

# Uncertainties in SPRA

Sources and Treatment

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**Office of Nuclear Regulatory Research**



# Uncertainties in SPRA

## Sources and Treatment



- Overview of PSHA & interface with ANSI/ANS Standard High Level Requirements (HLRs)
- Areas of uncertainty and treatment in PSHA
- Reevaluation of PSHA
- Site Response overview and uncertainties
- Other hazards and sources of uncertainty
- Engineering sources of uncertainty
- Interface sources of uncertainty

# Uncertainties in SPRA

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### Seismic Load

- Determined by PSHA
- Defined in terms of hazard curves and response spectra
- Uncertainty is explicitly quantified using modern approaches

### Capacity

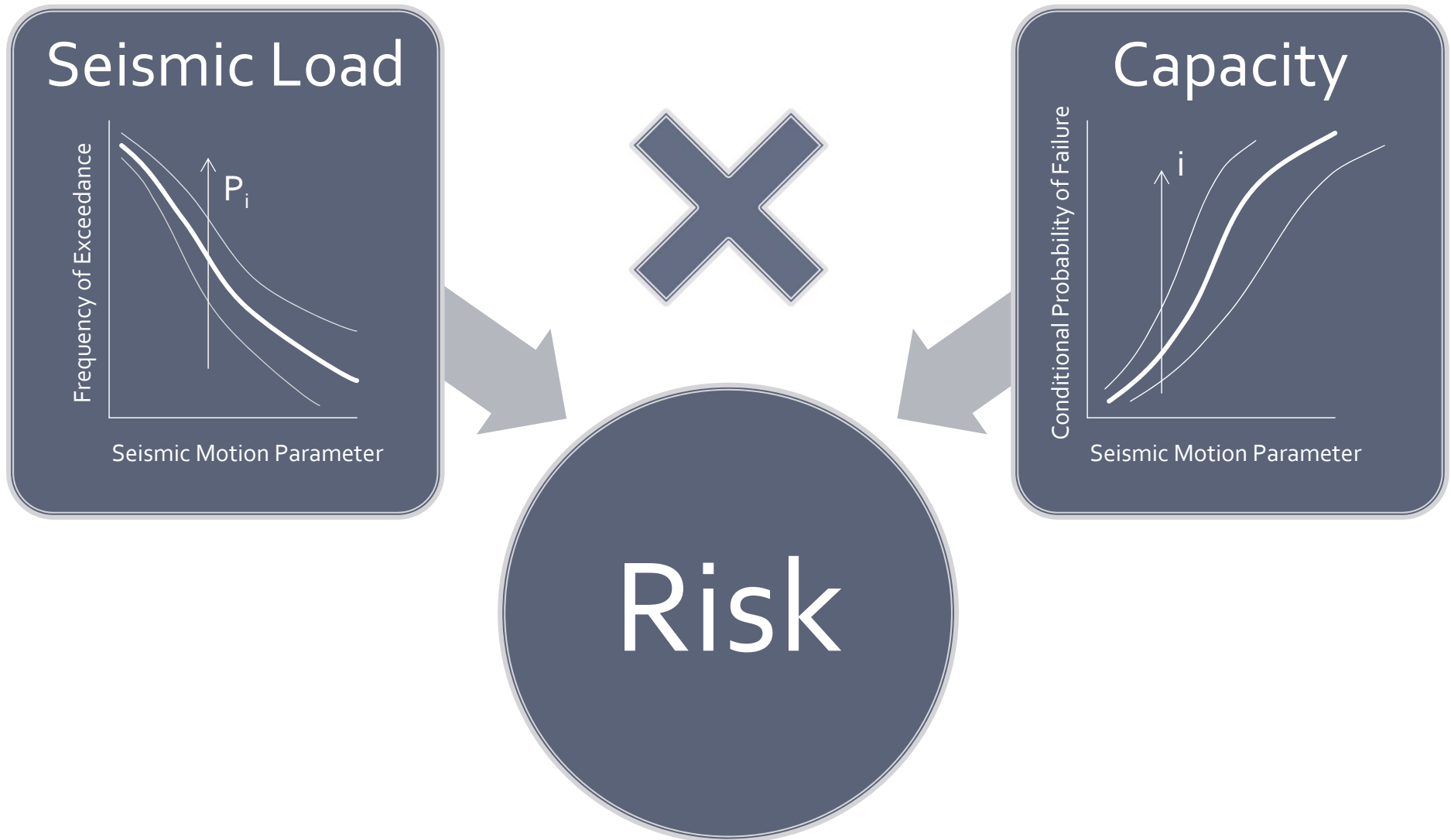
- Dependent on systems design and redundancy
- SSC capacity quantified as fragilities
- SSC capacities are frequency dependent and so the shape of the response spectrum is a key input

```
graph TD; SL[Seismic Load] --> R((Risk)); C[Capacity] --> R;
```

