

ORAL ARGUMENT SCHEDULED MARCH 16, 2012

**IN THE UNITED STATES COURT OF APPEALS
FOR THE DISTRICT OF COLUMBIA CIRCUIT**

D.C. Cir. No. 11-1045 (consolidated with D.C. Cir. Nos. 11-1051, 11-1056,
11-1057)

STATE OF NEW YORK, *et al.*,
Petitioners,

v.

UNITED STATES NUCLEAR REGULATORY COMMISSION and the UNITED
STATES OF AMERICA,
Respondents

STATE OF NEW JERSEY, *et al.*,
Intervenors.

Petition for Review of Final Administrative Action of the United States Nuclear
Regulatory Commission

**FINAL OPENING BRIEF FOR PETITIONERS
NATURAL RESOURCES DEFENSE COUNCIL, INC., BLUE RIDGE
ENVIRONMENTAL DEFENSE LEAGUE, RIVERKEEPER, INC., AND
SOUTHERN ALLIANCE FOR CLEAN ENERGY, INC.**

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CERTIFICATE AS TO PARTIES, RULINGS AND RELATED CASES

Pursuant to D.C. Circuit Rules 15(c)(3) and 28(a)(1), counsel for Petitioners certify as follows:

I. Parties, Intervenors, and *Amici Curiae*.

The parties to this case are Petitioners Natural Resources Defense Council, Inc. (“NRDC”) on behalf of its members, Blue Ridge Environmental Defense League (“BREDL”) on behalf of its members, Riverkeeper, Inc. on behalf of its members, and Southern Alliance for Clean Energy, Inc. (“SACE”) on behalf of its members; and Respondents Nuclear Regulatory Commission (“NRC”) and the United States of America. Additional Petitioners submitting a separate brief are the State of Connecticut, the State of New York, the State of Vermont, and the Prairie Island Indian Community.

Intervening on behalf of Petitioners is the State of New Jersey. Intervening on behalf of Respondents are Entergy Nuclear Operations, Inc. and Nuclear Energy Institute, Inc. Petitioners are aware of no *amici* in this proceeding.

II. Rulings Under Review

Petitioners seek review of the following NRC orders and rule, as set forth below:

- a. Update and final revision, *Waste Confidence Decision Update*, 75 Fed. Reg. 81,037 (Dec. 23, 2010) (STAT ADD. 33, J.A. 248)..
- b. Final rule, *Consideration of Environmental Impacts of Temporary Storage of Spent Fuel After Cessation of Reactor Operation*, 75 Fed. Reg. 81,032 (Dec. 23, 2010) (STAT ADD. 26, J.A. 242).
- c. 10 C.F.R. § 51.23, which is amended by (b) above.

Petitioners note that while they have petitioned for review of all three orders and rules listed above, their brief addresses only (a), the Waste Confidence Decision. Petitioners rely on the States' and Tribe's brief with respect to (b) and (c).

III. Related Cases

The current proceeding consists of four consolidated cases. The lead case is *The State of New York, et al. v. United States Nuclear Regulatory Commission and the United States of America*, D.C. Cir. No. 11-1045. The three cases that were consolidated into the aforementioned action are *Natural Resources Defense Council v. United States Nuclear Regulatory Commission and the United States of America*, D.C. Cir. No. 11-1051; *Blue Ridge Environmental Defense League, Inc., et al. v. United States Nuclear Regulatory Commission and the United States of America*, D.C. Cir. No. 11-1056; and *Prairie Island Indian Community v. United States Nuclear Regulatory Commission and the United States of America*, D.C. Cir. No. 11-1057.

Dated: September 15, 2011

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PETITIONERS' RULE 26.1 DISCLOSURE STATEMENT

Pursuant to Fed. R. App. P. 26.1 and D.C. Cir. Rule 26.1, Petitioners Natural Resources Defense Council, Inc. (“NRDC”), Blue Ridge Environmental Defense League (“BREDL”), Riverkeeper, Inc., and Southern Alliance for Clean Energy, Inc. (“SACE”) state that they are nonprofit corporations whose general nature and purpose is environmental advocacy. NRDC, BREDL, Riverkeeper and SACE have no parent companies, no publicly-traded company has a 10% or greater ownership interest in any of them, and none of them are traded for profit.

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GLOSSARY

Pursuant to Circuit Rule 28(a)(3), the following is a glossary of acronyms and abbreviations used in this brief:

AEA	Atomic Energy Act
AEC	Atomic Energy Commission
<i>BG&E</i>	<i>Baltimore Gas and Electric v. NRDC</i> , 462 U.S. 91 (1983)
BRC	President's Blue-Ribbon Commission on America's Nuclear Future
BREDL	Blue Ridge Environmental Defense League
CI	Certified Index [used for record citations]
DOE	Department of Energy
EIS	Environmental impact statement
EPA	Environmental Protection Agency
FONSI	Finding of no significant impact
HLW	High-level waste
IEER	Institute for Energy and Environmental Research [used for citations to IEER's comments, attached to CI-33 as submitted to NRC]
NEPA	National Environmental Policy Act
NRDC	Natural Resources Defense Council
NRC	Nuclear Regulatory Commission

NWPA	Nuclear Waste Policy Act
SACE	Southern Alliance for Clean Energy
SNF	Spent nuclear fuel
TSR	Temporary Storage Rule
WCD	Waste Confidence Decision

STATEMENT OF JURISDICTION

This case concerns the review of two final orders issued concurrently by the Nuclear Regulatory Commission (“NRC”): *Waste Confidence Decision Update*, 75 Fed. Reg. 81,037 (Dec. 23, 2010) (“WCD”) (J.A. 248) and *Final Rule, Temporary Storage of Spent Fuel After Cessation of Reactor Operation*, 75 Fed. Reg. 81,032 (Dec. 23, 2010) (“TSR”) (J.A. 242). They are reviewable by this Court under 42 U.S.C. § 2239(b), 28 U.S.C. § 2342(4), and 5 U.S.C. § 702. The appeal was timely filed pursuant to 28 U.S.C. § 2344 because it was docketed within sixty days of December 23, 2010, the date the orders were published in the Federal Register.¹

STATUTES AND REGULATIONS

Relevant statutes and regulations are included in an addendum.

ISSUES PRESENTED FOR REVIEW

1. Did NRC violate NEPA by failing to prepare an EIS in connection with the WCD’s determination that NRC has sufficient confidence in the feasibility of spent fuel disposal to license nuclear reactors?

¹ NRDC filed a Petition for Review on February 17, 2011, in Docket No. 11-1051. BREDL, Riverkeeper, and SACE jointly filed a petition for review on February 18, 2011, in Docket No. 11-1056. Subsequently, both cases were consolidated with Docket Nos. 11-1045, 11-1051, and 11-1057 in an order dated March 10, 2011.

2. Did NRC violate NEPA by failing to analyze in an EIS the effects of social and political barriers on the environmental impacts of permanent SNF disposal?

3. Does NRC's attenuated schedule for reviewing WCD findings violate NEPA and the AEA?

INTRODUCTION

This consolidated case involves appeals of two related orders, the WCD and the TSR. The WCD re-issues and revises previous NRC findings that (a) interim storage of spent nuclear reactor fuel ("SNF") at reactor sites after cessation of operations is safe and has no significant adverse environmental impacts; and (b) NRC has confidence that SNF can be safely and permanently disposed of at some time in the future. The TSR revises 10 C.F.R. § 51.23 to codify the WCD's updated finding that the environmental impacts of SNF storage are insignificant. Neither the WCD nor the TSR contains any findings or regulations regarding the environmental impacts of permanent SNF disposal.

The separate briefs filed by different Petitioner groups in this case address different aspects of the WCD and TSR. The States' and Tribe's brief challenge the *WCD's and TSR's* failure to comply with the National Environmental Policy Act ("NEPA") in assessing the environmental impacts of SNF *storage*. In this brief, Petitioners NRDC, BREDL, Riverkeeper and SACE challenge the *WCD's* failure

to address the safety and environmental impacts of *permanent SNF disposal* in violation of NEPA. These Petitioners also challenge the WCD's violation of the Atomic Energy Act ("AEA").

STATUTORY AND REGULATORY FRAMEWORK

The NRC's regulation and licensing of reactors is governed by two statutes: the AEA and NEPA. While these are separate statutes that impose independent obligations, *see Limerick Ecology Action v. NRC*, 869 F.2d 719, 729-31 (3rd Cir. 1989), their concerns overlap. *Citizens for Safe Power v. NRC*, 524 F.2d 1291, 1299 (D.C. Cir. 1975).

I. AEA

The AEA precludes issuance of any operating license for a nuclear reactor if its operation would be "inimical" to public health and safety. 42 U.S.C. § 2133(d). Thus, NRC may not issue an operating license unless "[t]here is reasonable assurance . . . that the activities authorized by the operating license can be conducted without endangering the health and safety of the public"

10 C.F.R. § 50.57(a)(3).

II. NEPA

NEPA, 42 U.S.C. §§ 4321-4370f, requires a federal agency to take a "hard look" at potential environmental consequences of its decisions by preparing an EIS prior to any "major Federal action[] significantly affecting the quality of the human

environment.” *Robertson v. Methow Valley Citizens Council*, 490 U.S. 332, 350 (1989); 42 U.S.C. §4332(c). Preparing an EIS ensures that the agency “will have available, and will carefully consider, detailed information concerning significant environmental impacts” and that “the relevant information will be made available to the larger audience that may also play a role in both the decision-making process and the implementation of that decision.” *Robertson*, 490 U.S. at 349.

The “heart” of the EIS is “the requirement that an agency rigorously explore and objectively evaluate the projected environmental impacts of all reasonable alternatives for completing the proposed action.” *Van Ee v. EPA*, 202 F.3d 296, 309 (D.C. Cir. 2000); *see also* 40 C.F.R. § 1502.14 (among the alternatives an agency must consider in an EIS is “the alternative of no action.”). Major federal actions requiring an EIS include NRC’s issuance or re-issuance of reactor licenses. *New York v. NRC*, 589 F.3d 551, 553 (2d Cir. 2009).

III. NRC REQUIREMENTS FOR CONSIDERATION OF SNF DISPOSAL RISKS IN REACTOR LICENSING DECISIONS.

The NRC’s issuance of a reactor operating license under the AEA allows for the production of highly radioactive and toxic SNF. Thus, NRC has interpreted the AEA to require it to be “reasonably confident” prior to issuing any reactor operating license that permanent disposal of spent fuel “can be accomplished safely when it is likely to become necessary.” *NRDC v. NRC*, 582 F.2d 166, 169 (2d Cir. 1978). The NRC makes this finding of confidence in periodic issuances of

the WCD. *See* Factual Background, Section II, *infra*. The WCD's conclusions are not codified in NRC regulations.

The NRC and the courts also interpret NEPA to require NRC to address the environmental impacts of SNF storage and disposal in reactor licensing decisions. *See, e.g., Baltimore Gas and Electric v. NRDC*, 462 U.S. 87, 89-90 (1983) (“*BG&E*”). In 1979, NRC made a generic finding that the environmental impacts of SNF disposal in a bedded salt are insignificant and codified that finding in Table S-3 of 10 C.F.R. § 51.51. In 1984, NRC made a generic finding that the environmental impacts of SNF storage are insignificant and codified that finding in 10 C.F.R. § 51.23. The NRC relies on these generic findings in its reactor licensing decisions. *See, e.g.,* 10 C.F.R. § 51.23(b) (SNF storage); *Tenn. Valley Authority*, 68 NRC 361, 423 (2008) (SNF disposal).

FACTUAL BACKGROUND

I. SPENT NUCLEAR FUEL GENERATION AND EFFORTS AT DISPOSAL SOLUTIONS.

A. SNF generation

At a nuclear power plant, electricity is generated by fission reactions in radioactive fuel in the plant's reactor. After fuel is “spent,” it no longer efficiently generates power and is then discharged from the reactor as SNF. *Minnesota v. NRC*, 602 F.2d 412, 413 (D.C. Cir. 1979). Although SNF is no longer commercially useful, it will remain highly radioactive and dangerous to humans

for hundreds of thousands of years. *Nuclear Energy Institute v. EPA*, 373 F.3d 1251, 1257 (D.C. Cir 2004) (“*NEI*”). High-level waste (“HLW”) is the radioactive and toxic waste resulting from the reprocessing of SNF. 49 Fed. Reg. 34,658, 34,670 (Aug. 31, 1984), J.A. 39.² Because SNF and HLW are toxic and “[h]av[e] the capacity to outlast human civilization as we know it and the potential to devastate public health and the environment,” the “scientific consensus” since 1957 has been that a geologic repository will be necessary for the safe disposal of SNF and HLW. *NEI*, 373 F.3d at 1257.

Since the first reactors were licensed in the 1950s, commercial nuclear reactors have generated over 58,000 tons of SNF. J.A. 1057. This figure increases by approximately 2,000 tons per year. *Id.* The U.S. Department of Energy (“DOE”) has estimated that by 2035, the quantity of waste from the current fleet of operating reactors will total approximately 119,000 tons, J.A. 1120, well beyond the statutory limit of 70,000 tons set by Congress for a single repository. 42 U.S.C. § 10134(d). Because no repository exists, the entire quantity of SNF and HLW in the United States is currently stored either on site at the plants in which the waste was generated, at independent spent fuel storage installations, or in the

² Because SNF reprocessing has ceased, HLW is not currently being generated by the U.S.

case of HLW, at DOE's former nuclear weapons production facilities in underground tanks.

B. Efforts to establish repositories

Despite fifty years of efforts, the federal government has been unable to establish an appropriate repository for final disposal of SNF and HLW. The Atomic Energy Commission ("AEC") — NRC's predecessor — first initiated testing for radioactive waste disposal in 1957. J.A. 1053. Although it took until 1970 for AEC to select the Lyons, Kansas salt mines as a repository site, only two years later, the agency abandoned the project due to state and local opposition and technical concerns. *Id.* AEC next proposed a 100-year Retrievable Surface Storage Facility in 1972, but again abandoned this option three years later after opposition from the U.S. Environmental Protection Agency ("EPA") and others. *Id.* Between 1975 and 1982, the federal government evaluated potential repository sites in Ohio, New York, Utah, Texas, Louisiana, Mississippi, Washington, and Nevada, but invariably met with insurmountable state and local opposition, as well as technical and geological difficulties. *Id.*

In the late 1970s, President Carter directed a group of federal agencies, the states, the public, and the scientific community to solve the problems of nuclear waste disposal. *Id.* at 1053-54. That group ultimately assigned DOE the task of identifying suitable repository sites, and EPA and NRC the responsibility of

developing waste disposal criteria. *Id.* at 1054. Congress codified these principles in 1982's Nuclear Waste Policy Act ("NWPA"), which provided for geologic disposal, two repositories, and characterization of three sites before selection of the first repository. Pub. L. No. 97-425, §§ 112-114, 96 Stat. 2201, 2208-17 (codified as amended at 42 U.S.C. §§ 10132-34). By 1986, DOE had selected Hanford Reservation in Washington (in basalt), Deaf Smith Co., Texas (in bedded salt), and Yucca Mountain in Nevada (in volcanic tuff) as the final candidates for a repository. J.A. 1055.

In 1987, Congress amended the NWPA by directing DOE to abandon the two-repository strategy and develop only Yucca Mountain. Pub. L. No. 100-203, §§ 5011-12, 101 Stat. 1330, 1330-227—1330-232 (amending sections 112-14 of the Nuclear Waste Policy Act of 1982, 42 U.S.C. §§ 10132-34). But after two decades of technical disputes, public opposition, bureaucratic infighting, and protracted legal battles, DOE announced in 2009 that it no longer considered Yucca Mountain a viable option for a final repository and announced plans to withdraw its license application for Yucca. J.A. 250.³ President Obama thereafter created the Blue Ribbon Commission on America's Nuclear Future ("BRC"),

³ DOE's subsequent motion to withdraw its application is the subject of a lawsuit before this Court. *Aiken County v. NRC*, No. 11-1271 (filed Feb. 19, 2010). On September 9, 2011, the NRC issued an order stating that it is unevenly divided on whether to approve or deny DOE's motion. *See U.S. Dep't of Energy*, Memorandum and Order, NRC Docket No. 63-001-HLW (Sept. 9, 2011).

which has recommended an increased focus on interim SNF storage and a revamped repository program. *Id.*

Thus, over fifty years after beginning the search for a repository, the United States is no further along in finding permanent disposal solution for nuclear waste than it was in the 1970s. Yet NRC continues to license and re-license reactors, allowing them to generate SNF at a pace of approximately 2,000 tons per year. J.A. 1057.

II. THE WASTE CONFIDENCE DECISION

A. 1984 WCD

The first WCD from 1984 had its roots in two federal court decisions. In the first decision, U.S. Court of Appeals for the Second Circuit upheld NRC's conclusion that it need not make a "definitive finding" at the time of reactor licensing that the SNF can be disposed of safely so long as it could be "reasonably confident" that permanent disposal of spent fuel "can be accomplished safely when it is likely to become necessary." *NRDC v. NRC*, 582 F.2d at 168-69, 175. In the second decision, this Court directed NRC to determine "whether there is reasonable assurance that an off-site storage solution will be available by the year 2007-09, the expiration of the plants' operating licenses, and if not, whether there is reasonable assurance that the fuel can be stored safely at the sites beyond those dates." *Minnesota*, 602 F.2d at 418.

In response to these decisions, the 1984 WCD analyzed the technical feasibility of SNF disposal and the safety and environmental impacts of SNF storage, and issued the following five “findings:” (1) safe disposal of SNF and HLW in a mined geologic repository is technically feasible; (2) one or more of such repositories will be available by 2007-09, and sufficient repository capacity will exist within thirty years after the expiration of any reactor license for the disposal of waste generated in that reactor; (3) SNF and HLW will be managed safely until a repository is available; (4) waste generated in any reactor can be stored safely and without significant environmental impacts for at least thirty years following expiration of the reactor’s license; and (5) safe and sufficient SNF storage capacity will be available if needed during that time. *See* J.A. 28-29. With respect to the first finding, NRC made no analysis of the environmental impacts of SNF disposal under NEPA. In contrast, NRC treated the second and fourth findings as generic findings under NEPA that storage of SNF posed no significant impacts. *Id.* at 35, 63-64. In a companion rulemaking, NRC codified its Finding of No Significant Impacts (“FONSI”) for SNF storage impacts in 10 C.F.R. § 51.23. *Id.* at 58.

Noting that the waste confidence decision was “unavoidably in the nature of a prediction,” NRC committed to review its conclusions “should significant and

pertinent unexpected events occur or at least every five years until a repository . . . is available.” *Id.* at 29.

B. 1990 WCD

In 1990, NRC published its first periodic review of the initial WCD findings, updating its analysis of the technical feasibility of SNF disposal and reaching the same conclusion as the 1984 WCD that SNF disposal is feasible. J.A. 70, 88-89. The NRC also responded to a comment questioning the relationship between the WCD and “Table S-3,” NRC’s 1979 rule that made generic finding of no significant environmental impacts for SNF disposal, presuming that SNF would be placed in a “bedded salt site” from which radioactive releases were virtually impossible after closure of the repository. *Id.* at 86-87; *see also Uranium Fuel Cycle Rule*, J.A. 8; 10 C.F.R. § 51.51. The NRC asserted that it was not “likely” that its WCD review would affect the Table S-3 rule because:

the Waste Confidence Proceeding is not intended to make quantitative judgments about the environmental costs of waste disposal. Unless the Commission, in a future review of the Waste Confidence decision, finds that it no longer has confidence in the technical feasibility of disposal in a mined geologic repository, the Commission will not consider it necessary to review the S-3 rule when it reexamines its Waste Confidence findings in the future.

J.A. 87.

The 1990 WCD made two significant changes to the predicted timetable for the availability of a repository. First, it extended the time period for the likely

availability of a repository from 2007-09 to “the first quarter of the twenty-first century.” *Id.* at 70, 89-103. Second, it specified that the thirty-year time frame for safe storage of SNF following the expiration of all existing facility licenses included not only the initial forty-year license periods, but also any additional term of a revised or renewed license. *Id.* at 70, 105-09. The NRC also extended the period for regular review of the WCD findings from five to ten years, given the imprecision involved in predicting the availability of a repository. *Id.* at 71.

C. 1999 WCD

In 1999, NRC confirmed its 1990 findings, but dropped its commitment to review them again in ten years. J.A. 121-23. Instead, the agency said it would conduct another review if “significant and pertinent unexpected events occur, raising substantial doubts about” the WCD’s continued viability. *Id.* at 123.

D. 2008 draft WCD and comments

In 2008, NRC again reevaluated the WCD. In a draft decision, the agency updated its analysis of the technical feasibility of SNF disposal and confirmed its conclusion that safe disposal of SNF can be achieved at some point in the future. J.A. 168-71. However, NRC now predicted that a final repository might not be available until fifty to sixty years following the expiration of any reactor license (including any terms for renewed or revised licenses). *Id.* at 168.

In updating its analysis of the technical feasibility of SNF disposal, NRC briefly surveyed the available literature and concluded in very general terms that various “advances” made in the United States and elsewhere “continue to confirm the soundness of the basic concept of deep geologic disposal.” *Id.* at 154. For the first time, however, NRC announced that it had rejected bedded salt as a geological medium for SNF repositories “because heat-generating waste, like spent nuclear fuel, exacerbates a process by which salt can rapidly deform.” *Id.* at 170.

In comments on the WCD, Petitioners argued that the WCD constitutes a licensing decision with significant environmental impacts requiring the preparation of an EIS. J.A. 1045, 1060-62; J.A. 1077, 1085-87; J.A. 1122-24. Petitioners pointed out that the WCD fatally undermines the basis for the Table S-3 Rule’s FONSI with respect to SNF disposal, because it rejected the central premise on which the FONSI was based: that SNF disposal in a bedded salt repository would be safe because it would result in no release of radioactivity. J.A. 1062-63; J.A. 1082-84, 1087-88; J.A. 1125-28. Thus, Petitioners argued that while the Supreme Court had upheld the validity of Table S-3 in *BG&E*, NRC’s rejection of bedded salt as a safe geological medium for SNF disposal now showed that Table S-3 was “seriously wrong,” J.A. 1062-63 (quoting *BG&E*, 462 U.S. at 98 (acknowledging the possibility of significant impacts if future evidence were to disprove the zero-release assumption)). Moreover, “all other geologic settings” could be expected to

leak radionuclides into the environment. J.A. 1127. Finally, Petitioners asserted that NRC has no basis for confidence in the ultimate disposal of SNF within the foreseeable future. J.A. 1052-58; J.A. 1091; J.A. 1112-22.

E. WCD under review

In the final WCD, NRC reiterated its conclusion that safe SNF disposal is feasible and rejected Petitioners' demand for an EIS. J.A. 249, 251-52. Further, while it concluded that SNF could be safely stored at reactor sites for sixty years after termination of licenses, it wholly dispensed with a predicted time frame for the availability of a final repository. Instead, the final WCD stated only that a repository will be available "when necessary." *Id.* at 251. With respect to Table S-3, NRC repeated the same statement it had made in 1990: that it would not review Table S-3's FONSI unless it were to lose confidence in the technical feasibility of SNF disposal. *Id.* at 254-55.

Finally, NRC announced that it would not re-examine the WCD for decades to come, stating that it has "confidence that either a repository will be available before the expiration of the 60 years post-licensed life discussed in Finding 4 or that the Waste Confidence Decision and [TSR] will be updated and revised if the expiration of the 60-year period approaches without an ultimate disposal solution for [HLW] and [SNF]." *Id.* at 254.

Separately, in the TSR, NRC revised its FONSI regarding SNF storage impacts to conform to the WCD's extended timetable, but made no findings regarding the environmental impacts of SNF disposal. J.A. 243-48.

SUMMARY OF ARGUMENT

The WCD is a generic licensing decision that permits the generation of SNF by nuclear reactors based on a finding of "confidence" that safe disposal of the SNF will be possible at some time in the future. But for the WCD, NRC would not issue reactor licenses, as the agency itself has stated. As a licensing decision, the WCD violates NEPA because NRC has failed to prepare an EIS that examines the risks of radioactive releases from an SNF repository, the costs of containing SNF, and the disposal-related environmental impacts of a potentially indefinite delay in establishing a repository.

The NRC can point to no other environmental analysis it could rely on to support the WCD with respect to the environmental impacts of permanent SNF disposal. Although the agency determined over thirty years ago that SNF disposal impacts would be insignificant, that FONSI was based on an assumption now contradicted by the WCD: that SNF would be buried in bedded salt, a geologic medium NRC believed would almost certainly prevent any radioactive releases from a sealed repository. By rejecting bedded salt as unsafe for SNF disposal, the WCD shows that NRC no longer has a basis for its previous FONSI regarding

permanent SNF disposal. Thus, to comply with NEPA, NRC must prepare an EIS for the WCD.

Second, NRC violates NEPA by failing to analyze in an EIS the effects of societal and political opposition to repositories on the environmental impacts of SNF disposal. Under NEPA, NRC may not merely state that repositories will be available “when necessary” without evaluating how long it may take and the impacts that may occur if storage goes on beyond the time that it may reasonably rely on institutional controls, such that storage becomes *de facto* disposal.

Finally, NRC has established a schedule for reviewing the findings of the WCD that postpones the next review for as long as 100 years. That schedule is unreasonable under the AEA, given that NRC’s own regulations for licensing some radioactive waste disposal facilities assume that institutional controls will not extend beyond 100 years. Moreover, given the fundamentally predictive nature of the WCD, NRC’s open-ended schedule for subsequent reviews violates NEPA’s requirement that agencies continue to examine the environmental impacts of their decisions even after initial approval. *Marsh v. Oregon Natural Resources Council*, 490 U.S. 360, 374 (1989).

STANDING

Petitioners are membership organizations that have Article III standing under the test established in *Hunt v. Washington State Apple Advertising*

Commission, 432 U.S. 333, 343 (1977). First, each Petitioner organization represents members that satisfy the three elements of standing—injury-in-fact, causation and redressability. *Friends of the Earth, Inc. v. Laidlaw Env'tl. Servs. (TOC), Inc.*, 528 U.S. 167, 180-81 (2000). As demonstrated by Petitioners' standing declarations, these individuals live or work in close proximity to nuclear power plants and are concerned about NRC's failure to address during licensing the environmental impacts of SNF storage and disposal. *See, e.g.*, Declaration of Diane Alden ¶ 3-8 (March 29, 2011) and other standing declarations attached as Exhibits 1-7 to Standing Addendum. The NRC's failure to issue an environmental impact statement ("EIS") that addresses their concerns constitutes an injury to their interests for purposes of demonstrating standing. *See Nuclear Info. & Res. Serv. v. NRC*, 509 F.3d 562, 567 (D.C. Cir. 2007); *see also Minnesota*, 602 F.2d at 418-19. (storage and disposal of nuclear waste a relevant consideration during reactor licensing); *Lujan v. Defenders of Wildlife*, 504 U.S. 555, 572 n.7 (1992) (redressability requirement relaxed for procedural injuries). Moreover, these members' concerns would be remedied if NRC were to support its WCD findings with an adequate EIS covering disposal of SNF. *See, e.g.*, Alden ¶ 9 and other standing declarations. Accordingly, these members have standing to sue in their own right.

Second, this case involves interests germane to Petitioners' institutional interests. *See, e.g.*, Lopez Declaration ¶¶ 5-6 (March 28, 2011), attached as Addendum Exhibit 8; *see also* statements of organizational purposes at Blue Ridge Environmental Defense League: Who and What We Are, <http://www.bredl.org/about.htm> (last visited Sep. 13, 2011); Riverkeeper: About Us, <http://www.riverkeeper.org/about-us/> (last visited Sep 13, 2011); Southern Alliance for Clean Energy: Who We Are, <http://www.cleanenergy.org/index.php?/Who-We-Are.html> (last visited Sep. 13, 2011).

Finally, none of the claims asserted here, nor the relief requested, requires their individual participation in the suit. Accordingly, Petitioners have standing under *Laidlaw* and *Hunt*.

ARGUMENT

I. STANDARD OF REVIEW

When an agency makes a legal conclusion that NEPA does not apply to a given action, courts will exercise *de novo* review. *Citizens Against Rails-to-Trails v. Surface Transp. Bd.*, 267 F.3d 1144, 1151 (D.C. Cir. 2001). Courts review an agency's factual findings in support of its decision not to issue an EIS under an "arbitrary and capricious" standard. *Marsh*, 490 U.S. at 376; 5 U.S.C. § 706(2)(A)). To that end, a court must satisfy itself that the agency has "examine[d]

the relevant data and articulate[d] a satisfactory explanation for its action including a rational connection between the facts found and the choices made.” *Motor Vehicle Mfrs. Ass'n of U.S., Inc. v. State Farm Mut. Auto. Ins. Co.*, 463 U.S. 29, 43 (1983) (internal quotation marks omitted).

II. THE WCD VIOLATES NEPA BECAUSE IT ALLOWS THE LICENSING OF REACTORS WITHOUT AN EIS.

In the WCD, NRC has made an erroneous legal determination that it is not required to prepare an EIS for the WCD. NRC’s legal conclusion is subject to *de novo* review by this Court and is not entitled to deference. *Citizens Against Rails-to-Trails*, 267 F.3d at 1150-51.

A. The WCD Is a Major Federal Action Significantly Affecting the Human Environment and Therefore Requires an EIS.

1. The WCD is a major federal action because it is a licensing decision.

As NRC and the courts have recognized, the licensing of a nuclear reactor constitutes a major federal action with significant environmental impacts necessitating an EIS. *New York*, 589 F.3d at 553; 10 C.F.R. § 51.20(b)(2). An EIS for a reactor license must include an analysis of the environmental impacts of the entire fuel cycle, including disposal of SNF. *Id.*; J.A. 9; *see also BG&E*, 462 U.S. at 99. While the discussion of SNF disposal impacts may be generic, it may not be avoided. *BG&E*, 462 U.S. at 100-01.

NEPA applies to the WCD because it is a predicate to and part of every NRC decision to license a nuclear reactor. That is, the WCD is a generic determination that for every reactor subject to an NRC licensing determination, public health and safety will be protected from the highly radioactive SNF generated by the reactor because NRC has “reasonable confidence that the wastes can and will in due course be disposed of safely.” J.A. 249 (quoting J.A. 4, 5).

The NRC argues that “the revised generic determination” in the WCD is not a licensing decision because it does not authorize the production of SNF by any specific reactor. J.A. 252. Yet the Court must go beyond NRC’s “labelling” of its actions and examine their effects. *Citizens Awareness Network v. NRC*, 59 F.3d 284, 292-93 (1st Cir. 1995) (interpreting NRC’s approval of licensees’ decommissioning activities as a “major federal action” requiring an EIS, rather than mere “regulatory oversight” or “advising,” as the agency claimed). Here, NRC asserts that the WCD is not a licensing determination, but is unable to say what the WCD *is*. Instead, it makes a vague assertion regarding what the WCD *does*: it “*generically deals with* one aspect of licensing decisions that have yet to be made.” J.A. 252 (emphasis added).

What NRC fails to acknowledge is that the way the WCD “deals with” the “one aspect of licensing decisions that have yet to be made” is to bar any challenge to the issuance of a license based on a claim that the agency lacks a reasonable

basis for confidence regarding SNF disposal capability. *See, e.g., Dominion Nuclear North Anna, L.L.C.*, 60 NRC 253, 268-69 (2004); *Exelon Generating Co.*, 60 NRC 229, 246-47 (2004); *System Energy Resources, Inc.*, 60 NRC 277, 296 (2004). Thus, whatever nomenclature NRC may use to describe the WCD, it has binding “substantive” effects on reactor licensing decisions. *See Union of Concerned Scientists v. NRC*, 711 F.2d 370, 382 (D.C. Cir. 1983). Therefore it must conform to NRC’s statutory obligations. *Id.*; *see also Citizens Awareness Network*, 59 F.3d at 293 (NRC may not use obfuscating language to “skirt NEPA.”) Having established a waste confidence finding as a pre-condition to reactor licensing, NRC may not evade the NEPA responsibilities attendant to that finding. *See Calvert Cliffs’ Coordinating Comm. v. AEC*, 449 F.2d 1109, 1115 (D.C. Cir. 1971) (generic rules that govern NRC’s environmental review during licensing are invalid if they do not comply with NEPA’s standards).

2. The environmental impacts of SNF disposal are significant.

As discussed in Petitioners’ comments on the proposed WCD, the environmental impacts of SNF disposal are significant because all geologic repository media other than bedded salt are vulnerable to radioactive leakage that may exceed federal health standards.⁴ The NRC violated NEPA by failing to

⁴ The Federal government has determined that for all geologic media other than salt, radioactive releases from a sealed repository would be positive. J.A. 1127.

address this information and by ignoring Petitioners' comments. *See Western Watersheds Project v. Kraayenbrink*, 632 F.3d 472, 492-93 (9th Cir. 2011) (agency's failure to provide "meaningful response to serious and considered comments by experts . . . renders [NEPA's] procedural requirement meaningless and the EIS an exercise in form over substance.") (internal quotation marks omitted). The NRC must therefore prepare an EIS that addresses the significant potential health risks and economic costs associated with disposal of a mounting inventory of SNF, and must circulate that EIS for comment by the general public and by other interested federal agencies and state and local governments.

Robertson, 490 U.S. at 349.

B. With Respect to the Environmental Impacts of SNF Disposal, the WCD Lacks an EIS or any Other Environmental Analysis.

1. The WCD lacks an environmental analysis of SNF disposal impacts.

The NRC repeatedly states that the WCD is an Environmental Assessment ("EA") for the purposes of evaluating the environmental impacts of temporary SNF storage. J.A. 248. Irrespective of the validity of this assertion, the WCD includes no finding or analysis regarding the environmental impacts of final SNF

The DOE's EIS for the Yucca Mountain repository, for example, estimated that peak radiation doses would exceed the 100 millirem per year dose limit for the maximally exposed individual. *Id.* at 1107. Leakage of Iodine-129 into groundwater also could significantly exceed federal standards. *Id.* at 1129.

disposal. Specifically, the WCD makes no attempt to quantify, as it would in an EIS, the probability that any federal agency can locate and license a disposal site that will meet federal standards for containment of radiation for hundreds of thousands of years, or the impacts to human health and the environment if SNF is not safely disposed of. Nor does the WCD include, as would an EIS, any evaluation of the relative costs and benefits of alternatives that would avoid the generation of more highly radioactive SNF, including the option of issuing no reactor licenses or license renewals in the future. *See Van Ee v. EPA*, 202 F.3d at 309 (agency must “rigorously explore and objectively evaluate the projected environmental impacts of all reasonable alternatives” in an EIS).

2. No support for the WCD exists in the Table S-3 Rule.

The only existing environmental analysis of SNF disposal impacts on which NRC could conceivably rely for the WCD is the Table S-3 Rule’s zero-release assumption and related FONSI. *See* J.A. 13-15. But even NRC admits that the WCD “does not rely on findings made in the context of the Table S-3 Rule.” J.A. 254. Thus, NRC has disavowed the Table S-3 Rule as support for the WCD.

But even if NRC did rely on the Table S-3 Rule, it provides no support for the WCD because the WCD repudiates the key assumption underlying Table S-3’s FONSI: that bedded salt is a safe and suitable geologic medium for SNF disposal because radioactive releases after sealing the repository would be “nonexistent.”

J.A. 14, n. 21; *see also* J.A. 170 (stating that salt formations have been “ruled out” for SNF disposal because the heat generated by SNF may cause it to “deform.”). And there is no other geologic disposal medium for which NRC could possibly make the argument that leakage of radioactive material into groundwater does not constitute a viable pathway for environmental contamination. *See* Note 5, *supra*. Table S-3 may not be relied on for reactor licensing decisions if, as demonstrated by the WCD, its underlying environmental impact assessment is “seriously wrong.” *BG&E*, 462 U.S. at 98. NEPA clearly prohibits NRC from “blinder[ing]” itself to the effects of the WCD’s new and significant information on the validity of Table S-3. *Cf. Marsh*, 490 U.S. at 371.⁵

The NRC offers two legal rationales for its failure to revisit Table S-3 in light of the WCD. First, the agency asserts that the “issue of concern” is whether EPA would issue standards for protection of public health and safety. J.A. 254. Second, NRC argues that it is not necessary to review Table S-3 unless at some point in the future it finds that it “no longer has confidence in the technical feasibility of a mined geological repository.” *Id.* at 254-55. These arguments confuse and conflate NRC’s obligation under the AEA to protect public health and

⁵ The NRC’s failure to re-evaluate Table S-3 in light of the WCD is especially egregious in light of the fact that the Table S-3 FONSI for SNF disposal has not been revisited in over thirty years. In contrast, the NRC reviewed and updated its FONSI for SNF storage in every one of its three WCD updates. *See* Factual Background, Section II, *supra*.

safety with its independent obligation under NEPA to evaluate the environmental impacts of its actions. *See Limerick Ecology Action*, 869 F.2d at 741 (NRC cannot rely on AEA safety findings to evade NEPA analysis). In addition to concluding that SNF can be safely disposed of under the AEA, NRC must *also*, under NEPA, evaluate the risk that containment of the radioactivity from SNF will be unsuccessful. *Cf. id.* Thus, NRC's legal rationales for refusing to re-evaluate Table S-3 are invalid.

III. THE WCD VIOLATES NEPA BECAUSE IT FAILS TO ANALYZE IN AN EIS THE EFFECTS OF INSTITUTIONAL BARRIERS ON THE ENVIRONMENTAL IMPACTS OF PERMANENT SNF DISPOSAL.

The NRC acknowledges that societal and political barriers may delay the opening of a repository, but dismisses their significance and vaguely asserts that repositories will be available at some unstated future time when they are "necessary." J.A. 277-78. The NRC's determination violates NEPA because the WCD fails to discuss in an EIS what is meant by "necessary," how long that may take, the environmental effects that may result from a lengthy delay in SNF disposal, or the impacts that may occur if and when centuries-long SNF storage becomes *de facto* permanent disposal due to the loss of institutional controls such as monitoring, custodial care, and record-keeping. *See* 10 C.F.R. § 61.59(b) (setting a limit of 100 years for reliance on institutional controls over independent facilities for disposal of radioactive waste containing byproduct, source and special

nuclear material). Given the long history of institutional failures in establishing a final repository since geologic disposal of SNF was first proposed over fifty years ago, *see* Factual Background, Section I.B, *supra*, the blurred line between environmental impacts of extremely long-term storage and disposal must be addressed.⁶

Moreover, NRC's arguments that the political and societal barriers to repository siting are insignificant, have no factual foundation, and are therefore arbitrary and capricious. NRC claims, for instance, that "lessons learned" from the failure of proposed repositories at Lyons, Kansas and Yucca Mountain will aid in future efforts." J.A. 260. But these failures show the opposite: fifty years of efforts have not provided a permanent disposal option, and the timeline for an eventual solution may need to be measured in centuries rather than years or decades.

NRC also argues that the establishment of the President's BRC will provide valuable advice and assistance in solving the problem of waste disposal. *Id.* By itself, however, the establishment of the BRC, as with the Inter-Agency Review process undertaken in the late 1970s, does not explain how the Commission will

⁶ Petitioners recognize the NRC has announced that it intends to prepare a generic EIS to assess the environmental impacts of SNF *storage* beyond 120 years. J.A. 251. The WCD does not state, however, that the scope of the EIS will include the *disposal*-related impacts of a lengthy delay in repository availability.

address, and let alone solve, the social, political and institutional barriers that pose the key hurdle.

Finally, NRC argues that “[t]he NWPA still mandates by law a national repository program Federal responsibility for siting and building a repository remains controlling national policy.” *Id.* As shown by the decades of opposition to the Yucca Mountain repository, however, the existence of a national policy for federal siting of a repository does not alone resolve the powerful institutional and political obstacles preventing final disposal of SNF.

Accordingly, the WCD violates NEPA by failing to address in an EIS the environmental risk that extended repository delays caused by societal and political barriers will lead to SNF storage as a *de facto* permanent disposal mechanism for SNF, without permanent measures to protect public health and the environment.

IV. THE NRC’S ATTENUATED SCHEDULE FOR REVIEWING THE WCD FINDINGS VIOLATES THE AEA AND NEPA.

Although NRC previously recognized the difficulty of predicting safe SNF disposal and committed to regular waste confidence reviews, J.A. 29 (every five years), J.A. 71 (every ten years), the current WCD extends the schedule for a review of NRC’s waste confidence predictions to sixty years after the expiration of reactor operating licenses if “there is no target date for a repository” by that time, including any periods for license renewals. J.A. 254. The SNF storage term of sixty years after the licensed life of a reactor must be added to the statutory reactor

operating license term of forty years, which is extended to sixty if the license is renewed. 42 U.S.C. § 2133(c). For older reactors whose licenses were recently renewed, the next WCD review therefore may be eighty years from now; and for new reactors the review may be 100 years away.

The NRC's indefinite postponement of the next WCD violates both the AEA and NEPA. First, under its own regulations for the interpretation of the AEA, NRC has recognized that a period of 100 years is simply beyond any amount of time for which institutional management or memory can be relied upon. *See, e.g.*, 10 C.F.R. § 61.59(b). It is thus unreasonable under the AEA for NRC to establish a timetable for reviewing the predictive findings of the WCD on such a lengthy time scale.

Moreover, by proposing to rely indefinitely on its current findings regarding the safety of SNF disposal, and by deferring further review of Table S-3 on account of the indefinitely postponed WCD review, NRC violates NEPA's requirement to "take a 'hard look' at the environmental effects of [a] planned action, even after a proposal has received initial approval." *Marsh*, 490 U.S. at 374. It would also be inconsistent with the Council on Environmental Quality's recommended "rule of thumb" that an EIS for an ongoing program or an action that has not yet been implemented should be "carefully reexamined" every five

years. 46 Fed. Reg. 18,026, 18,036 (March 23, 1981); *see also Portland Audubon Society v. Espy*, 998 F.2d 699, 703-4 (9th Cir. 1993).

