The memorandum should provide updated status for the OIG report recommendations by the date specified. An extension will not be granted since the memorandum is requesting a status of the recommendation(s), not the completion of the recommendation(s).

Please ensure the updated status memorandum addresses all “resolved” and “unresolved” recommendations and include for each recommendation separately:

- (a) the recommendation by number, repeating its text verbatim,
- (b) a response that describes under each recommendation the action(s) needed for closure,
- (c) the target completion date for the action(s), and
- (d) the identification of the current point-of-contact.

When appropriate, ensure applicable document(s) to support OIG closure of recommendation(s) are attached as Enclosures and/or provide an ADAMS accession number with viewer rights. Be sure to include the audit number, for example: OIG-11-A-01, and task number(s) to the case reference field in the ADAMS profile.

Forward the memorandum to OIG via email, as described in the Special Instructions or Remarks in the associated action item ticket.

Please note that status update memoranda that are in response to publically available OIG audit report recommendations should be profiled in ADAMS to be publically available, and the memorandum should not be marked to the contrary.