Risk

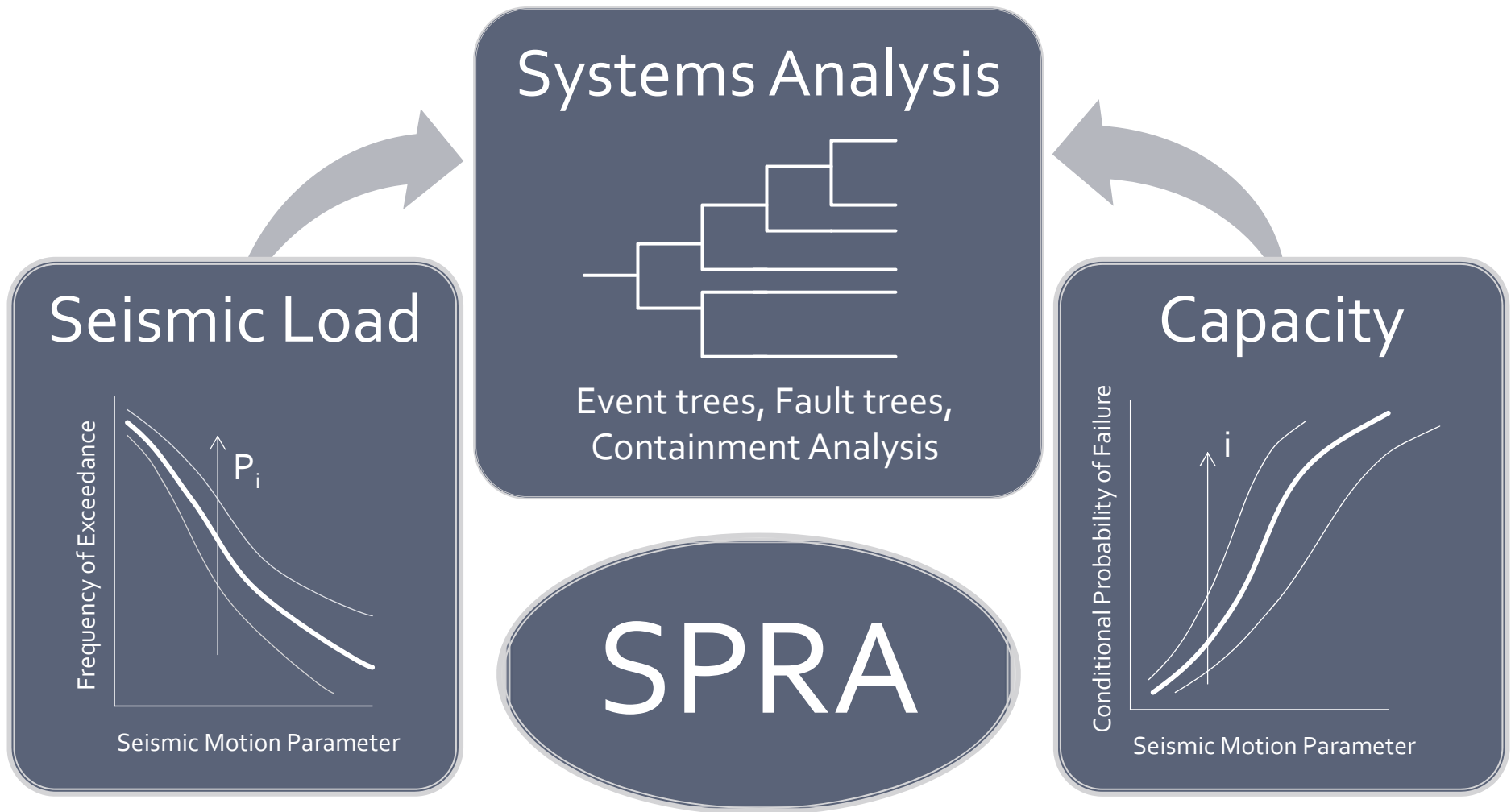
# Uncertainties in SPRA

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# Uncertainties in SPRA

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## Probabilistic Seismic Hazard Analysis (PSHA)

- Seismic load is determined through PSHA.
- Objective to determine the best estimate (and uncertainty) of ground motion levels at a particular location over times periods of interest.
- PSHA considers all possible earthquakes from all seismic sources that may impact a site, and accounts for the likelihood of any particular earthquake.

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# Uncertainty

## Aleatory

Natural variability

Not reducible

Addressed through integration  
over parameter distributions

## Epistemic

Modeling or knowledge  
uncertainty

Reducible with more  
information

Addressed through use of a  
logic tree

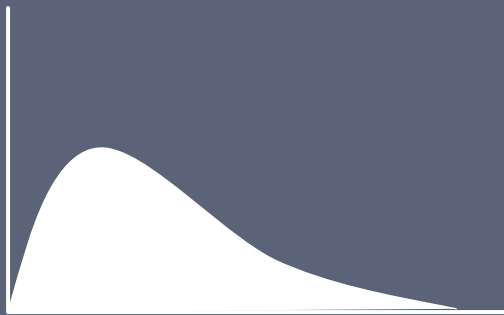
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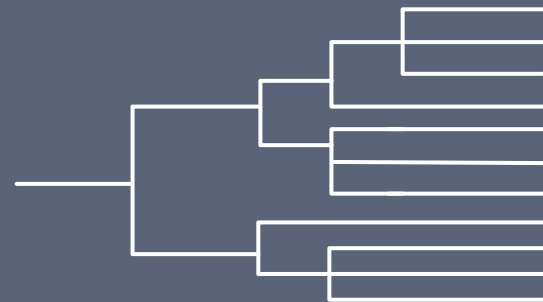
# Uncertainty