CONCLUSION

For the reasons noted above, the WCD should be reversed and remanded to NRC for further proceedings to comply with AEA and NEPA.

Dated: September 15, 2011

Respectfully submitted,

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CERTIFICATE OF COMPLIANCE

Pursuant to Federal Rule of Appellate Procedure Rule 32(a)(7)(C) and Circuit Rule 32(a)(2)(C), I certify that the attached brief is proportionately spaced, has a typeface of Times New Roman, 14 points, and contains 6,531 words. The original brief, submitted prior to the filing of the Joint Appendix, contained 6,602 words. This final word count includes footnotes and citations, but excludes the Cover Page, Certificate as to Parties, Rulings and Related Cases, Rule 26.1 Disclosure Statement, Table of Contents, Table of Authorities, Glossary, Certificate of Compliance, Certificate of Service, Addendum of Statutes, Rules and Regulations, Standing Addendum, and attorney signature blocks. I have relied on Microsoft Word's calculation feature for this calculation.

Dated: February 7, 2012

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CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing final brief, along with addenda, was submitted on February 7, 2012 via the D.C. Circuit CM/ECF electronic filing system. Paper copies of the documents were also sent to the following parties via

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ORAL ARGUMENT SCHEDULED MARCH 16, 2012

**IN THE UNITED STATES COURT OF APPEALS
FOR THE DISTRICT OF COLUMBIA CIRCUIT**

D.C. Cir. No. 11-1045 (consolidated with D.C. Cir. Nos. 11-1051, 11-1056,
11-1057)

STATE OF NEW YORK, *et al.*,
Petitioners,

v.

UNITED STATES NUCLEAR REGULATORY COMMISSION and the UNITED
STATES OF AMERICA,
Respondents

STATE OF NEW JERSEY, *et al.*,
Intervenors.

Petition for Review of Final Administrative Action of the United States Nuclear
Regulatory Commission

**ADDENDUM OF STATUTES, REGULATIONS AND FEDERAL
REGISTER NOTICES TO OPENING BRIEF FOR PETITIONERS
NATURAL RESOURCES DEFENSE COUNCIL, INC., BLUE RIDGE
ENVIRONMENTAL DEFENSE LEAGUE, RIVERKEEPER, INC., AND
SOUTHERN ALLIANCE FOR CLEAN ENERGY, INC.**

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ADDENDUM OF STATUTES, RULES AND REGULATIONS

I. STATUTES

National Environmental Policy Act
42 U.S.C. § 4332(c).....ADD 3

Atomic Energy Act
42 U.S.C. § 2133(d).....ADD 6

National Waste Policy Act
42 U.S.C. § 10134(d).....ADD 9

II. REGULATIONS

10 C.F.R. § 50.57(a)(3).....ADD 12

10 C.F.R. § 51.23.....ADD 14

10 C.F.R. § 51.51.....ADD 17

10 C.F.R. § 61.59(b).....ADD 21

40 C.F.R. § 1502.14.....ADD 23

III. FEDERAL REGISTER NOTICES

*Consideration of Environmental Impacts
of Temporary Storage of Spent Fuel After
Cessation of Reactor Operation,*
75 Fed. Reg. 81,032-81,037 (Dec. 23, 2010).....ADD 25

Waste Confidence Decision Update,
75 Fed. Reg. 81,037-81,076 (Dec. 23, 2010).....ADD 32

*Forty Most Asked Questions Concerning CEQ's
National Environmental Policy Act Regulations,*
46 Fed. Reg. 18,026-18,038.....ADD 73

National Environmental Policy Act

42 U.S.C. § 4332(c)

scribed aspects of population growth in the United States and its foreseeable social consequences; provided for the appointment of an Executive Director and other personnel and prescribed their compensation; authorized the Commission to enter into contracts with public agencies, private firms, institutions, and individuals for the conduct of research and surveys, the preparation of reports, and other activities necessary to the discharge of its duties, and to request from any Federal department or agency any information and assistance it deems necessary to carry out its functions; required the General Services Administration to provide administrative services for the Commission on a reimbursable basis; required the Commission to submit an interim report to the President and the Congress one year after it was established and to submit its final report two years after Mar. 16, 1970; terminated the Commission sixty days after the date of the submission of its final report; and authorized to be appropriated, out of any money in the Treasury not otherwise appropriated, such amounts as might be necessary to carry out the provisions of Pub. L. 91-213.

EXECUTIVE ORDER No. 11507

Ex. Ord. No. 11507, eff. Feb. 4, 1970, 35 F.R. 2573, which related to prevention, control, and abatement of air and water pollution at federal facilities was superseded by Ex. Ord. No. 11752, eff. Dec. 17, 1973, 38 F.R. 34793, formerly set out below.

EXECUTIVE ORDER No. 11752

Ex. Ord. No. 11752, Dec. 17, 1973, 38 F.R. 34793, which related to the prevention, control, and abatement of environmental pollution at Federal facilities, was revoked by Ex. Ord. No. 12088, Oct. 13, 1978, 43 F.R. 47707, set out as a note under section 4321 of this title.

§ 4332. Cooperation of agencies; reports; availability of information; recommendations; international and national coordination of efforts

The Congress authorizes and directs that, to the fullest extent possible: (1) the policies, regulations, and public laws of the United States shall be interpreted and administered in accordance with the policies set forth in this chapter, and (2) all agencies of the Federal Government shall—

(A) utilize a systematic, interdisciplinary approach which will insure the integrated use of the natural and social sciences and the environmental design arts in planning and in decisionmaking which may have an impact on man's environment;

(B) identify and develop methods and procedures, in consultation with the Council on Environmental Quality established by subchapter II of this chapter, which will insure that presently unquantified environmental amenities and values may be given appropriate consideration in decisionmaking along with economic and technical considerations;

(C) include in every recommendation or report on proposals for legislation and other major Federal actions significantly affecting the quality of the human environment, a detailed statement by the responsible official on—

(i) the environmental impact of the proposed action,

(ii) any adverse environmental effects which cannot be avoided should the proposal be implemented,

(iii) alternatives to the proposed action,

(iv) the relationship between local short-term uses of man's environment and the maintenance and enhancement of long-term productivity, and

(v) any irreversible and irretrievable commitments of resources which would be involved in the proposed action should it be implemented.

Prior to making any detailed statement, the responsible Federal official shall consult with and obtain the comments of any Federal agency which has jurisdiction by law or special expertise with respect to any environmental impact involved. Copies of such statement and the comments and views of the appropriate Federal, State, and local agencies, which are authorized to develop and enforce environmental standards, shall be made available to the President, the Council on Environmental Quality and to the public as provided by section 552 of title 5, and shall accompany the proposal through the existing agency review processes;

(D) Any detailed statement required under subparagraph (C) after January 1, 1970, for any major Federal action funded under a program of grants to States shall not be deemed to be legally insufficient solely by reason of having been prepared by a State agency or official, if:

(i) the State agency or official has statewide jurisdiction and has the responsibility for such action,

(ii) the responsible Federal official furnishes guidance and participates in such preparation,

(iii) the responsible Federal official independently evaluates such statement prior to its approval and adoption, and

(iv) after January 1, 1976, the responsible Federal official provides early notification to, and solicits the views of, any other State or any Federal land management entity of any action or any alternative thereto which may have significant impacts upon such State or affected Federal land management entity and, if there is any disagreement on such impacts, prepares a written assessment of such impacts and views for incorporation into such detailed statement.

The procedures in this subparagraph shall not relieve the Federal official of his responsibilities for the scope, objectivity, and content of the entire statement or of any other responsibility under this chapter; and further, this subparagraph does not affect the legal sufficiency of statements prepared by State agencies with less than statewide jurisdiction.¹

(E) study, develop, and describe appropriate alternatives to recommended courses of action in any proposal which involves unresolved conflicts concerning alternative uses of available resources;

(F) recognize the worldwide and long-range character of environmental problems and, where consistent with the foreign policy of the United States, lend appropriate support to initiatives, resolutions, and programs designed to maximize international cooperation in anti-

¹ So in original. The period probably should be a semicolon.

pating and preventing a decline in the quality of mankind's world environment;

(G) make available to States, counties, municipalities, institutions, and individuals, advice and information useful in restoring, maintaining, and enhancing the quality of the environment;

(H) initiate and utilize ecological information in the planning and development of resource-oriented projects; and

(I) assist the Council on Environmental Quality established by subchapter II of this chapter.

(Pub. L. 91-190, title I, §102, Jan. 1, 1970, 83 Stat. 853; Pub. L. 94-83, Aug. 9, 1975, 89 Stat. 424.)

AMENDMENTS

1975—Subpars. (D) to (I). Pub. L. 94-83 added subpar. (D) and redesignated former subpars. (D) to (H) as (E) to (I), respectively.

CERTAIN COMMERCIAL SPACE LAUNCH ACTIVITIES

Pub. L. 104-88, title IV, §401, Dec. 29, 1995, 109 Stat. 955, provided that: "The licensing of a launch vehicle or launch site operator (including any amendment, extension, or renewal of the license) under chapter 701 of title 49, United States Code, shall not be considered a major Federal action for purposes of section 102(C) of the National Environmental Policy Act of 1969 (42 U.S.C. 4332(C)) if—

"(1) the Department of the Army has issued a permit for the activity; and

"(2) the Army Corps of Engineers has found that the activity has no significant impact."

EX. ORD. NO. 13352. FACILITATION OF COOPERATIVE CONSERVATION

Ex. Ord. No. 13352, Aug. 26, 2004, 69 F.R. 52989, provided:

By the authority vested in me as President by the Constitution and the laws of the United States of America, it is hereby ordered as follows:

SECTION 1. Purpose. The purpose of this order is to ensure that the Departments of the Interior, Agriculture, Commerce, and Defense and the Environmental Protection Agency implement laws relating to the environment and natural resources in a manner that promotes cooperative conservation, with an emphasis on appropriate inclusion of local participation in Federal decisionmaking, in accordance with their respective agency missions, policies, and regulations.

SEC. 2. Definition. As used in this order, the term "cooperative conservation" means actions that relate to use, enhancement, and enjoyment of natural resources, protection of the environment, or both, and that involve collaborative activity among Federal, State, local, and tribal governments, private for-profit and nonprofit institutions, other nongovernmental entities and individuals.

SEC. 3. Federal Activities. To carry out the purpose of this order, the Secretaries of the Interior, Agriculture, Commerce, and Defense and the Administrator of the Environmental Protection Agency shall, to the extent permitted by law and subject to the availability of appropriations and in coordination with each other as appropriate:

(a) carry out the programs, projects, and activities of the agency that they respectively head that implement laws relating to the environment and natural resources in a manner that:

(i) facilitates cooperative conservation;

(ii) takes appropriate account of and respects the interests of persons with ownership or other legally recognized interests in land and other natural resources;

(iii) properly accommodates local participation in Federal decisionmaking; and

(iv) provides that the programs, projects, and activities are consistent with protecting public health and safety;

(b) report annually to the Chairman of the Council on Environmental Quality on actions taken to implement this order; and

(c) provide funding to the Office of Environmental Quality Management Fund (42 U.S.C. 4375) for the Conference for which section 4 of this order provides.

SEC. 4. White House Conference on Cooperative Conservation. The Chairman of the Council on Environmental Quality shall, to the extent permitted by law and subject to the availability of appropriations:

(a) convene not later than 1 year after the date of this order, and thereafter at such times as the Chairman deems appropriate, a White House Conference on Cooperative Conservation (Conference) to facilitate the exchange of information and advice relating to (i) cooperative conservation and (ii) means for achievement of the purpose of this order; and

(b) ensure that the Conference obtains information in a manner that seeks from Conference participants their individual advice and does not involve collective judgment or consensus advice or deliberation.

SEC. 5. General Provision. This order is not intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the United States, its departments, agencies, instrumentalities or entities, its officers, employees or agents, or any other person.

GEORGE W. BUSH.

§ 4333. Conformity of administrative procedures to national environmental policy

All agencies of the Federal Government shall review their present statutory authority, administrative regulations, and current policies and procedures for the purpose of determining whether there are any deficiencies or inconsistencies therein which prohibit full compliance with the purposes and provisions of this chapter and shall propose to the President not later than July 1, 1971, such measures as may be necessary to bring their authority and policies into conformity with the intent, purposes, and procedures set forth in this chapter.

(Pub. L. 91-190, title I, §103, Jan. 1, 1970, 83 Stat. 854.)

§ 4334. Other statutory obligations of agencies

Nothing in section 4332 or 4333 of this title shall in any way affect the specific statutory obligations of any Federal agency (1) to comply with criteria or standards of environmental quality, (2) to coordinate or consult with any other Federal or State agency, or (3) to act, or refrain from acting contingent upon the recommendations or certification of any other Federal or State agency.

(Pub. L. 91-190, title I, §104, Jan. 1, 1970, 83 Stat. 854.)

§ 4335. Efforts supplemental to existing authorizations

The policies and goals set forth in this chapter are supplementary to those set forth in existing authorizations of Federal agencies.

(Pub. L. 91-190, title I, §105, Jan. 1, 1970, 83 Stat. 854.)

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to", was executed by making the insertion after "for any person, inside or outside of the United States, to" to reflect the probable intent of Congress and the amendment by Pub. L. 108-458, §6803(b)(1). See above.

Subsec. (b). Pub. L. 108-458, §6904(a)(7), added subsec. (b).

1958—Pub. L. 85-479 included transfers or receipts in foreign commerce.

§ 2122a. Repealed. Pub. L. 106-65, div. C, title XXXII, § 3294(e)(1)(A), Oct. 5, 1999, 113 Stat. 970

Section, act Aug. 1, 1946, ch. 724, title I, §93, as added Pub. L. 103-160, div. C, title XXXI, §3156(a), Nov. 30, 1993, 107 Stat. 1953, related to congressional oversight of special access programs. See section 2426 of Title 50, War and National Defense.

EFFECTIVE DATE OF REPEAL

Repeal effective Mar. 1, 2000, see section 3299 of Pub. L. 106-65, set out as an Effective Date note under section 2401 of Title 50, War and National Defense.

§ 2123. Transferred

CODIFICATION

Section, Pub. L. 102-190, div. C, title XXXI, §3136, Dec. 5, 1991, 105 Stat. 1577; Pub. L. 103-35, title II, §203(b)(3), May 31, 1993, 107 Stat. 102, which related to critical technology partnerships between laboratories of the Department of Energy and other entities, was renumbered section 4813 of Pub. L. 107-314, the Bob Stump National Defense Authorization Act for Fiscal Year 2003, by Pub. L. 108-136, div. C, title XXXI, §3141(k)(8), Nov. 24, 2003, 117 Stat. 1785, and transferred to section 2794 of Title 50, War and National Defense.

SUBCHAPTER IX—ATOMIC ENERGY
LICENSES

§ 2131. License required

It shall be unlawful, except as provided in section 2121 of this title, for any person within the United States to transfer or receive in interstate commerce, manufacture, produce, transfer, acquire, possess, use, import, or export any utilization or production facility except under and in accordance with a license issued by the Commission pursuant to section 2133 or 2134 of this title.

(Aug. 1, 1946, ch. 724, title I, §101, as added Aug. 30, 1954, ch. 1073, §1, 68 Stat. 936; amended Aug. 6, 1956, ch. 1015, §11, 70 Stat. 1071; renumbered title I, Pub. L. 102-486, title IX, §902(a)(8), Oct. 24, 1992, 106 Stat. 2944.)

PRIOR PROVISIONS

Provisions similar to this section were contained in section 1807(a) of this title, prior to the general amendment and renumbering of act Aug. 1, 1946, by act Aug. 30, 1954.

AMENDMENTS

1956—Act Aug. 6, 1956, inserted "use," after "possess,".

§ 2132. Utilization and production facilities for industrial or commercial purposes

(a) Issuance of licenses

Except as provided in subsections (b) and (c) of this section, or otherwise specifically authorized by law, any license hereafter issued for a utilization or production facility for industrial or com-

mercial purposes shall be issued pursuant to section 2133 of this title.

(b) Facilities constructed or operated under section 2134(b)

Any license hereafter issued for a utilization or production facility for industrial or commercial purposes, the construction or operation of which was licensed pursuant to section 2134(b) of this title prior to enactment into law of this subsection, shall be issued under section 2134(b) of this title.

(c) Cooperative Power Reactor Demonstration facilities

Any license for a utilization or production facility for industrial or commercial purposes constructed or operated under an arrangement with the Commission entered into under the Cooperative Power Reactor Demonstration Program shall, except as otherwise specifically required by applicable law, be issued under section 2134(b) of this title.

(Aug. 1, 1946, ch. 724, title I, §102, as added Aug. 30, 1954, ch. 1073, §1, 68 Stat. 936; amended Pub. L. 91-560, §3, Dec. 19, 1970, 84 Stat. 1472; renumbered title I, Pub. L. 102-486, title IX, §902(a)(8), Oct. 24, 1992, 106 Stat. 2944.)

AMENDMENTS

1970—Pub. L. 91-560 substituted provisions authorizing Commission to issue licenses for a utilization or production facility for industrial or commercial purposes under section 2133, except that license may be issued under section 2134(b), for such utilization or production facility, construction or operation of which was licensed under section 2134(b) before December 19, 1970 or constructed or operated under an arrangement with Commission entered into under Cooperative Power Reactor Demonstration Program, for provisions authorizing Commission to issue licenses pursuant to section 2133 of this title on a determination that such utilization or production facility has been sufficiently developed to be of practical value for industrial or commercial purposes.

§ 2133. Commercial licenses

(a) Conditions

The Commission is authorized to issue licenses to persons applying therefor to transfer or receive in interstate commerce, manufacture, produce, transfer, acquire, possess, use, import, or export under the terms of an agreement for cooperation arranged pursuant to section 2153 of this title, utilization or production facilities for industrial or commercial purposes. Such licenses shall be issued in accordance with the provisions of subchapter XV of this division and subject to such conditions as the Commission may by rule or regulation establish to effectuate the purposes and provisions of this chapter.

(b) Nonexclusive basis

The Commission shall issue such licenses on a nonexclusive basis to persons applying therefor (1) whose proposed activities will serve a useful purpose proportionate to the quantities of special nuclear material or source material to be utilized; (2) who are equipped to observe and who agree to observe such safety standards to protect health and to minimize danger to life or property as the Commission may by rule establish; and (3) who agree to make available to the

Commission such technical information and data concerning activities under such licenses as the Commission may determine necessary to promote the common defense and security and to protect the health and safety of the public. All such information may be used by the Commission only for the purposes of the common defense and security and to protect the health and safety of the public.

(c) License period

Each such license shall be issued for a specified period, as determined by the Commission, depending on the type of activity to be licensed, but not exceeding forty years from the authorization to commence operations, and may be renewed upon the expiration of such period.

(d) Limitations

No license under this section may be given to any person for activities which are not under or within the jurisdiction of the United States, except for the export of production or utilization facilities under terms of an agreement for co-operation arranged pursuant to section 2153 of this title, or except under the provisions of section 2139 of this title. No license may be issued to an alien or any any¹ corporation or other entity if the Commission knows or has reason to believe it is owned, controlled, or dominated by an alien, a foreign corporation, or a foreign government. In any event, no license may be issued to any person within the United States if, in the opinion of the Commission, the issuance of a license to such person would be inimical to the common defense and security or to the health and safety of the public.

(f)² Accident notification condition; license revocation; license amendment to include condition

Each license issued for a utilization facility under this section or section 2134(b) of this title shall require as a condition thereof that in case of any accident which could result in an unplanned release of quantities of fission products in excess of allowable limits for normal operation established by the Commission, the licensee shall immediately so notify the Commission. Violation of the condition prescribed by this subsection may, in the Commission's discretion, constitute grounds for license revocation. In accordance with section 2237 of this title, the Commission shall promptly amend each license for a utilization facility issued under this section or section 2134(b) of this title which is in effect on June 30, 1980, to include the provisions required under this subsection.

(Aug. 1, 1946, ch. 724, title I, §103, as added Aug. 30, 1954, ch. 1073, §1, 68 Stat. 936; amended Aug. 6, 1956, ch. 1015, §§12, 13, 70 Stat. 1071; Pub. L. 91-560, §4, Dec. 19, 1970, 84 Stat. 1472; Pub. L. 96-295, title II, §201, June 30, 1980, 94 Stat. 786; renumbered title I, Pub. L. 102-486, title IX, §902(a)(8), Oct. 24, 1992, 106 Stat. 2944; Pub. L. 109-58, title VI, §621, Aug. 8, 2005, 119 Stat. 782.)

AMENDMENTS

2005—Subsec. (c). Pub. L. 109-58 inserted “from the authorization to commence operations” after “forty years”.

¹ So in original.

² So in original. Probably should be “(e)”.

1980—Subsec. (f). Pub. L. 96-295 added subsec. (f).

1970—Subsec. (a). Pub. L. 91-560 struck out requirement of a finding of practical value under section 2132 and substituted “utilization and production facilities for industrial or commercial purposes” for “such type of utilization or production facility”.

1956—Subsec. (a). Act Aug. 6, 1956, §12, inserted “use,” after “possess.”

Subsec. (d). Act Aug. 6, 1956, §13, inserted “an alien or any” after “issued to”.

§2134. Medical, industrial, and commercial licenses

(a) Medical therapy

The Commission is authorized to issue licenses to persons applying therefor for utilization facilities for use in medical therapy. In issuing such licenses the Commission is directed to permit the widest amount of effective medical therapy possible with the amount of special nuclear material available for such purposes and to impose the minimum amount of regulation consistent with its obligations under this chapter to promote the common defense and security and to protect the health and safety of the public.

(b) Industrial and commercial purposes

As provided for in subsection (b) or (c) of section 2132 of this title, or where specifically authorized by law, the Commission is authorized to issue licenses under this subsection to persons applying therefor for utilization and production facilities for industrial and commercial purposes. In issuing licenses under this subsection, the Commission shall impose the minimum amount of such regulations and terms of license as will permit the Commission to fulfill its obligations under this chapter.

(c) Research and development activities

The Commission is authorized to issue licenses to persons applying therefor for utilization and production facilities useful in the conduct of research and development activities of the types specified in section 2051 of this title and which are not facilities of the type specified in subsection (b) of this section. The Commission is directed to impose only such minimum amount of regulation of the licensee as the Commission finds will permit the Commission to fulfill its obligations under this chapter to promote the common defense and security and to protect the health and safety of the public and will permit the conduct of widespread and diverse research and development.

(d) Limitations

No license under this section may be given to any person for activities which are not under or within the jurisdiction of the United States, except for the export of production or utilization facilities under terms of an agreement for co-operation arranged pursuant to section 2153 of this title or except under the provisions of section 2139 of this title. No license may be issued to any corporation or other entity if the Commission knows or has reason to believe it is owned, controlled, or dominated by an alien, a foreign corporation, or a foreign government. In any event, no license may be issued to any person within the United States if, in the opinion of the Commission, the issuance of a license to

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cant adverse environmental impacts caused by site characterization activities at such site;

(E) suspend all future benefits payments under part F of this subchapter with respect to such site; and

(F) report to Congress not later than 6 months after such determination the Secretary's recommendations for further action to assure the safe, permanent disposal of spent nuclear fuel and high-level radioactive waste, including the need for new legislative authority.

(d) Preliminary activities

Each activity of the Secretary under this section that is in compliance with the provisions of subsection (c) of this section shall be considered a preliminary decisionmaking activity. No such activity shall require the preparation of an environmental impact statement under section 102(2)(C) of the National Environmental Policy Act of 1969 (42 U.S.C. 4332(2)(C)), or to¹ require any environmental review under subparagraph (E) or (F) of section 102(2) of such Act.

(Pub. L. 97-425, title I, § 113, Jan. 7, 1983, 96 Stat. 2211; Pub. L. 100-202, § 101(d) [title III, § 300], Dec. 22, 1987, 101 Stat. 1329-104, 1329-121; Pub. L. 100-203, title V, § 5011(e)-(g), Dec. 22, 1987, 101 Stat. 1330-228.)

REFERENCES IN TEXT

The National Environmental Policy Act of 1969, referred to in subsec. (c)(1), is Pub. L. 91-190, Jan. 1, 1970, 83 Stat. 852, as amended, which is classified generally to chapter 55 (§ 4321 et seq.) of this title. For complete classification of this Act to the Code, see Short Title note set out under section 4321 of this title and Tables.

AMENDMENTS

1987—Subsec. (a). Pub. L. 100-202 and Pub. L. 100-203, § 5011(e)(2), which contained identical amendments directing that “at the Yucca Mountain site” be substituted for “beginning” and all that follows through “geological media”, were executed by substituting “at the Yucca Mountain site” for “beginning with the candidate sites that have been approved under section 10132 of this title and are located in various geologic media” as the probable intent of Congress.

Pub. L. 100-202 and Pub. L. 100-203, § 5011(e)(1), amended subsec. (a) identically, substituting “State of Nevada” for “State involved or the governing body of the affected Indian tribe involved”.

Subsec. (b)(1). Pub. L. 100-202 and Pub. L. 100-203, § 5011(f)(1), amended par. (1) identically, substituting “the Yucca Mountain site” for “any candidate site” and “the Governor or legislature of the State of Nevada” for “either the Governor and legislature of the State in which such candidate site is located, or the governing body of the affected Indian tribe on whose reservation such candidate site is located, as the case may be”.

Subsec. (b)(2). Pub. L. 100-202 and Pub. L. 100-203, § 5011(f)(2), amended par. (2) identically, substituting “the Yucca Mountain site” for “any candidate site”.

Subsec. (b)(3). Pub. L. 100-202 and Pub. L. 100-203, § 5011(f)(3), amended par. (3) identically, substituting “the Yucca Mountain site” for “a candidate site”, striking “either” before “the Governor”, and substituting “the State of Nevada” for “the State in which such candidate site is located, or the governing body of the affected Indian tribe where such candidate site is located, as the case may be”.

Subsec. (c)(1). Pub. L. 100-202 and Pub. L. 100-203, § 5011(g)(1), amended par. (1) identically, substituting

“the Yucca Mountain site” for “any candidate site”, “suitability of such site” for “suitability of such candidate site”, and “repository at such site” for “repository at such candidate site”.

Subsec. (c)(2). Pub. L. 100-202 and Pub. L. 100-203, § 5011(g)(2), amended par. (2) identically, striking out “candidate” before “site” in two places in subpar. (A) and in two places in subpar. (B).

Subsec. (c)(3), (4). Pub. L. 100-202 and Pub. L. 100-203, § 5011(g)(3), amended subsec. (c) identically, adding par. (3) and striking out former pars. (3) and (4) which read as follows:

“(3) If site characterization activities are terminated at a candidate site for any reason, the Secretary shall (A) notify the Congress, the Governors and legislatures of all States in which candidate sites are located, and the governing bodies of all affected Indian tribes where candidate sites are located, of such termination and the reasons for such termination; and (B) remove any high-level radioactive waste, spent nuclear fuel, or other radioactive materials at or in such candidate site as promptly as practicable.

“(4) If a site is determined to be unsuitable for application for a construction authorization for a repository, the Secretary shall take reasonable and necessary steps to reclaim the site and to mitigate any significant adverse environmental impacts caused by site characterization activities.”

§ 10134. Site approval and construction authorization

(a) Hearings and Presidential recommendation

(1) The Secretary shall hold public hearings in the vicinity of the Yucca Mountain site, for the purposes of informing the residents of the area of such consideration and receiving their comments regarding the possible recommendation of such site. If, upon completion of such hearings and completion of site characterization activities at the Yucca Mountain site, under section 10133 of this title, the Secretary decides to recommend approval of such site to the President, the Secretary shall notify the Governor and legislature of the State of Nevada, of such decision. No sooner than the expiration of the 30-day period following such notification, the Secretary shall submit to the President a recommendation that the President approve such site for the development of a repository. Any such recommendation by the Secretary shall be based on the record of information developed by the Secretary under section 10133 of this title and this section, including the information described in subparagraph (A) through subparagraph (G). Together with any recommendation of a site under this paragraph, the Secretary shall make available to the public, and submit to the President, a comprehensive statement of the basis of such recommendation, including the following:

(A) a description of the proposed repository, including preliminary engineering specifications for the facility;

(B) a description of the waste form or packaging proposed for use at such repository, and an explanation of the relationship between such waste form or packaging and the geologic medium of such site;

(C) a discussion of data, obtained in site characterization activities, relating to the safety of such site;

(D) a final environmental impact statement prepared for the Yucca Mountain site pursuant to subsection (f) of this section and the Na-

¹ So in original. The word “to” probably should not appear.

tional Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.), together with comments made concerning such environmental impact statement by the Secretary of the Interior, the Council on Environmental Quality, the Administrator, and the Commission, except that the Secretary shall not be required in any such environmental impact statement to consider the need for a repository, the alternatives to geological disposal, or alternative sites to the Yucca Mountain site;

(E) preliminary comments of the Commission concerning the extent to which the at-depth site characterization analysis and the waste form proposal for such site seem to be sufficient for inclusion in any application to be submitted by the Secretary for licensing of such site as a repository;

(F) the views and comments of the Governor and legislature of any State, or the governing body of any affected Indian tribe, as determined by the Secretary, together with the response of the Secretary to such views;

(G) such other information as the Secretary considers appropriate; and

(H) any impact report submitted under section 10136(c)(2)(B) of this title by the State of Nevada.

(2)(A) If, after recommendation by the Secretary, the President considers the Yucca Mountain site qualified for application for a construction authorization for a repository, the President shall submit a recommendation of such site to Congress.

(B) The President shall submit with such recommendation a copy of the statement for such site prepared by the Secretary under paragraph (1).

(3)(A) The President may not recommend the approval of the Yucca Mountain site unless the Secretary has recommended to the President under paragraph (1) approval of such site and has submitted to the President a statement for such site as required under such paragraph.

(B) No recommendation of a site by the President under this subsection shall require the preparation of an environmental impact statement under section 102(2)(C) of the National Environmental Policy Act of 1969 (42 U.S.C. 4332(2)(C)), or¹ require any environmental review under subparagraph (E) or (F) of section 102(2) of such Act.

(b) Submission of application

If the President recommends to the Congress the Yucca Mountain site under subsection (a) of this section and the site designation is permitted to take effect under section 10135 of this title, the Secretary shall submit to the Commission an application for a construction authorization for a repository at such site not later than 90 days after the date on which the recommendation of the site designation is effective under such section and shall provide to the Governor and legislature of the State of Nevada a copy of such application.

(c) Status report on application

Not later than 1 year after the date on which an application for a construction authorization

is submitted under subsection (b) of this section, and annually thereafter until the date on which such authorization is granted, the Commission shall submit a report to the Congress describing the proceedings undertaken through the date of such report with regard to such application, including a description of—

(1) any major unresolved safety issues, and the explanation of the Secretary with respect to design and operation plans for resolving such issues;

(2) any matters of contention regarding such application; and

(3) any Commission actions regarding the granting or denial of such authorization.

(d) Commission action

The Commission shall consider an application for a construction authorization for all or part of a repository in accordance with the laws applicable to such applications, except that the Commission shall issue a final decision approving or disapproving the issuance of a construction authorization not later than the expiration of 3 years after the date of the submission of such application, except that the Commission may extend such deadline by not more than 12 months if, not less than 30 days before such deadline, the Commission complies with the reporting requirements established in subsection (e)(2) of this section. The Commission decision approving the first such application shall prohibit the emplacement in the first repository of a quantity of spent fuel containing in excess of 70,000 metric tons of heavy metal or a quantity of solidified high-level radioactive waste resulting from the reprocessing of such a quantity of spent fuel until such time as a second repository is in operation. In the event that a monitored retrievable storage facility, approved pursuant to part C of this subchapter, shall be located, or is planned to be located, within 50 miles of the first repository, then the Commission decision approving the first such application shall prohibit the emplacement of a quantity of spent fuel containing in excess of 70,000 metric tons of heavy metal or a quantity of solidified high-level radioactive waste resulting from the reprocessing of spent fuel in both the repository and monitored retrievable storage facility until such time as a second repository is in operation.

(e) Project decision schedule

(1) The Secretary shall prepare and update, as appropriate, in cooperation with all affected Federal agencies, a project decision schedule that portrays the optimum way to attain the operation of the repository, within the time periods specified in this part. Such schedule shall include a description of objectives and a sequence of deadlines for all Federal agencies required to take action, including an identification of the activities in which a delay in the start, or completion, of such activities will cause a delay in beginning repository operation.

(2) Any Federal agency that determines that it cannot comply with any deadline in the project decision schedule, or fails to so comply, shall submit to the Secretary and to the Congress a written report explaining the reason for its failure or expected failure to meet such deadline, the reason why such agency could not reach an

¹ So in original. The word "to" probably should not appear.

10 C.F.R. § 50.57(a)(3)

Nuclear Regulatory Commission**§ 50.57**

EDITORIAL NOTE: FOR FEDERAL REGISTER citations affecting § 50.55a, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

§ 50.56 Conversion of construction permit to license; or amendment of license.

Upon completion of the construction or alteration of a facility, in compliance with the terms and conditions of the construction permit and subject to any necessary testing of the facility for health or safety purposes, the Commission will, in the absence of good cause shown to the contrary issue a license of the class for which the construction permit was issued or an appropriate amendment of the license, as the case may be.

[21 FR 355, Jan. 19, 1956, as amended at 35 FR 11461, July 17, 1970]

Footnotes to § 50.55a:

⁴USAS and ASME Code addenda issued prior to the Winter 1977 Addenda are considered to be "in effect" or "effective" 6 months after their date of issuance *and* after they are incorporated by reference in paragraph (b) of this section. Addenda to the ASME Code issued after the Summer 1977 Addenda are considered to be "in effect" or "effective" after the date of publication of the addenda *and* after they are incorporated by reference in paragraph (b) of this section.

⁵For ASME Code Editions and Addenda issued prior to the Winter 1977 Addenda, the Code Edition and Addenda applicable to the component is governed by the order or contract date for the component, not the contract date for the nuclear energy system. For the Winter 1977 Addenda and subsequent editions and addenda the method for determining the applicable Code editions and addenda is contained in Paragraph NCA 1140 of Section III of the ASME Code.

⁶⁻⁸ [Reserved]

⁹Guidance for quality group classifications of components which are to be included in the safety analysis reports pursuant to § 50.34(a) and § 50.34(b) may be found in Regulatory Guide 1.26, "Quality Group Classifications and Standards for Water-, Steam-, and Radiological-Waste-Containing Components of Nuclear Power Plants," and in Section 3.2.2 of NUREG-0800, "Standard Review Plan for Review of Safety Analysis Reports for Nuclear Power Plants."

§ 50.57 Issuance of operating license.¹

(a) Pursuant to § 50.56, an operating license may be issued by the Commission, up to the full term authorized by § 50.51, upon finding that:

(1) Construction of the facility has been substantially completed, in conformity with the construction permit and the application as amended, the provisions of the Act, and the rules and regulations of the Commission; and

(2) The facility will operate in conformity with the application as amended, the provisions of the Act, and the rules and regulations of the Commission; and

(3) There is reasonable assurance (i) that the activities authorized by the operating license can be conducted without endangering the health and safety of the public, and (ii) that such activities will be conducted in compliance with the regulations in this chapter; and

(4) The applicant is technically and financially qualified to engage in the activities authorized by the operating license in accordance with the regulations in this chapter. However, no finding of financial qualification is necessary for an electric utility applicant for an operating license for a utilization facility of the type described in § 50.21(b) or § 50.22.

(5) The applicable provisions of part 140 of this chapter have been satisfied; and

(6) The issuance of the license will not be inimical to the common defense and security or to the health and safety of the public.

(b) Each operating license will include appropriate provisions with respect to any uncompleted items of construction and such limitations or conditions as are required to assure that operation during the period of the completion of such items will not endanger public health and safety.

(c) An applicant may, in a case where a hearing is held in connection with a

¹The Commission may issue a provisional operating license pursuant to the regulations in this part in effect on March 30, 1970, for any facility for which a notice of hearing on an application for a provisional operating license or a notice of proposed issuance of a provisional operating license has been published on or before that date.

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52, or part 70 of this chapter which deletes any limiting condition of operation or monitoring requirement based on or applicable to any matter subject to the provisions of the Federal Water Pollution Control Act.

(18) Issuance of amendments or orders authorizing licensees of production or utilization facilities to resume operation, provided the basis for the authorization rests solely on a determination or redetermination by the Commission that applicable emergency planning requirements are met.

(19) Issuance, amendment, modification, or renewal of a certificate of compliance of gaseous diffusion enrichment facilities pursuant to 10 CFR part 76.

(20) Decommissioning of sites where licensed operations have been limited to the use of—

(i) Small quantities of short-lived radioactive materials; or

(ii) Radioactive materials in sealed sources, provided there is no evidence of leakage of radioactive material from these sealed sources.

(21) Approvals of direct or indirect transfers of any license issued by NRC and any associated amendments of license required to reflect the approval of a direct or indirect transfer of an NRC license.

(22) Issuance of a standard design approval under part 52 of this chapter.

(23) The Commission finding for a combined license under § 52.103(g) of this chapter.

(d) In accordance with section 121 of the Nuclear Waste Policy Act of 1982 (42 U.S.C. 10141), the promulgation of technical requirements and criteria that the Commission will apply in approving or disapproving applications under part 60 or 63 of this chapter shall not require an environmental impact statement, an environmental assessment, or any environmental review under subparagraph (E) or (F) of section 102(2) of NEPA.

[49 FR 9381, Mar. 12, 1984]

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting § 51.22, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

§ 51.23 Temporary storage of spent fuel after cessation of reactor operation—generic determination of no significant environmental impact.

(a) The Commission has made a generic determination that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 30 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor at its spent fuel storage basin or at either onsite or offsite independent spent fuel storage installations. Further, the Commission believes there is reasonable assurance that at least one mined geologic repository will be available within the first quarter of the twenty-first century, and sufficient repository capacity will be available within 30 years beyond the licensed life for operation of any reactor to dispose of the commercial high-level waste and spent fuel originating in such reactor and generated up to that time.

(b) Accordingly, as provided in §§ 51.30(b), 51.53, 51.61, 51.80(b), 51.95, and 51.97(a), and within the scope of the generic determination in paragraph (a) of this section, no discussion of any environmental impact of spent fuel storage in reactor facility storage pools or independent spent fuel storage installations (ISFSI) for the period following the term of the reactor operating license or amendment, reactor combined license or amendment, or initial ISFSI license or amendment for which application is made, is required in any environmental report, environmental impact statement, environmental assessment, or other analysis prepared in connection with the issuance or amendment of an operating license for a nuclear power reactor under parts 50 and 54 of this chapter, or issuance or amendment of a combined license for a nuclear power reactor under parts 52 and 54 of this chapter, or the issuance of an initial ISFSI for storage of spent fuel at an ISFSI, or any amendment thereto.

(c) This section does not alter any requirements to consider the environmental impacts of spent fuel storage during the term of a reactor operating

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license or combined license, or a license for an ISFSI in a licensing proceeding.

[49 FR 34694, Aug. 31, 1984, as amended at 55 FR 38474, Sept. 18, 1990; 72 FR 49509, Aug. 28, 2007]

DETERMINATIONS TO PREPARE ENVIRONMENTAL IMPACT STATEMENTS, ENVIRONMENTAL ASSESSMENTS OR FINDINGS OF NO SIGNIFICANT IMPACT, AND RELATED PROCEDURES

§ 51.25 Determination to prepare environmental impact statement or environmental assessment; eligibility for categorical exclusion.

Before taking a proposed action subject to the provisions of this subpart, the appropriate NRC staff director will determine on the basis of the criteria and classifications of types of actions in §§ 51.20, 51.21 and 51.22 of this subpart whether the proposed action is of the type listed in § 51.22(c) as a categorical exclusion or whether an environmental impact statement or an environmental assessment should be prepared. An environmental assessment is not necessary if it is determined that an environmental impact statement will be prepared.

§ 51.26 Requirement to publish notice of intent and conduct scoping process.

(a) Whenever the appropriate NRC staff director determines that an environmental impact statement will be prepared by NRC in connection with a proposed action, a notice of intent will be prepared as provided in § 51.27, and will be published in the FEDERAL REGISTER as provided in § 51.116, and an appropriate scoping process (see §§ 51.27, 51.28, and 51.29) will be conducted.

(b) The scoping process may include a public scoping meeting.

(c) Upon receipt of an application and accompanying environmental impact statement under § 60.22 or § 63.22 of this chapter (pertaining to geologic repositories for high-level radioactive waste), the appropriate NRC staff director will include in the notice of docketing required to be published by § 2.101(f)(8) of this chapter a statement of Commission intention to adopt the environmental impact statement to the extent practicable. However, if the appro-

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priate NRC staff director determines, at the time of such publication or at any time thereafter, that NRC should prepare a supplemental environmental impact statement in connection with the Commission's action on the license application, the NRC shall follow the procedures set out in paragraph (a) of this section.

(d) Whenever the appropriate NRC staff director determines that a supplement to an environmental impact statement will be prepared by the NRC, a notice of intent will be prepared as provided in § 51.27, and will be published in the FEDERAL REGISTER as provided in § 51.116. The NRC staff need not conduct a scoping process (see §§ 51.27, 51.28, and 51.29), provided, however, that if scoping is conducted, then the scoping must be directed at matters to be addressed in the supplement. If scoping is conducted in a proceeding for a combined license referencing an early site permit under part 52, then the scoping must be directed at matters to be addressed in the supplement as described in § 51.92(e).

[49 FR 9381, Mar. 12, 1984, as amended at 54 FR 27870, July 3, 1989; 66 FR 55791, Nov. 2, 2001; 72 FR 49510, Aug. 28, 2007]

§ 51.27 Notice of intent.