## Aleatory



Integration over distribution of  
expected parameter values

## Epistemic



logic tree of technically  
defensible interpretations



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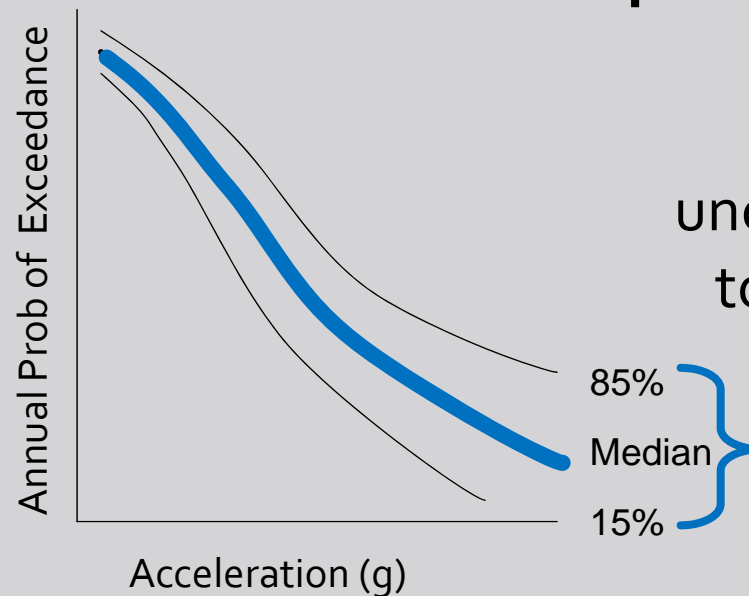
# Uncertainty

## Aleatory

Aleatory variability gives the curve its shape.

## Epistemic

Epistemic uncertainty leads to uncertainty bands



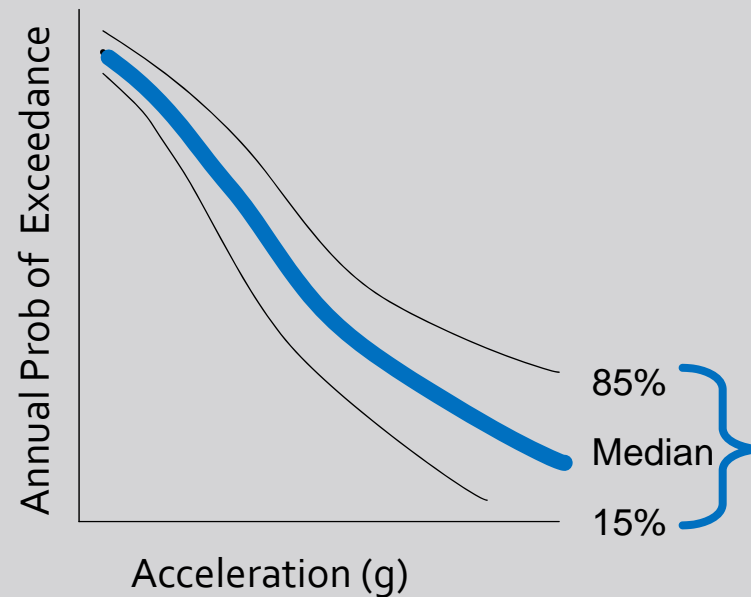
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# Uncertainty

## Median Curve



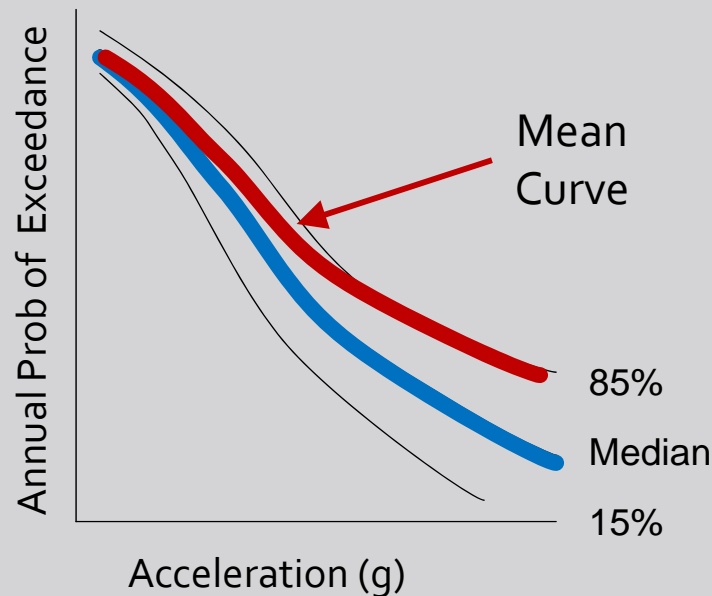
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# Uncertainty

## Mean Curve



The mean curve is used in risk assessment and design to better account for epistemic uncertainty.

The mean curve exceeds the median due to the log-normal distribution of most parameters

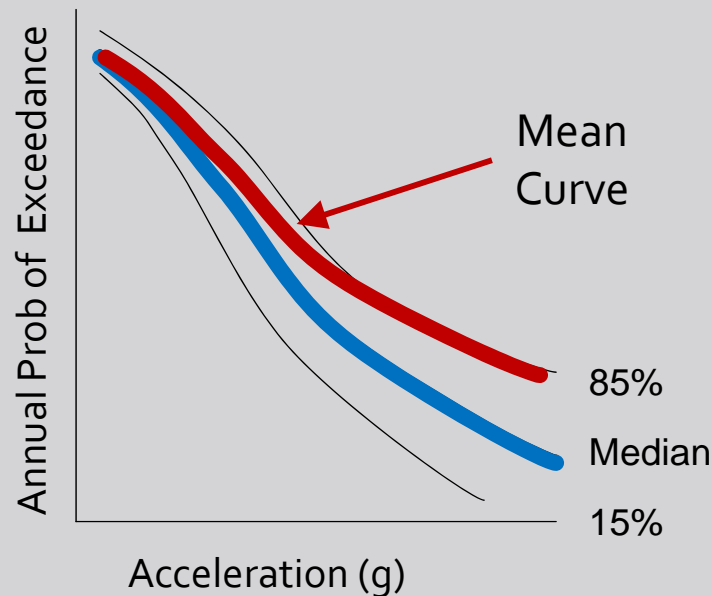
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# Uncertainty

## Mean Curve



Greater epistemic uncertainty due to lack of data leads to a higher mean curve. This leads, in turn, to higher assessments of risk. There is a benefit to accumulating data.

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### Seismic Source Characterization Model

Provides the characterization for all seismic sources that may impact a site of interest. The SSC model is in the form of a logic tree composed of the full suite of alternative technically defensible interpretations of the earth science data. The logic tree accounts for epistemic uncertainty. Aleatory variability is incorporated for specific parameters as appropriate.



PSHA

Central and Eastern United States  
Seismic Source Characterization  
for Nuclear Facilities



U.S. Nuclear Regulatory Commission  
Office of Nuclear Regulatory Research  
Washington DC 20555  
NUREG-2115



U.S. Department of Energy  
1000 Independence Avenue SW  
Washington, DC 20585  
Report # DOE/NE-0140



Electric Power Research Institute  
3420 Hillview Avenue  
Palo Alto, CA 94304  
Report # 1021097

**PUBLISHED**  
**January 2012**

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### Ground Motion Characterization Model

Provides a distribution of predicted ground motions for a particular magnitude distance scenario earthquake. The GMC model is in the form of a logic tree composed of Ground Motion Prediction Equation (GMPEs). The logic tree accounts for epistemic uncertainty. Each GMPE incorporates aleatory variability through "sigma".

**NGA EAST**  
Pacific Earthquake Engineering Research Center

HOME EVENTS REQUEST FOR PROPOSALS WORKING GROUPS TASKS DATABASES RELATED NEWS SPONSORS

**Next Generation Attenuation Relationships for Central & Eastern North-America (NGA-East)**

With funding from the US Nuclear Regulatory Commission (NRC), and in collaboration with the US Geological Survey (USGS) and national experts, the Pacific Earthquake Engineering Research Center has initiated a comprehensive multidisciplinary program to develop Next Generation Attenuation Relationships for the Central and Eastern North-America (NGA-East). This follows on the very successful multi-institution, multi-investigator, multi-sponsor collaborative Next Generation Attenuation Relationship (NGA-West) program (originally referred to as NGA), which developed new ground motion prediction models for the western United States.

In the NGA-East program, the objective is to develop a new set of comprehensive and broadly accepted attenuation relationships for the Central and Eastern North-America (CENA). To support the NGA-East attenuation modelers, several sets of supporting projects will be defined, initiated, and coordinated. These include development of a ground motion database, and supporting computer simulation studies.

The NGA-East project plan is now available: [download the project plan](#)

**Important Announcements**

- SSHAC Workshop 2, Oct. 11-13 2011 – [Presentations now posted!](#) Videos coming soon...
- SSHAC Workshop 1, Nov. 15-18 2010 – [Presentations and videos available.](#)

Related Sites

- NGA west
- NGA east
- NGA east Working Groups
- Global GMPE
- PEER

U.S. NRC USGS EPR ELECTRIC POWER RESEARCH INSTITUTE

Search

**IN PROGRESS**  
**January 2014**

**PSHA**

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Seismic Source  
Characterization Model

**HLR-SHA-C**

All credible sources of potentially  
damaging earthquakes

Epistemic & Aleatory

SSHAC Guidelines

Ground Motion  
Characterization Model

**HLR-SHA-D**

All credible mechanisms influencing  
vibratory ground motion

Epistemic & Aleatory

SSHAC Guidelines

PSHA

# Uncertainties in SPRA

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### HLR-SHA-A

requires that the fundamental elements of PSHA are incorporated, including the composite distribution of the informed technical community

### HLR-SHA-B

requires a comprehensive up-to-date database including:  
geological, geophysical, topographical, geotechnical,  
historical/instrumental seismicity, & paleoseismicity