(a) The notice of intent required by § 51.26(a) shall:

(1) State that an environmental impact statement will be prepared;

(2) Describe the proposed action and, to the extent sufficient information is available, possible alternatives;

(3) State whether the applicant or petitioner for rulemaking has filed an environmental report, and, if so, where copies are available for public inspection;

(4) Describe the proposed scoping process, including the role of participants, whether written comments will be accepted, the last date for submitting comments and where comments should be sent, whether a public scoping meeting will be held, the time and place of any scoping meeting or when the time and place of the meeting will be announced; and

(5) State the name, address and telephone number of an individual in NRC who can provide information about the proposed action, the scoping process,

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Site Permit Stage,” or resolved in the Commission’s early site permit environmental impact statement, but must contain, in addition to the environmental information and analyses otherwise required:

(i) Information to demonstrate that the design of the facility falls within the site characteristics and design parameters specified in the early site permit;

(ii) Information to resolve any significant environmental issue that was not resolved in the early site permit proceeding;

(iii) Any new and significant information for issues related to the impacts of construction and operation of the facility that were resolved in the early site permit proceeding;

(iv) A description of the process used to identify new and significant information regarding the NRC’s conclusions in the early site permit environmental impact statement. The process must use a reasonable methodology for identifying such new and significant information; and

(v) A demonstration that all environmental terms and conditions that have been included in the early site permit will be satisfied by the date of issuance of the combined license. Any terms or conditions of the early site permit that could not be met by the time of issuance of the combined license, must be set forth as terms or conditions of the combined license.

(2) *Application referencing standard design certification.* If the combined license references a standard design certification, then the combined license environmental report may incorporate by reference the environmental assessment previously prepared by the NRC for the referenced design certification. If the design certification environmental assessment is referenced, then the combined license environmental report must contain information to demonstrate that the site characteristics for the combined license site fall within the site parameters in the design certification environmental assessment.

(3) *Application referencing a manufactured reactor.* If the combined license application proposes to use a manufactured reactor, then the combined license environmental report may incorporate by reference the environmental assessment previously prepared by the NRC for the underlying manufacturing license. If the manufacturing license environmental assessment is referenced, then the combined license environmental report must contain information to demonstrate that the site characteristics for the combined license site fall within the site parameters in the manufacturing license environmental assessment. The environmental report need not address the environmental impacts associated with manufacturing the reactor under the manufacturing license.

[72 FR 49511, Aug. 28, 2007]

§51.51 Uranium fuel cycle environmental data—Table S-3.

(a) Under §51.50, every environmental report prepared for the construction permit stage or early site permit stage or combined license stage of a light-water-cooled nuclear power reactor, and submitted on or after September 4, 1979, shall take Table S-3, Table of Uranium Fuel Cycle Environmental Data, as the basis for evaluating the contribution of the environmental effects of uranium mining and milling, the production of uranium hexafluoride, isotopic enrichment, fuel fabrication, reprocessing of irradiated fuel, transportation of radioactive materials and management of low-level wastes and high-level wastes related to uranium fuel cycle activities to the environmental costs of licensing the nuclear power reactor. Table S-3 shall be included in the environmental report and may be supplemented by a discussion of the environmental significance of the data set forth in the table as weighed in the analysis for the proposed facility.

(b) Table S-3.

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TABLE S-3—TABLE OF URANIUM FUEL CYCLE ENVIRONMENTAL DATA ¹
 [Normalized to model LWR annual fuel requirement [WASH-1248] or reference reactor year [NUREG-0116]]
 [See footnotes at end of this table]

Environmental considerations	Total	Maximum effect per annual fuel requirement or reference reactor year of model 1,000 MWe LWR
NATURAL RESOURCE USE		
Land (acres):		
Temporarily committed ²	100	
Undisturbed area	79	
Disturbed area	22	Equivalent to a 110 MWe coal-fired power plant.
Permanently committed	13	
Overburden moved (millions of MT)	2.8	Equivalent to 95 MWe coal-fired power plant.
Water (millions of gallons):		
Discharged to air	160	=2 percent of model 1,000 MWe LWR with cooling tower.
Discharged to water bodies	11,090	
Discharged to ground	127	
Total	11,377	<4 percent of model 1,000 MWe LWR with once-through cooling.
Fossil fuel:		
Electrical energy (thousands of MW-hour)	323	<5 percent of model 1,000 MWe LWR output.
Equivalent coal (thousands of MT)	118	Equivalent to the consumption of a 45 MWe coal-fired power plant.
Natural gas (millions of scf)	135	<0.4 percent of model 1,000 MWe energy output.
EFFLUENTS—CHEMICAL (MT)		
Gases (including entrainment): ³		
SO _x	4,400	
NO _x ⁴	1,190	Equivalent to emissions from 45 MWe coal-fired plant for a year.
Hydrocarbons	14	
CO	29.6	
Particulates	1,154	
Other gases:		
F67	Principally from UF ₆ production, enrichment, and reprocessing. Concentration within range of state standards—below level that has effects on human health.
HCl014	
Liquids:		
SO ₄ ⁻²	9.9	From enrichment, fuel fabrication, and reprocessing steps. Components that constitute a potential for adverse environmental effect are present in dilute concentrations and receive additional dilution by receiving bodies of water to levels below permissible standards.
NO ₃ ⁻	25.8	
Fluoride	12.9	The constituents that require dilution and the flow of dilution water are: NH ₃ —600 cfs., NO ₃ —20 cfs., Fluoride—70 cfs.
Ca ⁺⁺	5.4	
C1 ⁻	8.5	
Na ⁺	12.1	
NH ₃	10.0	
Fe4	
Tailings solutions (thousands of MT)	240	From mills only—no significant effluents to environment.
Solids	91,000	Principally from mills—no significant effluents to environment.
Effluents—Radiological (curies)		
Gases (including entrainment):		
Rn-222		Presently under reconsideration by the Commission.
Ra-22602	
Th-23002	
Uranium034	
Tritium (thousands)	18.1	
C-14	24	
Kr-85 (thousands)	400	
Ru-10614	Principally from fuel reprocessing plants.
I-129	1.3	
I-13183	
Tc-99		Presently under consideration by the Commission.
Fission products and transuranics203	
Liquids:		
Uranium and daughters	2.1	Principally from milling—included tailings liquor and returned to ground—no effluents; therefore, no effect on environment.
Ra-2260034	From UF ₆ production.
Th-2300015	

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TABLE S-3—TABLE OF URANIUM FUEL CYCLE ENVIRONMENTAL DATA ¹—Continued
 [Normalized to model LWR annual fuel requirement [WASH-1248] or reference reactor year [NUREG-0116]]
 [See footnotes at end of this table]

Environmental considerations	Total	Maximum effect per annual fuel requirement or reference reactor year of model 1,000 MWe LWR
Th-23401	From fuel fabrication plants—concentration 10 percent of 10 CFR 20 for total processing 26 annual fuel requirements for model LWR.
Fission and activation products	5.9×10 ⁻⁶	
Solids (buried on site): Other than high level (shallow)	11,300	9,100 Ci comes from low level reactor wastes and 1,500 Ci comes from reactor decontamination and decommissioning—buried at land burial facilities. 600 Ci comes from mills—included in tailings returned to ground. Approximately 60 Ci comes from conversion and spent fuel storage. No significant effluent to the environment.
TRU and HLW (deep)	1.1×10 ⁷	
Effluents—thermal (billions of British thermal units)	4,063	Buried at Federal Repository. <5 percent of model 1,000 MWe LWR.
Transportation (person-rem): Exposure of workers and general public	2.5	From reprocessing and waste management.
Occupational exposure (person-rem)	22.6	

¹ In some cases where no entry appears it is clear from the background documents that the matter was addressed and that, in effect, the Table should be read as if a specific zero entry had been made. However, there are other areas that are not addressed at all in the Table. Table S-3 does not include health effects from the effluents described in the Table, or estimates of releases of Radon-222 from the uranium fuel cycle or estimates of Technetium-99 released from waste management or reprocessing activities. These issues may be the subject of litigation in the individual licensing proceedings.

Data supporting this table are given in the "Environmental Survey of the Uranium Fuel Cycle," WASH-1248, April 1974; the "Environmental Survey of the Reprocessing and Waste Management Portion of the LWR Fuel Cycle," NUREG-0116 (Supp. 1 to WASH-1248); the "Public Comments and Task Force Responses Regarding the Environmental Survey of the Reprocessing and Waste Management Portions of the LWR Fuel Cycle," NUREG-0216 (Supp. 2 to WASH-1248); and in the record of the final rulemaking pertaining to Uranium Fuel Cycle Impacts from Spent Fuel Reprocessing and Radioactive Waste Management, Docket RM-50-3. The contributions from reprocessing, waste management and transportation of wastes are maximized for either of the two fuel cycles (uranium only and no recycle). The contribution from transportation excludes transportation of cold fuel to a reactor and of irradiated fuel and radioactive wastes from a reactor which are considered in Table S-4 of § 51.20(g). The contributions from the other steps of the fuel cycle are given in columns A-E of Table S-3A of WASH-1248.

² The contributions to temporarily committed land from reprocessing are not prorated over 30 years, since the complete temporary impact accrues regardless of whether the plant services one reactor for one year or 57 reactors for 30 years.

³ Estimated effluents based upon combustion of equivalent coal for power generation.

⁴ 1.2 percent from natural gas use and process.

[49 FR 9381, Mar. 12, 1984; 49 FR 10922, Mar. 23, 1984, as amended at 67 FR 77652, Dec. 19, 2002; 72 FR 49512, Aug. 28, 2007]

§51.52 Environmental effects of transportation of fuel and waste—Table S-4.

Under §51.50, every environmental report prepared for the construction permit stage or early site permit stage or combined license stage of a light-water-cooled nuclear power reactor, and submitted after February 4, 1975, shall contain a statement concerning transportation of fuel and radioactive wastes to and from the reactor. That statement shall indicate that the reactor and this transportation either meet all of the conditions in paragraph (a) of this section or all of the conditions of paragraph (b) of this section.

(a)(1) The reactor has a core thermal power level not exceeding 3,800 megawatts;

(2) The reactor fuel is in the form of sintered uranium dioxide pellets hav-

ing a uranium-235 enrichment not exceeding 4% by weight, and the pellets are encapsulated in zircaloy rods;

(3) The average level of irradiation of the irradiated fuel from the reactor does not exceed 33,000 megawatt-days per metric ton, and no irradiated fuel assembly is shipped until at least 90 days after it is discharged from the reactor;

(4) With the exception of irradiated fuel, all radioactive waste shipped from the reactor is packaged and in a solid form;

(5) Unirradiated fuel is shipped to the reactor by truck; irradiated fuel is shipped from the reactor by truck, rail, or barge; and radioactive waste other than irradiated fuel is shipped from the reactor by truck or rail; and

(6) The environmental impacts of transportation of fuel and waste to and

10 C.F.R. § 61.59(b)

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exceed 1.5 atmospheres at 20°C. Total activity must not exceed 100 curies per container.

(8) Waste containing hazardous, biological, pathogenic, or infectious material must be treated to reduce to the maximum extent practicable the potential hazard from the non-radiological materials.

(b) The requirements in this section are intended to provide stability of the waste. Stability is intended to ensure that the waste does not structurally degrade and affect overall stability of the site through slumping, collapse, or other failure of the disposal unit and thereby lead to water infiltration. Stability is also a factor in limiting exposure to an inadvertent intruder, since it provides a recognizable and non-dispersible waste.

(1) Waste must have structural stability. A structurally stable waste form will generally maintain its physical dimensions and its form, under the expected disposal conditions such as weight of overburden and compaction equipment, the presence of moisture, and microbial activity, and internal factors such as radiation effects and chemical changes. Structural stability can be provided by the waste form itself, processing the waste to a stable form, or placing the waste in a disposal container or structure that provides stability after disposal.

(2) Notwithstanding the provisions in §61.56(a) (2) and (3), liquid wastes, or wastes containing liquid, must be converted into a form that contains as little free standing and noncorrosive liquid as is reasonably achievable, but in no case shall the liquid exceed 1% of the volume of the waste when the waste is in a disposal container designed to ensure stability, or 0.5% of the volume of the waste for waste processed to a stable form.

(3) Void spaces within the waste and between the waste and its package must be reduced to the extent practicable.

§61.57 Labeling.

Each package of waste must be clearly labeled to identify whether it is Class A waste, Class B waste, or Class C waste, in accordance with §61.55.

10 CFR Ch. I (1–1–10 Edition)**§61.58 Alternative requirements for waste classification and characteristics.**

The Commission may, upon request or on its own initiative, authorize other provisions for the classification and characteristics of waste on a specific basis, if, after evaluation, of the specific characteristics of the waste, disposal site, and method of disposal, it finds reasonable assurance of compliance with the performance objectives in subpart C of this part.

§61.59 Institutional requirements.

(a) *Land ownership.* Disposal of radioactive waste received from other persons may be permitted only on land owned in fee by the Federal or a State government.

(b) *Institutional control.* The land owner or custodial agency shall carry out an institutional control program to physically control access to the disposal site following transfer of control of the disposal site from the disposal site operator. The institutional control program must also include, but not be limited to, carrying out an environmental monitoring program at the disposal site, periodic surveillance, minor custodial care, and other requirements as determined by the Commission; and administration of funds to cover the costs for these activities. The period of institutional controls will be determined by the Commission, but institutional controls may not be relied upon for more than 100 years following transfer of control of the disposal site to the owner.

Subpart E—Financial Assurances**§61.61 Applicant qualifications and assurances.**

Each applicant shall show that it either possesses the necessary funds or has reasonable assurance of obtaining the necessary funds, or by a combination of the two, to cover the estimated costs of conducting all licensed activities over the planned operating life of the project, including costs of construction and disposal.

40 C.F.R. § 1502.14

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among alternatives). The summary will normally not exceed 15 pages.

§ 1502.13 Purpose and need.

The statement shall briefly specify the underlying purpose and need to which the agency is responding in proposing the alternatives including the proposed action.

§ 1502.14 Alternatives including the proposed action.

This section is the heart of the environmental impact statement. Based on the information and analysis presented in the sections on the Affected Environment (§1502.15) and the Environmental Consequences (§1502.16), it should present the environmental impacts of the proposal and the alternatives in comparative form, thus sharply defining the issues and providing a clear basis for choice among options by the decisionmaker and the public. In this section agencies shall:

(a) Rigorously explore and objectively evaluate all reasonable alternatives, and for alternatives which were eliminated from detailed study, briefly discuss the reasons for their having been eliminated.

(b) Devote substantial treatment to each alternative considered in detail including the proposed action so that reviewers may evaluate their comparative merits.

(c) Include reasonable alternatives not within the jurisdiction of the lead agency.

(d) Include the alternative of no action.

(e) Identify the agency's preferred alternative or alternatives, if one or more exists, in the draft statement and identify such alternative in the final statement unless another law prohibits the expression of such a preference.

(f) Include appropriate mitigation measures not already included in the proposed action or alternatives.

§ 1502.15 Affected environment.

The environmental impact statement shall succinctly describe the environment of the area(s) to be affected or created by the alternatives under consideration. The descriptions shall be no longer than is necessary to understand the effects of the alternatives. Data

and analyses in a statement shall be commensurate with the importance of the impact, with less important material summarized, consolidated, or simply referenced. Agencies shall avoid useless bulk in statements and shall concentrate effort and attention on important issues. Verbose descriptions of the affected environment are themselves no measure of the adequacy of an environmental impact statement.

§ 1502.16 Environmental consequences.

This section forms the scientific and analytic basis for the comparisons under §1502.14. It shall consolidate the discussions of those elements required by sections 102(2)(C)(i), (ii), (iv), and (v) of NEPA which are within the scope of the statement and as much of section 102(2)(C)(iii) as is necessary to support the comparisons. The discussion will include the environmental impacts of the alternatives including the proposed action, any adverse environmental effects which cannot be avoided should the proposal be implemented, the relationship between short-term uses of man's environment and the maintenance and enhancement of long-term productivity, and any irreversible or irretrievable commitments of resources which would be involved in the proposal should it be implemented. This section should not duplicate discussions in §1502.14. It shall include discussions of:

(a) Direct effects and their significance (§1508.8).

(b) Indirect effects and their significance (§1508.8).

(c) Possible conflicts between the proposed action and the objectives of Federal, regional, State, and local (and in the case of a reservation, Indian tribe) land use plans, policies and controls for the area concerned. (See §1506.2(d).)

(d) The environmental effects of alternatives including the proposed action. The comparisons under §1502.14 will be based on this discussion.

(e) Energy requirements and conservation potential of various alternatives and mitigation measures.

(f) Natural or depletable resource requirements and conservation potential of various alternatives and mitigation measures.

*Consideration of Environmental Impacts of Temporary Storage of
Spent Fuel After Cessation of Reactor Operation*

75 Fed. Reg. 81,032-81,037 (Dec. 23, 2010)

**NUCLEAR REGULATORY
COMMISSION****10 CFR Part 51**

[NRC-2008-0404]

RIN 3150-A147

**Consideration of Environmental
Impacts of Temporary Storage of
Spent Fuel After Cessation of Reactor
Operation****AGENCY:** Nuclear Regulatory
Commission.**ACTION:** Final rule.

SUMMARY: The U.S. Nuclear Regulatory Commission (NRC or Commission) is revising its generic determination on the environmental impacts of storage of spent fuel at, or away from, reactor sites after the expiration of reactor operating licenses. The revisions reflect findings that the Commission has reached in an update and supplement to the 1990 Waste Confidence rulemaking proceeding published elsewhere in this issue of the **Federal Register**. The Commission now finds that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin or at either onsite or offsite independent spent fuel storage installations (ISFSIs). It also finds reasonable assurance that sufficient mined geologic repository capacity will be available for disposal of spent fuel when necessary.

DATES: The rule is effective on January 24, 2011.

ADDRESSES: You can access publicly available documents related to this document using the following methods:

NRC's Public Document Room (PDR): The public may examine and have copied for a fee publicly available documents at the NRC's PDR, Room O-1F21, One White Flint North, 11555 Rockville Pike, Rockville, Maryland.

NRC's Agencywide Documents Access and Management System (ADAMS): Publicly available documents created or received at the NRC are available electronically at the NRC's electronic Reading Room at <http://www.nrc.gov/reading-rm/adams.html>. From this page, the public can gain entry into ADAMS, which provides text and image files of NRC's public documents. If you do not have access to ADAMS or if there are problems in accessing the documents located in ADAMS, contact the NRC's PDR reference staff at 1-800-397-4209,

301-415-4737, or by e-mail to pdr.resource@nrc.gov.

Federal Rulemaking Web site: Public comments and supporting materials related to this final rule can be found at <http://www.regulations.gov> by searching on Docket ID: NRC-2008-0404.

FOR FURTHER INFORMATION CONTACT:

Tison Campbell, Office of the General Counsel, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, *telephone:* 301-415-8579, *e-mail:* tison.campbell@nrc.gov; Lisa London, Office of the General Counsel, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, *telephone:* 301-415-3233, *e-mail:* lisa.london@nrc.gov.

SUPPLEMENTARY INFORMATION:**Background**

In 1990, the Commission concluded a generic rulemaking proceeding to reassess its degree of confidence that radioactive wastes produced by nuclear power plants can be safely disposed of, to determine when this disposal or offsite storage will be available, and to determine whether radioactive wastes can be safely stored onsite past the expiration of existing facility licenses until offsite disposal or storage is available. This proceeding reviewed the Commission's 1984 findings on these issues, which were developed through a generic rulemaking proceeding that became known as the "Waste Confidence Proceeding." The 1990 proceeding resulted in the following five reaffirmed or revised Waste Confidence findings:

1. The Commission finds reasonable assurance that safe disposal of high-level radioactive waste (HLW) and spent nuclear fuel (SNF) in a mined geologic repository is technically feasible;

2. The Commission finds reasonable assurance that at least one mined geologic repository will be available within the first quarter of the twenty-first century, and that sufficient repository capacity will be available within 30 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of any reactor to dispose of the commercial HLW and SNF originating in such reactor and generated up to that time;

3. The Commission finds reasonable assurance that HLW and SNF will be managed in a safe manner until sufficient repository capacity is available to assure the safe disposal of all HLW and SNF;

4. The Commission finds reasonable assurance that, if necessary, spent fuel generated in any reactor can be stored safely and without significant

environmental impacts for at least 30 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor at its spent fuel storage basin, or at either onsite or offsite ISFSIs; and

5. The Commission finds reasonable assurance that safe independent onsite spent fuel storage or offsite spent fuel storage will be made available if such storage capacity is needed. (55 FR 38474; September 18, 1990).

These five findings formed the basis of the Commission's revised generic determination of no significant environmental impact from temporary storage of SNF after cessation of reactor operation, which was codified at 10 CFR 51.23(a):

The Commission has made a generic determination that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impact for at least 30 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor at its spent fuel storage basin or at either onsite or offsite independent spent fuel storage installations. Further, the Commission believes there is reasonable assurance that at least one mined geologic repository will be available within the first quarter of the twenty-first century, and sufficient repository capacity will be available within 30 years beyond the licensed life for operation of any reactor to dispose of the commercial [HLW] and [SNF] originating in such reactor and generated up to that time. (55 FR 38474; September 18, 1990)

Thus, the environmental impacts of spent fuel storage for the period following the term of a reactor operating license or amendment or reactor combined license or amendment or initial independent spent fuel storage installation license or amendment do not need to be considered in proceedings on applications for these licenses or amendments. See 10 CFR 51.23(b).

In 1999, the Commission reviewed its Waste Confidence findings and concluded that experience and developments after 1990 had confirmed the findings and made a comprehensive reevaluation of the findings unnecessary. It also stated that it would consider undertaking a reevaluation when the pending repository development and regulatory activities had run their course or if significant and pertinent unexpected events occurred that raise substantial doubt about the continuing validity of the Waste Confidence findings (See 64 FR 68005; December 6, 1999).

The Proposed Rule

In 2008, the Commission decided that the generic resolution of appropriate

issues that might be raised in licensing proceedings on anticipated combined operating license (COL) applications for new reactors would enhance the efficiency of the COL proceedings; waste confidence was one of these issues. Prior to NRC's original Waste Confidence proceeding, the Commission stated that, as a matter of policy, it "would not continue to license reactors if it did not have reasonable confidence that the wastes can and will in due course be disposed of safely" (42 FR 34391, 34393; July 5, 1977). It has been 20 years since the last formal review of the Waste Confidence findings, so the Commission is revisiting the findings to address their continuing validity, given the passage of time since the last update to the Waste Confidence Decision, and given the upcoming COL proceedings. The Commission is now updating and revising the 1990 Waste Confidence Decision and Rule.

On October 9, 2008 (73 FR 59551), the Commission published the proposed update and revision of two of the Waste Confidence findings, along with a request for public comment, in the **Federal Register**. In the same issue of the **Federal Register**, the Commission proposed a conforming amendment of its generic determination of no significant environmental impact from the temporary storage of spent fuel after cessation of reactor operations codified at 10 CFR 51.23(a) (73 FR 59547; October 9, 2008). The Commission proposed to modify its generic determination to state that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor at its spent fuel storage basin or at either onsite or offsite ISFSIs until a disposal facility can reasonably be expected to be available.

The Final Rule

After evaluating the public comments on the proposed rule and update to the Waste Confidence Decision, the Commission is now publishing its final rule amending 10 CFR 51.23(a), along with the final update and revision to the Waste Confidence Decision (published separately in this issue of the **Federal Register**). The Commission is revising two of its findings:

Finding 2: The Commission finds reasonable assurance that sufficient mined geologic repository capacity will be available to dispose of the commercial high-level radioactive waste and spent fuel generated in any reactor when necessary.

Finding 4: The Commission finds reasonable assurance that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin and either onsite or offsite independent spent fuel storage installations.

The Commission, in response to public comments, and to achieve greater consistency with Finding 4, is also modifying the rule to include a time frame for the safe storage of SNF:

The Commission has made a generic determination that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin and at either onsite or offsite independent spent fuel storage installations. Further, the Commission believes there is reasonable assurance that sufficient mined geologic repository capacity will be available to dispose of the commercial high-level radioactive waste and spent fuel generated in any reactor when necessary.

Public Comments

The NRC received 158 comment letters, including a late-supplemental comment from the Attorney General of New York, as well as two form letters sent by 1,990 and 941 commenters, respectively. Many of the comment letters contained multiple comments on the proposed rule, the proposed revisions to the Waste Confidence findings, or both. All comments received on both notices have been considered together and are addressed in the final update to the Waste Confidence Decision. The main issues raised by the comments are briefly discussed below.

Many commenters argued that NRC has not complied with the National Environmental Policy Act (NEPA) because they believe that the revisions to the findings and amended rule constitute "generic licensing decisions" and need to be supported by a Generic Environmental Impact Statement (GEIS) that addresses all aspects of the nuclear fuel cycle. But as the Commission discusses in its comment responses, neither the Waste Confidence Rule nor the Decision allow for the issuance of a license; applicants for an NRC license must comply with the relevant NRC

regulations before they can receive a license. And the Waste Confidence Decision and Rule satisfy a portion of the NRC's NEPA obligations—those associated with the environmental impacts after the end of license life. In this rulemaking, the Waste Confidence Decision is the Environmental Assessment—the NRC's NEPA analysis—that provides the basis for the generic determination of no significant environmental impacts reflected in the rule (10 CFR 51.23).

The Commission is amending its generic determination of no significant environmental impact from the temporary storage of spent fuel after cessation of reactor operation contained in 10 CFR 51.23(a) to conform it to the Commission's revised Finding 4 of the Waste Confidence Decision. Finding 4 is revised to provide reasonable assurance that spent fuel can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation of a reactor, rather than for at least 30 years as in the present Finding 4. The Commission is also revising the final rule to remove the time frame from the second sentence of 10 CFR 51.23(a); instead the Commission has incorporated the language adopted in Finding 2: That sufficient repository capacity will be available to dispose of spent nuclear fuel and high-level waste when necessary.

The revised generic determination is not a generic licensing decision. It does not authorize the operation of a nuclear power plant (NPP), the renewal of a NPP license, or the production or storage of spent fuel by a NPP. Licensing proceedings for any of these actions are supported by both specific and generic environmental impact statements (EISs) or environmental assessments (EAs) that consider the potential environmental impacts of storage of spent fuel during the term of the license. Because of the generic determination in § 51.23(a) the potential environmental impact of storage of spent fuel for a 60-year period (rather than a 30-year period) after the end of licensed operations or whether ultimate disposal will be available, is not considered in individual NPP licensing reviews. The EA supporting this 30-year extension of the generic determination and the finding of reasonable assurance of a safe, timely disposal facility is the Waste Confidence Decision Update, which supports the Commission's Finding of No Significant Impact (FONSI) and concurrent decision to not conduct an EIS.

A number of commenters asserted that NRC, in preparing an EA and FONSI, has not complied with the

procedural requirements for a FONSI, which include the preparation of an EA and the identification of all the documents that the FONSI is based on. As stated above, the update and revision of the Waste Confidence Decision is the EA supporting the amendment of the generic determination in 10 CFR 51.23(a). All of the documents relied upon in preparing the Update and Final Rule are referenced. Two of the referenced documents are not publicly available; these are reports concerning the safety and security of spent fuel pool storage issued by Sandia National Laboratories (SNL) and the National Academy of Sciences (NAS), which are either Classified, Safeguards Information (SGI), or Official Use Only—Security Related Information. Although these documents cannot be released to the public, redacted or publicly available summaries are available. A redacted version of the SNL study can be found in ADAMS (ADAMS Accession Number ML062290362) and the unclassified summary of the NAS report can be purchased or downloaded for free by accessing the NAS Web site at: http://www.nap.edu/catalog.php?record_id=11263. No other non-public documents are referenced in the Waste Confidence Update.

A number of commenters argued that NRC's revisions of its Waste Confidence findings and temporary storage rule do not comply with the holding of the U.S. Court of Appeals for the Ninth Circuit in *San Luis Obispo Mothers for Peace v. NRC*, 449 F. 3d 1016 (2006), *cert. denied*, 127 S. Ct. 1124 (2007), that NEPA requires an examination of the environmental impacts that would result from an act of terrorism against an ISFSI. These commenters believe that an attack is reasonably foreseeable and therefore subject to a NEPA review. Despite the outcome of *Mothers for Peace*, the Commission has adhered to its traditional position (outside of the Ninth Circuit) that the environmental effects of a terrorist attack do not need to be considered in its NEPA analyses. See *Amergen Energy Co., LLC* (Oyster Creek Nuclear Generating Station), CLI-07-08, 65 NRC 124 (2007). And in 2009, the U.S. Court of Appeals for the Third Circuit upheld the Commission's position that terrorist attacks are too far removed from the natural or expected consequences of agency action to require an environmental impact analysis. *New Jersey Dept. of Environmental Protection v. U.S. Nuclear Regulatory Com'n*, 561 F.3d 132 (2009). Even so, the EA for this update and rulemaking includes a discussion of terrorism that NRC believes satisfies the

Ninth Circuit's holding in *Mothers for Peace*.

Some commenters believe that this revision of the Waste Confidence findings violates the Atomic Energy Act of 1954 (AEA) because the AEA precludes NRC from licensing any new NPP or renewing the license of any existing NPP if it would be "inimical * * * to the health and safety of the public." 42 U.S.C. 2133(d). As explained above, NRC's revised Waste Confidence findings and revised generic determination are not licensing decisions, but merely generically resolve certain discrete issues in licensing proceedings. They are not determinations made as part of the licensing proceedings for NPPs or ISFSIs or the renewal of those licenses. They do not authorize the storage of SNF in spent fuel pools or ISFSIs. The revised findings and generic determination include conclusions of the Commission's environmental analyses, under NEPA, of the foreseeable environmental impacts stemming from the storage of spent fuel after the end of reactor operation.

Other comments questioned NRC's basis for reaffirming Finding 1 and Finding 3 and for the revisions made in Findings 2 and 4. Those comments are fully addressed in the final update as well as other, more minor, comments. The Commission, below, restates its reasons for revising Findings 2 and 4.

Specific Question for Public Comment

The Waste Confidence Decision Update considers the many comments received on the specific question for public comment in the Commission's proposals—whether Finding 2 should contain a target date, as proposed, or take a more general approach that a repository will be available when needed (the alternative approach). The State of Nevada, Clark and Eureka Counties in Nevada, and the Nuclear Energy Institute favor the alternative approach. They generally believe that a time frame involves too much speculation about future events and that licensed storage of SNF will be safe no matter what the time needed. Several states; State organizations; Nye County, Nevada; environmental groups; and other commenters want the Commission to retain a time frame. In general, they believe that, in the absence of a time frame, the Commission's confidence in the eventual disposal of spent fuel would rest on pure speculation; that it would ignore intergenerational ethical concerns of this generation reaping the benefits of nuclear energy while passing off the problem of waste disposal to future generations; and that a time frame

is necessary to provide an incentive for the Federal Government to meet its responsibilities for the disposal of spent fuel and HLW.

The Commission has confidence that spent fuel can be safely stored without significant environmental impact for long periods of time for all the reasons described in its discussion of Findings 3, 4, and 5 in the update to the Waste Confidence Decision. Further, as discussed in Finding 2, the Commission has confidence that sufficient mined geologic disposal capacity will be available when necessary. However, there are issues beyond the Commission's control, including the political and societal challenges of siting a HLW repository, that make it premature to predict a date when a repository will become available. The Commission has therefore decided not to adopt a specific time frame in Finding 2 or its final rule. Instead, the Commission is expressing its reasonable assurance that a repository will be available "when necessary."

The Commission believes that this standard accurately reflects its position, as discussed in the analysis supporting Finding 2, that a repository can be constructed within 25–35 years of a Federal decision to do so. Further, the Commission continues to have confidence, as expressed in Findings 3 and 5, that safe and sufficient onsite or offsite storage capacity is available and will be available until a repository becomes available for disposal. In addition, revised Finding 4 supports at least 60 years of safe and environmentally sound onsite or offsite storage beyond the end of the licensed life for operation of any nuclear power reactor. It necessarily follows from these findings that the Commission has reasonable assurance that sufficient repository capacity will be available before there are safety or environmental issues associated with the SNF and HLW that would require the material to be removed from storage and placed in a disposal facility.

In short, the Commission can express its reasonable assurance that disposal capacity will become available when necessary and that there will be sufficient safe and environmentally sound storage available for all of the SNF until this disposal capacity becomes available.

Safe Storage of Spent Fuel

This update reflects the Commission's increased confidence in the safety and security of SNF storage, both in spent fuel pools and in ISFSIs. In 1990, the Commission determined that experience with spent fuel pools continued to

confirm that pool storage is a benign environment that does not lead to significant degradation of spent fuel integrity; that the pools in which the assemblies are stored will remain safe for extended periods; and that degradation mechanisms are well understood and allow time for appropriate remedial action. Similarly, by 1990, the Commission had gained experience with dry storage systems that confirmed the Commission's 1984 conclusions that material degradation processes in dry storage are well understood and that dry storage systems are simple, passive, and easily maintained. In fact, one of the bases for the Commission's confidence in the safety of dry storage was its August 19, 1988 (53 FR 31651) amendment to 10 CFR part 72 that addressed spent fuel storage in a monitored retrievable storage installation (MRS) for a license term of 40 years, with the possibility of renewal. In the EA for the MRS rule, the Commission found confidence in the safety and environmental insignificance of dry storage for 70 years following a period of 70 years of storage in a storage pool, for a total of 140 years of storage. See *NUREG-1092: Environmental Assessment for 10 CFR Part 72, "Licensing Requirements for the Independent Storage of Spent Fuel and High-Level Radioactive Waste,"* August 1984. Nothing has occurred in the intervening years to call into question the Commission's confidence in the long-term safety of both wet and dry storage of SNF. Subsequently, the NRC has approved a 20-year license renewal for a wet ISFSI and 40-year license renewals for three dry ISFSIs.

Since 1990, the Commission's primary focus has been on potential accidents. And since September 11, 2001, this focus has expanded to include security events that might lead to a radioactive release from stored SNF. Multiple studies of the safety and security of spent fuel storage, including the potential for the draining of a spent fuel pool leading to a zirconium fire and for an airplane crashing into an ISFSI, have been undertaken by NRC and by other entities, such as the NAS. These studies and the Commission's regulatory actions have reinforced NRC's view that spent fuel storage systems are safe, secure, and without significant environmental impacts. See, e.g., Letter to Senator Pete V. Domenici from Nils J. Diaz, March 14, 2005, enclosing *NRC Report to Congress on the [NAS] Study on the Safety and Security of Commercial [SNF] Storage*, March 2005; (73 FR 46204; August 8, 2008); *In the*

Matter of Private Fuel Storage, L.L.C., CLI-05-19; 62 NRC 403 (2005).

In sum, the characteristics of spent fuel storage facilities, the studies of the safety and security of spent fuel storage (conducted both before and after the 1990 update to the Decision and Rule), NRC's extensive experience in regulating spent fuel storage and ISFSIs and in certifying dry cask storage systems, NRC's actions in approving 40-year license renewals for three ISFSIs (meaning that the safety of dry storage after licensed operation at these ISFSIs has been approved for at least a 60-year period), and an additional 20 years of experience with safely storing spent fuel support the Commission's confidence in the long-term safety and security of spent fuel storage.

The Availability of a Repository

On June 3, 2008, the Department of Energy (DOE) submitted the Yucca Mountain (YM) application to NRC and on September 8, 2008, NRC staff notified DOE that it found the application acceptable for docketing (73 FR 53284; September 15, 2008). Although the licensing proceeding for the YM repository is still pending, the current Administration and DOE leadership have made it clear that they oppose the construction of the YM repository. The President's 2010 budget proposal stated that the "Administration proposes to eliminate the Yucca Mountain repository program." *Terminations, Reductions, and Savings: Budget of the U.S. Government, Fiscal Year 2010, Page 68 available at <http://www.gpoaccess.gov/usbudget/fy10/pdf/trs.pdf>* (last visited on November 9, 2010).

On March 3, 2010, DOE filed a Notice of Withdrawal with the Atomic Safety and Licensing Board (Board) that is presiding over the YM licensing proceeding (ADAMS Accession Number ML100621397). On June 29, 2010, the Board denied DOE's motion; and on June 30, 2010, the Secretary of the Commission invited the parties to file briefs regarding whether the Commission should review, reverse, or uphold the Board's decision (ADAMS Accession Numbers ML101800299 and ML101810432). The Commission has not yet issued its decision.

Recent events, coupled with its ongoing analysis of the target date approach used in Finding 2, have caused the Commission to reconsider its position regarding the use of a target date in Finding 2. As discussed above, the Commission continues to have confidence that a repository can be constructed in 25-35 years, but it is uncertain whether the social and

political consensus necessary for a successful repository program will be reached in the near future. Therefore, the Commission has adopted the approach proposed in the Additional Question for Public Comment, and has removed the target date from Finding 2 (73 FR 59561; October 9, 2008).

This modification to Finding 2 does not mean that the Commission is endorsing indefinite storage of HLW and SNF; Finding 4 has not been changed, and only considers "at least 60 years" of storage beyond the licensed life for operation. If the expiration of this time nears without the availability of a repository, the Commission will revisit the Waste Confidence Decision and Rule. The Commission's current Waste Confidence Decision and Rule reflect the NRC's best information and judgment. But the longer-term rulemaking and study of storage for more than 120 years that the Commission directed the staff to start in its Staff Requirements Memorandum (SRM) (SRM-SECY-09-0090, M100915; September 15, 2010) will result in the Commission having more information in a timely fashion should additional adjustments to the Waste Confidence Decision and Rule prove necessary.

The Commission remains confident that disposal of SNF and HLW in a geologic repository is technically feasible and that DOE should be able to locate a suitable site for repository development in no more time than was needed for the YM repository program (about 20 years). Both domestic and international developments have made it clear that confidence in the technical feasibility of a repository alone is not sufficient to bring about the broader societal and political acceptance of a repository. Achieving this broader support for construction of a repository at a particular site requires a broad public outreach program. In some countries community acceptance has taken 25-35 years.

For example, if a new repository program starts in 2025, it could be reasonable to expect that a repository would become available by 2050-2060. But the Commission cannot express reasonable assurance in 2025 as the start date for a new program because it is not possible to predict when a political and social consensus will be reached. The Commission believes that there is no specific date by which a repository must be available for safety or environmental reasons; the Commission did not define a period when a repository will be needed for safety or environmental reasons in 1990 and it is not doing so now—it is only explaining its view of when a repository could reasonably be

expected to be available after a Federal decision to construct a repository.

Availability of Repository Capacity for Disposal of Spent Fuel From All Reactors

The Commission's generic determination of no significant environmental impact from the temporary storage of spent fuel after cessation of reactor operation has included a prediction that sufficient repository capacity for a reactor's fuel will be available within 30 years beyond the licensed life for operation of that reactor. This prediction was not based on safety or environmental considerations; it was based on finding that 30 years beyond the licensed life for operation of even the earliest reactors would not occur until after 2025. Thus, the Commission's confidence that a repository would be available by 2025 still meant that no reactor would need to store its SNF for more than 30 years beyond its licensed life for operation. If it is assumed that a repository will not be available until well after 2025, then this prediction can no longer be maintained (the analysis supporting Finding 2 indicates that if the political and societal roadblocks were resolved today, a repository would not be available until at least 2035–2045). According to NRC's "High-Value Datasets," there are 14 reactor operating licenses that will expire between 2012 and 2020 and an additional 36 licenses that will expire between 2021 and 2030. NRC High-Value Datasets, <http://www.nrc.gov/public-involve/open.html#datasets> (last visited November 9, 2010).

For licenses that are not renewed, some spent fuel will need to be stored for more than 30 years beyond the licensed life for operation. There are 23 reactors that were formerly licensed to operate by the NRC or the Atomic Energy Commission (the NRC's predecessor agency) and have been permanently shut down. *Id.* For most of these plants, 30 years beyond the licensed life for operation will fall in the 2030s and 2040s. Thus, for virtually all of these plants, spent fuel will have to be stored beyond 30 years from the expiration of the license if a repository is not available until well after 2025. Further, the Commission has concerns about the use of the target date approach used in proposed Finding 2 and the proposed rule and has decided not to adopt this approach. A target date requires the Commission to have reasonable assurance of when a repository will become available; but, because the Commission cannot predict when this societal and political

acceptance will occur, it is unable to express reasonable assurance in a specific target date for the availability of a repository. The Commission does, however, believe that a repository can be constructed within 25–35 years of a Federal decision to construct a repository.

Given the ongoing activities of the Blue-Ribbon Commission on America's Nuclear Future, events in other countries, the viability of safe long-term storage for at least 60 years (and perhaps longer) after reactor licenses expire, and the Federal Government's statutory obligation to develop a HLW repository, the Commission has confidence that a repository will be made available well before any safety or environmental concerns arise from the extended storage of spent nuclear fuel and high-level waste. In other words, a repository will be available when necessary. For these reasons, the Commission is amending its generic determination that sufficient repository capacity will be available "within 30 years of the expiration of the licensed life for operation of all reactors" to reflect its reasonable assurance that sufficient repository capacity will be available when necessary.

As stated above, this is not a safety finding, and the amendment is made solely to be consistent with an assumption that a repository will not be available until 25–35 years after the resolution of the political and societal issues associated with a repository. As explained in the update to the Waste Confidence Decision, the Commission's confidence that a repository will be available when necessary rests on a number of factors, including (for example) the options being considered by the Blue-Ribbon Commission, the time it likely will take to site, license, and build a repository, the Federal Government's commitment, by law (the Nuclear Waste Policy Act) to dispose of spent fuel, and developments in other countries.

Summary of Amendments by Section

The Commission is adopting the proposed revision, with some changes. The rule is being revised to more closely track the language in final Findings 2 and 4; the basis for the rule is identical to the basis for the findings, no matter how the rule itself is phrased. But to avoid confusion and respond to the issues raised in the comments, the Commission has reconsidered the phrasing of the proposed rule, and the generic determination in the final rule now is made identical to Finding 4.

Section 51.23(a) is also revised to reinsert a version of the second sentence

in the present rule that was excluded from the proposed rule. This statement was added to make clear that Finding 4 does not contemplate indefinite storage and to underscore that the 60-year storage period is related to the Commission's expectation that sufficient repository capacity will be available when necessary. Accordingly, the added sentence provides that there is "reasonable assurance that sufficient mined geologic repository capacity will be available to dispose of the commercial high-level radioactive waste and spent fuel generated in any reactor when necessary."