# Uncertainties in SPRA

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### **HLR-SHA-A**

SSHAC Guidelines

### **HLR-SHA-B**

SSHAC Guidelines

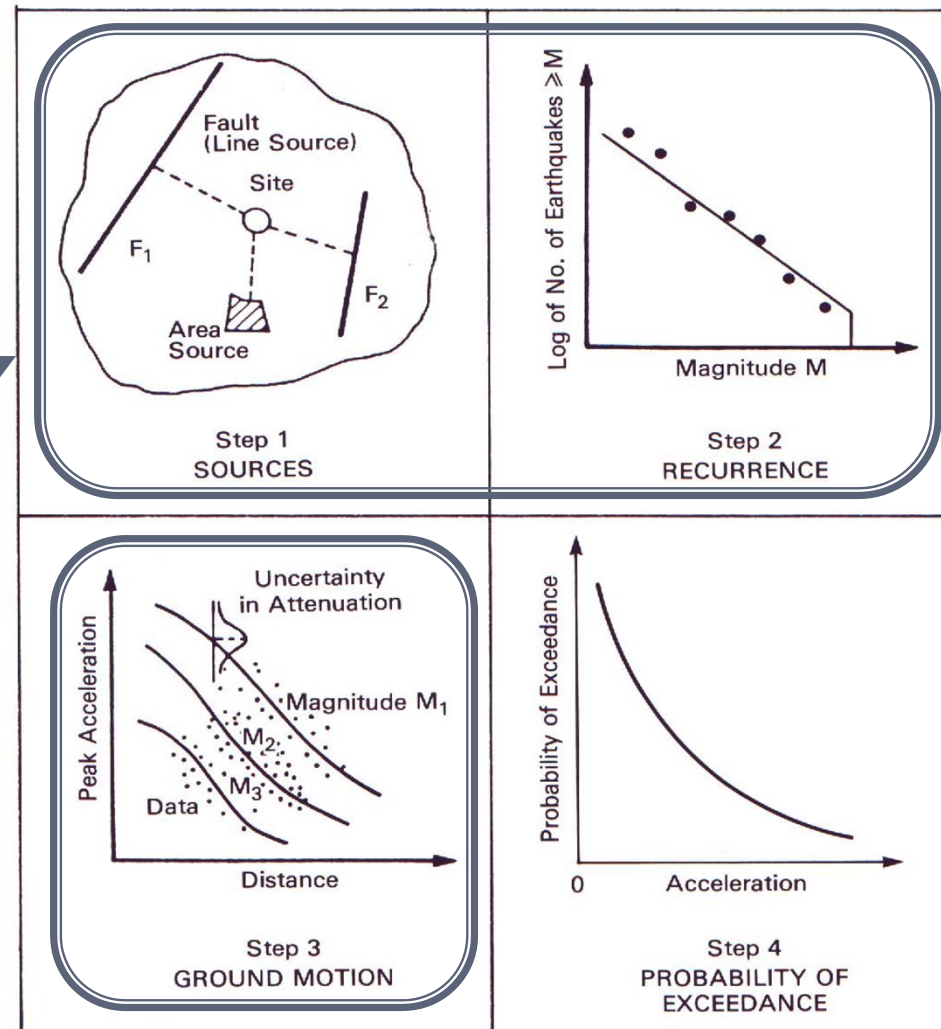
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## Sources and Treatment



Seismic Source  
Characterization:  
SSC Model

Source  
Geometry



Earthquake  
Recurrence

Ground Motion  
Characterization:  
GMC Model

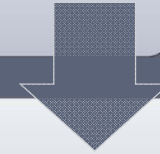
Base figure from  
Reiter (1990)

# Uncertainties in SPRA

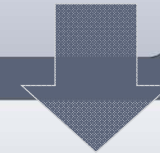
## Sources and Treatment



Characterize all seismic sources that could impact the site



Determine every earthquake that each source can produce and the likelihood of the earthquake



Assesses the ground motion distribution for each earthquake



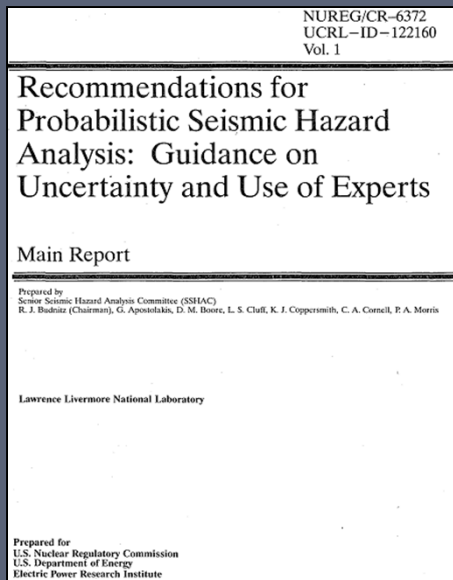
Integrates the ground motion over all earthquakes accounting for the likelihood of each scenario

# Uncertainties in SPRA

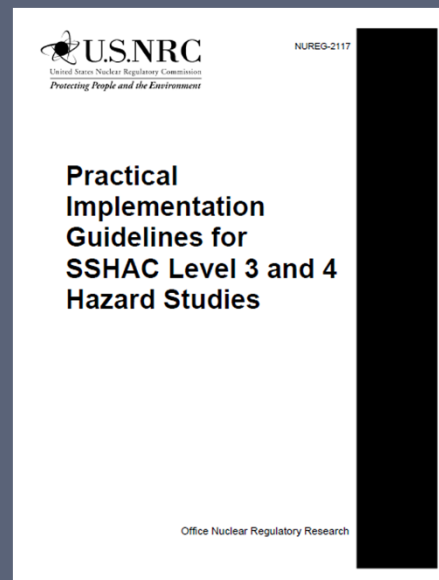
## Sources and Treatment



## SSHAC Guidelines



NUREG/CR-6372  
(1989)



NUREG 2117  
(2012)

Original report provides framework. New report provides details. Both describe how to undertake studies that develop hazard assessment models

# Uncertainties in SPRA

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### Meeting HLR-SHA-A with SSHAC

- Overall SSHAC process is focused on developing the composite distribution (for clarity now called the center, body, and range of the technically defensible interpretations).
- 4 Levels of complexity described: only 3 and 4 allowed for new sites. Level 2 used for site-specific refinement.