Section 51.23(a) is also revised to provide the Commission's generic determination that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin or at either onsite or offsite ISFSIs. The time period of "at least 30 years" beyond the licensed life for operation is deleted. This amendment also deletes the predictions that at least one mined geologic repository will be available within the first quarter of the twenty-first century and that sufficient repository capacity will be available within 30 years beyond the licensed life for operation of any reactor to dispose of the commercial HLW and SNF originating in such reactor and generated up to that time. The amendment adds the expectation that sufficient mined geologic repository capacity will be available to dispose of the commercial HLW and spent fuel originating in any reactor when necessary.

Voluntary Consensus Standards

The National Technology Transfer and Advancement Act of 1995 (Pub. L. 104–113) requires that Federal agencies use technical standards that are developed or adopted by voluntary consensus standards bodies unless the use of such a standard is inconsistent with applicable law or otherwise impractical. In this final rule, NRC is modifying its generic determination on the consideration of environmental impacts of temporary storage of spent fuel after cessation of reactor operations to provide that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that

reactor in a combination of storage in its spent fuel storage basin and at either onsite or offsite ISFSIs. This action does not constitute the establishment of a standard that establishes generally applicable requirements.

Finding of No Significant Environmental Impact: Availability

This final rule amends the generic determination in 10 CFR 51.23 to state that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin and at either onsite or offsite ISFSIs. The environmental assessment on which the revised generic determination is based is the revision and update to the Waste Confidence findings published elsewhere in this **Federal Register**. Based on this analysis, the Commission finds that this final rulemaking has no significant environmental impacts. The final revisions and update to the Waste Confidence findings are available as specified in the **ADDRESSES** section of this document.

Paperwork Reduction Act Statement

This final rule does not contain a new or amended information collection requirement subject to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*). Existing requirements were approved by the Office of Management and Budget (OMB) approval number 3150-0021.

Public Protection Notification

The NRC may not conduct or sponsor, and a person is not required to respond to a request for information or an information collection requirement unless the requesting document displays a currently valid OMB control number.

Regulatory Analysis

A regulatory analysis has not been prepared for this regulation because this regulation does not establish any requirements that would place a burden on licensees.

Regulatory Flexibility Certification

Under the Regulatory Flexibility Act of 1980, 5 U.S.C. 605(b), the Commission certifies that this rule does not have a significant economic impact on a substantial number of small entities. This final rule describes a revised basis for continuing in effect the current provisions of 10 CFR 51.23(b),

which provides that no discussion of any environmental impact of spent fuel storage in reactor facility storage pools or ISFSIs for the period following the term of the reactor operating license or amendment or initial ISFSI license or amendment for which application is made is required in any environmental report, environmental impact statement, environmental assessment, or other analysis prepared in connection with certain actions. This rule affects only the licensing and operation of nuclear power plants or ISFSIs. Entities seeking or holding Commission licenses for these facilities do not fall within the scope of the definition of “small entities” set forth in the Regulatory Flexibility Act or the size standards established by the NRC at 10 CFR 2.810.

Backfit Analysis

The NRC has determined that the backfit rule (§§ 50.109, 70.76, 72.62, or 76.76) does not apply to this final rule because this amendment does not involve any provisions that would impose backfits as defined in the backfit rule. Therefore, a backfit analysis is not required.

Congressional Review Act

In accordance with the Congressional Review Act of 1996, the NRC has determined that this action is not a major rule and has verified this determination with the Office of Information and Regulatory Affairs of OMB.

List of Subjects in 10 CFR Part 51

Administrative practice and procedure, Environmental impact statement, Nuclear materials, Nuclear power plants and reactors, Reporting and recordkeeping requirements.

■ For the reasons set out in the preamble and under the authority of the Atomic Energy Act of 1954, as amended; the Energy Reorganization Act of 1974, as amended; and 5 U.S.C. 552 and 553, the NRC is adopting the following amendment to 10 CFR part 51.

PART 51—ENVIRONMENTAL PROTECTION REGULATIONS FOR DOMESTIC LICENSING AND RELATED REGULATORY FUNCTIONS

■ 1. The authority citation for part 51 continues to read as follows:

Authority: Sec. 161, 68 Stat. 948, as amended, sec. 1701, 106 Stat. 2951, 2952, 2953 (42 U.S.C. 2201, 2297(f)); secs. 201, as amended, 202, 88 Stat. 1242, as amended, 1244 (42 U.S.C. 5841, 5842); sec. 1704, 112 Stat. 2750 (44 U.S.C. 3504 note). Subpart A also issued under National Environmental Policy Act of 1969, secs. 102, 104, 105, 83 Stat. 853-854, as amended (42 U.S.C. 4332,

4334, 4335), and Pub. L. 95-604, Title II, 92 Stat. 3033-3041; and sec. 193, Pub. L. 101-575, 104 Stat. 2835 (42 U.S.C. 2243). Sections 51.20, 51.30, 51.60, 41.80, and 51.97 also issued under secs. 135, 141, Pub. L. 97-425, 96 Stat. 2232, 2241, and sec. 148, Pub. L. 100-203, 101 Stat. 1330-223 (42 U.S.C. 10155, 10161, 10168). Section 51.22 also issued under sec. 274, 73 Stat. 688, as amended by 92 Stat. 3036-3038 (42 U.S.C. 2021) and under Nuclear Waste Policy Act of 1982, sec. 121, 96 Stat. 2228 (42 U.S.C. 10141). Sections 51.43, 51.67, and 51.109 also under Nuclear Waste Policy Act of 1982, sec. 114(f), 96 Stat. 2216, as amended (42 U.S.C. 10134 (f)).

■ 2. In § 51.23, paragraph (a) is revised to read as follows:

§ 51.23 Temporary storage of spent fuel after cessation of reactor operation—generic determination of no significant environmental impact.

(a) The Commission has made a generic determination that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin and at either onsite or offsite independent spent fuel storage installations. Further, the Commission believes there is reasonable assurance that sufficient mined geologic repository capacity will be available to dispose of the commercial high-level radioactive waste and spent fuel generated in any reactor when necessary.

* * * * *

Dated at Rockville, Maryland, this 9th day of December, 2010.

For the Nuclear Regulatory Commission.

Annette L. Vietti-Cook,
Secretary of the Commission.

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NUCLEAR REGULATORY COMMISSION

10 CFR Part 51

[NRC-2008-0482]

Waste Confidence Decision Update

AGENCY: Nuclear Regulatory Commission.

ACTION: Update and final revision of Waste Confidence Decision.

SUMMARY: The U.S. Nuclear Regulatory Commission (NRC or Commission) is updating its Waste Confidence Decision of 1984 and, in a parallel rulemaking

Waste Confidence Decision Update

75 Fed. Reg. 81,037-81,076 (Dec. 23, 2010)

reactor in a combination of storage in its spent fuel storage basin and at either onsite or offsite ISFSIs. This action does not constitute the establishment of a standard that establishes generally applicable requirements.

Finding of No Significant Environmental Impact: Availability

This final rule amends the generic determination in 10 CFR 51.23 to state that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin and at either onsite or offsite ISFSIs. The environmental assessment on which the revised generic determination is based is the revision and update to the Waste Confidence findings published elsewhere in this **Federal Register**. Based on this analysis, the Commission finds that this final rulemaking has no significant environmental impacts. The final revisions and update to the Waste Confidence findings are available as specified in the **ADDRESSES** section of this document.

Paperwork Reduction Act Statement

This final rule does not contain a new or amended information collection requirement subject to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*). Existing requirements were approved by the Office of Management and Budget (OMB) approval number 3150-0021.

Public Protection Notification

The NRC may not conduct or sponsor, and a person is not required to respond to a request for information or an information collection requirement unless the requesting document displays a currently valid OMB control number.

Regulatory Analysis

A regulatory analysis has not been prepared for this regulation because this regulation does not establish any requirements that would place a burden on licensees.

Regulatory Flexibility Certification

Under the Regulatory Flexibility Act of 1980, 5 U.S.C. 605(b), the Commission certifies that this rule does not have a significant economic impact on a substantial number of small entities. This final rule describes a revised basis for continuing in effect the current provisions of 10 CFR 51.23(b),

which provides that no discussion of any environmental impact of spent fuel storage in reactor facility storage pools or ISFSIs for the period following the term of the reactor operating license or amendment or initial ISFSI license or amendment for which application is made is required in any environmental report, environmental impact statement, environmental assessment, or other analysis prepared in connection with certain actions. This rule affects only the licensing and operation of nuclear power plants or ISFSIs. Entities seeking or holding Commission licenses for these facilities do not fall within the scope of the definition of “small entities” set forth in the Regulatory Flexibility Act or the size standards established by the NRC at 10 CFR 2.810.

Backfit Analysis

The NRC has determined that the backfit rule (§§ 50.109, 70.76, 72.62, or 76.76) does not apply to this final rule because this amendment does not involve any provisions that would impose backfits as defined in the backfit rule. Therefore, a backfit analysis is not required.

Congressional Review Act

In accordance with the Congressional Review Act of 1996, the NRC has determined that this action is not a major rule and has verified this determination with the Office of Information and Regulatory Affairs of OMB.

List of Subjects in 10 CFR Part 51

Administrative practice and procedure, Environmental impact statement, Nuclear materials, Nuclear power plants and reactors, Reporting and recordkeeping requirements.

■ For the reasons set out in the preamble and under the authority of the Atomic Energy Act of 1954, as amended; the Energy Reorganization Act of 1974, as amended; and 5 U.S.C. 552 and 553, the NRC is adopting the following amendment to 10 CFR part 51.

PART 51—ENVIRONMENTAL PROTECTION REGULATIONS FOR DOMESTIC LICENSING AND RELATED REGULATORY FUNCTIONS

■ 1. The authority citation for part 51 continues to read as follows:

Authority: Sec. 161, 68 Stat. 948, as amended, sec. 1701, 106 Stat. 2951, 2952, 2953 (42 U.S.C. 2201, 2297(f)); secs. 201, as amended, 202, 88 Stat. 1242, as amended, 1244 (42 U.S.C. 5841, 5842); sec. 1704, 112 Stat. 2750 (44 U.S.C. 3504 note). Subpart A also issued under National Environmental Policy Act of 1969, secs. 102, 104, 105, 83 Stat. 853-854, as amended (42 U.S.C. 4332,

4334, 4335), and Pub. L. 95-604, Title II, 92 Stat. 3033-3041; and sec. 193, Pub. L. 101-575, 104 Stat. 2835 (42 U.S.C. 2243). Sections 51.20, 51.30, 51.60, 41.80, and 51.97 also issued under secs. 135, 141, Pub. L. 97-425, 96 Stat. 2232, 2241, and sec. 148, Pub. L. 100-203, 101 Stat. 1330-223 (42 U.S.C. 10155, 10161, 10168). Section 51.22 also issued under sec. 274, 73 Stat. 688, as amended by 92 Stat. 3036-3038 (42 U.S.C. 2021) and under Nuclear Waste Policy Act of 1982, sec. 121, 96 Stat. 2228 (42 U.S.C. 10141). Sections 51.43, 51.67, and 51.109 also under Nuclear Waste Policy Act of 1982, sec. 114(f), 96 Stat. 2216, as amended (42 U.S.C. 10134 (f)).

■ 2. In § 51.23, paragraph (a) is revised to read as follows:

§ 51.23 Temporary storage of spent fuel after cessation of reactor operation—generic determination of no significant environmental impact.

(a) The Commission has made a generic determination that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin and at either onsite or offsite independent spent fuel storage installations. Further, the Commission believes there is reasonable assurance that sufficient mined geologic repository capacity will be available to dispose of the commercial high-level radioactive waste and spent fuel generated in any reactor when necessary.

* * * * *

Dated at Rockville, Maryland, this 9th day of December, 2010.

For the Nuclear Regulatory Commission.

Annette L. Vietti-Cook,
Secretary of the Commission.

[FR Doc. 2010-31624 Filed 12-22-10; 8:45 am]

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NUCLEAR REGULATORY COMMISSION

10 CFR Part 51

[NRC-2008-0482]

Waste Confidence Decision Update

AGENCY: Nuclear Regulatory Commission.

ACTION: Update and final revision of Waste Confidence Decision.

SUMMARY: The U.S. Nuclear Regulatory Commission (NRC or Commission) is updating its Waste Confidence Decision of 1984 and, in a parallel rulemaking

proceeding, revising its generic determinations in the NRC's regulations.

ADDRESSES: You can access publicly available documents related to this document using the following methods:

NRC's Public Document Room (PDR):
The public may examine and have copied for a fee publicly available documents at the NRC's PDR, Room O1 F21, One White Flint North, 11555 Rockville Pike, Rockville, Maryland.

NRC's Agencywide Documents Access and Management System (ADAMS):
Publicly available documents created or received at the NRC are available electronically at the NRC's electronic Reading Room at <http://www.nrc.gov/reading-rm/adams.html>. From this page, the public can gain entry into ADAMS, which provides text and image files of NRC's public documents. If you do not have access to ADAMS or if there are problems in accessing the documents located in ADAMS, contact the NRC's PDR reference staff at 1-800-397-4209, 301-415-4737, or by e-mail to pdr.resource@nrc.gov.

Federal Rulemaking Web site: Public comments and supporting materials related to this final rule can be found at <http://www.regulations.gov> by searching on Docket ID: NRC-2008-0482.

FOR FURTHER INFORMATION CONTACT:
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SUPPLEMENTARY INFORMATION:

Background

On September 18, 1990 (55 FR 38474), the NRC issued a decision reaffirming and revising, in part, the five Waste Confidence Findings reached in its 1984 Waste Confidence Decision. The 1984 Decision and the 1990 update to the Decision were products of rulemaking proceedings designed to assess the degree of assurance that radioactive wastes generated by nuclear power plants can be safely disposed of, to determine when disposal or offsite storage would be available, and to determine whether radioactive wastes can be safely stored onsite past the expiration of existing facility licenses until offsite disposal or storage is available. In 2008, the Commission decided to undertake a review of its Waste Confidence Decision and Rule as part of an effort to enhance the efficiency of combined license

proceedings for applications for nuclear power plant (NPP) licensees anticipated in the near future by ensuring that the findings are up to date.

The Commission has considered developments since 1990 and has reviewed its five prior findings and supporting environmental analysis. As a result of this review, the Commission is revising the second and fourth findings in the Waste Confidence Decision as follows:

Finding 2: The Commission finds reasonable assurance that sufficient mined geologic repository capacity will be available to dispose of the commercial high-level radioactive waste and spent fuel generated in any reactor when necessary.

Finding 4: The Commission finds reasonable assurance that, if necessary, spent fuel generated in any reactor can be stored safely without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin and either onsite or offsite independent spent fuel storage installations.

The Commission reaffirms the three remaining findings. Each finding and the reasons for revising or reaffirming the finding are discussed below. In keeping with revised Findings 2 and 4, the Commission is concurrently publishing in this issue of the **Federal Register** conforming amendments to 10 CFR 51.23(a), which provides a generic determination of the environmental impacts of storage of spent fuel at, or away from, reactor sites after the expiration of reactor operating licenses, and expresses reasonable assurance that sufficient geologic disposal capacity will be available when necessary.

In October 1979, the NRC initiated a rulemaking proceeding, known as the Waste Confidence proceeding, to assess its degree of assurance that radioactive wastes produced by NPPs "can be safely disposed of, to determine when such disposal or offsite storage will be available, and to determine whether radioactive wastes can be safely stored onsite past the expiration of existing facility licenses until offsite disposal or storage is available" (44 FR 61372, 61373; October 25, 1979). The Commission's action responded to a remand from the U.S. Court of Appeals for the District of Columbia Circuit in *State of Minnesota v. NRC*, 602 F.2d 412 (DC Cir.1979). That case questioned whether an offsite storage or disposal solution would be available for the spent nuclear fuel (SNF) produced at the Vermont Yankee and Prairie Island NPPs at the expiration of the licenses for those facilities in 2007-2009 or, if not, whether the SNF could be stored at

those reactor sites until an offsite solution was available.

The Waste Confidence proceeding also stemmed from the Commission's statement, in denying a petition for rulemaking filed by the Natural Resources Defense Council (NRDC), that it intended to periodically reassess its finding of reasonable assurance that methods of safe permanent disposal of high-level radioactive waste (HLW) would be available when they were needed. Further, the Commission stated that, as a matter of policy, it "would not continue to license reactors if it did not have reasonable confidence that the wastes can and will in due course be disposed of safely" (42 FR 34391, 34393; July 5, 1977), *pet. for rev. dismissed sub nom., NRDC v. NRC*, 582 F.2d 166 (2d Cir. 1978).¹

The Waste Confidence proceeding resulted in the following five Waste Confidence Findings, which the Commission issued on August 31, 1984:

(1) The Commission finds reasonable assurance that safe disposal of HLW and SNF in a mined geologic repository is technically feasible;

(2) The Commission finds reasonable assurance that one or more mined geologic repositories for commercial HLW and SNF will be available by the years 2007-2009 and that sufficient repository capacity will be available within 30 years beyond the expiration of any reactor operating license to dispose of existing commercial HLW and SNF originating in such reactor and generated up to that time;

(3) The Commission finds reasonable assurance that HLW and SNF will be managed in a safe manner until sufficient repository capacity is available to assure the safe disposal of all HLW and SNF;

(4) The Commission finds reasonable assurance that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 30 years beyond the expiration of that reactor's operating license at that reactor's spent fuel storage basin, or at either onsite or offsite independent spent fuel storage installations (ISFSIs);

(5) The Commission finds reasonable assurance that safe independent onsite or offsite spent fuel storage will be made available if such storage capacity is needed (49 FR 34658).

Based on these findings, the Commission promulgated 10 CFR 51.23(a) to provide a generic determination that for at least 30 years

¹ The NRDC petition asserted that the Atomic Energy Act of 1954 (AEA), Public Law 83-703, 68 Stat. 919 (1954), required NRC to make a finding, before issuing an operating license for a reactor, that permanent disposal of HLW generated by that reactor can be accomplished safely. The Commission found that the AEA did not require this safety finding to be made in the context of reactor licensing, but rather in the context of the licensing of a geologic disposal facility.

beyond the expiration of reactor operating licenses, no significant environmental impacts will result from the storage of spent fuel in reactor facility storage pools or ISFSIs located at reactor or away-from-reactor sites and that the Commission had reasonable assurance that a permanent disposal facility would be available by 2007–2009.

The Commission conducted a review of its findings in 1989–1990, which resulted in the revision of Findings 2 and 4 to reflect revised expectations for the date of availability of the first repository, and to clarify that the expiration of a reactor's operating license referred to the full 40-year initial license for operation, as well as any additional term of a revised or renewed license:

(2) The Commission finds reasonable assurance that at least one mined geologic repository will be available within the first quarter of the twenty-first century, and sufficient repository capacity will be available within 30 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of any reactor to dispose of the commercial HLW and SNF originating in such reactor and generated up to that time;

(4) The Commission finds reasonable assurance that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 30 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor at its spent fuel storage basin, or at either onsite or offsite ISFSIs.

(55 FR 38474; September 18, 1990)

The Commission similarly amended the generic determination in 10 CFR 51.23(a):

The Commission has made a generic determination that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 30 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor at its spent fuel storage basin or at either onsite or offsite [ISFSIs]. Further, the Commission believes there is reasonable assurance that at least one mined geologic repository will be available within the first quarter of the twenty-first century, and sufficient repository capacity will be available within 30 years beyond the licensed life for operation of any reactor to dispose of the commercial [HLW and SNF] originating in such reactor and generated up to that time. (55 FR 38472; September 18, 1990)

This generic determination is applied in licensing proceedings conducted under 10 CFR parts 50, 52, 54, and 72. See 10 CFR 51.23(b) (2010).

In 1999, the Commission reviewed its Waste Confidence Findings and

concluded that experience and developments since 1990 had confirmed the findings and made a comprehensive reevaluation of the findings unnecessary. It also stated that it would consider undertaking a reevaluation when the pending repository development and regulatory activities had run their course or if significant and pertinent unexpected events occurred that raise substantial doubt about the continuing validity of the Waste Confidence Findings (64 FR 68005; December 6, 1999). The Commission has not found that the criteria put forth in 1999 for reevaluating its findings have been met. But because the Commission is now preparing to conduct a significant number of proceedings on combined license (COL) applications for new reactors, and the issue of waste confidence has been raised in some of those proceedings and may be raised in others, it is prudent to take a fresh look at the NRC's Waste Confidence Findings now, before completing the agency's review of new reactor license applications.

On February 14, 2002, the Secretary of Energy recommended the Yucca Mountain (YM) site for the development of a repository to the President thereby setting in motion the approval process set forth in sections 114 and 115 of the Nuclear Waste Policy Act, as amended (NWPA). See 42 U.S.C. 10134(a)(1); 10134(a)(2); 10135(b), 10136(b)(2) (2006). On February 15, 2002, the President recommended the site to Congress. On April 8, 2002, the State of Nevada submitted a notice of disapproval of the site recommendation. Congress responded on July 9, 2002, by passing a joint resolution approving the development of a repository at YM, which the President signed on July 23, 2002. See Public Law 107–200, 116 Stat. 735 (2002) (codified at 42 U.S.C. 10135 note (Supp. IV 2004)).

On June 3, 2008, the Department of Energy (DOE) submitted the “Yucca Mountain Repository License Application,” seeking NRC's authorization to begin construction of a permanent HLW repository at YM. U.S. Department of Energy, License Application for a High-Level Waste Geologic Repository at Yucca Mountain (2008), available at <http://www.nrc.gov/waste/hlw-disposal/yucca-lic-app.html>. On September 8, 2008, the NRC staff found that the application contained sufficient information for the staff to begin its detailed technical review, and docketed the application (73 FR 53284; September 15, 2008). On October 17, 2008, the Commission issued a “Notice of Hearing and Opportunity to Petition for Leave to Intervene” (73 FR 63029;

October 22, 2008). Requests for hearing were received from 12 parties and 2 interested governmental entities; these requests included 318 contentions to the application.² The Construction Authorization Boards granted 10 of these petitions to intervene and admitted all but 17 of the 318 contentions (ADAMS Accession Number ML091310479).

On January 29, 2010, President Obama directed the Secretary of Energy to create a “Blue Ribbon Commission on America's Nuclear Future” to evaluate options for the back-end of the nuclear fuel cycle. See Presidential Memorandum—Blue Ribbon Commission on America's Nuclear Future (January 29, 2009), available at <http://www.whitehouse.gov/the-press-office/presidential-memorandum-blue-ribbon-commission-americas-nuclear-future>.

In the YM proceeding, DOE filed a “Motion to Stay the Proceeding,” on February 1, 2010, which stated that the President, in the proposed budget for fiscal year 2011, “directed that the Department of Energy ‘discontinue its application to the U.S. Nuclear Regulatory Commission for a license to construct a high-level waste geologic repository at Yucca Mountain in 2010 * * *’” (ADAMS Accession Number ML100321641 at 1). The Motion also stated that the proposed budget indicated that all DOE funding for YM would be eliminated in 2011. *Id.* Therefore, DOE stated its intent to withdraw the license application by March 3, 2010, and requested a stay of the proceeding to avoid unnecessary expenditure of resources by the Board and parties. See *Id.* at 2. Construction Authorization Board 4 granted a stay of the proceeding on February 16, 2010 (ADAMS Accession Number ML100470423).

On February 19, 2010, Aiken County, South Carolina filed an action in the U.S. Court of Appeals for the District of Columbia Circuit, challenging DOE's decision to seek withdrawal of the license application. Similar lawsuits filed by three individuals living near Hanford, Washington (the Ferguson Petitioners), the State of South Carolina, and the State of Washington were consolidated into one proceeding now before the District of Columbia Circuit. See *In re Aiken County*, No. 10–1050 (and consolidated cases) (DC Cir.).

² ADAMS Accession Numbers ML083540096, ML083540230, ML083550015, ML083570102, ML083570371, ML083570416, ML083570731, ML083570732, ML083570741, ML083570761, ML083570773, ML083570775, ML083570779, ML083570788, ML083570789, ML083590091, ML090050465, ML083540836.

On March 3, 2010, DOE filed with the NRC a Motion to withdraw its license application with prejudice (ADAMS Accession Number ML100621397). On June 29, 2010, Construction Authorization Board 4 issued a Memorandum and Order (Granting Intervention to Petitioners and Denying Withdrawal Motion), LBP-10-11, _____ NRC _____, denying DOE's motion to withdraw as outside its authority under the NWPA (ADAMS Accession Number ML101800299). The Secretary of the Commission invited briefs from all the parties in the YM proceeding on whether to review and whether to uphold or reverse the Board's decision. The Commission has not yet acted on these questions.

Although the proposed updates to the Waste Confidence Decision and Rule did not consider some of these recent developments, the Commission has assumed, for the purposes of these updates, that YM would not be built. Even so, the new YM developments are pertinent. The Commission believes that the updates to the Waste Confidence Decision and Rule reflect the uncertainty regarding the timing of the availability of a geologic repository for SNF and HLW. The Commission, as a separate action, has directed the staff to develop a plan for a longer-term rulemaking and Environmental Impact Statement (EIS) to assess the environmental impacts and safety of long-term SNF and HLW storage beyond 120 years (SRM-SECY-09-0090; ADAMS Accession Number ML102580229). This analysis will go well beyond the current analysis that supports at least 60 years of post-licensed life storage with eventual disposal in a deep geologic repository. The Commission believes that a more expansive analysis is appropriate because it will provide additional information (beyond the reasonable assurance the Commission is recognizing in the current rulemaking) on whether spent fuel can be safely stored for a longer time, if necessary. This analysis could reduce the frequency with which the Commission must, as a practical matter, consider waste storage capabilities. The staff's new review will require an analysis and, to some extent, a forecast of the safety and environmental impacts of storage for extended periods of time beyond that currently recognized in 10 CFR 51.23 and the Waste Confidence Decision. While storage of spent fuel for 60 years beyond licensed life has been shown through experience or analyses to be safe and not to have a significant environmental impact, the proposed

technical analysis will go well beyond the time frame of existing requirements. Even though the Commission has not determined whether this particular analysis will result in a different conclusion concerning the environmental impacts of extended spent fuel storage, the Commission believes that this unprecedented long-term review should be accompanied by an EIS. Preparing an EIS will ensure that the agency considers these longer-term storage issues from an appropriate perspective. The Commission has therefore decided to exercise its discretionary authority under 10 CFR 51.20(a)(2) and is directing the staff to prepare a draft EIS to accompany the proposed rule developed as a result of this longer-term analysis. The updates to the Waste Confidence Decision in this document and the final rule published in this issue of the **Federal Register** rely on the best information currently available to the Commission and therefore are separate from this long-term initiative. The updates to the Waste Confidence Decision and Rule are not dependent upon the staff completing any action outside the scope of these revisions to the Waste Confidence Decision and Rule.

Based upon the technical and environmental analysis contained in this document, and discussed at length below, the Commission has prepared this update of the Waste Confidence Decision and now makes the following revisions to Findings 2 and 4:

(2) The Commission finds reasonable assurance that sufficient mined geologic repository capacity will be available to dispose of the commercial high-level radioactive waste and spent nuclear fuel generated by any reactor when necessary.

(4) The Commission finds reasonable assurance that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin and either onsite or offsite ISFSIs.

The update to the Waste Confidence Decision restates and supplements the bases for the earlier findings and addresses the public comments received on the proposed revisions to the findings.

The Commission is also concurrently publishing in this issue of the **Federal Register** a final rule revising 10 CFR 51.23(a) to conform to the revisions of Findings 2 and 4.

Responses to Public Comments

The NRC received comments from environmental and other public interest

organizations; the nuclear industry; States, local governments, an Indian Tribe, and inter-governmental organizations; and individuals. Comments from the 158 letters, including a late supplemental letter from the Attorney General of New York, have been categorized and grouped under 8 issues for purposes of this discussion. The issues include comments made in two form letters received from 1,990 and 941 commenters, respectively.

Issue 1: Compliance of the Waste Confidence Decision With the National Environmental Policy Act (NEPA)

Comment 1: A large number of commenters stated that the NRC has not complied with NEPA in issuing its proposed revisions to the Waste Confidence Decision and to its generic determination in 10 CFR 51.23(a) because they believe that the revisions need to be supported by a Generic Environmental Impact Statement (GEIS). The National Resources Defense Council (NRDC) argues that these two agency actions "are, in effect, generic licensing decisions that allow for the production of additional spent reactor fuel and other radioactive wastes associated with the uranium fuel cycle—essentially in perpetuity." Thus, these "generic licensing decisions," in NRDC's view, must "be accompanied by a [GEIS] that fully assesses the environmental impacts of the entire uranium fuel cycle, including health and environmental impacts and costs, and that examines a reasonable array of alternatives, including the alternative of not producing any additional radioactive waste."

Texans for a Sound Energy Policy (TSEP) stated that "the NRC has relied on the Waste Confidence Decision to license and re-license many nuclear power plants, and therefore it constitutes a major federal action significantly affecting the environment," requiring preparation of an EIS.

The Attorney General of New York argued that the NRC should "require and perform a site-specific evaluation of environmental impacts of spent fuel storage at each reactor location, taking into account environmental factors including surrounding population density, water resources, seismicity, subsurface geology, and topography along with the design, construction, and operating experience of the spent fuel pool in question and the layout of the fuel assemblies in that pool." The Attorney General believes that these "new factual conclusions also provide compelling evidence to support * * * [consideration] in relicensing

proceedings, such as the ongoing proceeding for the Indian Point power reactors, of any properly presented environmental and safety contention focused on the adequacy of mitigation measures taken or to be taken at that site to address the safety and environmental impacts flowing from the 20 additional years of spent fuel storage at the reactor site, the increased volume of spent fuel created during those 20 years, and the indefinite storage at that reactor site of all the waste generated by that reactor.” Finally a form letter, used by many commenters, asserts “it is appropriate that any major Federal action on radioactive waste (such as changing the Waste Confidence Decision) be considered in a generic (programmatic) NEPA proceeding” that includes all aspects of the nuclear fuel chain.

NRC Response: In considering the NRC’s compliance with NEPA in revising its Waste Confidence Decision and Rule, it is important to keep in mind the limited scope of these revisions. The NRC is amending its generic determination of no significant environmental impact from the temporary storage of spent fuel after cessation of reactor operation contained in 10 CFR 51.23(a) to conform it to the Commission’s revised Findings 2 and 4 of the Waste Confidence Decision.

In revised Finding 4, the Commission finds reasonable assurance that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years (rather than 30 years, as in the present finding) beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin and either onsite or offsite ISFSIs. The revised generic determination in 10 CFR 51.23(a) is dependent upon the environmental analysis supporting revised Finding 4.

The revision also incorporates the Commission’s supporting analysis for revised Finding 2, which looks at the time necessary to develop a repository (about 25–35 years) and concludes that reasonable assurance exists that sufficient mined geologic repository capacity will be available when necessary to dispose of the commercial HLW and SNF originating in such reactor and generated up to that time. As the Commission indicated in its Staff Requirements Memorandum (SRM) approving publication of this Decision and the final rule, the changes to Finding 2 do not mean that the Commission has endorsed indefinite

storage of SNF and HLW.³ See SRM–SECY–09–0090; ADAMS Accession Number ML102580229.

The revised generic determination is not a generic licensing decision—it generically deals with one aspect of licensing decisions that have yet to be made. It does not authorize the operation of a NPP, the renewal of a license of a NPP, or the production of spent fuel by a NPP. NPPs and renewals of operating licenses are licensed in individual licensing proceedings. The NRC must prepare a site-specific EIS in connection with any type of application to construct and operate a NPP. See 10 CFR 51.20(b). For operating license renewals, the NRC may rely on NRC’s *GEIS for License Renewal of Nuclear Plants, NUREG–1437*, May 1996, for issues that are common to all plants and must also prepare a Supplemental EIS that evaluates site-specific issues not discussed in the GEIS or “new and significant information” regarding issues that are discussed in the GEIS.⁴ See 10 CFR part 51, subpart A, appendix B.

Both types of licensing proceedings are supported by both generic and specific EISs. The generic determination in § 51.23(a) does play a role in the environmental analyses of the licensing and license renewal of individual NPPs; it excuses applicants for those licenses and the NRC from conducting an additional site-specific environmental analysis only *within the scope of the generic determination in 10 CFR 51.23(a)*. Thus, 10 CFR 51.23(b) provides:

Accordingly, * * * within the scope of the generic determination in paragraph (a) of this section, no discussion of any environmental impact of spent fuel storage in reactor facility storage pools or [ISFSIs] *for the period following the term of the reactor operating license or amendment, reactor combined license or amendment, or initial ISFSI license or amendment for which application is made, is required in any environmental report, [EIS], [EA], or other analysis prepared in connection with the issuance or amendment of an operating license for a [NPP] under parts 50 and 54 of this chapter, or issuance or amendment of a combined license for a [NPP] under parts 52 and 54 of this chapter,*

³ This reflects the Commission’s confidence that a repository will be made available before the storage of the SNF and HLW becomes unsafe or would result in significant environmental impacts. Finding 2 also reflects the Commission’s belief that it cannot have confidence in a target date because it cannot predict when the societal and political obstacles to a successful repository program will be overcome. Once those obstacles are overcome, the Commission has confidence that a repository can be sited, licensed, and constructed within 25–35 years.

⁴ The Commission issued a proposed rule updating the 1996 GEIS on July 31, 2009 (74 FR 38117) for a 75-day public comment period; the staff is currently preparing responses to the public comments.

or the issuance of an initial license for storage of spent fuel at an ISFSI, or any amendment thereto (emphasis added).

In short, the environmental analysis, which is done as part of the licensing or license renewals of individual NPPs, as well as the initial licensing of an ISFSI, does consider the potential environmental impacts of storage of spent fuel during the term of the license. What is not considered in those proceedings—due to the generic determination in 10 CFR 51.23(a)—is the potential environmental impact of storage of spent fuel for a 60-year period after the end of licensed operations or the potential environmental impacts of ultimate disposal. Environmental analysis for this period is covered by the environmental analysis the NRC has done in this update to the Waste Confidence Decision, particularly under Findings 3, 4, and 5. This analysis enables the Commission to generically resolve this issue because it demonstrates that spent fuel can be safely stored and managed under a 10 CFR part 50 or 10 CFR part 72 license after the cessation of reactor operations for at least a 60-year period. Further, if it becomes clear that a repository will not be available by the expiration of the 60-year post licensed life period, the Commission will revisit the Waste Confidence Decision and Rule early enough to ensure that it continues to have reasonable assurance of the safe storage without significant environmental impacts of the SNF and HLW.

In addition, the NRC’s Waste Confidence Decision and Rule do not pre-approve any particular waste storage or disposal site technology—although the Decision does evaluate the technical feasibility of deep geologic disposal—nor do they require that a specific cask design be used for storage. Individual licensees and applicants, or in the case of a HLW repository, DOE, will have to apply for and meet all of the NRC’s safety and environmental requirements before the NRC will issue a license for storage or disposal.

The NRC must prepare an EIS when the proposed action is a major Federal action significantly affecting the quality of the human environment or when the proposed action involves a matter that the Commission, in the exercise of its discretion, has determined should be covered by an EIS. 10 CFR 51.20(a). The NRC’s rulemaking action here is to incorporate a revised generic determination into 10 CFR 51.23(a), which expands from at least 30 years to at least 60 years after licensed life the period during which the Commission has confidence that spent fuel can be

safely stored without significant environmental impacts and to state its confidence that a permanent repository will be available when necessary. As the Commission explained in 1984 and 1990, this final rulemaking action formally incorporating the revised generic determination in the Commission's regulations does not have separate independent environmental impacts (49 FR 34693; August 31, 1984, 55 FR 38473; September 18, 1990). The environmental analysis that the revised generic determination is based on is found in this update to the Waste Confidence Decision, which serves as the Environmental Assessment (EA) for the rule.

The updates to the Waste Confidence Decision and Rule, as explained above, do not authorize any licensing or other Federal action. The rule does have the effect of removing from a reactor operating license proceeding, license renewal proceeding, or initial ISFSI licensing proceeding the issue of whether safe storage of SNF can be accomplished without any significant environmental impact for an additional 30 years beyond the 30 years provided by the current generic determination. The update to the Waste Confidence Decision explains and documents the Commission's continued reasonable assurance that this extended storage period will have no significant environmental impacts. Given this conclusion, a finding of no significant environmental impact (FONSI) may be made and preparation of an EIS is not required.

Comment 2: A number of commenters asserted that the NRC, in making its FONSI, has not complied with its procedural requirements for a FONSI: 10 CFR 51.32, or with the requirements of the Council on Environmental Quality: 40 CFR 1508.13. In particular, some commenters claim that the NRC has not published an EA, as required by 10 CFR 51.32, and has not identified all the documents that the FONSI is based on. TSEP asserts that the NRC's alleged failure to comply with its procedural requirements for a FONSI also results in a violation of the Administrative Procedure Act because it means the public has not had an opportunity to comment on the basis for the FONSI.

NRC Response: As explained in response to Comment 1, the only Federal action involved in this rulemaking is the amendment of 10 CFR 51.23(a). This amendment adopts the expansion, by 30 years, of the Commission's Finding 4 in its 1990 Waste Confidence Decision that spent fuel generated in any reactor can be stored safely and without significant

environmental impacts after the licensed life for operation of the reactor; the amendment also captures the revisions to Finding 2 in the Waste Confidence Decision that deep geologic disposal capacity will be available when necessary. This is the action described in the NRC's proposed FONSI (*See* 73 FR 59550; October 9, 2008).

The formal incorporation of revised Findings 2 and 4 into 10 CFR 51.23(a) has no separate independent environmental impact from the revisions of Findings 2 and 4. The update and revision of the Waste Confidence Decision is the EA supporting the action and the basis for the FONSI and, as evidenced by the breadth of comments received, the findings of the Waste Confidence Decision have been made available for public review and comment. The update was undertaken, as a matter of discretion, to ensure the currency of the Waste Confidence Findings, which have not been changed in nearly 20 years.

The NRC's procedural requirements for an EA call for a brief discussion of the need for the proposed action, alternatives to that action, and the environmental impacts of the proposed action and alternatives as well as a list of agencies and persons consulted and identification of the sources used. *See* 10 CFR 51.30(a). The Commission's proposal explained that the need for an update of the 1990 Waste Confidence Decision was prompted by a desire to make anticipated licensing proceedings for new reactors more efficient by resolving any concerns that the generic determination was out of date and could not be relied upon in these licensing proceedings (*See* 73 FR 59553, 59558; October 9, 2008). The Commission's proposed rule also explicitly raised the question, in the context of revising Finding 2, whether it should remove a target date from Finding 2 and make a general finding of reasonable assurance that SNF generated in any reactor can be stored safely and without significant environmental impacts until a disposal facility can reasonably be expected to be available (*See* 73 FR 59561–59562; October 9, 2008).

The Commission explained what the basis of this alternative finding would be:

In other words, in response to the court's concerns that precipitated the original Waste Confidence proceeding, the Commission could now say that there is no need to be concerned about the possibility that spent fuel may need to be stored at onsite or offsite storage facilities at the expiration of the license (including a renewed license) until such time as a repository is available because we have reasonable assurance that spent fuel

can be so stored for long periods of time, safely and without significant environmental impact. Such a finding would be made on the basis of the Commission's accumulated experience of the safety of long-term spent fuel storage with no significant environmental impact (*see* Finding 4) and its accumulated experience of the safe management of spent fuel storage during and after the expiration of the reactor operating license (*see* Finding 3). *Id.*

The Commission explicitly sought public comment on whether any additional information would be needed to make this change. The update to the Waste Confidence Decision shows that there would be no difference between the environmental impacts of the proposed action of extending the time period for safe storage of SNF by 30 years and the no-action alternative of leaving it as it is. The Commission also stated in its proposed update and rule that the environmental impacts of the alternative of indefinite storage may be the same, but found no need to make this prediction due to its expectation that a repository will be available within 50–60 years of the end of any reactor's license for the disposal of its spent fuel.

The Commission has, however, now reconsidered its position regarding the use of the 50–60 year target date: The Commission has confidence that spent fuel can be safely stored without significant environmental impact for long periods of time as described in its discussion of Findings 3, 4, and 5. But there are issues beyond the Commission's control, including the political and societal challenges of siting a HLW repository, that make it premature to predict a precise date or time frame when a repository will become available.⁵ The Commission has therefore decided not to adopt a specific time frame in Finding 2 or its final rule. Instead, the Commission is expressing its reasonable assurance that a repository will be available "when necessary."

The Commission believes that this standard accurately reflects its position, as discussed in the analysis supporting Finding 2, that a repository can be constructed within 25–35 years of a Federal decision (*e.g.*, congressional action or executive order) to start a new repository program. The Commission continues to have confidence, as expressed in Findings 3 and 5, that safe and sufficient onsite or offsite storage capacity is and will be available until the waste is sent to a repository for disposal. In addition, revised Finding 4 supports safe onsite or offsite storage without significant environmental

⁵ These political and societal issues are discussed in the analysis of Finding 2 in this document.

impacts for at least 60 years beyond the end of the licensed life for operation of any nuclear power reactor. Given that long period of time, the current "Blue-Ribbon Commission" studying options for handling SNF, the Commission's direction to the NRC staff to consider whether it is feasible to expand the 60-year period for safe storage, and a continued Federal obligation to site and build a repository under the Nuclear Waste Policy Act, the Commission has reasonable assurance that disposal capacity will become available when necessary and that there will be sufficient safe and environmentally sound storage for all of the spent nuclear fuel until disposal capacity becomes available.

Further, the Commission has decided not to endorse the concept of indefinite storage that was discussed with the alternative Finding 2 in the proposed rule (73 FR 59561–59562; October 9, 2008). The Commission has determined that it is not necessary to endorse indefinite storage if there is no target date for a repository because the Commission has confidence that either a repository will be available before the expiration of the 60 years post-licensed life discussed in Finding 4 or that the Waste Confidence Decision and Rule will be updated and revised if the expiration of the 60-year period approaches without an ultimate disposal solution for the HLW and SNF.

With respect to the claim that the NRC must make the documents on which its FONSI relies available to the public, the commenters are correct that the NRC must disclose all portions of the documents that informed its NEPA analysis and that are not exempt from public disclosure under the Freedom of Information Act (FOIA). The Commission acknowledged this fact when, in *Pacific Gas and Electric Co.* (Diablo Canyon Power Plant Independent Spent Fuel Storage Installation), CLI-08-01, 67 NRC 1 (2008), it directed the NRC staff to prepare a complete list of the documents on which it relied in preparing its EA.

In the case of the update to the Waste Confidence Decision, the NRC has complied with this standard—all of the documents relied upon in preparing the update to the Waste Confidence Decision and Rule are referenced. Two of the referenced documents are not publicly available: reports concerning the safety and security of spent fuel pool storage issued by Sandia National Laboratories and the National Academy of Sciences (NAS), which are Classified, Safeguards Information, or Official Use Only—Security Related Information.

Although these documents cannot be released to the public, redacted or publicly available summaries are available: A redacted version of the Sandia study can be found in ADAMS at (ADAMS Accession Number ML062290362) and the unclassified summary of the NAS report can be purchased or downloaded for free by accessing the NAS Web site at: http://www.nap.edu/catalog.php?record_id=11263. No other non-public documents are referenced in the Waste Confidence Decision.

In sum, the NRC's FONSI identifies the proposed action and relies upon an EA that explains at considerable length the reasons why this action will not have a significant effect on the quality of the human environment and describes the documents relied upon and how these documents may be accessed by the public.

Comment 3: A number of commenters asserted that the NRC has failed to comply with NEPA because the NRC has not prepared a GEIS to review and update Table S-3 of 10 CFR 51.51(b). Table S-3 lists environmental data to be used by applicants and the NRC staff as the basis for evaluating the environmental effects of the portions of the fuel cycle that occur before new fuel is delivered to the plant and after spent fuel is removed from the plant site for light-water reactors. Table S-3 was incorporated into the NRC's regulations in 1979 and includes an assumption, based on NRC staff's analysis of disposal in a bedded-salt geologic repository, that after a repository is sealed there would be no further release of radioactive materials to the environment (the "zero release assumption"). The 1979 rulemaking also included an expectation that "a suitable bedded-salt repository site or its equivalent will be found" (44 FR 45362 and 45368; August 2, 1979).

The commenters stated that the NRC's proposed revisions to the Waste Confidence Decision acknowledge that salt formations are now only being considered as hosts for reprocessed nuclear materials because heat-generating waste, like SNF, exacerbates a process by which salt can rapidly deform (See 73 FR 59555; October 9, 2008). For this and other reasons, the commenters believe that Table S-3 has been undermined and is out of date and needs to be reviewed in a GEIS. NRDC also believes that the Table S-3 Rule's "finding of no significant health impacts fundamentally supports the Waste Confidence Decision because its estimate of zero radioactive releases from a repository is based on the Commission's then-current Waste

Confidence finding, that 'a suitable bedded-salt repository site or its equivalent will be found.'" The commenters also note that the Commission, in 1990, indicated that it would find it necessary to review the Table S-3 Rule if it found, in a future review of the Waste Confidence Decision, that its confidence in the technical feasibility of disposal in a mined geologic repository had been lost (55 FR 38491; September 18, 1990). The commenters believe that the Commission lacks a basis for continued confidence in the technical feasibility of safe geologic disposal and that the relationship of the Table S-3 rule to the Waste Confidence Decision is such that a GEIS to review the Table S-3 Rule is a necessary prerequisite to a revision of the Waste Confidence Findings.

NRC Response: The Waste Confidence Decision does not rely on findings made in the context of the Table S-3 Rule. Even in 1984, the Commission's confidence that a suitable geologic site for a repository would be found was not premised on the expectation that a bedded-salt site would be located, but rather on the fact that DOE's site exploration efforts were "providing information on site characteristics at a sufficiently large number and variety of sites and geologic media to support the expectation that one or more technically acceptable sites will be identified." (49 FR 34668; August 31, 1984). Similarly, the issue of concern to the NRC in considering waste confidence has not been whether a zero-release assumption will be met, but rather when Environmental Protection Agency (EPA) would issue standards ensuring that any releases of radioactive materials to the environment would not be inimical to public health and safety (See 55 FR 38500; September 18, 1990).

In 1990, the Commission discussed the relationship of the Table S-3 rulemaking with the Waste Confidence proceeding (See 55 FR 38490–38491; September 18, 1990). The Commission noted that the Table S-3 proceeding was the outgrowth of efforts to generically address the NEPA requirement for an evaluation of the environmental impacts of operation of a light water reactor (LWR), that Table S-3 assigned numerical values for environmental costs resulting from uranium fuel cycle activities to support one year of LWR operation, and that the Waste Confidence proceeding was not intended to make quantitative judgments about the environmental costs of waste disposal. The Commission stated that unless, "in a future review of the Waste Confidence decision, [it] finds that it no longer has

confidence in the technical feasibility of disposal in a mined geologic repository, the Commission will not consider it necessary to review the S-3 rule when it reexamines its Waste Confidence Findings in the future” (55 FR 38491; September 18, 1990). The Commission continues to have confidence in the technical feasibility of disposal in a mined geologic repository (see NRC Response to Comment 8 and the discussion of Finding 1 later in this document) so there is no need to review the S-3 rule to support its Waste Confidence Findings.⁶ This does not preclude the NRC from taking future regulatory action to amend Table S-3 if doing so appears to be necessary or desirable. In 2008, the Commission stated that “[t]he NRC will continue to evaluate, as part of its annual review of potential rulemaking activity, the need to amend Table S-3.” *New England Coalition on Nuclear Pollution; Denial of Petition for Rulemaking* (73 FR 14946, 14949; March 20, 2008).

Comment 4: The Attorney General of California believes that the Waste Confidence Decision violates core principles of NEPA and the NRC’s regulations because it does not allow for supplementation of an EIS for an ISFSI even when there is significant change in the circumstances under which a project is carried out or when there is significant new information regarding the environmental impacts of the project. See 10 CFR 51.92(a). He asserts that “NRC has not shown a clearly articulated justification, based on substantial evidence in the record, for the proposed extension of this presumption that *no* change in circumstance, and *no* new information, can ever trigger the NEPA duty to supplement the environmental analysis of the long-term onsite storage of nuclear waste.” The Attorney General also believes that the proposed update to the Waste Confidence Decision allows NPPs “to be substantially repurposed and transformed into long-term storage facilities * * * without environmental review” and that therefore supplementation of the initial EIS for the NPP may be warranted. Similarly, the Attorney General of New York, in a supplemental comment, argues that the Commission’s proposed revision to Finding 2 (originally discussed in the Commissioners’ September 2009 votes) endorses a policy of indefinite storage and that the

Commission “has not made a generic determination regarding environmental and safety issues presented by indefinite storage of spent fuel at the site of nuclear reactors following shutdown.”

NRC Response: Under 10 CFR 51.23(b), the NRC does not need to prepare a site-specific EA or EIS during individual NPP licensing that discusses the environmental impacts of spent fuel storage for the period following the term of the reactor license or initial ISFSI license because of the generic determination the Commission has made in 10 CFR 51.23(a) that spent fuel can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life of the reactor. The generic determination is based on the environmental analysis conducted in the Waste Confidence Decision. However, the commenter is not correct that this means that an EA or EIS for a reactor or an ISFSI may never need to be supplemented even if there is a significant change in circumstances or significant new information that demonstrates that the application of the generic determination would not serve the purposes for which it was adopted. Under 10 CFR 51.20(a)(2), the Commission, in its discretion, may determine that a proposed action involves a matter that should be covered by an EIS. Further, 10 CFR 2.335(b) provides that a party to an adjudicatory proceeding may petition for the waiver of the application of the rule or for an exception for that particular proceeding. The sole grounds for a petition for waiver or exception is that special circumstances with respect to the subject matter of the particular proceeding exist so that the application of the rule would not serve the purposes for which it was adopted.

More fundamentally, as the Commission clarified in its SRM authorizing publication of this decision and final rule in the **Federal Register**, the changes to the Waste Confidence Decision and Rule are not intended to support indefinite storage. If the time frame for safe and environmentally sound storage included in Finding 4 approaches without the availability of sufficient repository capacity, the Commission will revisit the Waste Confidence Decision and Rule.

Comment 5: Riverkeeper asserts that the NRC made its finding of no significant impact in its initial 1984 decision “without performing an environmental review pursuant to NEPA, explicitly stating that an [EIS] was not necessary,” and then has continued to make this finding without appropriate environmental review.

NRC Response: Riverkeeper is correct that the NRC concluded in 1984 that Finding 4—that SNF could be safely stored without significant environmental impacts for at least 30 years beyond the expiration of the reactor’s operating license—did not require the support of an EIS (See 49 FR 34666; August 31, 1984). This does not mean that this finding was made without performing the required environmental review under NEPA. The Commission explained that the Waste Confidence Decision itself considered the environmental aspects of spent fuel storage and did comply with NEPA. *Id.* No EIS was conducted because the fourth finding concluded that the environmental impacts from extended storage of SNF are so insignificant as not to require consideration in an EIS. The NRC has explained in its response to Comment 1 why an EIS is unnecessary to support the expansion of its generic determination.

Issue 2: Compliance of the Waste Confidence Decision With the Atomic Energy Act (AEA)

Comment 6: Several commenters asserted that the updates to the Waste Confidence Decision and Rule do not comply with the AEA. They stated that that the AEA precludes NRC from licensing any new NPP or renewing the license of any existing NPP if it would be “inimical * * * to the health and safety of the public.” 42 U.S.C. 2133(d) (2006). They note that the Commission continues to state that it would not continue to license reactors if it did not have reasonable confidence that the wastes can and will in due course be disposed of safely. These commenters assert that Finding 1 effectively constitutes a licensing determination that spent fuel disposal risks are not inimical to public health and safety, and that Findings 3, 4, and 5 effectively constitute a licensing determination that spent fuel storage risks are not inimical to public health and safety. Because the commenters believe that the NRC has presented no well-documented safety findings supporting its findings, they contend that the NRC’s revisions of its findings are in violation of the AEA.

NRC Response: As explained in the response to Comment 1, the NRC’s update to the Waste Confidence Decision and Rule are not licensing decisions. They are not determinations made as part of the licensing proceedings for NPPs or ISFSIs or the renewal of those licenses. They do not authorize the storage of SNF in spent fuel pools or ISFSIs. The revised findings and generic determination are conclusions of the Commission’s

⁶ As discussed below, Finding 1 deals with the general technical feasibility of a repository and is not dependent upon a specific site. Further, the Commission makes it clear in its discussion of Finding 2 that the Findings assume that YM will not be used as a geologic repository.

environmental analyses, under NEPA, of the foreseeable environmental impacts stemming from the storage of SNF after the end of reactor operation.

As long ago as 1978, the U.S. Court of Appeals for the Second Circuit considered the question “whether NRC, prior to granting nuclear power reactor operating licenses, is required by the public health and safety requirement of the AEA to make a determination * * * that high-level radioactive wastes can be permanently disposed of safely.”

Natural Resources Defense Council v. NRC, 582 F. 2d 166, 170 (1978) (emphasis in original). The court found that the NRC was not required to make a finding under the AEA that SNF could be disposed of safely at the time a reactor license was issued, but that it was appropriate for the Commission to make this finding in considering a license application for a geologic repository. Similarly, the U.S. Court of Appeals for the District of Columbia Circuit did not vacate amendments to NPP operating licenses permitting the reracking of spent fuel storage pools because it was concerned about the availability of storage or disposal facilities at the end of licensed operation. *State of Minnesota v. NRC*, 602 F. 2d 412 (DC Cir. 1979). Rather, that court was concerned that the Commission’s confidence in these matters had not been subjected to public scrutiny, so it directed the Commission to conduct a rulemaking proceeding to assess its degree of confidence on these issues, leading to the original Waste Confidence proceeding.

The Commission will make the safety finding with respect to SNF disposal envisioned by the commenters in the context of a licensing proceeding for a geologic repository. The Commission does make the safety findings with respect to storage of SNF envisioned by the commenters in the context of licensing proceedings for NPPs and ISFSIs for the terms of those licenses.

Issue 3: What is the meaning of “reasonable assurance” in the waste confidence Findings?

Comment 7: One commenter expressed the view that the NRC should continue to take a position of suspending the licensing of reactors if it does not have confidence beyond a reasonable doubt that wastes can and will be disposed of safely. Another commenter criticized the NRC for “fail[ing] to define the standard for reasonable assurance—what level of assurance that they found in making their determination—90%, 51%, 5%.”

NRC Response: The “reasonable assurance” standard is not equivalent to

the “beyond a reasonable doubt” standard used in the criminal law. *North Anna Environmental Coalition v. NRC*, 533 F.2d 655, 667 (DC Cir. 1976) (*North Anna*).⁷ It is more akin to a “clear preponderance of the evidence” standard, and what constitutes “reasonable assurance” depends on the particular circumstances of the issue being examined. In a 2009 decision affirming the license renewal of the Oyster Creek NPP, the Commission explained: “Reasonable assurance is not quantified as equivalent to a 95% (or any other percent) confidence level, but is based on sound technical judgment of the particulars of a case and on compliance with our regulations * * *.” *In re Amergen Energy Co.* (License Renewal for Oyster Creek Nuclear Generating Station), *CLI-09-07, 69 NRC 235 (April 1, 2009)*.

Thus, the Commission’s reasonable assurance that, if necessary, spent fuel generated in any reactor can be stored safely without significant environmental impacts for at least 60 years beyond the licensed life for operation of that reactor is based on a clear preponderance of the technical and scientific evidence described in the discussion of Finding 4. The Commission’s reasonable assurance in Finding 2, that sufficient repository capacity will be available when necessary, is somewhat different; it does not include a specific date for when a repository will be available and is supported by an analysis that considers how long it may take to successfully complete the process to select a site, license, and build a repository. This analysis is not purely scientific, and thus the evidence has more qualitative content than evidence considered for strictly scientific or technical issues.

Issue 4: Whether the Commission Has an Adequate Basis for Reaffirming Finding 1

Comment 8: TSEP believes that the Commission lacks a sound basis for reaffirming Finding 1: that there is reasonable assurance that safe disposal

⁷ In *North Anna*, the court considered whether the Commission’s “reasonable assurance” standard required an applicant for a NPP license to prove beyond a reasonable doubt that an earthquake fault under the proposed site was not capable. The court found that neither the AEA nor the pertinent regulations required the Commission to find, under its reasonable assurance standard, that the site was totally risk-free. See also *Power Reactor Development Co. v. International Union of Electrical, Radio and Machine Workers*, 367 U.S. 396, 414 (1961), where the Supreme Court rejected a claim that the Commission’s finding of reasonable assurance needed to be based on “compelling reasons” when a construction permit for a reactor sited near a large population center was being considered.

of HLW and SNF in a mined geologic repository is technically feasible. In support of its view, TSEP provides the comments of the Institute for Energy and Environmental Research (IEER) by Dr. Arjun Makhijani. IEER stated that “the Waste Confidence Decision presents a safety finding, under the Atomic Energy Act, that the NRC has reasonable assurance that disposal of spent fuel will not pose an undue risk to public health and safety. It does so via the finding that disposal is technically feasible and can be done in conformity with the assumption of zero releases in Table S-3 * * *.” IEER believes that the NRC has failed to address available information, which shows that the NRC currently does not have an adequate technical basis for a reasonable level of confidence that spent fuel can be isolated in a geologic repository.

IEER defines “safe disposal” as involving “(i) the safety of building the repository, putting the waste in it, and backfilling and sealing it, and (ii) the performance relative to health and environmental protection standards for a long period after the repository is sealed * * *. [I]t is essential to show a reasonable basis for confidence that the public and the environment far into the future will be adequately protected from the effects of disposal at a specific site and a specific engineered system built there.” Further, IEER believes that “reasonable assurance” requires “a statistically valid argument based on real-world data that would show (i) that all the elements for a repository exist and (ii) that they would work together as designed, as estimated by validated models. The evidence must be sufficient to provide a reasonable basis to conclude that the durability of the isolation arrangements would be sufficient to meet health and environmental standards for long periods of time * * * with a high probability.” IEER believes that the NRC does not have the requisite reasonable assurance because the NRC “has not taken into account a mountain of data and analysis” derived from the YM repository program and from the French program at the Bure site, which illustrate the problems these programs have encountered and thus show, in IEER’s view, “that it is far from assured that safe disposal of spent fuel in a geologic repository is technically feasible.” IEER also cites to the historical difficulty the EPA has had in formulating radiation protection standards and notes that “[w]ithout a final standard that is clear of court challenges, performance assessment

must necessarily rest on guesses about what it might be; this is not a basis on which 'reasonable assurance' of the technical feasibility of 'safe disposal' can be given, for the simple reason that there is no accepted definition of safe in relation to Yucca Mountain as yet."

NRC Response: IEER confuses the safety finding that the NRC must make under the AEA when considering an application for a license to construct and operate a repository at an actual site with the Waste Confidence Findings made under NEPA, including the finding that there is reasonable assurance that safe disposal of HLW and SNF is technically feasible. See response to Comment 6. The NRC currently has before it DOE's application for a construction authorization at the YM site and, if the proceeding moves forward, will consider information submitted with admitted contentions that may call into question DOE's ability to safely dispose of HLW and SNF at that site. However, it is very important that the Commission preserve its adjudicatory impartiality and not consider *ex parte* communications of the type proffered by IEER outside of the YM licensing proceeding, and it has been careful not to do so in the context of reviewing its Waste Confidence Decision. See 10 CFR 2.347.

Webster's Third New International Dictionary (1993) defines "feasible" as "capable of being done, executed, or effected; possible of realization." The Commission began its discussion of Finding 1 in its original 1984 decision by stating that "[t]he Commission finds that safe disposal of [HLW and SNF] is technically possible and that it is achievable using existing technology" (49 FR 34667; August 31, 1984) (emphasis added). The Commission then went on to say: "Although a repository has not yet been constructed and its safety and environmental acceptability demonstrated, no fundamental breakthrough in science or technology is needed to implement a successful waste disposal program." *Id.* This focus on whether a fundamental breakthrough in science or technology is needed has guided the Commission's consideration of the feasibility of the disposal of HLW and SNF.

The Commission identified three key technical problems that would need to be solved: the selection of a suitable geologic setting, the development of waste packages that can contain the waste until the fission product hazard is greatly reduced, and engineered barriers that can effectively retard migration of radionuclides out of the repository. *Id.* In 1984, the Commission reviewed

evidence indicating that there are geologic media in the United States in many locations potentially suitable for a waste repository; that the chemical and physical properties of HLW and SNF can be sufficiently understood to permit the design of a suitable waste package; and that DOE's development work on backfill materials and sealants provided a reasonable basis to expect that backfill materials and long-term seals can be developed. In 1990, the Commission noted that the NRC staff had not identified any fundamental technical flaw or disqualifying factor for any of the nine sites DOE had identified as potentially acceptable for a repository, even though the HLW program was then focused exclusively on the YM site (55 FR 38486; September 18, 1990). Similarly, the Commission found no reason to abandon its confidence in the technical feasibility of developing a suitable waste package and engineered barriers, even though DOE's scientific programs were focused on Yucca Mountain (See 55 FR 38488-38490; September 18, 1990). Both the EPA and the NRC have standards in place that would have to be met by either the proposed repository at YM or a repository at any other site. See 40 CFR parts 190 and 197 and 10 CFR parts 60 and 63.

IEER does not assert that the need for a scientific or technical breakthrough stands in the way of establishing any possible repository; IEER believes that the evidence it has offered shows that a repository at YM will not be capable of meeting the EPA's standards and the NRC's performance objectives. This could turn out to be the case, but this does not mean that safe disposal of HLW and SNF in some repository is not possible.

Issue 5: Whether the Commission Has an Adequate Basis To Revise Finding 2

Comment 9: Many commenters responded to the Commission's request for comments on whether the Commission should revise Finding 2 to predict that repository capacity will be available within 50-60 years beyond the licensed life for operation of all reactors or whether the Commission should adopt a more general finding of reasonable assurance that SNF generated in any reactor can be stored safely and without significant environmental impacts until a disposal facility can reasonably be expected to be available.

Specific Question for Public Comment: In its proposed rule and its proposed revisions to the Waste Confidence Decision, the Commission explicitly requested public comment on

an alternative approach to Finding 2 (73 FR 59550 and 73 FR 59561; March 20, 2008). The Commission recognized that its proposed revision of Finding 2, to include a time frame for availability of repository capacity within 50-60 years beyond the licensed life for operation of all reactors, is based on its assessment not only of its understanding of the technical issues involved, but also predictions of the time needed to bring about the necessary societal and political acceptance for a repository site.

Recognizing the inherent difficulties in making this prediction, the Commission outlined an alternative approach wherein it would adopt a more general finding of reasonable assurance that SNF generated in any reactor can be stored safely and without significant environmental impacts until a disposal facility can reasonably be expected to be available. This finding would be made on the basis of the Commission's accumulated experience of the safety of long-term spent fuel storage with no significant environmental impact (see Finding 4) and its accumulated experience of the safe management and storage of spent fuel during and after the expiration of the reactor operating license (see Finding 3). The Commission also asked whether additional information is needed for this approach or whether accompanying changes should be made to its other findings on the long-term storage of spent fuel if this approach is adopted.

The State of Nevada (NV), Clark and Eureka Counties in NV, and the Nuclear Energy Institute (NEI) provided comments supporting the alternative approach to Finding 2. NV supports the approach because it believes that specifying a time frame involves too much speculation about public acceptance, future technology, a possible redirection of the waste disposal program, adequate funding, and the outcome of the NRC licensing proceedings. NV believes that "whatever the NRC's period of safe storage might be, it is long enough for the Commission to generally conclude that, even if Yucca Mountain fails, one or more other repository sites (or some other form of disposition) would be available before dry storage of reactor spent fuel * * * could pose any significant safety or environmental problem." Further, NV suggested that if the Commission followed this approach, it could dispense with Finding 2 altogether since Finding 3 provides reasonable assurance that HLW and SNF will be managed in a safe manner until sufficient repository capacity is available. Clark and Eureka Counties believe that focusing waste

confidence on management of SNF allows for consideration of a more systemic approach to waste management that considers an array of options and takes into account evolving energy policy at the national and international level, technology enhancements, and scientific research that could lead to new approaches and alternatives. NEI stated that “identifying the exact number of years involved is not necessary because, for whatever length of time is needed, the NRC’s regulations will continue to provide a high standard of safety in the storage of spent nuclear fuel, and industry is compelled to comply with these regulations.”

Many comments from States, State organizations, one NV county, environmental groups and individuals opposed the alternative approach and want the Commission to retain a time frame. These commenters believe that a time frame is necessary to provide an incentive to the Federal Government to meet its responsibilities for the disposal of HLW. One commenter favored only a slight extension of the repository availability date to 2035 in the belief that a further extension or removal of a time frame would remove virtually all societal incentives for the United States to develop a geologic repository. Some commenters feared that removal of a time frame, which would remove any pressure on the Federal Government to resolve the SNF disposal issue, would lead to added costs to taxpayers due to the accumulating damages incurred by DOE because of its failure to honor its contracts for accepting SNF. Nye County, NV believes that removal of the time frame implies that there is no urgency in implementing the NWP. Nye County believes that waste confidence would better be achieved if Finding 2 included a reaffirmation of the need for a repository for ultimate waste confidence and for its role in the nation’s commitment to support the environmental cleanup of weapons program sites because a repository will be needed even if other options for spent fuel management, such as recycling, are adopted.

Some commenters believe that removal of a time frame does not acknowledge the intergenerational ethical concerns of this generation reaping the benefits of nuclear energy, and passing off the nuclear waste products to future generations without providing them with any ultimate disposal solution. Nye County believes that intergenerational equity is still the primary international basis for the policy of geologic disposal. The Western Interstate Energy Board, in urging

retention of a time frame, states that the NRC should be concerned about the possibility of indefinite storage of SNF because it undermines support for a plan for disposal of nuclear waste, noting that approval of a new generation of NPPs should be contingent on a credible plan by which the Federal Government meets its responsibilities.

The Attorneys General of New York, Vermont, and Massachusetts believe that “NRC has admitted that its original thirty-year time estimation was based on no scientific or technical facts, but instead on the period of time in which it expected a repository to be available. * * * The NRC’s reasoning—that because no problems significant in NRC’s eyes have [yet] occurred * * *, no problems will occur no matter how long spent fuel remains on reactor sites—is antithetical to science, the laws of time, and common sense. For example, over an indefinite period of storage, the probability of a severe earthquake increases.” They believe that the NRC’s alternative approach is arbitrary because there is no basis for unconditional confidence in the indefinite onsite or offsite storage of waste. Further, the Attorney General of New York argues (in supplemental comments) that the Commission’s September 2009 votes on the draft final rule, which would remove a target date from Finding 2 (and which the Commission decided to do in September 2010), support the idea that fuel will have to be stored indefinitely.⁸ Similarly, another commenter asserted that it is questionable whether the storage of SNF at current sites for 150 years or more “is safe and feasible merely on the basis of the much more limited experience involving SNF storage to date, particularly at ISFSIs, and at fewer locations with lower quantities of SNF, compared to what would exist over such a long time span.”

In addition, the Attorneys General believe that in proposing to revise the generic determination in 10 CFR 51.23(a) without reference to any time frame, the NRC has prematurely and inappropriately adopted the alternative approach without waiting for public comments. Similarly, the Prairie Island Indian Community believes that, in the absence of a time frame, “the Waste Confidence Rule would be premised on the pure speculation that a disposal facility will be available at some unknown point in the future.” NRDC believes that the NRC’s alternative

⁸ The Commission’s September 2009 votes, along with the September 2010 votes, are available at <http://www.nrc.gov/reading-rm/doc-collections/commission/cvr/2009/2009-0090vtr.pdf>.

approach “is contrary to the NRC’s long-standing policy of [having] at least some minimal time limitation on the actions of its licensees with respect to active institutional controls at nuclear facilities,” e.g., 10 CFR 61.59(b), which prohibits reliance on institutional controls for more than 100 years by the land owner or custodial agency of a low-level waste disposal site.

NRC Response: In 1990, the Commission explained that it had not identified a date by which health and safety reasons require that a repository must be available (55 FR 38504; September 18, 1990). The Commission noted that in 1984 it had found under Finding 3 that SNF would be safely managed until sufficient repository capacity is available, but that safe management would not need to continue for more than 30 years beyond the expiration of any reactor’s operating license because sufficient repository capacity was expected to become available within those 30 years. The Commission also reached the conclusion under Finding 4 that SNF could be safely stored for at least 30 years beyond the expiration of the operating license. *Id.*

In 1990, the Commission considered a license renewal term of 30 years in its analysis supporting Findings 2 and 4⁹ and explained its reasons for believing that “there is ample technical basis for confidence that spent fuel can be stored safely and without significant environmental impact at these reactors for at least 100 years” (55 FR 38506; September 18, 1990). Thus, it is not correct to say that “NRC has admitted that its original thirty-year time estimation was based on no scientific or technical facts.” Rather, the NRC’s estimate was based on both when it expected a repository to be available and all the scientific and technical facts it discussed under Findings 3 and 4 that support a conclusion that SNF can be safely managed and stored for at least that period of time. In fact, the Commission considered a comment urging it to find that SNF can be stored safely in dry storage casks for 100 years (55 FR 38482; September 18, 1990). The Commission did not “dispute a conclusion that dry spent fuel storage is safe and environmentally acceptable for a period of 100 years,” but rejected this suggestion because it found that safe storage without significant environmental impact could take place for “at least” 30 years beyond the licensed life for operation of the reactor, and because it supported “timely

⁹ The license renewal period for operating reactors in 10 CFR part 54 is 20 years.

disposal of [SNF and HLW] in a geologic repository, and by this Decision does not intend to support storage of spent fuel for an indefinitely long period.” *Id.*

The fact that the Commission, in 1990 and now, has confidence that SNF can be safely stored for long periods of time does not mean, however, that the Commission has examined scientific and technological evidence supporting *indefinite* storage. The commenters supporting alternative Finding 2 did not provide evidence supporting indefinite storage, nor has the Commission adopted findings that support indefinite storage. The State of Nevada, in its 2005 petition for rulemaking, requested, *inter alia*, that the NRC define “availability” by presuming that some acceptable disposal site would be available at some undefined time in the future. In denying the petition, the Commission said “[w]e find this approach inconsistent with that taken in the 1984 [WCD] because it provides neither the basis for assessing the degree of assurance that radioactive waste can be disposed of safely nor the basis for determining when such disposal will be available” (70 FR 48333; August 17, 2005).

As explained in response to Comment 1, the Commission’s action in this update of the 1990 Waste Confidence Decision is to expand its generic determination in 10 CFR 51.23(a) by 30 years, an action that results in no significant environmental impacts and therefore does not require an EIS. The Commission’s approach in Findings 2 and 4 acknowledges the need for permanent disposal, and for the generations that benefit from nuclear energy to bear the responsibility for providing an ultimate disposal for the resulting waste. The Commission’s removal of a target date from Finding 2 does not mean that the Commission has approved indefinite storage; Finding 4 still contains a time frame for the length of post-licensed life storage. But a time frame in Finding 4 does not mean that the Commission has to include a target date in Finding 2; instead, the Commission has adopted a revised Finding 2 that expresses the Commission’s reasonable assurance that repository capacity will be available when necessary. This Finding does not contemplate indefinite storage of SNF and HLW; Finding 4 has not been changed, and only considers “at least 60 years” of storage beyond the licensed life for operation, including a license renewal period, and the analysis supporting Finding 2 considers the time needed to construct a repository.

The Commission has removed the target date from Finding 2 because recent events have demonstrated that

the Commission is unable to predict with confidence when a successful program to construct a repository will start. Instead, the Commission has reasonable assurance that sufficient repository capacity will be available when necessary, which means that repository capacity will be available before there are safety or environmental issues associated with the SNF and HLW that would require the material to be removed from storage and placed in a disposal facility. As made clear in the analysis that supports Finding 2, the Commission continues to have confidence that a repository can be constructed within 25–35 years of a Federal decision to do so, which is much shorter than the time frame considered in revised Finding 4. Further, if it becomes clear that a repository or some other disposal solution will not be available by the end of 60 years after licensed life for operation, the Commission will revisit and reassess its Waste Confidence Decision and Rule if a revision has not already occurred for other reasons.

As the Attorneys General, as well as other commenters, noted, the proposed rule was phrased differently from the proposed revision of Finding 2; the proposed rule made a generic determination of safe storage of SNF “until a disposal facility can reasonably be expected to be available” whereas proposed Finding 2 predicted repository availability “within 50–60 years beyond the licensed life for operation,” and proposed Finding 4 made a finding of reasonable assurance of safe storage of SNF “for at least 60 years beyond the licensed life for operation.”

The Commission did not intend to cause confusion by adopting different language in the Findings and the rule. The basis for the rule is identical to the basis for the findings, no matter how the rule itself is phrased; the Commission has therefore decided to adopt similar language for Findings 2 and 4 and the rule. As discussed above, the Commission has reconsidered Finding 2 and, in recognition of recent developments, has concluded that it would be inappropriate to include a target date in the Finding. The Commission has therefore made a conforming change to the rule to incorporate the revised language from Finding 2.

Further, as discussed in the proposed rule, the Commission has updated the rule language to include the time frame for safe and environmentally sound storage from Finding 4. The final rule now limits the generic determination regarding safe and environmentally sound storage to “at least 60 years

beyond the licensed life for operation (which may include the term of a revised or renewed license).” Section 51.23(a) is also revised to reinsert a version of the second sentence in the present rule that was excluded from the proposed rule. This statement was added to make it clear that Finding 4 does not contemplate indefinite storage and to underscore the fact that the Commission has confidence that mined geologic repository capacity will be available when necessary.

Comment 10: TSEP claims that the survey of various international HLW disposal programs that the NRC provided to review the issue of social and political acceptability of a repository shows that there can be no confidence that the necessary social and political conditions exist in the United States to provide any assurance that a repository can be developed in any foreseeable time frame. TSEP also believes that the NRC’s survey is inaccurate and essentially incomplete because it omits the country that is often held up as being exemplary for nuclear power—France.

NRC Response: The NRC rejects the commenter’s assertion that the NRC’s examination of international experience shows that there can be no confidence that a repository will be developed in the United States in any foreseeable time frame. The NRC’s discussion of the HLW programs of other countries was included to show that those countries have programmed into their plans various methodologies for securing social and political acceptance of a repository. This has been a trial-and-error process that has led to both failures and successes. The processes, especially in Finland and Sweden, show that this focus on deliberate attempts to gain public support can lead to success given a sufficiently inclusive process and enough time.

The commenter believes that the NRC’s survey is partly inaccurate because the NRC incorrectly implies that the United Kingdom (UK) ended a program for developing a repository for HLW and SNF in 1997 when, in fact, the program was for disposal of intermediate-level waste (ILW). The NRC agrees with the commenter that one sentence describing the UK program is misleading. This is because of a typographical error where “HLW” was inserted instead of “ILW”. This error is corrected in this update.

With respect to the omission of France, the NRC did not seek to provide an exhaustive survey or complete history of all foreign repository programs. The NRC examined a number of international examples for the

purpose of reasonably estimating the minimum time needed to “develop * * * societal and political acceptance in concert with essential technical, safety and security assurances.” The NRC noted that France was among ten nations that have established target dates (France expects that its repository will commence operation in 2025.), and among seven nations, of those ten, that plan disposal of reprocessed SNF and HLW (73 FR 59558; October 9, 2008). A brief examination of the progress of France’s waste disposal program suggests a time frame that is consistent with a range of 25–35 years for achieving societal and political acceptability of a repository. Initial efforts in France in the 1980s failed to identify potential repository sites using solely technical criteria. Failure of these attempts led to the passage of nuclear waste legislation that prescribed a period of 15 years of research. Reports on generic disposal options in clay and granite media were prepared and reviewed by the safety authorities in 2005. In 2006, conclusions from the public debate on disposal options, held in 2005, were published. Later that year, the French Parliament passed new legislation designating a single site for deep geologic disposal of intermediate and HLW. This facility, to be located in the Bure region of northeastern France, is scheduled to open in 2025, some 34 years after passage of the original Nuclear Waste Law of 1991.

Comment 11: Several commenters believe that the history of the U.S. repository program demonstrates that there should be no assurance that the political and social acceptance needed to support development of a repository in the time frame envisioned in Finding 2 will be realized.

NRC Response: The Commission acknowledges the difficulties that the U.S. HLW program has encountered over the years from the failed attempt to locate a repository in a salt mine in Lyons, Kansas, through the strong and continuous opposition to the proposed repository at YM. Nevertheless, the commenters overlook a number of key developments that support the Commission’s confidence that a repository will be available when necessary.

First, the comments assume that any repository program must start over from the beginning. But any new repository program would build upon the lessons learned from the YM and other repository programs. Other countries are working toward development of a repository, and some have settled upon a process that is designed to deal with many of the societal and political issues

that have delayed the U.S. program. See Finding 2 below.

Second, the Secretary of Energy established the Blue Ribbon Commission on America’s Nuclear Future. Department of Energy, Blue Ribbon Commission on America’s Nuclear Future, Advisory Committee Charter (2010), available at http://brc.gov/pdfFiles/BRC_Charter.pdf. The Blue Ribbon Commission “will provide advice, evaluate alternatives, and make recommendations for a new plan to address” a number of issues associated with the back-end of the nuclear fuel cycle. *Id.* Specifically, the Blue Ribbon Commission will evaluate the existing fuel cycle technologies and research and development cycles; look at options for the safe storage of SNF while final disposal pathways are prepared; look at options for the permanent disposal of SNF and HLW; evaluate options to make legal and commercial arrangements for the management of SNF and HLW; prepare flexible, adaptive, and responsive options for decision-making processes related to the disposal and management of SNF and HLW; look at options to ensure that any decisions are open and transparent, with broad participation; evaluate the possible need for additional legislation or amendments to existing laws; and any additional issues that the Secretary of Energy deems appropriate. *Id.*

The NWPA still mandates by law a national repository program, and decades of scientific studies support the use of a repository for disposal of HLW and SNF. Federal responsibility for siting and building a repository remains controlling national policy. Finding 2 is a prediction that a repository will be available when the societal and political obstacles to a repository are overcome and sufficient resources are dedicated to the siting, licensing, and construction of a repository. It necessarily follows from the Waste Confidence Decision that the Commission has reasonable assurance that sufficient repository capacity will be available before there are safety or environmental issues associated with the SNF and HLW that would require the material to be removed from storage and placed in a disposal facility. If this were not the case, the Commission would be unable to express its reasonable assurance in the continued safe, secure, and environmentally sound storage of SNF and HLW.

Finally, the Commission reiterates Finding 1, which states that the Commission finds reasonable assurance that safe disposal of HLW and SNF in a mined geologic repository is technically feasible. This finding has remained unchanged since 1984. The

more difficult problem challenging a repository program is achieving political and social acceptance, but the Commission has confidence that this problem can be solved. By applying the lessons learned in the YM program and in the different methodologies for achieving acceptance used in international HLW programs, the Commission remains confident that these issues impeding the construction of a repository can be resolved.

Comment 12: One commenter worried that “a decision in favor of this proposed rule change could prejudice a licensing decision in favor of the Yucca Mountain project simply because it would announce confidence in a waste site and that is the only one there.” The commenter also fears that this rulemaking could bias a decision to lift or eliminate the statutory capacity limit on YM, which would be necessary for the repository to accept SNF from new reactors. Further, the commenter believes that if the YM project fails, there will be no basis for confidence that a waste site will be available in the future.

NRC Response: The Commission’s reaffirmation of Finding 1—that disposal of HLW and SNF is technically feasible—and its revision of Finding 2, which states confidence that repository capacity will be available when necessary, are not tied to any particular site. In fact, the Commission’s proposal assumed that YM would not go forward and become available as a repository. Moreover, the Waste Confidence Decision and Rule have no legal effect in the YM licensing proceeding. See *Nevada v. NRC*, No. 05–1350, 199 Fed. Appx. 1 (DC Cir. 2006). Therefore, the NRC does not believe that adopting these findings will prejudice a licensing decision on Yucca Mountain. In a 2008 report DOE predicted that by 2010 SNF would exceed the 70,000 metric tons of heavy metal (MTHM) statutory limit for YM, and that if all existing reactors continue to operate for a total of 60 years through license renewals, SNF will exceed 130,000 MTHM. See *The Report to the President and the Congress by the Secretary of Energy on the Need for a Second Repository*, DOE/RW–0595, December, 2008. Thus, even if YM were to obtain NRC approval and be built, the amount of SNF from current reactors alone would require a change in the statutory limit or a second repository. Finally, as stated above, the proposed revision of Finding 2 assumed that YM would not go forward. The NRC’s basis for continued confidence that a repository will be available when necessary is explained in its response to

Comment 11 and its discussion of Finding 2.

Comment 13: The State of Nevada favored the Commission's alternative approach to Finding 2, but also suggested that 10 CFR 51.23(a) be reworded as follows:

The Commission has made a generic determination that there is reasonable assurance all licensed reactor spent fuel will be removed from storage sites to some acceptable disposal site well before storage causes any significant safety or environmental impacts. This generic finding does not apply to a reactor or storage site if the Commission has found, in the 10 CFR Part 50, Part 52, Part 54 or Part 72 specific licensing proceeding, that storage of spent fuel during the term requested in the license application will cause significant safety or environmental impacts.

Nevada explains that the last sentence is added to be consistent with 10 CFR 51.23(c), which provides that 10 CFR 51.23(a) does not alter any requirement to consider environmental impacts during the requested license terms in specific reactor or spent fuel storage license cases. Nevada states that "NRC should not prejudge this review of potential safety or environmental impacts from storage during the requested license term in any pending or future licensing proceeding." Nevada also states that in the event the Commission adopts Finding 2 as proposed, "it needs to clear up the ambiguity inherent in the reference to the 50–60 year time period. Presumably the Commission means it expects a repository within 60 years."

NRC Response: For the reasons explained in response to Comment 9, the Commission has decided to adopt a revised Finding 2 that states its confidence in the availability of a repository "when necessary." 10 CFR 51.23(c) points out that the generic determination in 10 CFR 51.23(a) only applies to the period following the term of the reactor operating license, reactor combined license or amendment, or initial ISFSI license or amendment in proceedings held under 10 CFR Parts 50, 52, 54 and 72. Nevada is concerned that in a case where the environmental impacts during the term of the license were judged to be significant, there would be reason to doubt the applicability of a generic determination that the impacts occurring after the requested license term would not be significant and so has proposed inclusion of a second sentence in 10 CFR 51.23(a). The Commission already has a rule, 10 CFR 2.335, that allows a party to an adjudicatory proceeding to seek a waiver or exception to a rule where its application would not serve

the purposes for which the rule was adopted. Thus, the Commission declines to adopt this additional sentence.

Issue 6: Whether the Commission Has an Adequate Basis To Reaffirm Finding 3

Comment 14: One commenter stated that the NRC appears to ignore the reality that available legal and corporate strategies exist that can provide for the transfer of NPPs and ISFSIs, and the SNF itself, to unfunded separate limited liability companies that can easily abandon SNF at existing sites once the economic value of the generating plants is exhausted.

NRC Response: The transfer of a license for a NPP is governed by 10 CFR 50.80. An applicant for transfer of its license must provide the same information on financial and technical qualifications for the proposed transferee as is required for the initial license. Therefore, the entity intended to receive the license must demonstrate its ability to meet the financial obligations of the license. Both general and specifically licensed ISFSIs are required to demonstrate financial qualifications before they are issued a license. The requirements for general licensees are in 10 CFR part 50, while the financial qualifications for specifically licensed ISFSIs are in 10 CFR part 72.