# Uncertainties in SPRA

## Sources and Treatment



### Meeting HLR-SHA-B with SSHAC

- Process requires development of a up-to-date database with inclusion of all publically available information
- Workshop 1 is focused on “Data needs and Critical Issues”
- Standard practice is now to create a comprehensive and complete seismicity catalogue and “project GIS” database



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### HLR-SHA-C, HLR-SHA-D, and HLR-SHA-F with SSHAC

- The process requires a full exploration of all available models, methods, and interpretations, Including in workshop 2 “alternate interpretations”
- Full documentation of the process is required, including justification of leaving any technically defensible interpretations out of the final models
- Both the aleatory and epistemic uncertainties are transparently documented and tracked.

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## Uncertainties

Overall  
PSHA  
Approaches

- The SSHAC process provides a robust approach to many of the requirements (A-D, F)
- The PSHA captures the uncertainty in a new Level 3 and 4 study
- Computational uncertainty is second order



# Uncertainties in SPRA

## Sources and Treatment



## Uncertainties

### GMC & SSC Models

- A host of specific technical questions related to GMC and SSC models are a matter of discussion. Uncertainty is captured in the model by the SSHAC process, even if large.
- There is a new CEUS SSC model. A new GMC model is under development and the current model is generally hampered by a lack of data available for development at the time. This is an area of uncertainty currently
- GMC models tend to be the driver in the uncertainty in PSHA analyses

# Uncertainties in SPRA

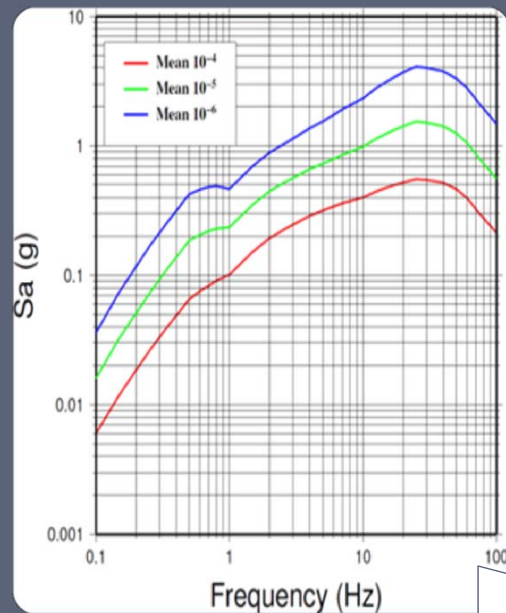
## Sources and Treatment



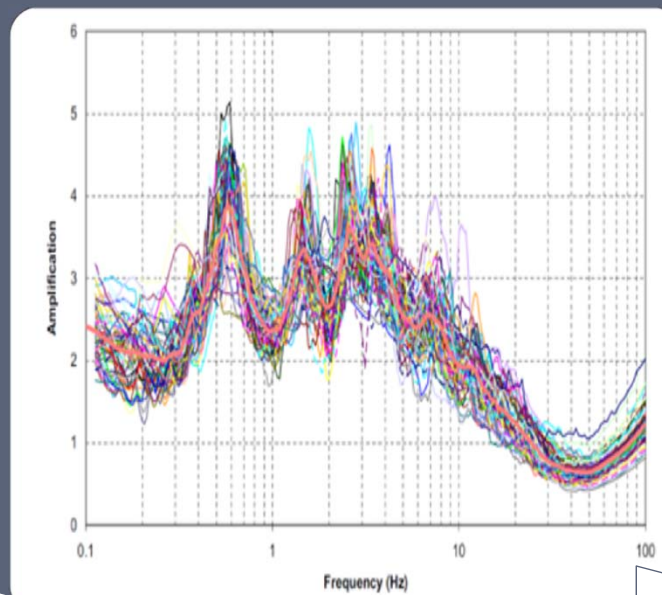
# Site Response

## HLR-SHA-E

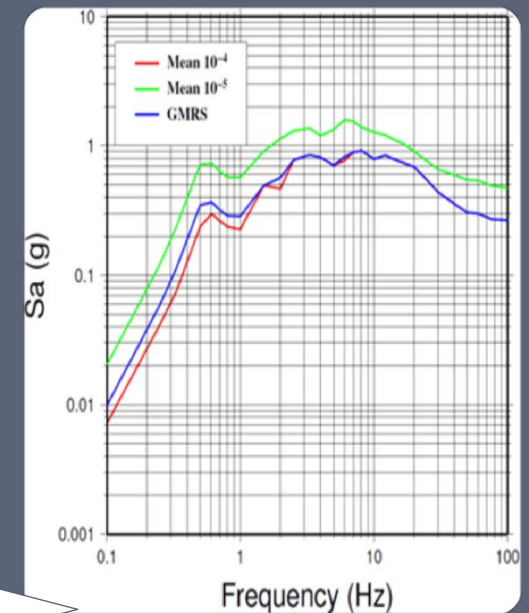
Base Rock UHS



Site Response – 60 profiles



Surface UHS & GMRS



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## Uncertainties

### Site Response

- Site response (E) has significant uncertainty and a potentially large effect on the hazard results
- Many operating plants lack geotechnical information from modern equipment
- Site response techniques are not as standardized as hoped. Simplifying assumptions (1-D propagation) do not always apply and other tools are not well developed.