A general license is issued to store spent fuel at an ISFSI "[a]t power reactor sites to persons authorized to possess or operate nuclear power reactors under 10 CFR part 50 or 10 CFR part 52." 10 CFR 72.210. Under 10 CFR 50.54(bb), NPP licensees must have a program to manage and provide funding for the management of spent fuel following permanent cessation of operations until title to and possession of the fuel is transferred to the Secretary of Energy. As required in 10 CFR 72.30(c), all general licensees must provide financial assurance for sufficient funds to decommission the ISFSI. In addition, general licensees who have decommissioned their site, with the exception of the ISFSI and support facilities, must demonstrate that they have sufficient funds to decommission the ISFSI after the spent fuel is permanently transported offsite.

Applicants for a specific license to store spent fuel under 10 CFR part 72 are required to demonstrate their financial qualifications. See 10 CFR 72.22(e). To meet the financial requirements, the applicant must show that it either possesses the necessary funds or has reasonable assurance of obtaining the necessary funds to cover

ISFSI construction, operating, and decommissioning costs. In addition, a specific licensee that wants to transfer its license must submit an application that demonstrates that the proposed transferee meets the same financial qualifications as the initial license. See 10 CFR 72.50. Most specific licensees are financially backed by a utility with either an operating or shutdown NPP and are required under 10 CFR 50.54(bb) to have sufficient resources for spent fuel management after cessation of operations. Other specific licensees, not located at a NPP site, that are currently storing spent fuel are backed either by a large corporation, such as General Electric (the GE Morris ISFSI), or by the DOE, in the case of the Three Mile Island Unit 2, and Ft. Saint Vrain ISFSIs.

Issue 7: Whether the Commission Has an Adequate Basis for Finding That SNF Generated in Any Reactor Can Be Stored Safely and Securely and Without Significant Environmental Impact for at Least 60 Years (Finding 4)

Comment 15: Several commenters posited that the NRC does not have an adequate technical basis for finding reasonable assurance that SNF can be stored safely and without significant environmental impact because they believe that high-density spent fuel storage pools (SFPs) are vulnerable to catastrophic fires that may be caused by accidents or intentional attacks. These commenters do not believe that the NRC has properly assessed this risk. TSEP submitted a report, "Environmental Impacts of Storing Spent Nuclear Fuel and High-Level Waste from Commercial Nuclear Reactors: A Critique of NRC's Waste Confidence Decision and Environmental Impact Determination," prepared by Dr. Gordon R. Thompson, the Executive Director of the Institute for Resource and Security Studies (Thompson Report), which describes the potential risks associated with a fire in a SFP following a loss of water from the pool. The Thompson Report takes the view that the NRC documents published on the risk of SFP fires are inadequate and objects to the fact that some of the more recent documents rely on "secret studies," which cannot be verified by the public. The Attorney General of California requests that the NRC reconsider the information on the risks of SFP fires that California and Massachusetts submitted with their rulemaking petitions, which the NRC denied. See *The Attorney General of Commonwealth of Massachusetts, The Attorney General of California; Denial of Petitions for Rulemaking* (73 FR 46204; August 8, 2008) (MA and CA Petitions).

Dr. Thompson also questioned the analyses and assumptions that support the staff's conclusions regarding terrorist attacks on ISFSIs. Dr. Thompson defined four types of potential attack scenarios and noted that the staff's previous analyses, specifically the Diablo Canyon EA, focus only on Type III scenarios and ignore the far less dramatic, but far more effective, Type IV releases. Thompson Report at 47–48. Type I releases are those caused by the vaporization of the ISFSI by a nuclear explosion and are not considered by Dr. Thompson in his analysis. Thompson Report at Table 7–8. Type II releases deal with an attack by aerial bombing, artillery, rockets, etc., resulting in rupture of the ISFSI and large dispersal of the contents of the cask. *Id.* Type III events are similar to Type II, but involve small dispersal of the contents of the cask, and are caused by vehicle bombs, impact by commercial aircraft, or perforation by a shaped charge. *Id.* Finally, Type IV events are caused by missiles with tandem warheads, close-up use of shaped charges and incendiary devices, or removal of the overpack lid. *Id.* This type of attack results in scattering and plume formation similar to that of a Type III event, but the release of material far exceeds that of a Type III event. *Id.* Dr. Thompson claims that the staff's analysis does not consider the environmental impacts of a Type IV attack on an ISFSI. *Id.* at 48.

NRC Response: The NRC's 1990 Waste Confidence Decision described the studies of the catastrophic loss of reactor SFP water possibly resulting in a fuel fire in a dry pool that the NRC staff had undertaken prior to that time (55 FR 38511; September 18, 1990). The proposed update further details the considerable work that the NRC has done in evaluating the safety of SFP storage, including the scenario of a SFP fire, and notes that following the terrorist attacks of September 11, 2001, the NRC undertook a complete reexamination of SFP safety and security issues (73 FR 59564–59565; October 9, 2008).¹⁰ The proposed update discusses, in particular, the Commission's careful consideration of this issue in responding to the MA and CA Petitions. The petitions asserted that spent fuel stored in high-density SFPs is more vulnerable to a zirconium fire than

the NRC had concluded in the GEIS for renewal of NPP licenses. The petitioner raised the possibility of a successful terrorist attack as increasing the probability of a SFP zirconium fire. The petitions claimed that they were proffering “new and significant information” on this issue, including a study by Dr. Thompson, *see Risks and Risk-Reducing Options Associated with Pool Storage of Spent Nuclear Fuel at the Pilgrim and Vermont Yankee Nuclear Power Plants*, May 25, 2006 (Thompson 2006 Report), and a report by the National Academies Committee on the Safety and Security of Commercial Spent Nuclear Fuel Storage, *see Safety and Security of Commercial Spent Nuclear Fuel Storage* (National Academies Press: 2006) (NAS Report).

The Commission considered all of this information and concluded that “[g]iven the physical robustness of SFPs, the physical security measures, and SFP mitigation measures, and based upon NRC site evaluations of every SFP in the United States * * * the risk of an SFP zirconium fire, whether caused by an accident or a terrorist attack, is very low” (73 FR 46208; October 9, 2008). Later, the United States Court of Appeals for the Second Circuit rejected a challenge to the Commission's denial of the CA and MA petitions. *New York v. NRC*, 589 F.3d 551 (2d Cir. 2009). The court said that the “relevant studies cited by the NRC in this case constitute a sufficient ‘basis in fact’ for its conclusion that the overall risk is low.” *Id.* at 555.

The commenters are dissatisfied with the NRC's analysis of this issue, but the only new information they have provided is Dr. Thompson's 2009 Report. The NRC has reviewed the 2009 Report and has found no information not previously considered by the NRC.

The Attorney General of California contends that the NRC should have considered the information supplied by the petitioners with the MA and CA Petition. The NRC did consider this information and explained that the information was neither new nor significant and would not lead to an environmental impact finding different from that set forth in the GEIS for license renewal. Dr. Thompson's contention that the NRC did not consider credible threats to ISFSIs that would cause significant environmental impacts has already been addressed by the Commission in *Pacific Gas and Electric Co. (Diablo Canyon Independent Spent Fuel Storage Installation)*, 67 NRC 1, CLI-08-01 (2008). In that case, the San Luis Obispo Mothers for Peace submitted an affidavit and report by Dr. Thompson, which

argued that the NRC staff should have considered, but failed to consider, “scenarios with much larger releases of radiation [that] are also plausible and should have been considered. * * * [for] example [a scenario] * * * where the penetrating device is accompanied by an incendiary component that ignites the zirconium cladding of the spent fuel inside the storage cask, causing a much larger release of radioactive material than posited in scenarios where the cases sustain minimal damage.” *Id.* at 19. The Commission considered this argument and found that “[a]djudicating alternate terrorist scenarios is impracticable. The range of conceivable (albeit highly unlikely) terrorist scenarios is essentially limitless, confined only by the limits of human ingenuity.” *Id.* at 20. Further, the Commission found that the staff's approach to its terrorism analysis, “grounded in the NRC Staff's access to classified threat assessment information, is reasonable on its face.” *Id.* In his comment, Dr. Thompson attempts to revisit the Diablo Canyon proceeding by claiming that “the Staff limited its examination to Type III releases.” Thompson Report at 48. Not only has this issue already been addressed by the Commission, but some of the specifics of Dr. Thompson's “Type IV” releases are discussed and dismissed by the Commission. Thompson Report Table 7–8; *Diablo Canyon* at 19–20.

Comment 16: A number of commenters urged the Commission to consider the increasing frequency of spent fuel pool leaks as evidence calling into question the NRC's confidence in the safety of SNF storage in the normal operation of spent fuel pools. Comments submitted by the Attorneys General of the States of New York and Vermont, a supplemental comment from the Attorney General of New York, and the Commonwealth of Massachusetts described leaks of tritium at reactor sites around the country. They believe that increased onsite storage increases the opportunity for human error resulting in unauthorized releases. They are concerned about the lack of monitoring requirements or guidelines for these spent fuel leaks.

NRC Response: The NRC's proposed update of the Waste Confidence Decision acknowledged incidents of groundwater contamination originating from spent fuel pool leaks. The Liquid Radioactive Releases Lessons Learned Task Force, created in response to these incidents, reported that near-term health impacts resulting from the leaking spent fuel pools that the NRC had examined were negligible but also that measures should be taken to avoid leaks in the

¹⁰ NRC's reexamination of safety and security issues included consideration of reports issued by Sandia National Laboratories and the National Academy of Sciences, which are classified, SGI, or official-use-only security-related information, and thus cannot be released to the public; public versions of these reports are available. *See* response to comment 2 above.

future. The Task Force provided 26 specific recommendations for improvements to The NRC's regulatory programs regarding unplanned radioactive liquid releases. See Report Nos. 05000003/2007010 and 05000247/2007010, May 13, 2008 (ADAMS Accession Number ML081340425), as well as "Liquid Release Task Force Recommendations Implementation Status as of February 26, 2008," (ADAMS Accession Number ML073230982).

The NRC has also revised several guidance documents as well as an Inspection Procedure to address issues associated with leaking spent fuel pools. The NRC will continue to follow this issue and the NRC's regulatory oversight will continue to ensure safety and appropriate environmental protection. Thus, the Commission remains confident that storage of SNF in pools will not have any significant environmental impacts.

Comment 17: A number of commenters expressed the view that the NRC's updates to the Waste Confidence Decision and Rule do not comply with the holding of the Ninth Circuit Court of Appeals in *San Luis Obispo Mothers for Peace v. NRC*, 449 F. 3d 1016 (9th Cir. 2006), cert. denied, 127 S. Ct. 1124 (2007), that environmental analysis under NEPA requires an examination of the environmental impacts that would result from an act of terrorism against an ISFSI because an attack is reasonably foreseeable and not remote and speculative as the NRC had argued before the court.

NRC Response: Finding 4 considers the potential risks of accidents and acts of sabotage at spent fuel storage facilities. In 1984 and 1990, the NRC provided some discussion of the reasons why it believed that the possibility of a major accident or sabotage with offsite radiological impacts at a spent fuel storage facility was extremely remote. In the proposed update to the Waste Confidence Decision, the Commission gave considerable attention to the issue of terrorism and spent fuel management (See 73 FR 59567–59568; October 9, 2008). The Commission concluded that "[t]oday spent fuel is better protected than ever. The results of security assessments, existing security regulations, and the additional protective and mitigative measures imposed since September 11, 2001, provide high assurance that the spent fuel in both spent fuel pools and in dry storage casks will be adequately protected." *Id.*

Some commenters believe that the NRC's environmental analysis of the security of spent fuel storage facilities is

deficient because it does not include consideration of the environmental impacts of a successful terrorist attack. The commenters recognize that the Commission continues to disagree with the Ninth Circuit and believes that, outside of the Ninth Circuit, the environmental effects of a terrorist attack do not need to be considered in its NEPA analyses. *Amergen Energy Co., LLC* (Oyster Creek Nuclear Generating Station), CLI-07-08, 65 NRC 124 (2007). Recently, the Third Circuit U.S. Court of Appeals upheld the NRC's view that terrorist attacks are too far removed from the natural or expected consequences of agency action to require an environmental impact analysis. *New Jersey Department of Environmental Protection v. U.S. Nuclear Regulatory Commission*, 561 F.3d 132 (3d Cir. 2009). The Third Circuit stated:

In holding that there is no "reasonably close causal relationship" between a relicensing proceeding and the environmental effects of an aircraft attack on the licensed facility, we depart from the reasoning of the Ninth Circuit * * *. The *Mothers for Peace* court held that, given "the policy goals of NEPA and the rule of reasonableness that governs its application, the possibility of terrorist attack is not so 'remote and highly speculative' as to be beyond NEPA's requirements." * * *. We note, initially, that *Mothers for Peace* is distinguishable on the ground that it involved the proposed construction of a new facility—a change to the physical environment arguably with a closer causal relationship to a potential terrorist attack than the mere relicensing of an existing facility. More centrally, however, we disagree with the rejection of the 'reasonably close causal relationship' test set forth by the Supreme Court and hold that this standard remains the law in this Circuit. We also note that no other circuit has required a NEPA analysis of the environmental impact of a hypothetical terrorist attack. *Id.* at 142 (citations and footnote omitted).

But even though, outside of the Ninth Circuit, the NRC continues to adhere to its traditional view that the environmental impacts of a terrorist attack do not need to be considered outside of the Ninth Circuit, the environmental assessment for this update and rule amendment includes a discussion of terrorism in the discussion of the revision to Finding 4 that the NRC believes satisfies the Ninth Circuit's holding in *Mothers for Peace v. NRC*, as the decision explicitly left to agency discretion the precise manner in which the NRC undertakes a NEPA-terrorism review. See *Pacific Gas and Electric Co.* (Diablo Canyon Power Plant Independent Spent Fuel Storage Installation), CLI-08-01, 67 NRC 1

(2008), *petition for judicial review pending*, No. 09–1268 (9th Cir.).

Comment 18: TSEP and the Attorney General of New York (in a supplemental comment) point out that the NRC has treated the risk of a catastrophic fuel fire caused by an attack or an accident that leads to partial or complete drainage of a high-density SFP as a site-specific issue, imposing orders requiring NPPs to enhance security and improve their capabilities to respond to terrorist attack. Some of these orders required licensees to develop specific guidance and strategies to maintain or restore spent fuel pool cooling capabilities (See 73 FR 59567; October 9, 2008). TSEP and the Attorney General believe that this demonstrates that the NRC considers the risk of a pool fire to be specific to each nuclear plant and that site-specific measures to reduce these risks to an acceptable level must be taken at each plant. TSEP and the Attorney General believe that this is inconsistent with the NRC's reliance on its generic determination in 10 CFR 51.23(a) to deny hearing requests regarding the safety and environmental impacts of spent fuel storage, on contentions that are within the scope of the generic determination, in individual licensing cases. Because the NRC has (allegedly) acknowledged that its findings regarding the safety and security of spent fuel storage are site-specific and not generic in nature, TSEP and the Attorney General believe that the NRC should withdraw its generic finding.

NRC Response: After the terrorist attacks of September 11, 2001, the Commission issued orders to NPP and ISFSI licensees requiring enhanced protective measures under its Atomic Energy Act authority to "establish by rule, regulation, or order, such standards and instructions to govern the possession and use of [nuclear materials] as the Commission may deem necessary or desirable to promote the common defense and security or to protect health or to minimize danger to life or property." * * * 42 U.S.C. 2201 (2006). These orders were site-specific and required each licensee to buttress its security arrangements to achieve the revised standards set by the Commission. Additionally, the orders were used as an expedient method to impose new security requirements on licensees. Subsequently, some of these new requirements and other additional requirements were codified in rulemaking (See 72 FR 56287; October 3, 2007, 73 FR 19443; April 10, 2008, 73 FR 51378; September 3, 2008, 73 FR 63546; October 24, 2008, 74 FR 13926; March 27, 2009, 74 FR 17115; April 14,

2009). The NRC's determination that SNF can be stored safely and without significant environmental impacts beyond the licensed life for operation of the reactor for at least 60 years is a generic determination that satisfies both the NRC's NEPA responsibilities and evaluates the safety of the ongoing storage of SNF and HLW. The determination considers reasonably foreseeable risks that could threaten the safety of SNF storage and the environmental impacts of these risks. There is no inconsistency between the NRC's orders enhancing security at each plant and its generic determination that SNF can be safely stored because the requirements imposed by the orders and rulemakings help to ensure the safety and security of the SNF. As the Third Circuit said in its decision upholding the NRC's determination that NEPA did not require that the NRC consider the environmental effects of an aircraft attack on a licensed facility, the fact that the NRC does not have a particular obligation under NEPA does not mean that the NRC "has no obligation to consider how to strengthen nuclear facilities to prevent and minimize the effects of a terrorist attack; indeed, the AEA gives broad discretion over the safety and security of nuclear facilities." *New Jersey Department of Environmental Protection v. U.S. Nuclear Regulatory Commission*, 561 F.3d 132, 142 fn 9 (3d Cir. 2009). As discussed in the Response to Comment 17, the NRC's analysis satisfies the Ninth Circuit's holding in *San Luis Obispo Mothers for Peace*.

Comment 19: A commenter stated that the NRC's implication that above-ground storage may be safely conducted for 60 years beyond the operating license of a reactor does not seem to account for probably rapidly changing climactic conditions in the next few decades. This is very critical since most reactor sites are located near large bodies of water.

NRC Response: The earliest impact to spent fuel storage casks from climate change is not from submergence of structures by rising ocean levels, but rather from an increased risk of potential flooding from storm surge and high winds caused by extreme weather events. Current NRC regulations for design characteristics specifically address severe weather events. Before certification or licensing of a dry storage cask or ISFSI, the NRC requires that the vendor or licensee include design parameters on the ability of the storage and spent fuel storage facilities to withstand severe weather conditions such as hurricanes, tornadoes, and floods.

The NRC's regulations, 10 CFR 72.236 (for casks) and 72.122 (for facilities), require that applications for a Certificate of Compliance (COC) for a dry storage cask and a license to store spent fuel in an ISFSI evaluate the effects of a design basis flood on the facility. The evaluation of a design basis flood includes both static pressure from standing water and the force from a uniform flood-current. In addition, all storage casks approved for use with the general license provisions in 10 CFR part 72 have been evaluated for static pressure and uniform flood-current in the same manner as those for a specific licensee. The NRC has published regulatory guidance that describes acceptable approaches to assessing these impacts; further, the staff is addressing climate change in updates to its guidance. Based on the NRC's activities related to climate change, and the relatively slow rate of this change, the NRC is confident that any regulatory action that may be necessary will be taken in a timely manner to ensure the safety of all nuclear facilities regulated by the NRC.

Based on the models discussed in the NAS study (Potential Impact of Climate Change on U.S. Transportation: Special Report 290), none of the U.S. NPPs (operational or decommissioned) will be under water or threatened by water levels by 2050. The climate change models used in the NAS study are based on work by the Intergovernmental Panel on Climate Change. Climate changes over the next century are expected to result in a sea-level rise of approximately 0.8 meters; see J.A. Church *et al.*, *Climate Change 2001: Impacts, Adaptation, and Vulnerability*, Intergovernmental Panel on Climate Change, 642 (2001). Recently, the Intergovernmental Panel on Climate Change published a report confirming an accelerated sea-level rise in North America and concluding there will be further accelerated sea-level rise; the report found that the global mean sea-level is projected to rise by 0.35 ± 0.12 meters from the 1980 to 1999 period to the 2090 to 2099 period (<http://www.ipcc.ch/ipccreports/ar4-wg2.htm>). This conclusion is supported by the findings of the U.S. Global Change Research Program report published in 2009 (<http://downloads.globalchange.gov/usimpacts/pdfs/climate-impacts-report.pdf>). Based on these reports, sea-level rise is controlled by complex processes, and estimated to rise less than 1 meter by 2100. In addition to sea-level rise, NRC facilities may be affected by increased storm surges, erosion,

shoreline retreat, and inland flooding. Impacts to coastal areas may be further exacerbated by the land subsiding, as is currently observed in some central Gulf Coast areas. NRC facilities, including ISFSIs, are designed to be robust. The facilities are evaluated to ensure that performance of their safety systems, structures, and components is maintained during flooding events, and are monitored when in use. The lowest grade above sea-level of concern for an NRC licensed facility is currently about 4.3 m (14 feet). In the event of climate change induced sea-level rise the NRC regulations require licensees to implement corrective actions to identify and correct or mitigate conditions adverse to safety.

Comment 20: A commenter stated that two events—the July 16, 2007, earthquake in Niigata Province, Japan, and an April 2008 earthquake in Michigan—and an August 2008 study, which discusses a newly-discovered fault line that could significantly increase estimates of the probability of an earthquake in New York City, undermine confidence in the safety of spent fuel storage. Further, the commenter believes that given the differing seismology of various plants around the country, a generic determination that SNF can be stored safely without significant environmental impacts for long periods of time is inappropriate.

NRC Response:

Japan Earthquake of July 2007:

Staff reviewed a report on the 2007 Japan Earthquake by the International Atomic Energy Agency (IAEA) in December 2008. See 2d Follow-up IAEA Mission in Relation to the Findings and Lessons Learned from the 16 July 2007 Earthquake at Kashiwazaki-Kariwa NPP, *The Niigataken Chuetsu-oki Earthquake*, Tokyo and Kashiwazaki-Kariwa NPP, Japan, 1–5 December 2008. The report was the third in a series issued by an IAEA-led team of international experts that completed the mission in December 2008. According to this report, "the safe performance of the Kashiwazaki-Kariwa nuclear power plant during and after the earthquake that hit Japan's Niigata and Nagano prefectures on 16 July 2007 has been confirmed." The head of the IAEA's Division of Installation Safety, and the leader of the mission, also stated that "[t]he four reactors in operation at the time in the seven unit complex—the world's largest nuclear power plant—shut down safely and there was a very small radioactive release well below public health and environmental safety limits." The lessons learned from the results of the plant integrity evaluation

process will be reviewed by the NRC and may be incorporated, as necessary, to improve the approaches for design and evaluation criteria currently used for NPPs in the United States.

The Michigan Earthquake in April 2008:

NRC Staff reviewed NRC's Preliminary Notification of Event or Unusual Occurrence, PNO-III-08-004A, April 18, 2008 (ADAMS Accession Number ML081090639) on the April 2008 earthquake in Michigan. This Notification revealed that licensee personnel and NRC inspectors at the D.C. Cook and Palisades NPPs, both of which experienced onsite seismic activity, conducted independent equipment walkdowns after the initial earthquake and aftershock, and identified no issues. In addition, licensee personnel and NRC inspectors conducted equipment walkdowns at all operating power reactors that felt seismic activity and also identified no issues. The NRC staff concluded that the earthquake will have little overall influence on the postulated seismic hazard estimates at ISFSIs located in the CEUS.

The seismic design requirements for spent fuel pools are the same as for NPPs; these events do not undermine confidence in the safety of storage of spent fuel in spent fuel pools. With respect to dry storage, under 10 CFR 72.210, a general license for the storage of spent fuel in an ISFSI is granted to all holders of a license issued under 10 CFR Part 50 to possess or operate a NPP. The conditions of this general license are given in 10 CFR 72.212. The conditions of the license require a general licensee to perform written evaluations prior to use that establish that: (a) Conditions set forth in the Certificate of Compliance (CoC) have been met; (b) cask storage pads and areas have been designed to adequately support the static and dynamic loads of the stored casks, considering potential amplification of earthquakes through soil-structure interaction, and soil liquefaction potential or other soil instability due to vibratory ground motion; and (c) the requirements of 10 CFR 72.104 (dose limitations for normal operation and anticipated occurrences) have been met. Additionally, the ISFSI foundation analysis must include soil-structure interaction and must address liquefaction potential. See 10 CFR 72.212(b)(2). Further, 10 CFR 72.212(b)(3) requires that a general licensee "[r]eview the Safety Analysis Report (SAR) referenced in the [CoC] and the related NRC Safety Evaluation Report, prior to use of the general license, to determine whether or not the

reactor site parameters, including analyses of earthquake intensity and tornado missiles, are enveloped by the cask design bases considered in these reports."

In the continental United States, geographic areas located east of the Rocky Mountain Front (east of approximately 104 degrees west longitude) are generally known as "CEUS." For NPP sites that have been evaluated under the criteria of 10 CFR part 100, appendix A, the Design Earthquake must be equivalent to the safe shutdown earthquake for the NPP, but in no case less than 0.10g. For the existing NPPs in the United States, the design basis response spectra used for the design of dry cask storage systems are based on the response spectrum defined in NRC Regulatory Guide 1.60, "Design Response Spectra for Seismic Design of Nuclear Power Plants," Rev. 1, December 1973, anchored at a Peak Ground Acceleration of 0.3g in the horizontal direction and 0.2g in the vertical direction.

As a condition for using a general license to operate an ISFSI, licensees are required to perform written evaluations to establish, for their site-specific conditions, that the conditions set forth in the CoC have been met and that cask storage pads and areas have been designed to adequately support the static and dynamic loads of the stored casks, considering potential amplification of earthquakes through soil-structure interaction, and soil liquefaction potential or other soil instability due to vibratory ground motion. The Indian Point, Vermont Yankee, and Palisades NPPs, which were specifically cited in the comment, have ISFSIs co-located at their existing NPPs and are operating their ISFSIs under an NRC general license. Entergy Nuclear Generation Company has informed the NRC of its intentions to store spent fuel in dry casks at the Pilgrim NPP.

Based on currently available information, the NRC concludes that the storage casks being used at Indian Point, Vermont Yankee, and Palisades (all located in CEUS) demonstrate an adequate margin of safety for any design-basis earthquake loads postulated at these respective sites. There is no safety concern; however, there were a few limitations to the risk methodology employed and uncertainties associated with the data used. As a result, licensees of operating power reactors and ISFSI facilities in the CEUS may need to evaluate whether the updated seismic hazard estimates will have any adverse impact on their current design/licensing basis. This is

currently being considered as part of the NRC's Generic Issue Resolution Process. Additionally, the storage cask analyses and designs at operating ISFSIs provide an adequate safety margin and comply with the requirements in 10 CFR part 72. Since Generic Issue No. 199, "Implications of Updated Probabilistic Seismic Hazard Estimates in Central and Eastern United States on Existing Plants," November 17, 2008, is still an open issue, implications of any new information and its effects, if any, on CEUS-ISFSI seismic design for the storage casks and support pads will be evaluated as part of the resolution of that issue.

On September 2, 2010, the NRC issued Information Notice (IN) 2010-18, "Implications of Updated Probabilistic Seismic Hazard Estimates in Central and Eastern United States on Existing Plants" to all operating reactors licensees. IN 2010-18 discusses recent updates to estimates, which apply to ISFSIs as well as existing plants, of the seismic hazard in the central and eastern United States. In summary, the information provided by the commenters has little overall influence on the postulated seismic hazard estimates in the CEUS.

August 2008 Study of Seismic Hazard Estimates in the Eastern United States:

In August 2008, a technical paper, *Observations and Tectonic Setting of Historic and Instrumentally Located Earthquakes in the Greater New York City—Philadelphia Area* by Lynn R. Sykes *et al.* was published in the Bulletin of the Seismological Society of America, Vol. 98, No. 4. NRC staff from the Office of Nuclear Regulatory Research (RES) reviewed this paper to assess the impacts, if any, of this new information on the existing design basis seismic hazard estimates used for NPPs located in this area of Central and Eastern United States (CEUS). RES's assessment was as follows:

In addition to publishing a seismicity map of the area covering the time period from 1677 to 2006, the paper identifies for the first time a boundary in seismicity, with earthquakes with magnitudes less than 3 occurring south of the boundary but not north of it. The boundary intersects the Ramapo Fault on the northwest near Peekskill, NY, and this point appears to coincide with an offset in the Hudson River. The southeast terminus of the boundary is near Stamford, CT, with a length of about 30 miles (50 km). The authors inferred that the boundary is a fault.

If the boundary is a fault, it is only about 30 miles long and much shorter than the Ramapo Fault, which has already been considered in the seismic hazard of the area and in the seismic design of the Indian Point NPPs. The Ramapo Fault was already

considered in a probabilistic seismic hazard assessment (PSHA) covering the Indian Point area. The newly identified boundary/fault would not change the maximum magnitude in the PSHA calculations; the Ramapo already controls that. The vast majority of earthquakes identified in the paper and the general seismicity of the area were known and were used in the US Geological Survey PSHA. Thus, the rate of seismicity used in their PSHA is little changed by the paper. Thus, with the maximum magnitude and the rate of seismicity little changed or unchanged by the paper, the PSHA assessment is not expected to have changed.

This means that the paper would have little overall influence on the perceived hazard near Buchanan, NY. E-mail from Andrew Murphy to Scott Burnell, Diane Screnci, and Neil Sheehan, August 22, 2008 (ADAMS Accession Number ML091530483).

The rate of seismicity of the area used in the USGS PSHA is little changed by the information published in the paper. As the maximum magnitude and the rate of seismicity changed little or was practically unchanged by the information in the paper, the USGS PSHA assessment is not expected to change.

Comment 21: A commenter believes that the NRC, in judging the safety and security of onsite storage for time periods extending to the middle of the next century, should seriously consider the safety of subsequent pick-up and transport of the SNF.

NRC Response: The NRC's regulations establish the safety standards for the design, construction and use of spent fuel transportation packages. See 10 CFR part 71. The NRC conducts rigorous independent reviews to certify that spent fuel transportation packages meet the design standards and test conditions in the regulations. In addition, the NRC reviews and approves the operational procedures and conditions for use of the transport package. These requirements include maintenance of the transport package in full compliance with the NRC-approved package design and material conditions, and the requirements include strict adherence to the NRC-approved operating procedures for the preparation for and loading of the spent fuel transport package. The requirements for use of an NRC-approved spent fuel transport package apply irrespective of how long the spent fuel may have been in interim storage.

Packages that are designed, tested, operated and maintained according to NRC requirements will provide for the safe transport of spent fuel. Spent fuel packages are very robust and are designed to withstand severe accidents. Numerous studies and physical testing programs have demonstrated that the safety standards that the NRC uses to

certify transportation packages provide a very high degree of protection against real world accidents. See NUREG/CR-4829, *Shipping Container Response to Severe Highway and Railway Accident Conditions*; NUREG/CR-6894, *Spent Fuel Transportation Package Response to the Caldecott Tunnel Fire Scenario*; NUREG/CR-6886, *Spent Fuel Transportation Package Response to the Baltimore Tunnel Fire Scenario*; NUREG-0170, *Final Environmental Statement on the Transportation of Radioactive Material by Air and Other Modes*; "Going the Distance? The Safe Transport of Spent Nuclear Fuel and High-Level Radioactive Waste in the United States," National Research Council of the National Academies, National Academies Press, Washington DC, 2006, available at http://www.nap.edu/catalog.php?record_id=11538.

Additionally, the NRC periodically reviews the basis for the transportation regulations to ensure that the regulations continue to provide an adequate level of safety for the shipment of spent fuel. These reviews account for changes in analytical methods, materials, package contents, and operating history. The last periodic review confirmed that initial transportation studies done in the 1970s (which are the basis for the NRC's regulations) contained very conservative assumptions and that the risk to the public from transportation of spent fuel is very low. See NUREG/CR-6672, *Reexamination of Spent Fuel Shipment Risk Estimates*, March 2000. The same robust design features that make spent fuel packages safe also make them secure from terrorist attack.

Comment 22: The Decommissioning Plant Coalition (DPC) noted that in 1990 the Commission expressed support for timely disposal of SNF and HLW and stated that it did not intend to support storage of spent fuel for an indefinitely long period (See 55 FR 38482; September 18, 1990). The DPC urges the Commission to explicitly reaffirm this position and, further, express its expectation that the Federal Government will soon provide a demonstration that it can reach a consensus on a plan to take title to and remove SNF and Greater-Than-Class-C (GTCC) waste from permanently shut-down, single-site facilities. The DPC outlines the burdens imposed on decommissioned sites by continuing long-term onsite storage, such as restricting the property owners and other local stakeholders from other potential uses for the site. The National Association of Regulatory Utility Commissioners agrees with the NRC

that today SNF is better protected than ever, but also believes that the SNF will be even more secure in a centralized interim storage or permanent disposal facility. Similarly, a number of commenters expressed the view that a centralized interim storage facility would be a safe and cost-effective option for managing and storing SNF until a repository is available. The DPC also takes exception to the NRC's "analysis" of difficulties that may block the opening of the Private Fuel Storage (PFS) ISFSI and the NRC's "analysis" of a February 2006 NAS study, in footnote 24 of the proposed update to the Waste Confidence Decision, and would like the footnote eliminated or rewritten.

NRC Response: The Commission continues to support timely disposal of HLW and SNF, but recognizes in this Waste Confidence Decision that storage of SNF may safely continue for at least 60 years beyond the licensed life for operation of a reactor. The Commission agrees that centralized interim storage would be an acceptable method for managing and storing SNF until a repository is available, but determining when DOE will take spent fuel and GTCC wastes from reactor sites and how waste will then be managed are issues for DOE to resolve.

The NRC's proposed update noted that the issuance of a license for the PFS ISFSI confirmed the feasibility of licensing an away-from-reactor ISFSI under 10 CFR Part 72, but also noted that several issues would have to be resolved before the PFS ISFSI could be built and operated (See 73 FR 59566; October 9, 2008). Footnote 24 identified these issues as two approvals from the Department of the Interior and a NAS Report on the transportation of SNF in the United States (National Research Council 2006, *Going the Distance: The Safe Transport of [SNF and HLW] in the United States*). The footnote is not an analysis of these issues; it simply acknowledges issues raised by the Department of the Interior and NAS that need to be addressed. With respect to PFS, the DPC states: "The Commission would do well to comment that it is THE safe and secure licensed facility that should be utilized to reduce waste confidence concerns. You can observe, consistent with historical Commission concerns about dual and multiple regulation, that legislation can effect a reduction in the multiple and redundant political and regulatory jurisdictions over use of such facilities." The license issued to PFS demonstrates that the Commission believes that the facility can be constructed and operated without jeopardizing public health and safety, but it is up to the licensee and

other agencies to resolve issues within their purview that may block construction of the facility.

Issue 8: Miscellaneous Comments

Comment 23: One commenter stated that the proposed rulemaking appears to countenance the stranding of SNF at or near plant sites for up to 150 years or more and contains no effective or reasonable time frame in 20 or so years to revisit this matter, or to contain any form of limitations, guidelines, or other provisions to ensure the ultimate safe and proper disposal of SNF.

NRC Response: The Commission, in its 1999 review of the Waste Confidence Decision, stated that it would consider undertaking a comprehensive reevaluation of the Waste Confidence Findings when the impending repository development and regulatory activities run their course or if significant and pertinent unexpected events occur, raising substantial doubt about the continuing validity of the Waste Confidence Findings (See 64 FR 68005; December 6, 1999). Although those criteria have not triggered this update, it is apparent that the ultimate disposition of the YM application is uncertain. This update reflects the uncertainty regarding the ultimate grant or denial of the YM license by considering the possibility that the license is not granted. For this reason, termination of the YM program would not be a basis for a further review of the Waste Confidence Decision. However, if significant and pertinent unexpected events that raise substantial doubt about the continuing validity of the Waste Confidence Findings occur, the Commission will consider undertaking another review of the Waste Confidence Decision. Further, the Commission has directed the NRC staff to begin an EIS to consider the long-term (greater than 120 years) storage of SNF and HLW and to consider further rulemaking in accordance with the findings of this review. The Commission will revisit the criteria for reopening the Waste Confidence Decision and Rule as part of this longer-term effort.

Comment 24: A commenter stated that the cost of the proposed rule change is only briefly and minimally discussed and expressed the view that there would be significant costs to both ratepayers and taxpayers stemming from storage of this waste for an additional 50 to 60 years at plant sites. The commenter recommended that the full cost of implementing this rule be completely evaluated by the NRC under the NRC's Regulatory Analyses Guidelines and the requirements for assessing the impacts of proposed rules which have a certain

threshold cost. TSEP believes it is not reasonable to assume that the present 1.0 mil per kWh fee will suffice to pay for the U.S. repository program.

NRC Response: The Commission's action of enlarging its generic determination in 10 CFR 51.23(a) by 30 years is not a licensing decision and does not give permission to reactor licensees to store spent fuel that they do not already possess (or may not obtain) under a 10 CFR Part 72 general or specific license. See Response to Comment 6. Finding 4 only states the Commission's reasonable assurance that SNF can be stored safely and without significant environmental impact for at least 60 years beyond the licensed life for operation of any reactor, *if necessary*. The NRC generally provides a Regulatory Analysis for actions that "would affect a change in the use of resources by its licensees." *Regulatory Analysis Guidelines of the U.S. Nuclear Regulatory Commission*, NUREG/BR-0058, 5 (September 2004). A Regulatory Analysis may be appropriate when the NRC is considering placing burdens on its licensees through a licensing or regulatory action (e.g., in the prospective ISFSI security rulemaking), but that is not the case here. The NRC recognizes that many commenters are concerned about the burden placed on ratepayers charged by utilities for the cost of continued storage of SNF at reactor sites and on taxpayers paying the cost of DOE's default in failing to remove SNF from reactor sites as specified in DOE's contracts with the utilities. However, until DOE is able to fulfill its contracts, these burdens will exist irrespective of these updates to the Waste Confidence Decision and Rule; and NRC licensees still have to comply with the NRC's regulations, which continue to provide reasonable assurance that SNF and HLW will be stored safely.

The fee mandated by the NWA that reactor licensees must pay into the Nuclear Waste Fund to provide for eventual disposal of HLW and SNF has so far been more than adequate to support DOE's HLW program with approximately \$25 billion in the Fund as of July 2010. See Statement of Kristina M. Johnson, Undersecretary of Energy, before the Committee on the Budget, U.S. House of Representatives, 1 (July 27, 2010).¹¹ Moreover, the NWA provides a mechanism for increasing the fee if the current fee becomes inadequate to cover costs. See

¹¹ Congress must make annual appropriations for the HLW program from the Fund, so the amount actually available to DOE in any given year is dependent upon the amount appropriated.

Section 302(a)(4) of NWA, 42 U.S.C. 10222 (2006). DOE has periodically issued a total system cost estimate for the disposal program to provide a basis for assessing the adequacy of the fee.¹² See, e.g., *2008 Fee Adequacy Assessment Letter Report*, (January 13, 2009).

Comment 25: A commenter raised the question of how the Commission's expectation that repository capacity can reasonably be expected to be available within 50–60 years beyond the licensed life for operation of any reactor would be met in the case of the Humboldt Bay 3 NPP which was decommissioned in 1976, meaning that 50 years beyond its decommissioning would be 2026. The commenter asked if this meant that SNF would be removed from Humboldt Bay 3 by 2026 and, if so, what is the need for amending Finding 2.

NRC Response: The commenter has confused the end of operation of the reactor with the end of the licensed life for operation. Humboldt Bay 3 was issued a 40-year operating license in 1962. The end of its *licensed life for operation*, therefore, was 2002 and 50 years beyond that would be 2052. Even if a reactor is retired prematurely, resulting in the need to manage and store SNF for a longer period after the end of reactor operation, the Commission is confident, for all the reasons expressed in reaching Findings 3 and 4, that the management and storage of the SNF will be conducted safely and securely without significant impact to the environment.

Comment 26: The Attorney General of New York submitted supplemental comments, many of which are discussed above. These comments did, however, raise an issue that, although similar to other comments, the NRC is addressing here: "Recent actions by the Commission, particularly since 2001, have demonstrated that a significant number of substantial environmental and safety issues related to indefinite storage of spent fuel at the site of shutdown nuclear reactors are specific to the particular reactor and site and cannot be addressed on a generic basis." More generally, the Attorney General argues that there are environmental and safety issues associated with spent fuel storage (not just indefinite storage) that

¹² NRC is aware that there is a pending DC Circuit case—*National Association of Regulatory Utility Commissioners v. DOE*, Nos. 10–1074 and 10–1076 (consolidated) (DC Cir.)—where petitioners have asked the court of appeals to suspend further payments to the nuclear waste fund. The pending DC Circuit litigation relates to Yucca Mountain-related developments. Whatever that litigation's outcome, DOE's fee-adjustment authority would remain in the NWA, available to be exercised in appropriate circumstances.

are site and facility-specific and therefore cannot be addressed through a generic rulemaking. The Attorney General believes that the NRC could address these concerns by permitting States to raise site-specific concerns with respect to issues that are now foreclosed by the Waste Confidence Decision and Rule.

NRC Response: The Attorney General is correct that there may be some issues that cannot be addressed through a generic process like the Waste Confidence Decision. The Commission has long recognized this, even in cases where issues are resolved through a generic rulemaking. Site-specific circumstances may require a site-specific analysis; the Commission has provided for these situations through its regulations in 10 CFR 2.335, which allows parties to adjudicatory proceedings to petition for the waiver of or an exception to a rule in a particular proceeding. These requests require the petitioning party to demonstrate that special circumstances exist so that the application of the rule or regulation would not serve the purposes for which the rule or regulation was adopted.

Further, in the case of license renewal proceedings, the licensee is required to look for and identify “new and significant” information that would put the facility outside of the generic assessment in the GEIS for license renewal; the NRC staff also looks for new and significant information as part of its review. If no new and significant information is found, the staff concludes that the issue is generic and within the environmental impacts of the GEIS. With respect to the ongoing Indian Point license renewal proceeding, where the State of New York is a party, and has raised similar issues in the context of that proceeding, the license renewal proceeding is the proper venue in which to seek a waiver to the Waste Confidence Rule. If the State believes that there are site-specific issues associated with the Indian Point license renewal proceeding, the State should seek a waiver of the rule through that proceeding using the procedures in 10 CFR 2.335.¹³ But the potential that one or more sites might not fall under the generic determination in the Waste Confidence Decision and Rule is not sufficient reason for the Commission to

require to a site-specific analysis for all sites. The 10 CFR 2.335 waiver process is intended to address the circumstances that the Attorney General claims are present at Indian Point; and the adjudicatory proceeding for the Indian Point license renewal, not this rulemaking, is the proper venue to raise these issues.