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## Uncertainties

### Site Response

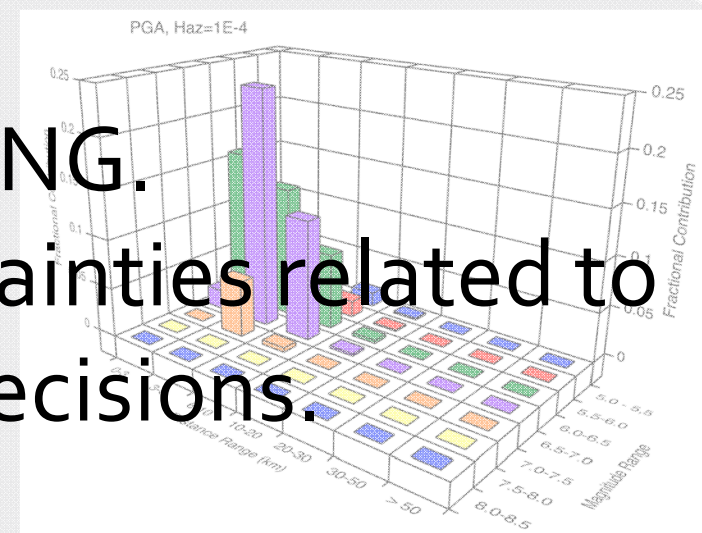
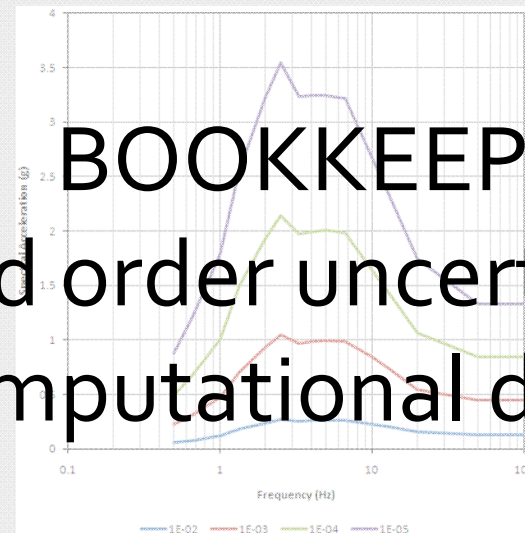
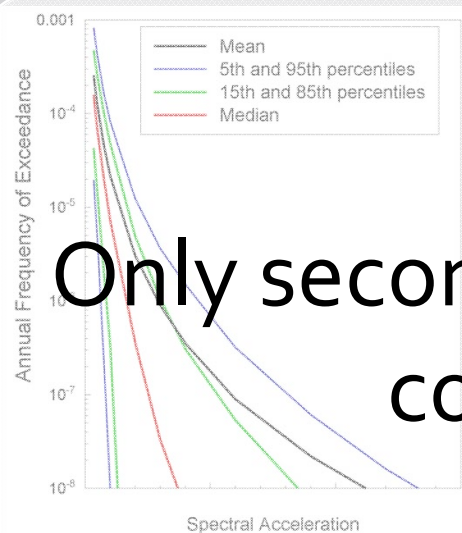
- Spatial and material variability is not always well captured and randomization approaches and tools are limited
- There is known double counting of some uncertainties with the GMPE models
- Ground motion selection and modification not well developed for site response