Comment 27: The Attorney General of New York’s supplemental comments raised two new “conclusions” to support its original comments:

Subsequent to 2001, the Commission has abandoned any attempt to treat safety and environmental issues associated with spent fuel storage at reactor sites on a generic basis. Rather, the Commission, operating through its regulatory staff, has ordered implementation of site-specific mitigation measures for each reactor to address concerns with spent fuel storage. NRC has acknowledged that there are differences in spent fuel pool designs and capabilities. NRC has also required the implementation of site-specific mitigation measures in response to Congressional directives to NRC to develop site-specific analyses and measures for each spent fuel pool. Moreover, while these mitigation measures have been the subject of extensive discussion between NRC and industry, their details have not been disclosed to the States, and there has not been any opportunity for public input regarding the adequacy of the measures being taken or even whether measures are being taken to address all the potential environmental and safety issues associated with spent fuel storage at reactors sites or whether more effective alternatives are available.

And

Previous indications that the Yucca Mountain waste repository would never come to fruition have now become more certain as the funding for the program has been removed from the proposed federal budget and DOE staff have publicly stated that the project will not go forward.

NRC Response: Contrary to the State’s assertion, the NRC continues to treat some issues associated with spent fuel storage on a generic basis; the Commission’s approval of these updates to the Waste Confidence Decision and Rule are evidence of that fact. To the extent that the Attorney General’s comments relate to the license renewal process at Indian Point, the Commission has a process in place to ensure that generic issues at specific sites under review for license renewal are, in fact, generic. Although spent fuel storage is a Category 1 (generic) issue and does not require a site-specific evaluation, the licensee and the staff both evaluate these generic issues to ensure that there is no new and significant information that would require a site-specific analysis for these issues. To the extent that the rest of the Attorney General’s

conclusion raises issues associated with the Indian Point license renewal, this rulemaking is not the appropriate venue to raise these issues; the State should raise these concerns in its capacity as a party to the Indian Point relicensing proceeding.

As acknowledged in the Attorney General’s conclusion, the Commission discussed the relationship between the YM repository and the draft final updates to the Waste Confidence Decision and Rule in the attachments to SECY-09-0090. In these documents (the draft final Decision and Rule), the Commission discussed how the Waste Confidence Decision and Rule *assume that YM will not be opened as a repository*. This conclusion continues in these documents: The Waste Confidence Decision and Rule assume that YM is not an option. As the Commission states throughout this document and has stated on multiple occasions, the availability of the YM repository has no bearing on the outcome of this rulemaking or update to the Waste Confidence Decision.

Evaluation of Waste Confidence Findings

Having considered and addressed the comments received on the Commission’s proposed updates to the Waste Confidence Decision and Rule, the Commission now reexamines the 1984 and 1990 bases for its findings and supplements those bases with an evaluation of events and issues that have arisen since 1990 and affect the findings.

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¹³ On July 8, 2010, the Commission directed the ASLB to deny admission of two new contentions regarding waste confidence in the Indian Point proceeding. The Commission explained that it has been longstanding policy to preclude initiating litigation on issues that will soon be resolved generically. See *In the Matter of Entergy Nuclear Operations, Inc.* (Indian Point Nuclear Generating Units 2 and 3), CLI-10-19, 2010 WL 2753785 (2010).

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I. Finding 1: The Commission Finds Reasonable Assurance That Safe Disposal of High-Level Radioactive Waste and Spent Fuel in a Mined Geologic Repository Is Technically Feasible

A. Bases for Finding 1

The Commission reached this finding in 1984 and reaffirmed it in 1990. The focus of this finding is on whether safe disposal of HLW and SNF is technically possible using existing technology and without a need for any fundamental breakthroughs in science and technology. To reach this finding, the Commission considered the basic features of a repository designed for a multi-barrier system for waste isolation and examined the problems that the DOE would need to resolve as part of a final design for a mined geologic repository. The Commission identified three major technical problems: (1) The selection of a suitable geologic setting as host for a technically acceptable repository site; (2) the development of waste packages that will contain the waste until the fission products are greatly reduced; and (3) the development of engineered barriers, such as backfilling and sealing of the drifts and shafts of the repository, which can effectively retard migration of radionuclides out of the repository (49 FR 34667; August 31, 1984).

DOE's selection of a suitable geologic setting is governed by the NWPA. DOE explored potential repository sites before the NWPA was enacted, but that Act set in place a formal process and schedule for the development of two geologic repositories. The following brief summary of key provisions of this Act may assist readers in understanding DOE's process for locating a suitable geologic setting.

As initially enacted, the Nuclear Waste Policy Act of 1982 directed DOE to issue guidelines for the recommendation of sites and then to nominate at least five sites as suitable for site characterization for selection as the first repository site and, not later than January 1, 1985, to recommend three of those sites to the President for characterization as candidate sites. Nuclear Waste Policy Act of 1982, § 112, 96 Stat. 2201 (1983) (current version at 42 U.S.C. 10132 (2006)). Not later than July 1, 1989, DOE was to again nominate five sites and recommend three of them to the President for characterization for selection as the second repository. *Id.* DOE was then to carry out site characterization activities for the approved sites. Nuclear Waste Policy Act of 1982, § 113, 96 Stat. 2201 (1983) (current version at 42 U.S.C. 101323 (2006)). Following site characterization, DOE was to recommend sites to the President as suitable for development as repositories and the President was to recommend one site to the Congress by March 31, 1987, and another site by March 31, 1989, for development as the first two repositories. Nuclear Waste Policy Act of 1982, § 114, 96 Stat. 2201 (1983) (current version at 42 U.S.C. 10134 (2006)). States and affected Indian tribes were given the opportunity to object, but if the recommendations were approved by Congress, DOE was to submit applications for a construction authorization to the NRC. *Id.* The NRC was given until January 1, 1989, to reach a decision on the first application, and until January 1, 1992, on the second. The Commission was directed to prohibit the emplacement in the first repository of more than 70,000 MTHM until a second repository was in operation. *Id.* The NWPA, *inter alia*, restricted site characterization solely to a site at Yucca Mountain, NV (YM) and terminated the program for a second repository. The NWPA provided that if DOE at any time determines Yucca Mountain to be unsuitable for development as a repository, DOE must report to Congress its recommendations for further action to ensure the safe, permanent disposal of SNF and HLW, including the need for new legislation. Section 113 of NWPA, 42 U.S.C. 10133 (2006).

In 1984, the Commission reviewed DOE's site exploration program and concluded that it was providing information on site characteristics at a sufficiently large number and variety of sites and geologic media to support the expectation that one or more technically acceptable sites would be identified (49 FR 34668; August 31, 1984). In 1990, the

Commission noted that the 1987 amendment of the Nuclear Waste Policy Act of 1982, which focused solely on the YM site, could cause considerable delay in opening a repository if that site were found not suitable for licensing. But the possibility of that delay did not undermine the Commission's confidence that a technically acceptable site would be located, either at YM or elsewhere. The Commission observed that the NRC staff had provided extensive comments on DOE's draft environmental assessments of the nine sites it had identified as being potentially acceptable and on the final environmental assessments for the five sites nominated.¹⁴ The NRC had not identified any fundamental technical flaws or disqualifying factors that would render any of the sites unsuitable for characterization or potentially unlicenseable, although the NRC noted that many issues would need to be resolved during site characterization for YM or any other site (55 FR 38486; September 18, 1990).

With respect to the development of effective waste packages, the Commission, in 1984, reviewed DOE's scientific and engineering program on this subject. The Commission also considered whether the possibility of renewed reprocessing of SNF could affect the technical feasibility of the waste package because it would need to consider waste form other than spent fuel. The Commission concluded that the studies by DOE and others demonstrated that the chemical and physical properties of SNF and HLW can be sufficiently understood to permit the design of a suitable waste package and that the possibility of commercial reprocessing would not substantially affect this conclusion (49 FR 34671; August 31, 1984). In 1990, the Commission reviewed DOE's continued research and experimentation on waste packages, which primarily focused on work in Canada and Sweden. The NRC noted that the DOE had narrowed the range of waste package designs to a design tailored for unsaturated tuff¹⁵ at the YM site due to the 1987 redirection of the HLW program. The NRC also noted that some reprocessing wastes from the defense program and the West Valley Demonstration Project were now

¹⁴ Under the program established by the initial NWPA, DOE had nominated sites at Hanford WA, Yucca Mountain, NV, Deaf Smith County, TX, Davis Canyon, UT, and Richton Dome, MS, and had recommended the first 3 sites for site characterization.

¹⁵ Tuff is a type of rock consisting of successive layers of fine-grained volcanic ash. See DOE/RW-0573, Rev. 0 *Yucca Mountain Repository GI*. (ADAMS Accession Numbers ML081560408, ML081560409, and ML081560410).

anticipated to be disposed of in the repository. The NRC remained confident that, given a range of waste forms and conservative test conditions, the technology is available to design acceptable waste packages (55 FR 38489; September 18, 1990).

With respect to the development of effective engineered barriers, the Commission's confidence in 1984 rested upon its consideration of DOE's ongoing research and development activities regarding backfill materials and borehole and shaft sealants, which led the Commission to conclude that these activities provided a basis for reasonable assurance that engineered barriers can be developed to isolate or retard radioactive material released by the waste package (49 FR 34671; August 31, 1984). In 1990, although DOE's research had narrowed to focus on YM, the Commission continued to have confidence that backfill or packing materials can be developed as needed for the underground facility and waste package and that an acceptable seal can be developed for candidate sites in different geologic media (55 FR 38489–38490; September 18, 1990).

B. Evaluation of Finding 1

Today, the scientific and technical community engaged in waste management continues to have high confidence that safe geologic disposal is achievable with currently available technology. See, e.g., National Research Council, *Technical Bases for Yucca Mountain Standards*, 1995. No insurmountable technical or scientific problem has emerged to disturb this confidence that safe disposal of SNF and HLW can be achieved in a mined geologic repository. To the contrary, there has been significant progress in the scientific understanding and technological development needed for geologic disposal over the past 18 years. There is now a much better understanding of the processes that affect the ability of repositories to isolate waste over long periods. *Id.* at 71–72; International Atomic Energy Agency (IAEA), “Scientific and Technical Basis for the Geologic Disposal of Radioactive Wastes, Technical Reports Series No. 413,” 2003. The ability to characterize and quantitatively assess the capabilities of geologic and engineered barriers has been repeatedly demonstrated. NRC, “Disposal of High-Level Radioactive Wastes in a Proposed Geologic Repository at Yucca Mountain, Nevada; Proposed Rule,” (64 FR 8640, 8649; February 22, 1999); Organization for Economic Cooperation and Development, Nuclear Energy Agency,

“Lessons Learned from Ten Performance Assessment Studies,” 1997. Specific sites have been investigated and extensive experience has been gained in underground engineering. IAEA, “Radioactive Waste Management Studies and Trends, IAEA/WMDB/ST/4,” 2005; IAEA, “The Use of Scientific and Technical Results from Underground Research Laboratory Investigations for the Geologic Disposal of Radioactive Waste, IAEA–TECDOC–1243,” 2001. These advances and others throughout the world continue to confirm the soundness of the basic concept of deep geologic disposal. IAEA, “Joint Convention on Safety of Spent Fuel Management and on Safety of Radioactive Waste Management, INFCIRC/546,” 1997.

In the United States, the technical approach for safe HLW disposal has remained unchanged for several decades: Use a deep geologic repository containing natural barriers to hold canisters of HLW with additional engineered barriers to further retard radionuclide release. Although some elements of this technical approach have changed in response to new knowledge (e.g., engineered backfill was removed as a design concept for YM in the late 1990s in response to enhanced understandings of heat and water transfer processes in the near-field drift environment), safe disposal still appears to be feasible with current technology. In 1998, DOE conducted assessments for long-term performance of a potential repository at YM (DOE/RW–0508, Viability Assessment) and 2002 (DOE/RW–0539, Site Recommendation). These assessments used existing technology and available scientific information and did not identify areas where fundamental breakthroughs in science or technology were needed to support safe disposal.

With respect to the issue of identifying a suitable geologic setting as host for a technically acceptable site, DOE made its suitability determination for the YM site in 2002. On June 3, 2008, DOE submitted the application for construction authorization to the NRC and on September 8, 2008, NRC staff notified DOE that it found the application acceptable for docketing (73 FR 53284; September 15, 2008). Whether YM is technically acceptable must await the outcome of an NRC licensing proceeding, which, if completed, would rule on the technical acceptability of a repository at YM. Even if DOE does not construct a repository at YM, this would not change the fact that the Commission continues to have reasonable assurance that the technology exists today to safely dispose

of SNF and HLW in a geologic repository. Although the 1987 amendments to NWPA barred DOE from continuing site investigations elsewhere, the U.S. Congress's decision to focus solely on YM was not based on any finding that any of the other sites were unsuitable for technical reasons; rather, the decision was aimed at controlling the costs of the HLW program (55 FR 38486; September 18, 1990).

Repository programs in other countries, which could inform the U.S. program, are actively considering crystalline rock, clay, and salt formations as repository host media. IAEA, “Radioactive Waste Management Status and Trends, IAEA/WMDB/ST/4,” 2005; IAEA, “The Use of Scientific and Technical Results from Underground Research Laboratory Investigations for the Geologic Disposal of Radioactive Waste, IAEA–TECDOC–1243,” 2001. Many of these programs have researched these geologic media for several decades. Although there are relative strengths to the capabilities of each of these potential host media, no geologic media previously identified as a candidate host, with the exception of salt formations for SNF, has been ruled out based on technical or scientific information. Salt formations are being considered as hosts only for reprocessed nuclear materials because heat-generating waste, like SNF, exacerbates a process by which salt can rapidly deform. This process could cause problems with keeping drifts stable and open during the operating period of a repository.

In 2001, the NRC amended its regulations to include a new 10 CFR part 63, “Disposal of High-Level Radioactive Wastes in a Geologic Repository at Yucca Mountain, Nevada,” (66 FR 55732; November 2, 2001).

Part 63 requires use of both natural and engineered barriers to meet overall total system performance objectives without pre-determined subsystem performance requirements, which are required in 10 CFR part 60.¹⁶ Accordingly, U.S. research and development activities have focused on understanding the long-term capability of natural and engineered barriers, which can prevent or substantially reduce the release rate of radionuclides

¹⁶ NRC's regulations at 10 CFR part 63 apply only to the proposed repository at YM. NRC's regulations at 10 CFR part 60, “Disposal of High-Level Radioactive Wastes in Geologic Repositories,” govern the licensing of any repository other than one located at YM. However, at the time part 63 was proposed, the Commission indicated it would consider revising Part 60 if it seemed likely to be used in the future. (64 FR 8640, 8643; February 22, 1999).

from a potential repository system. Although the performance of individual barriers may change over time, the overall performance of the total system is required to be acceptable throughout the performance period of the repository. In this context of total system performance, research and development has found that it appears technically possible to design and construct a waste package and an engineered barrier system that, in conjunction with natural barriers, could prevent or substantially reduce the release rate of radionuclides from a potential repository system during the performance period. NRC, "Disposal of High-Level Radioactive Wastes in a Proposed Geologic Repository at Yucca Mountain, Nevada; Proposed Rule," (64 FR 8649; February 22, 1999); IAEA, "Joint Convention on Safety of Spent Fuel Management and on Safety of Radioactive Waste Management, INFCIRC/546," 1997.

Since the Commission last considered Waste Confidence, the NRC has issued design certifications for new reactors under its regulations at 10 CFR part 52, "Early Site Permits; Standard Design Certifications; and Combined Licenses for Nuclear Power Plants," and is currently reviewing several plant designs in response to applications for design certifications. The NRC is also considering COL applications for nuclear power plants that reference these certified and under-review designs. These facilities would use the same or similar fuel assembly designs as the nuclear power plants currently operating in the United States. If these new facilities use a new fuel type or different cladding, then it may be necessary to modify the design of a repository to accommodate these changes. But if limited reliance is placed on the barrier capabilities of cladding or fuel type to comply with repository safety requirements, then minimal design changes may be needed to accommodate new types of SNF or cladding. As such, the new reactor designs and specific license applications currently under review would not raise issues as to the technical feasibility of repository disposal.

The NRC is also engaged in preliminary interactions with DOE and possible reactor vendors proposing advanced reactor designs that are different from the currently operating light-water reactors. Some of these advanced reactors use gas-cooled or liquid metal cooled technologies and have fuel and reactor components that might require different transportation and storage containers. Geometric,

thermal, and criticality constraints could conceivably require a design modification to disposal containers from those currently proposed for YM. Nevertheless, the technical requirements for disposal of advanced reactor components appear similar to the requirements for disposal of components for current light-water reactors. For example, DOE had planned to dispose of spent fuel at YM from both gas-cooled (Peach Bottom 1) and liquid-metal cooled (Fermi 1) reactors, using the same basic technological approach as for SNF from light-water reactors. Although radionuclide inventory, fuel matrix, and cladding characteristics for advanced fuels might be different from current light-water reactors, the safe disposal of advanced fuel appears to involve the same scientific and engineering knowledge as used for fuel from current light-water reactors.

There is currently a high uncertainty regarding the growth of advanced reactors in the U.S. In the licensing strategy included in a joint report to Congress in August 2008 from the NRC and the DOE for the next generation nuclear plant (NGNP) program, the agencies found that an aggressive licensing approach may lead to operation of a prototype facility in 2021. (ADAMS Accession Number ML082290017). Based on comparison with current disposal strategies for fuel from existing gas cooled or liquid-metal cooled reactors, the NRC is confident that current technology is adequate to support the safe disposal of spent fuel from a potential prototype facility. Small modular light-water reactors being developed will use fuel very similar in form and materials to the existing operating reactors and will not, therefore, introduce new technical challenges to the disposal of spent fuel. In addition to the NGNP activities related to the prototype reactor, various activities, such as DOE's Fuel Cycle Research and Development Program, are underway to evaluate fuel cycle alternatives that could affect the volume and form of waste from the prototype reactor or other nuclear reactor designs. The need to consider waste disposal as part of the overall research and development activities for advanced reactors is recognized and included in the activities of designers, the DOE, and the NRC. See, e.g., DOE Nuclear Energy Research Advisory Committee and the Generation IV International Forum, "A Technology Roadmap for Generation IV Nuclear Energy Systems," December 2002.

Based on the above discussion, including its response to the public

comments, the Commission reaffirms Finding 1.

II. Finding 2 (1990): The Commission Finds Reasonable Assurance That at Least One Mined Geologic Repository Will Be Available Within the First Quarter of the Twenty-First Century, and That Sufficient Repository Capacity Will Be Available Within 30 Years Beyond the Licensed Life for Operation (Which May Include the Term of a Revised or Renewed License) of Any Reactor To Dispose of the Commercial High-Level Radioactive Waste and Spent Fuel Originating in Such Reactor and Generated Up to That Time

A. Bases for Finding 2

In the 1984 and 1990 Waste Confidence Decisions, the dual objectives of this finding were to predict when a repository will be available for use and to predict how long spent fuel may need to be stored at a reactor site until repository space is available for the spent fuel generated at that reactor. With respect to the first prediction, the Commission's focus in 1984 was on the years 2007–2009—the years during which the operating licenses for the Vermont Yankee¹⁷ and Prairie Island¹⁸ nuclear power plants would expire.¹⁹ In 1984, DOE anticipated that the first repository would begin operation in 1998 and the second in 2004. But the NRC concluded that technical and institutional uncertainties made it preferable to focus on the 2007–2009 time period. The technical uncertainties involved how long it would take DOE to locate a suitable geologic setting for a potentially technically acceptable repository and how long it would take to develop an appropriate waste package

¹⁷The Commission amended Vermont Yankee's operating license on January 23, 1991, to extend the expiration date of the license to 2012. (56 FR 2568; January 24, 1991). Vermont Yankee has applied for a license renewal, which is being reviewed by the Commission and would extend the plant's operating license for 20 years. <http://www.nrc.gov/reactors/operating/licensing/renewal/applications.html> (last visited September 15, 2010).

¹⁸The Commission amended Prairie Island 1 and 2's operating licenses on September 23, 1986, to extend the expiration date of the licenses to August 9, 2013, and October 29, 2014 (ADAMS Accession Number ML022200335). Prairie Island 1 and 2 have applied for license renewals, which are being reviewed by the Commission and would extend the plants' operating licenses for 20 years. <http://www.nrc.gov/reactors/operating/licensing/renewal/applications.html> (last visited September 15, 2010).

¹⁹Under the court remand that precipitated the initial waste confidence review, NRC was required to consider whether there was reasonable assurance that an offsite storage solution would be available by the years 2007–2009 and, if not, whether there was reasonable assurance that the spent fuel could be stored safely at those sites beyond those dates. See *State of Minnesota v. NRC*, 602 F.2d 412, 418 (DC Cir. 1979).

and engineered barriers. The Commission expressed the view that despite early delays, DOE's program was on track and, under the impetus given by the recently-enacted NWPA, would timely resolve the technical problems (49 FR 34674–34675; August 31, 1984).

The Commission also identified institutional uncertainties that needed to be resolved: (1) Measures for dealing with Federal-state disputes; (2) An assured funding mechanism that would be sufficient over time to cover the period for developing a repository; (3) An organizational capability for managing the HLW program; and (4) A firm schedule and establishment of responsibilities. The Commission expressed its confidence in the ability of the provisions of the then recently-passed NWPA to timely resolve these uncertainties (49 FR 34675–34679; August 31, 1984).

With respect to the second prediction, the NRC reviewed DOE's estimates of the amount of installed generating capacity of commercial nuclear power plants in the year 2000 and concluded that the total amount of spent fuel that would be produced during the operating lifetimes of these reactors would be about 160,000 MTHM. To accommodate this volume of spent fuel, the NRC assumed that two repositories would be needed. The NRC calculated that if the first repository began to receive SNF in 2005 and the second in 2008, then all the SNF would be emplaced by about 2026. This would mean that sufficient repository capacity would be available within 30 years beyond the expiration of any reactor license for disposal of its SNF (49 FR 34679; August 31, 1984).

In reviewing these predictions in 1990, the Commission faced a considerably changed landscape. First, DOE's schedule for the availability of a repository had slipped several times so that its then-current projection was 2010. Second, Congress's 1987 amendment of NWPA had confined site characterization to the YM site, meaning that there were no "back-up" sites being characterized in case the YM site was found unsuitable or unlicenseable. Finally, site characterization activities at YM had not proceeded without problems, notably in DOE's schedule for subsurface exploration and in development of its quality assurance program. Given these considerations, the Commission found it would not be prudent to reaffirm its confidence in the availability of a repository by 2007–2009 (55 FR 38495; September 18, 1990).

Instead, the Commission found that it would be reasonable to assume that DOE could make its finding whether

YM was suitable for development of a repository by the year 2000. The Commission was unwilling to assume that DOE would make a finding of suitability (which would be necessary for a repository to be available by 2010). To establish a new time frame for repository availability, the Commission made the assumption that DOE would find the YM site unsuitable by the year 2000 and that (as DOE had estimated) it would take 25 years for a repository to become available at a different site. The Commission then considered whether it had sufficient bases for confidence that a repository would be available by 2025 using the same technical and institutional criteria it had used in 1984. The Commission found no reason to believe that another potentially technically acceptable site could not be located if the YM site were found unsuitable. The development of a waste package and engineered barriers was tied to the question of the suitability of the YM site, but the NRC found no reason to believe that a waste package and engineered barriers could not be developed for a different site by 2025, if necessary (55 FR 38495; September 18, 1990).

The institutional uncertainties were perhaps more difficult to calculate. The Commission acknowledged that DOE's efforts to address the concerns of states, local governments, and Indian tribes had met with mixed results. Nevertheless, the Commission retained its confidence that NWPA had achieved the proper balance between providing for participation by affected parties and providing for the exercise of Congressional authority to carry out the national program for waste disposal (55 FR 38497; September 18, 1990). Similarly, the Commission believed that management and funding issues had been adequately resolved by NWPA and would not call into question the availability of a repository by 2025 (55 FR 38497–38498; September 18, 1990). Thus, except for the schedule, the Commission was confident that the HLW program set forth in the NWPA would ultimately be successful.

The Commission also considered whether the termination of activities for a second repository, combined with the 70,000 MTHM limit for the first repository, together with its new projection of 2025 as the date for the availability of a repository, undermined its assessment that sufficient repository capacity would be available within 30 years beyond expiration of any reactor operating license to dispose of the SNF originating in such reactor and generated up to that time (55 FR 38501–38504; September 18, 1990). The

Commission noted that almost all reactor licenses would not expire until sometime in the first three decades of the twenty-first century and license renewal was expected to extend the terms of some of these licenses. Thus, a repository was not needed by 2007–2009 to provide disposal capacity within 30 years beyond expiration of most operating licenses.²⁰ The Commission acknowledged, however, that it appeared likely that two repositories would be needed to dispose of all the SNF and HLW from the current generation of reactors unless Congress provided statutory relief from the 70,000 MTHM limit for the first repository and unless the first repository had adequate capacity to hold all the SNF and HLW generated. This was because DOE's 1990 spent fuel projections, which assumed that no new reactors would be constructed, called for 87,000 MTHM to be generated by 2036. The Commission believed that that assumption probably underestimated the expected total spent fuel discharges due to the likelihood of reactor license renewals.

Further, the Commission expressed the belief that if the need for a second repository was established, Congress would provide the needed institutional support and funding, as it had for the first repository.²¹ The Commission reasoned that if work began on the second repository program in 2010, that repository could be available by 2035. Two repositories available in approximately 2025 and 2035, each with acceptance rates of 3400 MTHM/year within several years after commencement of operations, would provide assurance that sufficient repository capacity will be available within 30 years of operating license expiration for reactors to dispose of the spent fuel generated at their sites up to that time. The Commission concluded that a second repository, or additional capacity at the first repository, would be

²⁰ NRC identified Dresden 1, licensed in 1959, as the earliest licensed power reactor and noted that 30 years beyond its licensed life for operation would be 2029 and that it was possible, if a repository were to become available by 2025, for all the Dresden 1 SNF to be removed from that facility by 2029 (55 FR 38502; September 18, 1990).

²¹ DOE was statutorily required to report to the President and to Congress on the need for a second repository between January 1, 2007, and January 1, 2010. Section 161 of NWPA, 42 U.S.C. 10172a. DOE submitted the report to Congress in December 2008. The report recommended that Congress remove the 70,000 MTHM limit for the YM repository, but Congress has not yet responded to the recommendation. The Report to the President and the Congress by the Secretary of Energy on the Need for a Second Repository, 1, (2008) available at http://www.energy.gov/media/Second_Repository_Rpt_120908.pdf (last visited October 16, 2010).

needed only to accommodate the additional quantity of spent fuel generated during the later years of reactors operating under a renewed license. The Commission stated that the availability of a second repository would permit spent fuel to be shipped offsite well within 30 years after expiration of these reactors' operating licenses and that the same would be true of the spent fuel discharged from any new generation of reactor designs (55 FR 38503-38504; September 18, 1990).

The Commission acknowledged that there were several licenses that had been prematurely terminated where it was possible that SNF would be stored more than 30 years beyond the effective expiration of the license and that there could be more of these premature terminations. But the Commission remained confident that in these cases the overall safety and environmental impacts of extended spent fuel storage would be insignificant. The Commission found that spent fuel could be safely stored for at least 100 years (Finding 4)²² and that spent fuel in at-reactor storage would be safely maintained until disposal capacity at a repository was available (Finding 3). The Commission emphasized that it had not identified a date by which a repository must be available for health and safety reasons. Under the second part of Finding 2, safe management and safe storage would not need to continue for more than 30 years beyond expiration of any reactor's operating license because sufficient repository capacity was expected to become available within those 30 years (55 FR 38504; September 18, 1990).

B. Evaluation of Finding 2

As explained previously, the Commission based its estimate in 1990—that at least one geologic repository would be available within the first quarter of the twenty-first century—on an assumption that DOE would make its suitability determination under section 114 of NWPA around 2000. To avoid being put in the position of assuming the suitability of the YM site, the Commission then assumed that DOE would find that site unsuitable and, as DOE had estimated, that it would take 25 years before a repository could become available at an alternate site.

²² The Commission conservatively assumed that licenses would be renewed for 30-year terms (55 FR 38503; September 18, 1990). Thus, the initial 40-year term of the operating license, plus 30 years for the renewed operating license term and 30 years beyond the expiration of the renewed license amounts to storage for at least 100 years.

The DOE made its suitability determination in early 2002 and found the YM site suitable for development as a repository.²³ Although DOE's application for a construction authorization for a repository was considerably delayed from the schedule set out in the NWPA,²⁴ on June 3, 2008, the DOE submitted the application to the NRC and on September 8, 2008, the NRC staff notified the DOE that it found the application acceptable for docketing (73 FR 53284; September 15, 2008). Although the licensing proceeding for the YM repository is ongoing, DOE and the Administration have made it clear that they do not support construction of Yucca Mountain. On March 3, 2010, the DOE filed its Notice of Withdrawal with the Atomic Safety and Licensing Board (ASLB) that is presiding over the Yucca Mountain licensing proceeding (ADAMS Accession Number ML100621397). On June 29, 2010, the ASLB denied the Department's motion; and on June 30, 2010, the Secretary of the Commission invited the parties to file briefs regarding whether the Commission should review, reverse, or uphold the ASLB's decision (ADAMS Accession Numbers ML101800299 and ML101810432). The Commission has not yet issued its decision.

In 2005, the State of Nevada filed a petition for rulemaking with the NRC (PRM-51-8) that questioned whether continued use of the 2025 date, in effect, indicated prejudgment of the outcome of any licensing proceeding that might be held. The Commission rejected this notion in its denial of the petition:

Even if DOE's estimate as to when it will tender a license application should slip further, the 2025 date would still allow for unforeseen delays in characterization and licensing. It also must be recognized that the Commission remains committed to a fair and comprehensive adjudication and, as a result, there is the potential for the Commission to deny a license for the Yucca Mountain site based on the record established in the

²³ On February 14, 2002, the Secretary of Energy recommended the YM site for the development of a repository to the President thereby setting in motion the approval process set forth in sections 114 and 115 of the NWPA. See 42 U.S.C. 10134(a)(1); 10134(a)(2); 10135(b), 10136(b)(2) (2006). On February 15, 2002, the President recommended the site to Congress. On April 8, 2002, the State of Nevada submitted a notice of disapproval of the site recommendation to which Congress responded on July 9, 2002, by passing a joint resolution approving the development of a repository at YM, which the President signed on July 23, 2002. See Public Law 107-200, 116 Stat. 735 (2002) (codified at 42 U.S.C. 10135 note (Supp. IV 2004)).

²⁴ Section 114(b) of NWPA directs the Secretary of Energy to submit a construction authorization application to NRC within 90 days of the date the site designation becomes effective. 42 U.S.C. 10134(b).

adjudicatory proceeding. That commitment is not jeopardized by the 2025 date for repository availability. The Commission did not see any threat to its ability to be an impartial adjudicator in 1990 when it selected the 2025 date even though then, as now, a repository could only become available if the Commission's decision is favorable. Should the Commission's decision be unfavorable and should DOE abandon the site, the Commission would need to reevaluate the 2025 availability date, as well as other findings made in 1990. *State of Nevada; Denial of a Petition for Rulemaking* (70 FR 48329, 48333; August 17, 2005); *affirmed, Nevada v. NRC*, 199 Fed. Appx. 1 (DC Cir., Sept. 22, 2006).

In the absence of an unfavorable NRC decision or DOE's abandonment of the site, the Commission found no reason to reopen its Waste Confidence Decision. Now that it appears uncertain whether the YM project will ever be constructed, the Commission would have adequate reasons to reopen the Waste Confidence Decision; but the Commission, in any event, had already decided to revisit its decision before DOE filed its motion to withdraw.

The initial decision to revisit the Waste Confidence Decision was supported by the recommendations of the Combined License Review Task Force Report. In its June 22, 2007 SRM on that report, the Commission approved rulemaking to resolve generic issues associated with combined license applications. SRM-COMDEK-07-0001/COMJSM-07-0001—Report of the Combined License Review Task Force (ADAMS Accession Number ML071760109). In a subsequent SRM, issued on September 7, 2007, the Commission expressed the view that a near-term update to the Waste Confidence Findings was appropriate. SRM—Periodic Briefing on New Reactor Issues (ADAMS Accession Number ML072530192). The staff, in its response to these SRMs, recognized that there would likely be long-term inefficiencies in combined license application proceedings due to the need to respond to potential questions and petitions directed to the existing Waste Confidence Decision and committed to evaluate possible updates to the decision.²⁵ See Memorandum from Luis

²⁵ Challenges to 10 CFR 51.23 in individual COL proceedings would likely be addressed through application of 10 CFR 2.335, "Consideration of Commission rules and regulations in adjudicatory proceedings." This rule generally prohibits attacks on NRC rules during adjudicatory proceedings, but does allow a party to an adjudicatory proceeding to petition that application of a specified rule be waived or an exception made for the particular proceeding. 10 CFR 2.335(b). The sole grounds for a waiver or exception is that "special circumstances with respect to the subject matter of the particular proceeding are such that the application of the rule

A. Reyes, Executive Director for Operations, to the Commissioners, "Rulemakings that Will Provide the Greatest Efficiencies to Complete the Combined License Application Reviews in a Timely Manner," December 17, 2007, at 3 (ADAMS Accession Number ML073390094).

Based upon these and more recent developments, undertaking a public rulemaking proceeding now to consider revisions to the Waste Confidence Decision and Rule is appropriate and has allowed sufficient time to conduct a studied and orderly reassessment and to revise and update the findings and rule. In particular, the Commission has been able to consider alternative time frames (including no specific time frame) that would provide reasonable assurance for the availability of a repository. Further, the Commission does not believe that any of the developments since it issued its proposed update and proposed rule would require it to revise any of its proposed findings—the alternative to proposed Finding 2 that the Commission approves in this update to the Waste Confidence Decision was proposed as part of the initial proposed rulemaking and update (73 FR 59561; October 9, 2008). Although none of the developments in the last year *requires* the Commission to revise any of the proposed findings, the Commission does believe that recent developments make it imprudent to continue to include a target date in Finding 2. Therefore, as discussed in the response to Comment 9, the Commission has decided to remove the target date from Finding 2 and to express its confidence that a repository will be available when necessary. The proposed findings assumed that YM would not be built and that DOE would have to select a new repository site. The proposal to eliminate the YM project simply reinforces the appropriateness of revisiting the 1990 decision at this time.

In response to developments involving YM, as well as for other reasons, the Secretary of Energy appointed the Blue Ribbon Commission on America's Nuclear Future to assess the state of SNF storage and disposal in the United States. Because of the decades of scientific studies supporting the use of a geologic repository for the disposal of HLW and SNF, the Commission believes that the Blue Ribbon Commission could conclude that geologic disposal remains the

preferred course of action. Further, the NWSA still mandates a national repository program, and until the law is changed disposal in a repository remains the controlling policy. But if the Blue Ribbon Commission were to recommend an option that does not involve eventual geologic disposal of waste in a repository and the Congress were to amend the NWSA to change the national policy, then the Commission would likely have to revisit the Waste Confidence Decision.

One possible approach to revising Finding 2 might be to set the expected availability of a new repository at a time around 25 years after the conclusion of the YM licensing process in accordance with DOE's 1990 estimate of the time it would take to make a repository available at a different site. But the Commission rejected this approach when denying the Nevada petition:

[T]he use of a Commission acceptability finding as the basis for repository availability is impossible to implement because it would require the Commission to prejudge the acceptability of any alternative to Yucca Mountain in order to establish a reasonably supported outer date for the Waste Confidence finding. That is, if the Commission were to assume that a license for the Yucca Mountain site might be denied in 2015 and establish a date 25 years hence for the "availability" of an alternative repository (*i.e.*, 2040), it would still need to presume the "acceptability" of the alternate site to meet that date (70 FR 48333; August 17, 2005).

Another approach, which the Commission included in its proposed Finding 2, would be to revise the finding to include a target date or time frame for which it now seems reasonable to assume that a repository would be available. A target date for when a disposal facility can reasonably be expected to be available would result from an examination of the technical and institutional issues that would need to be resolved before a repository could be available. The target date approach would be consistent with the HLW disposal programs in other countries, as explained below.

But the Commission has concerns about the use of this approach and has not adopted it. A target date requires the Commission to have reasonable assurance of when a repository will become available, and without the resolution of the political and societal issues associated with the siting and construction of a repository, the Commission cannot reasonably predict that a repository can and will become available within a specific time frame. The Commission does, however, believe that a repository can be constructed within 25–35 years of a Federal decision

to construct a repository. Further, given the ongoing activities of the Blue-Ribbon Commission, events in other countries, the viability of safe long-term storage for at least 60 years (and perhaps longer) after reactor licenses expire, and the Federal Government's statutory obligation to develop a HLW repository, the Commission has confidence that a repository will be made available well before any safety or environmental concerns arise from the extended storage of spent nuclear fuel and high-level waste. In other words, a repository will be available when necessary.

It must be emphasized that the removal of a target date from Finding 2 should not be interpreted as a Commission endorsement of indefinite storage. Instead, the Commission has confidence that the SNF and HLW can continue to be safely stored without significant environmental impacts for at least 60 years beyond the licensed life for operation of any nuclear power plant. The Commission is therefore amending Finding 2 to state that a deep geologic repository will be available when necessary.

This change to Finding 2 does not affect the Commission's confidence that spent fuel can be safely stored with minimal environmental impacts. This revision reflects the Commission's inability to predict with precision when the societal and political uncertainties associated with the construction of a repository can be resolved; the Commission is unwilling to predict a starting point for a new repository program—the time to complete a repository program remains unchanged from the discussion in the proposed rule. As discussed below, the Commission continues to have confidence that a deep geologic disposal facility can be completed within a reasonable time (25–35 years) and that disposal capacity for HLW and SNF will be available when necessary.

Most countries possessing HLW and SNF plan to eventually confine these wastes using deep geologic disposal. Currently, there are 24 other countries considering disposal of spent or reprocessed nuclear fuel in deep geologic repositories. From the vantage point of near-term safety, there has been little urgency in these countries for implementing disposal facilities because of the perceived high degree of safety provided by interim storage, either at reactors or at independent storage facilities. Of these 24 countries, 10 have established target dates for the availability of a repository. Most of the 14 countries that have not established target dates rely on centralized interim storage, which may include a protracted

or regulation * * * would not serve the purposes for which the rule or regulation was adopted." *Id.* Thus, a review of the Waste Confidence findings and rule now might be expected to obviate such challenges in individual COL proceedings.

period of onsite storage before shipment to a centralized facility.²⁶

Unlike these other countries, recent events in the United States (*e.g.*, the DOE's motion to withdraw the YM application and the current Administration's decision to seek no funding for the YM Program) have not diminished the Commission's confidence that a repository is technologically feasible, but have diminished its confidence in the target-date approach. The Commission now believes that there is insufficient support for the continued use of a target date because of the difficulty associated with predicting the start-date for any repository program. The Commission is therefore adopting the position regarding the removal of a target date proposed in the "Additional Question for Public Comment" section of the proposed update (73 FR 59567; October 9, 2008). The Commission is revising Finding 2 to state that it has reasonable assurance that disposal capacity in a deep geologic repository will become available "when necessary." Although the Commission has declined to set a target date for the availability of a repository, it does believe that it would be beneficial to analyze the time required to successfully site, license, construct, and open a repository.

The technical problems should be the same as those examined in the earlier Waste Confidence reviews, namely, how long it would take DOE to locate a suitable site and how long it would take to develop a waste package and engineered barriers for that site. For the reasons explained in the evaluation of Finding 1, the Commission continues to have reasonable assurance that disposal in a geologic repository is technically feasible. That is the approach being taken in all the countries identified previously that have set target dates for the availability of a repository. It is also the approach of the 14 other countries that have HLW disposal programs but have not set target dates.²⁷ These target dates can be used to provide a reasonable idea of how much time is required to site, license, construct, and open a repository. In addition, when Congress amended the Nuclear Waste Policy Act in 1987 to focus exclusively

on the YM site, it did so for budgetary reasons and not because the other sites DOE was considering were technically unacceptable. The ongoing research in the U.S. and other countries strongly suggests that many acceptable sites exist and can be identified.

The amount of time DOE might need to develop an alternative repository site would depend upon any enabling legislation, budgetary constraints, and the degree of similarity between a candidate site and other well-characterized sites with similar HLW disposal concepts. DOE began characterization of the YM site in 1982, made its suitability determination in 2002, and submitted a license application in 2008. But the history of potential repository development at YM may be a poor indicator of the amount of time needed to develop a new repository. Many problems extraneous to site characterization activities adversely affected DOE's repository program, such as changes in enabling legislation, public confidence issues, funding, and a significant delay in issuing environmental standards. In terms of the technical work alone, much would depend on whether Congress establishes a program involving characterization of many sites preliminary to the recommendation of a single site (similar to the 1982 NWPA) or a program focused on a single site (similar to the amended NWPA). The former would likely take longer, but might have a better chance of success if problems develop with a single site. The time needed to characterize the sites would also depend on whether the one or more sites chosen for characterization are similar to sites in this or other countries, which would allow DOE to use already existing knowledge and research to increase the efficiency of its repository program.

Alternatively, the sites could present novel challenges, which would require more time than sites that are similar to those that have already been studied. There are also many "lessons learned" from the YM repository program that could help to shorten the length of a new program. For example, performance assessment techniques have significantly improved over the past 20 years (*e.g.*, the Goldsim software package of DOE's Total System Performance Assessment that replaced the original FORTRAN based software); performance assessment models are now easier to develop and more reliable than those that were available 20 years ago. Similarly, operational and manufacturing techniques developed during the YM program (*e.g.*, manufacturing of waste packages,

excavation of drifts, waste handling), would be applicable to another program. Regulatory issues considered during the YM program (*e.g.*, burn-up credit for nuclear fuel and seismic performance analysis) should provide useful information for setting new standards or revising current standards.²⁸ Finally, the experience gained by completing the NRC licensing process, if that were to occur, should help the DOE and the NRC improve the licensing process for any future repositories.