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## HLR-SHA-F



**BOOKKEEPING.**  
Only second order uncertainties related to computational decisions.

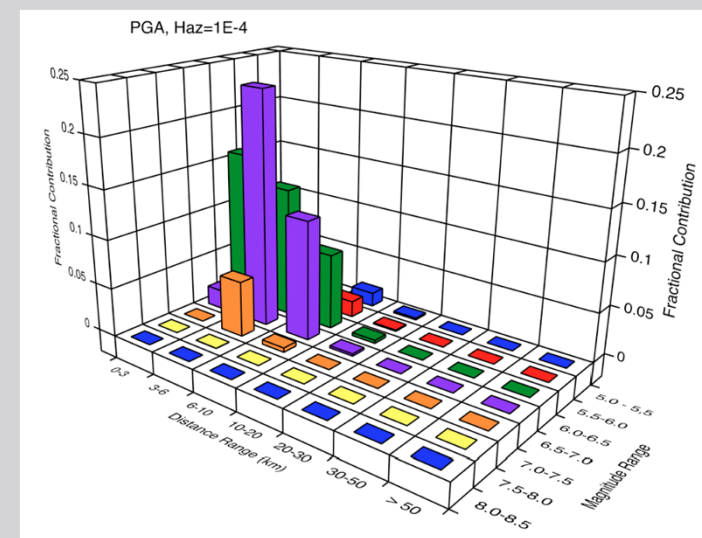
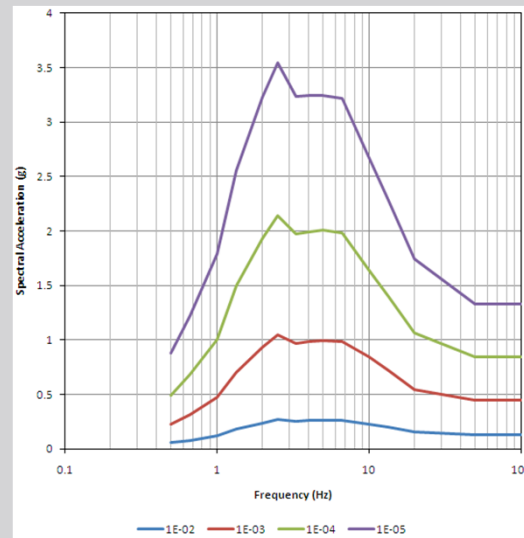
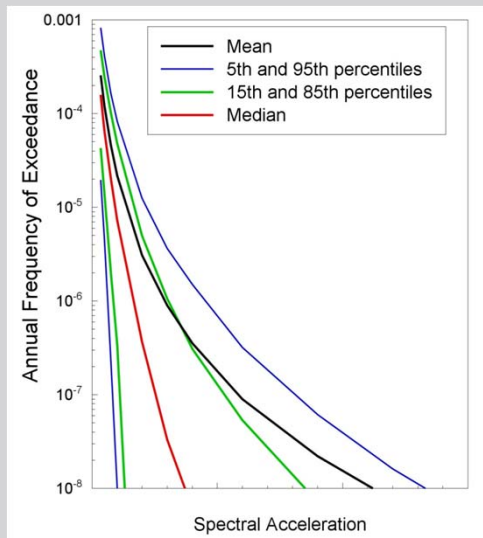
Specifies the reporting criteria. Also specifies that uncertainties propagated and displayed in final quantification

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## HLR-SHA-G



**Spectral shapes must be appropriate. Can be based on deaggregation or on uniform hazard spectrum**



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## Uncertainties

### Spectral Shape

- Uncertainty in shape arises from both the GMPEs and the use of scenario earthquake or uniform hazard response spectra
- Different approaches lead to different answers
- Use of uniform hazard is conservative for design and SPRA

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## HLR-SHA-H

Table 6-1. Recommendations Regarding Updating Hazard Assessments for Nuclear Facilities

Existing Study	Condition of Existing Study	Hazard Assessment Needed	Recommendation	Study Level for New Study
No study, or previous studies conducted at lower SSHAC Levels (2 or 1), or non-SSHAC studies	Not adequate for nuclear/critical facilities	Regional and/or site-specific	Conduct new study	3 or 4
Regional or site-specific	Not viable <sup>**</sup> and hazard results expected to be significantly different	Regional and/or site-specific	Replace existing study	3 or 4
Regional or site-specific	Not viable <u>but</u> hazard results not expected to be significantly different	Regional and/or site-specific	Revise existing study	2, 3, or 4
Regional or site-specific	Viable	Site-specific	Refine regional study locally consistent with RG 1.208 and ANSI/ANS-2.27 / 2.29 2008	2, 3, or 4
Site-specific (one or more sites), no regional	Viable	Regional	Use site-specific studies to assist development of regional models	3 or 4
Site-specific (one or more sites), no regional	Not Viable	Regional	Conduct new study	3 or 4

<sup>\*\*</sup>"Viable" is defined as: (1) based on a consideration of data, models, and methods in the larger technical community, and (2) representative of the center, body, and range of technically defensible interpretations.

Specific guidance (based on guidance in the ANSE/ANS standard) is provided on situations in which an update should undertaken. However, the quality and ongoing viability of the technical basis of a study is often a subjective decision.

NUREG 2117  
Table 6-1



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## Uncertainties

### Updating

- There is uncertainty in the quality or viability of older studies and engineering judgment often plays a role.
- Anchoring to old study is an issue when it is being updated, rather than replaced.

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## Uncertainties

### Interface

- There may be interface issues between seismic hazard approaches and fragility curve development. CAV filtering creates an area of uncertainty.
- Soil-structure-interaction modeling is not well integrated with PSHA or SPRA in terms of carrying through probabilistic loading

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## Uncertainties

### Interface

- Seismically-induced fire and flooding are not well developed or integrated in SPRA, both in terms of initiation and ability to respond
- Human factors are not well characterized and may be very site specific



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## Uncertainties

### Fragility Curves

- I'm assuming that Greg and Ravi are covering these...(correlations, generic curves, screening levels, etc.)



## Other Hazards

### HLR-SHA-I

- Approaches to analysis of other natural hazards are generally less well developed, with the exception of liquefaction and seiche
- PTHA is quickly becoming well developed and state-of-practice on the west coast. Landslide-induced tsunami are still an issue

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## Other Hazards

### HLR-SHA-I

- Multiple approaches for landslides exist and they can be used to estimate epistemic uncertainties