Whether waste package and engineered barrier information developed during the YM repository program would be transferable to a new program depends on the degree of similarity between an alternative site and YM. The fundamental physical characteristics of Yucca Mountain are significantly different from other potential repository sites that were considered in the U.S. repository program before 1987. DOE could select an alternative candidate site that is similar to YM in important physical characteristics (such as oxidizing conditions, drifts above the water table with low amounts of water infiltration, water chemistry buffered by volcanic tuff rocks). In this instance, much of the existing knowledge for engineered barrier performance at YM might be transferable to a different site. Nevertheless, much of DOE's current research on engineered barriers for YM could be inapplicable if an alternative site has significantly different characteristics from the YM site, such as an emplacement horizon in reducing conditions below the water table. In this instance, research from other DOE, industry, or international programs might provide important information on engineered barriers, provided the new site is analogous to sites and engineered barriers being considered elsewhere.

But broader institutional issues have emerged since 1990 that bear on the time it takes to implement geologic disposal. International developments have made it clear that technical experience and confidence in geologic disposal, on their own, are not sufficient to bring about the broad social and political acceptance needed to construct a repository. It is these issues that have caused the Commission to remove a target date as part of the revised Finding 2. As stated above, the Commission continues to have confidence that a repository can be constructed within

²⁶ The three countries with target dates that plan direct disposal of SNF are: Czech Republic (2050), Finland (2020), and Sweden (2025). The seven countries with target dates for disposal of reprocessed SNF and HLW are: Belgium (2035), China (2050), France (2025), Germany (2025), Japan (2030s), Netherlands (2013), Switzerland (2042).

²⁷ These countries are: Brazil, Canada, Hungary, Lithuania, Romania, South Korea, Slovak Republic, Spain (direct disposal of SNF); Bulgaria, India, Italy, Russia, United Kingdom, Ukraine (disposal of reprocessed SNF and HLW).

²⁸ Both NRC's 10 CFR part 63 and EPA's 40 CFR part 197 are applicable only for a repository at YM. NRC and EPA have in place standards for a repository at a different site, but these standards would likely be revised in a new repository program.

25–35 years of a Federal decision to do so and that a repository will become available when one is necessary.

As part of its evaluation of this finding, the Commission evaluated the programs in a number of other countries that support its conclusion that a repository will be available when necessary and that siting, licensing, construction, and operation can occur within 25–35 years of a Federal decision to do so.

In 1997, the United Kingdom rejected an application for the construction of a rock characterization facility at Sellafield, leaving the country without a path forward for long-term management or disposal of either intermediate-level waste or SNF. In 1998, an inquiry by the UK House of Lords endorsed geologic disposal, but specified that public acceptance was required. As a result, the UK Government embraced a repository plan based on the principles of voluntarism and partnership between communities and implementers. This led to the initiation of a national public consultation, and major structural reorganization within the UK program. The UK Nuclear Decommissioning Authority envisions availability of a geologic disposal facility for ILW in 2040 and a geologic facility for SNF and HLW in 2075. In 2007, however, the Scottish Government officially rejected any further consultation with the UK Government on deep geologic disposal of HLW and SNF. This action by the Scottish Government effectively ends more than 7 years of consultations with stakeholders near Scottish nuclear installations and represents yet another major setback for the UK program.

In Germany, a large salt dome at Gorleben had been under study since 1977 as a potential SNF repository. After decades of intense discussions and protests, the utilities and the government reached an agreement in 2000 to suspend exploration of Gorleben for at least three, and at most ten, years. In 2003, the Federal Ministry for the Environment set up an interdisciplinary expert group to identify, with public participation, criteria for selecting new candidate sites. In October, 2010 Germany resumed exploration of Gorleben as a potential SNF repository. A decision on whether the site is suitable for a repository could be reached in 2015.

Initial efforts in France, during the 1980s, also failed to identify potential repository sites, using solely technical criteria. Failure of these attempts led to the passage of nuclear waste legislation that prescribed a period of 15 years of research. Reports on generic disposal options in clay and granite media were

prepared and reviewed by the safety authorities in 2005. In 2006, conclusions from the public debate on disposal options, held in 2005, were published. Later that year, the French Parliament passed new legislation designating a single site for deep geologic disposal of intermediate and HLW. This facility, to be located in the Bure region of northeastern France, is scheduled to open in 2025, some 34 years after passage of the original Nuclear Waste Law of 1991.

In Switzerland, after detailed site investigations in several locations, the Swiss National Cooperative for Radioactive Waste Disposal proposed, in 1993, a deep geologic repository for low- and intermediate-level waste at Wellenberg. Despite a 1998 finding by Swiss authorities that technical feasibility of the disposal concept was successfully demonstrated, a public cantonal referendum rejected the proposed repository in 2002. Even after more than 25 years of high quality field and laboratory research, Swiss authorities do not expect that a deep geologic repository will be available before 2040.

In 1998, an independent panel reported to the Governments of Canada and Ontario on its review of Atomic Energy of Canada Ltd.'s concept of geologic disposal. Canadian Nuclear Fuel Waste Disposal Concept Environmental Assessment Panel, *Report of the Nuclear Fuel Waste Management and Disposal Concept Environmental Assessment Panel*, February 1998. The panel found that from a technical perspective, safety of the concept had been adequately demonstrated, but from a social perspective, it had not. The panel concluded that broad public support is necessary in Canada to ensure the acceptability of a concept for managing nuclear fuel wastes. The panel also found that technical safety is a key part, but only one part, of acceptability. To be considered acceptable in Canada, the panel found that a concept for managing nuclear fuel wastes must: (1) Have broad public support; (2) be safe from both a technical and social perspective; (3) have been developed within a sound ethical and social assessment framework; (4) have the support of Aboriginal people; (5) be selected after comparison with the risks, costs, and benefits of other options; and (6) be advanced by a stable and trustworthy proponent and overseen by a trustworthy regulator. Resulting legislation mandated a nationwide consultation process and widespread organizational reform. Eight years later, in 2005, a newly-created Nuclear Waste

Management Organization (NWMO), recommended an Adaptive Phased Management approach for long-term care of Canada's SNF, based on the outcomes of the public consultation. This approach includes both a technical method and a new management system. According to NWMO, it "provides for centralized containment and isolation of used nuclear fuel deep underground in suitable rock formations, with continuous monitoring and opportunity for retrievability; and it allows sequential and collaborative decision-making, providing the flexibility to adapt to experience and societal and technological change." NWMO, *Choosing a Way Forward: The Future Management of Canada's Used Nuclear Fuel, Final Study Report*, November 2005.

In 2007, the Government of Canada announced its selection of the Adaptive Phased Management approach and directed NWMO to take at least two years to develop a "collaborative community-driven site-selection process." NWMO will use this process to open consultations with citizens, communities, Aboriginals, and other interested parties to find a suitable site in a willing host community. For financial planning and cost estimation purposes only, NWMO assumes the availability of a deep geological repository in 2035, 27 years after initiating development of new site selection criteria, 30 years after embarking on a national public consultation, and 37 years after rejection of the original geologic disposal concept. NWMO, *Annual Report 2007: Moving Forward Together*, March 2008. In 2009, NWMO proposed a site selection process for public comment, and after considering the comments and input received is now welcoming expressions of interest from potential host communities. NWMO, *Annual Report 2009: Moving Forward Together*, March 2010.

Repository development programs in Finland and Sweden are further along than in other countries, but have nonetheless taken the time to build support from potential host communities. In Finland, preliminary site investigations started in 1986, and detailed characterizations of four locations were performed between 1993 and 2000. In 2001, the Finnish Parliament ratified the Government's decision to proceed with a repository project at a chosen site only after the 1999 approval by the municipal council of the host community. Finland expects this facility to begin receipt of SNF for disposal in 2020, 34 years after the start of preliminary site investigations.

Between 1993 and 2000, Sweden conducted feasibility studies in eight municipalities. Based on technical considerations, one site was found unsuitable for further study, and two sites, based on municipal referenda, decided against allowing further investigations. Three of the remaining five sites were selected for detailed site investigations. Municipalities adjacent to two of these sites agreed to be potential hosts and one refused.

On June 3, 2009, the Swedish Nuclear Fuel and Waste Management Company, SKB, selected a site near Oesthammer as the site for the final repository for disposal of Swedish SNF. Since 2007, detailed site investigations were conducted at both Oesthammer and Oskarshamn, both of which already host nuclear power stations. All Swedish spent fuel will be disposed of in the Oesthammer repository. It will be located at a depth of 500 meters, in crystalline bedrock that is relatively dry with few fractures. SKB plans to submit a license application in March 2011, along with an Environmental Impact Assessment and safety analysis. A government decision is expected in 2015. If Swedish authorities authorize construction, the repository could be available for disposal around 2025, some 30 years after feasibility studies began.

Before DOE can start the development of a new site, Congress may need to provide additional direction, beyond the current NWPA, for the long-term management and disposal of SNF and HLW. Whatever approach Congress mandates, international experience since 1990 would appear to suggest that greater attention may need to be paid to developing societal and political acceptance in concert with essential technical, safety, and security assurances. While there is no technical basis for making precise estimates of the minimum time needed to accomplish these objectives, examination of the international examples cited previously would support a range of between 25 and 35 years. The Commission believes that societal and political acceptance must occur before a successful repository program can be completed, and that this is unlikely to occur until a Federal decision is made, whether for technical, environmental, political, legal, or societal reasons, that will allow the licensing and construction of a repository to proceed.

Another important institutional issue is whether funding for a new repository program is likely to be available. The provisions of NWPA for funding the repository have proved to be adequate for the timely development of a

repository in the sense that there have always been more than sufficient funds available to meet the level of funding Congress appropriates for the repository program. Section 302(e)(2) of NWPA provides that the Secretary of Energy may make expenditures from the Nuclear Waste Fund (NWF), subject to appropriations by the Congress. In her July 27, 2010 statement to the Committee on the Budget, Kristina M. Johnson, Undersecretary of Energy, testified that the NWF has a balance of approximately \$25 billion. Thus, the NWF has the capacity to ensure timely development of a repository consistent with Congressional funding direction. Moreover, DOE has prepared updated contracts and a number of utility companies have signed contracts with the Department that provide for payment into the NWF (*See, e.g.*, ADAMS Accession Numbers ML100280755 and ML083540149). Therefore, there will be a source of funding for disposal of the fuel to be generated by these reactors.

Arriving at an estimate of the time necessary to successfully construct a repository involves considering the technical and institutional factors discussed previously. It appears that the technical work needed to make a repository available could be done in less time than it took DOE to submit a license application for the YM site (26 years measured from the beginning of site characterization). But as discussed previously, the time needed to develop societal and political acceptance of a repository might range between 25 and 35 years. Therefore, once a decision is made that it is necessary to construct a repository, it is likely that a repository could be sited, licensed, constructed, and in operation within 25–35 years.

Finding 2, as adopted in 1990, also predicts that sufficient repository capacity will be available within 30 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of any reactor to dispose of HLW and SNF originating in such reactor and generated up to that time. As explained previously, in 1990 DOE projected that 87,000 MTHM would be generated by 2036. Given the statutory limit of 70,000 MTHM for the first repository, either statutory relief from that limit or a second repository would be needed. The Commission's continued confidence that sufficient repository capacity would be available within 30 years of license expiration of all reactors rested on an assumption that two repositories would be available in approximately 2025 and 2035, each with acceptance rates of 3400 MTHM/year within several years

after commencement of operations (See 55 FR 38502; September 18, 1990). DOE acknowledged that a second repository, or an expansion of the statutory disposal limit for a single repository, would be necessary to accommodate all the spent fuel from the currently operating and future reactors. The Report to the President and the Congress by the Secretary of Energy on the need for a second repository, 1, (2008), available at http://brc.gov/library/docs/Second_Repository_Rpt_120908.pdf (last visited September 17, 2010).

The revision to Finding 2 in this update to the Waste Confidence Decision reflects the Commission's concern that it may no longer be possible to have reasonable assurance that sufficient repository space will be available within 30 years beyond the licensed life for operation (which may include the term of a revised or renewed license).²⁹ According to the NRC's "High-Value Datasets", there are 14 reactor operating licenses that will expire between 2012 and 2020 and an additional 36 licenses that will expire between 2021 and 2030. NRC High-Value Datasets, <http://www.nrc.gov/public-involve/open.html#datasets> (last visited October 8, 2010). Many of these licenses could be renewed, which would extend their operating lifetimes, but this cannot be assumed.³⁰ For licenses that are not renewed, some spent fuel will need to be stored for more than 30 years beyond the expiration of the license if a repository is not available until after 2025. There are 23 reactors that were formerly licensed to operate by the NRC or the AEC and have been permanently shut down. *Id.* Thirty years beyond their licensed life of operation will come as early as 2029 for Dresden 1 and as late as 2056 for Millstone 1; but for many of these plants, 30 years beyond the licensed life for operation will occur in the 2030s and 2040s. Given the time necessary to successfully complete a repository program—25–35 years—and the uncertainty surrounding the start date of this program, it is likely that spent fuel will have to be stored beyond

²⁹ Based on the inventory of SNF in nuclear power plant pools and interim storage facilities, the amount of spent fuel is anticipated to exceed the 70,000 MTHM disposal limit in the NWPA by 2010. *See The Report to the President and the Congress by the Secretary of Energy on the Need for a Second Repository*, DOE/RW-0595, December 2008. Therefore, a new repository program would need to remove this limit or provide for more than one repository.

³⁰ Seven of the licenses that will expire between 2021 and 2030 are renewed licenses (Dresden 2, Ginna, Nine Mile Point 1, Robinson 2, Point Beach 1, Monticello, and Oyster Creek). Fifty-two other reactor operating licenses have been renewed and the renewed licenses will expire after 2030.

30 years after the expiration of the license at a number of these plants.

In 1990, the Commission emphasized that this 30 year period did not establish a safety limit on the length of SNF and HLW storage. It was only an estimate of how long SNF might need to be stored given the Commission's confidence that repository disposal would be available by 2025. In fact, the Commission said it was not concerned about the fact that it was already clear in 1990 that a few reactors would need to store spent fuel onsite beyond 30 years after the effective expiration date of their licenses (*i.e.*, the date the license prematurely terminated) due to its confidence in the safety of spent fuel storage (55 FR 38503; September 18, 1990). For the reasons presented in the evaluation of Finding 4, the Commission is now able to conclude that there is no public health and safety or environmental concern if the availability of a disposal facility results in the need to store fuel at some reactors for 60 years after expiration of the license or even longer.

If the Commission had not already issued a proposed rule and update to the Waste Confidence Decision, then the Administration's proposed budget and plan to terminate the YM project and DOE's filing of a motion to withdraw would likely have forced it to do so. The Commission's proposed update to the Waste Confidence Decision, although it could not consider these yet-to-occur developments, did assume that YM would not be built and that DOE would have to search for another repository location, which now appears quite possible.

The Commission has, in sum, reconsidered the use of a target date and, as discussed above, has elected to remove the target date from Finding 2 and adopt a finding that deep geologic disposal will be available "when necessary." This change adopts the alternative approach presented in the proposed update to the Waste Confidence Decision to revise Finding 2 without reference to a time frame for the availability of a repository (73 FR 59561; October 9, 2008). As discussed in the proposed update, this revision to Finding 2 is based both on the Commission's understanding of the technical issues involved and on predictions of the time needed to bring about the necessary societal and political acceptance for a repository site. *Id.* Because the Commission cannot predict when this societal and political acceptance will occur, it is unable to express reasonable assurance in a specific target date for the availability of a repository.

Based on the above information and consideration of the public comments, the Commission revises Finding 2 to eliminate its expectation that a repository will be available within the first quarter of the twenty-first century and to state that a repository may reasonably be expected to be available when necessary.

C. Finding 2

The Commission finds reasonable assurance that sufficient mined geologic repository capacity will be available to dispose of the commercial high-level radioactive waste and spent fuel generated in any reactor when necessary.

III. Finding 3: The Commission Finds Reasonable Assurance That HLW and Spent Fuel Will Be Managed in a Safe Manner Until Sufficient Repository Capacity Is Available To Assure the Safe Disposal of all HLW and Spent Fuel

A. Bases for Finding 3

The Commission reached this finding in 1984 and reaffirmed it in 1990. This finding focuses on whether reactor licensees can be expected to safely store their spent fuel in the period between the cessation of reactor operations and the availability of repository capacity for their fuel. The Commission found that the spent fuel would be managed safely because, under either a possession-only 10 CFR part 50 license or a 10 CFR part 72 license, the utility would remain under the NRC's regulatory control and inspections and oversight of storage facilities would continue (49 FR 34679–34680; August 31, 1984, 55 FR 38508; September 18, 1990). In 1990, when extended storage at the reactor site seemed more probable, the Commission noted that 10 CFR part 72 allowed for license renewals and that the NRC was considering issuance of a general 10 CFR part 72 license under which spent fuel could be stored in NRC-certified casks (55 FR 38508; September 18, 1990).³¹ The Commission reasoned that these regulations would provide additional NRC supervision of spent fuel management. The Commission was not concerned about then-looming contractual disputes between the DOE and the utilities over the DOE's inability to remove spent fuel from reactor sites in 1998 because NRC licensees cannot abandon, and remain responsible for,

³¹ 10 CFR Part 72 was, in fact, amended to provide for storage of spent fuel in NRC-certified casks under a general license (55 FR 29191; July 18, 1990).

spent fuel in their possession (55 FR 38508; September 18, 1990).

The Commission also considered the unusual case where a utility was unable to manage its spent fuel. If a utility were to become insolvent, the Commission believes that the cognizant state public utility commission would require an orderly transfer to another entity, which could be accomplished if the new entity satisfied the NRC's requirements (49 FR 34680; August 31, 1984). Further, the Commission expressed the view that, while the possibility of a need for Federal action to take over stored spent fuel from a defunct utility or from a utility that lacked technical competence to assure safe storage was remote, the authority for this type of action exists in sections 186c and 188 of the Atomic Energy Act. *Id.*

B. Evaluation of Finding 3

As explained above, the focus of Finding 3 is on whether reactor licensees can be expected to safely store their spent fuel in the period between the cessation of reactor operations and the availability of repository capacity for their fuel. In this regard, the NRC is successfully regulating four decommissioned reactor sites that continue to hold 10 CFR part 50 licenses and consist only of an ISFSI under the 10 CFR part 72 general license provisions.³² In addition, the NRC staff has discussed plans to build and operate ISFSIs under the 10 CFR part 72 general license provisions with the licensees at the La Crosse and Zion plants, which are currently undergoing decommissioning. The La Crosse plant plans to load its ISFSI in July 2011 and the Zion plant is discussing its plans with the NRC staff. The NRC is also successfully regulating ISFSIs at two fully decommissioned reactor sites (Trojan and Ft. St. Vrain) under 10 CFR Part 72 specific licenses.³³

The NRC monitors the performance of ISFSIs at decommissioned reactor sites by conducting periodic inspections that are identical to ISFSI inspections at operating reactor sites. When conducting inspections at these ISFSIs, NRC inspectors follow the guidance in NRC Inspection Manual Chapter 2690, "Inspection Program for Dry Storage of Spent Reactor Fuel at Independent Spent Fuel Storage Installations and for 10 CFR part 71 Transportation Packages." At all six decommissioned reactor sites mentioned previously, all

³² These reactor sites include Maine Yankee, Yankee Rowe, Connecticut Yankee (also known as Haddam Neck), and Big Rock Point.

³³ There are several additional sites with specific Part 72 ISFSI licenses that are in the process of decommissioning (*e.g.*, Humbolt Bay, Rancho Seco).

spent fuel on site has been successfully loaded into the ISFSI; only those inspection procedures applicable to the existing storage configurations are conducted. Also, any generally licensed ISFSI where decommissioning and final survey activities related to reactor operations have been completed is treated as an "away from reactor" (AFR) ISFSI for inspection purposes. Therefore, those programs that rely upon a 10 CFR part 50 license for the operation of a generally licensed ISFSI are also subject to inspection.

The NRC has not encountered any management problems associated with the ISFSIs at these six decommissioned reactor sites. Further, the NRC's inspection findings have not found any unique management problems at any currently operating ISFSI. Generally, the types of issues identified through NRC inspections of ISFSIs are similar to issues identified for 10 CFR part 50 licensees. Most issues are identified early in the operational phase of the dry cask storage process, during loading preparations and actual spent fuel loading activities. Once a loaded storage cask is placed on the storage pad, relatively few inspection issues are identified due to the passive nature of these facilities.

Further, the NRC's regulations require that every nuclear power reactor operating license issued under 10 CFR part 50 and every COL issued under 10 CFR part 52 must contain a condition requiring each licensee to submit written notification to the Commission of the licensee's plan for managing irradiated fuel between cessation of reactor operation and the time the DOE takes title to and possession of the irradiated fuel for ultimate disposal in a repository. The submittal, required by 10 CFR 50.54(bb), must include information on how the licensee intends to provide funding for the management of its irradiated fuel. Specifically, 10 CFR 50.54(bb) requires the licensee to:

[W]ithin 2 years following permanent cessation of operation of the reactor or 5 years before expiration of the reactor operating license, whichever occurs first, submit written notification to the Commission for its review and preliminary approval of the program by which the licensee intends to manage and provide funding for the management of all irradiated fuel at the reactor following permanent cessation of operation of the reactor until title to the irradiated fuel and possession of the fuel is transferred to the Secretary of Energy for its ultimate disposal * * *. Final Commission review will be undertaken as part of any proceeding for continued licensing under part 50 or 72 of this chapter. The licensee must demonstrate to NRC that the elected actions will be consistent with

NRC requirements for licensed possession of irradiated nuclear fuel and that the actions will be implemented on a timely basis. Where implementation of such actions requires NRC authorizations, the licensee shall verify in the notification that submittals for such actions have been or will be made to NRC and shall identify them. A copy of the notification shall be retained by the licensee as a record until expiration of the reactor operating license. The licensee shall notify the NRC of any significant changes in the proposed waste management program as described in the initial notification.

To date, the NRC has also renewed four specific 10 CFR part 72 ISFSI licenses. These renewals include the part 72 specific licenses for the General Electric Morris Operation (the only wet, or pool-type ISFSI), as well as the Surry, H.B. Robinson, and Oconee ISFSIs. Additionally, the NRC received a renewal application for the Fort St. Vrain ISFSI on November 23, 2009. Specific licenses for six additional ISFSIs will expire between 2012 and 2020. It is expected that license renewals will be requested by these licensees, unless a permanent repository or some other interim storage option is made available.

Although the NRC staff's experience with renewal of ISFSI licenses is limited to these four cases, it is noteworthy that the Surry, H.B. Robinson and Oconee ISFSI licenses were renewed for a period of 40 years, instead of the 20-year renewal period currently provided for under 10 CFR part 72. The Commission authorized the staff to grant exemptions to allow the 40-year renewal period after the staff reviewed the applicants' evaluations of aging effects on the structures, systems, and components important to safety. The Commission determined that the evaluations, supplemented by the licensees' aging management programs, provide reasonable assurance of continued safe storage of spent fuel in these ISFSIs. See SECY-04-0175, "Options for Addressing the Surry Independent Spent Fuel Storage Installation License-Renewal Period Exemption Request," September 28, 2004 (ADAMS Accession Number ML041830697).

With regard to generally licensed ISFSIs, the NRC staff submitted a draft final rule to the Commission on May 3, 2010, to clarify the processes for the renewal of ISFSIs operated under the general license provisions of 10 CFR part 72 and for renewal of the CoC for dry cask storage systems. See SECY 10-0056, "Final Rule: 10 CFR Part 72 License and Certificate of Compliance Terms (RIN 3150-A109)" (ADAMS Accession Number ML100710052). There are currently nine sites operating generally licensed ISFSIs that will reach

the prescribed 20-year limit on storage between 2013 and 2020.

The Commission concludes that the events that have occurred since the last formal review of the Waste Confidence Decision in 1990 support a continued finding of reasonable assurance that HLW and spent fuel will be managed in a safe manner until sufficient repository capacity is available. Specifically, the NRC has continued its regulatory control and oversight of spent fuel storage at both operating and decommissioned reactor sites, through both specific and general 10 CFR part 72 licenses. With regard to general 10 CFR part 72 licenses, the NRC has successfully implemented a general licensing and cask-certification program, as envisioned by the Commission in 1990. There are currently 16 certified spent fuel storage cask designs. 10 CFR 72.214 (2010). In addition, the Commission's reliance on the license renewal process in its 1990 review has proven well-placed, with three specific 10 CFR part 72 ISFSI licenses having been successfully renewed for an extended 40-year renewal period, and a fourth having been renewed for a period of 20 years. NRC licensees have continued to meet their obligation to safely store spent fuel in accordance with the requirements of 10 CFR parts 50 and 72.³⁴

Based on the above discussion, including its response to the public comments, the Commission reaffirms Finding 3.

³⁴ Section 302 of NWPA authorizes the Secretary of Energy to enter into contracts with utilities generating HLW and SNF under which the utilities are to pay statutorily imposed fees into the NWF in return for which the Secretary, "beginning not later than January 31, 1998, will dispose of the [HLW] or [SNF] involved * * *." 42 U.S.C. 10222(a)(5)(B). The NWPA also prohibits NRC from issuing or renewing a reactor operating license unless the prospective licensee has entered into a contract with DOE or is engaged in good-faith negotiations for a contract. 42 U.S.C. 10222(b)(1). When it became evident that a repository would not be available in 1998, DOE took the position that it did not have an unconditional obligation to accept the HLW or SNF in the absence of a repository. See *Final Interpretation of Nuclear Waste Acceptance Issues* (60 FR 21793; May 3, 1995). The U.S. Court of Appeals for the District of Columbia Circuit, however, held that DOE's statutory and contractual obligation to accept the waste no later than January 31, 1998, was unconditional. *Indiana Michigan Power Co. v. DOE*, 88 F.3d 1272 (DC Cir. 1996). Subsequently, the utilities have continued to safely manage the storage of SNF in reactor storage pools and in ISFSIs and have received damage awards as determined in lawsuits brought before the U.S. Court of Federal Claims. See, e.g., *System Fuels Inc. v. U.S.*, 78 Fed. Cl. 769 (October 11, 2007).

IV. Finding 4 (1990): The Commission Finds Reasonable Assurance That, If Necessary, Spent Fuel Generated in Any Reactor Can Be Stored Safely and Without Significant Environmental Impacts for at Least 30 Years Beyond the Licensed Life for Operation (Which May Include the Term of a Revised or Renewed License) of That Reactor at Its Spent Fuel Storage Basin, or at Either Onsite or Offsite Independent Spent Fuel Storage Installations

A. Bases for Finding 4

This finding focuses on the safety and environmental effects of long-term storage of spent fuel. In 1984, the Commission found that spent fuel can be stored safely and without significant environmental impacts for at least 30 years beyond the expiration of reactor operating licenses (49 FR 34660; August 31, 1984). In 1990, the Commission determined that if the reactor operating license were renewed for 30 years,³⁵ storage would be safe and without environmental significance for at least 30 years beyond the term of licensed operation for a total of at least 100 years (55 FR 38513; September 18, 1990). The Commission looked at four broad issues in making this finding: (1) The long-term integrity of spent fuel under water pool storage conditions, (2) the structure and component safety for extended facility operation for storage of spent fuel in water pools, (3) the safety of dry storage, and (4) the potential risks of accidents and acts of sabotage at spent fuel storage facilities (49 FR 34681; August 31, 1984; 55 FR 38509; September 18, 1990).

With respect to the safety of water pool storage, the Commission found in 1984 that research and experience in the United States, Canada, and other countries confirmed that long-term storage could be safely undertaken (49 FR 34681–34682; August 31, 1984). In 1990, the Commission determined that experience with water storage of spent fuel continued to confirm that pool storage is a benign environment for spent fuel that does not lead to significant degradation of spent fuel integrity and that the water pools in which the assemblies are stored will remain safe for extended periods. Further, degradation mechanisms are well understood and allow time for appropriate remedial action (55 FR 38509–38511; September 18, 1990). In sum, based on both experience and scientific studies, the Commission

found wet storage to be a fully-developed technology with no associated major technical problems.

In 1984, the Commission based its confidence in the safety of dry storage on an understanding of the material degradation processes, derived largely from technical studies, together with the recognition that dry storage systems are simple and easy to maintain (49 FR 34683–34684; August 31, 1984). By 1990, the NRC and ISFSI licensees had considerable experience with dry storage. NRC staff safety reviews of topical reports on storage system designs, the licensing and inspection of dry storage at two reactor sites under 10 CFR part 72, and the NRC's promulgation of an amendment to 10 CFR part 72 that incorporated a monitored retrievable storage installation (MRS) (a dry storage facility) into the regulations confirmed the 1984 conclusions on the safety of dry storage. In fact, under the environmental assessment for the amendment (NUREG-1092), the Commission found confidence in the safety and environmental insignificance of dry storage at an MRS for 70 years following a period of 70 years of storage in spent fuel storage pools (55 FR 38509–38513; September 18, 1990).

The Commission also found that the risks of major accidents at spent fuel storage pools resulting in offsite consequences were remote because of the secure and stable character of the spent fuel in the storage pool environment and the absence of reactive phenomena—"driving forces"—that might result in dispersal of radioactive material. The Commission noted that storage pools and ISFSIs are designed to safely withstand accidents caused by either natural or man-made phenomena, and that, due to the absence of high temperature and pressure conditions, human error does not have the capability to create a major radiological hazard to the public (49 FR 34684–34685; August 31, 1984). By 1990, the NRC staff had spent several years studying catastrophic loss of reactor spent fuel pool water, which could cause a fuel fire in a dry pool and concluded that because of the large inherent safety margins in the design and construction of a spent fuel pool no action was needed to further reduce the risk (55 FR 38511; September 18, 1990).

In 1984, the Commission recognized that the intentional sabotage of a storage pool was theoretically possible, but found that the consequences would be limited because, with the exception of some gaseous fission products, the radioactive content of spent fuel is in the form of solid ceramic material

encapsulated in high-integrity metal cladding and stored underwater in a reinforced concrete structure (49 FR 34685; August 31, 1984). Under these conditions, the Commission noted that the radioactive content of spent fuel is relatively resistant to dispersal to the environment. Similarly, because of the weight and size of the sealed protective enclosures, dry storage of spent fuel in dry wells, vaults, silos, and metal casks is also relatively resistant to sabotage and natural disasters. *Id.* Although the 1990 decision examined several studies of accident risk, no considerations affected the Commission's confidence that the possibility of a major accident or sabotage with offsite radiological impacts at a spent fuel storage facility is extremely remote (55 FR 38512; September 18, 1990).

Finally, the Commission noted that the generation and onsite storage of more spent fuel as a result of reactor license renewals would not affect the Commission's findings on environmental impacts. Finding 4 is not based on a determination of a specific number of reactors and amount of spent fuel; Finding 4 evaluates the safety of spent fuel storage and lack of environmental impacts overall. Further, individual license renewal actions are subject to separate safety and environmental reviews (55 FR 38512; September 18, 1990).

B. Evaluation of Finding 4

As discussed above, Finding 4 focuses on the safety and environmental significance of long-term storage of spent fuel. Specifically, the Commission examined four broad issues in making this finding: (1) The long-term integrity of spent fuel under water pool storage conditions; (2) the structure and component safety for extended facility operation for storage of spent fuel in water pools; (3) the safety of dry storage; and (4) the potential risks of accidents and acts of sabotage at spent fuel storage facilities.

1. Storage in Spent Fuel Pools

Since 1990, the NRC has continued its periodic examination of spent fuel pool storage to ensure that adequate safety is maintained and that there are no adverse environmental effects from the storage of spent fuel in pools. The Office of Nuclear Reactor Regulation (NRR) and the former Office for Analysis and Evaluation of Operational Data independently evaluated the safety of spent fuel pool storage, and the results of these evaluations were documented in a memorandum to the Commission dated July 26, 1996, "Resolution of Spent Fuel Storage Pool Action Plan

³⁵ Subsequently, the Commission limited the renewal period for power reactor licenses to 20 years beyond expiration of the operating license or combined license (10 CFR 54.31; 56 FR 64943, 64964; December 13, 1991).

Issues,” (ADAMS Accession Number ML003706364) and a separate memorandum to the Commission dated October 3, 1996, “Assessment of Spent Fuel Pool Cooling,” (ADAMS Accession Number ML003706381) (later published as NUREG-1275, Vol. 12, “Operating Experience Feedback Report: Assessment of Spent Fuel Cooling,” February 1997). As a result of these studies, the NRC staff and industry identified a number of follow-up activities that are described by the NRR staff in a memo to the Commission dated September 30, 1997, “Followup Activities on the Spent Fuel Pool Action Plan,” (ADAMS Accession Number ML003706412). These evaluations became part of the investigation of Generic Safety Issue 173, “Spent Fuel Pool Storage Safety,” which found that the relative risk posed by loss of spent fuel cooling is low when compared with the risk of events not involving the SFP.

The safety and environmental effects of spent fuel pool storage were also addressed in conjunction with regulatory assessments of permanently shutdown nuclear plants and decommissioning nuclear power plants. NUREG/CR-6451, “A Safety and Regulatory Assessment of Generic BWR and PWR Permanently Shutdown Nuclear Power Plants,” (August 1997) addressed the appropriateness of regulations (e.g., requirements for emergency planning and insurance) associated with spent fuel pool storage. The study identified a number of regulations that apply only to an operating reactor and not to spent fuel storage. These regulations are not needed to ensure the safe maintenance of a permanently shutdown plant. The study also provided conservative bounding estimates of fuel coolability and offsite consequences for the most severe accidents, which involve draining of the spent fuel pool.

More recently, the NRC issued NUREG-1738, “Technical Study of Spent Fuel Pool Accident Risk at Decommissioning Nuclear Power Plants,” (February 2001), which provides a newer and more robust analysis of the safety and environmental effects of spent fuel pool storage. This study provided the results of the NRC staff’s latest evaluation of the accident risk in a spent fuel pool at decommissioning plants. The report discussed fuel coolability for various types of accidents and included potential offsite consequences based on assumed radiation releases. The study demonstrated that by using conservative and bounding assumptions regarding the postulated accidents, the predicted risk estimates were below those

associated with reactor accidents and well below the Commission’s safety goal.

Following the terrorist attacks of September 11, 2001, the NRC undertook an extensive reexamination of spent fuel pool safety and security issues. This reexamination included a significantly improved methodology, based on detailed state-of-the-art analytical modeling, for assessing the response of spent fuel assemblies during security events including those that might result in draining of the spent fuel pool. This more detailed and realistic analytical modeling was also supported by extensive testing of zirconium oxidation kinetics in an air environment and full scale coolability and “zirc fire” testing of spent fuel assemblies. This effort both confirmed the conservatism of past analyses and provided more realistic analyses of fuel coolability and potential responses during accident or security event conditions. Importantly, the new more detailed and realistic modeling led to the development of improvements in spent fuel safety, which were required to be implemented at spent fuel pools by the Commission for all operating reactor sites. (See 73 FR 46204; August 8, 2008).

In 2003, the U.S. Congress asked the NAS to provide independent scientific and technical advice on the safety and security of commercial SNF storage, including the potential safety and security risks of SNF presently stored in cooling pools and dry casks at commercial nuclear reactor sites. In July 2004, the NAS issued a classified report—a publicly available unclassified summary was made available in 2006 (as noted above, the unclassified summary of the NAS report can be purchased or downloaded for free by accessing the NAS Web site at: http://www.nap.edu/catalog.php?record_id=11263). As part of the information gathering for the study, the NRC and Sandia National Laboratories briefed the NAS authoring committee on the ongoing work to reassess spent fuel pool safety and security issues. The NAS report contains findings and recommendations for reducing the risk of events involving spent fuel pools as well as dry casks. NRC Chairman Nils J. Diaz provided the Commission’s response to the NAS in a letter to Senator Pete V. Domenici, dated March 14, 2005 (ADAMS Accession Number ML050280428) (Diaz Letter). In essence, the NRC concluded, as a result of its own study and subsequent regulatory actions, that it had adopted the important recommendations of the report relevant to spent fuel pools. As a result of the improvements in spent fuel

pool safety and security, and the inherent safety and robustness of spent fuel pool designs, the NRC concluded that the risk associated with security events at spent fuel pools is acceptably low. Because these safety improvements in spent fuel pool storage are applicable to non-security events (randomly initiated accidents), accident risk was also further reduced.

While the Commission continues to have reasonable assurance that storage in spent fuel pools provides adequate protection of public health and safety and the common defense and security, and will not result in significant impacts on the environment, the NRC acknowledges several incidents of groundwater contamination originating from leaking reactor spent fuel pools and associated structures. In 1990, the Commission specifically acknowledged two incidents where radioactive water leaked from spent fuel pools, one of which resulted in contamination outside of the owner controlled area (See 55 FR 38511; September 18, 1990). The Commission addressed these events stating, “[t]he occurrence of operational events like these have been addressed by the NRC staff at the plants listed. The staff has taken inspection and enforcement actions to reduce the potential for such operational occurrences in the future.” *Id.*

On March 10, 2006, the NRC Executive Director for Operations established the Liquid Radioactive Release Lessons Learned Task Force in response to incidents at several plants involving unplanned, unmonitored releases of radioactive liquids into the environment. Liquid Radioactive Release Lessons Learned Task Force Final Report, September 1, 2006 (Task Force Report) (ADAMS Accession Number ML062650312). One of the incidents that prompted formation of the Task Force involved leaks from the Unit 1 and 2 spent fuel pools at Indian Point.³⁶ Task Force Report, at 1, 5–6, 11.

³⁶ In May 2008, the NRC staff completed an inspection at Indian Point Units 1 and 2. NRC Inspection Report Nos. 05000003/2007010 and 05000247/2007010, May 13, 2008 (ADAMS Accession Number ML081340425). The purpose of the inspection was to assess Entergy’s site groundwater characterization conclusions and the radiological significance of Entergy’s discovery of spent fuel pool leaks at Units 1 and 2. The NRC staff concluded that Entergy’s response to the spent fuel pool leaks was reasonable and technically sound. The NRC staff stated that “[t]he existence of onsite groundwater contamination, as well as the circumstances surrounding the causes of leakage and previous opportunities for identification and intervention, have been reviewed in detail. Our inspection determined that public health and safety has not been, nor is likely to be, adversely affected, and the dose consequence to the public that can be attributed to current onsite conditions associated with groundwater contamination is negligible.” *Id.*

The Task Force reviewed historical data on inadvertent releases of radioactive liquids, including four additional incidents involving leaks from spent fuel pools (Seabrook, Salem, Watts Bar, and Palo Verde). As a result of its review, the Task Force concluded that “[b]ased on bounding dose calculations and/or actual measurements, the near-term public health impacts have been negligible for the events at NRC-licensed operating power facilities discussed in this report.” Task Force Report, at 15. While concluding that near-term public health impacts from the leaks the NRC had investigated were negligible, the Task Force also recommended that measures be taken to avoid leaks in the future. The Task Force made 26 specific recommendations for improvements to the NRC’s regulatory programs concerning unplanned or unmonitored releases of radioactive liquids from nuclear power reactors.

The NRC staff has addressed, or is in the process of addressing, the Task Force recommendations. See “Liquid Release Task Force Recommendations Implementation Status as of February 26, 2008” (ADAMS Accession Number ML073230982) (Implementation Status). Actions taken in response to Task Force recommendations included revisions to several guidance documents, development of draft regulatory guidance on implementation of the requirements of 10 CFR 20.1406 (*i.e.* DG–4012),³⁷ revisions to Inspection Procedure 71122.01, and an evaluation of whether further action was required to enhance the performance of SFP tell-tale drains.³⁸

For example, Regulatory Guide 4.1 is being revised to provide guidance to industry for detecting, evaluating, and monitoring releases from operating facilities via unmonitored pathways; to ensure consistency with current industry standards and commercially available radiation detection methodology; to clarify when a licensee’s radiological effluent and environmental monitoring programs

should be expanded based on data or environmental conditions; and to ensure that leaks and spills are detected before radionuclides migrate offsite via an unmonitored pathway. Also, Regulatory Guide 1.21 is being revised to provide a definition of “significant contamination” that should be documented in a licensee’s decommissioning records under 10 CFR 50.75(g); to clarify how to report summaries of spills and leaks in a licensee’s Annual Radioactive Effluent Release Report; to provide guidance on remediation of onsite contamination; and to upgrade the capability and scope of the in-plant radiation monitoring system to include additional monitoring locations and the capability to detect lower risk radionuclides. Further, Inspection Procedure 71122.01 has been revised to provide for review of onsite contamination events, including events involving groundwater; evaluation of effluent pathways so that new pathways are identified and placed in the licensee’s Offsite Dose Calculation Manual, as applicable; and inclusion of limited, defined documentation of significant radioactive releases to the environment in inspection reports for those cases where such events would not normally be documented under current inspection guidance. See Implementation Status (ADAMS Accession Numbers ML073230982 and ML020730763).

Additionally, the NRC monitors the condition of SFPs through onsite Resident Inspectors, reviews of license amendment applications, and participation in industry forums. For example on October 28, 2009, the NRC issued Information Notice (IN) 2009–26, “Degradation of Neutron-Absorbing Materials in the Spent Fuel Pool” to all operating reactors licensees and construction permit holders. IN 2009–26 is the latest in a series of generic communications regarding material issues in SFPs. These and other documents demonstrate the NRC’s continuing evaluation of the SFPs and their ability to provide an adequate level of safety. This engagement ensures any issues are identified and addressed through the current regulatory process before they could advance to a state where there is a significant environmental impact. Therefore the Commission has reasonable assurance that SFPs designed, tested, operated and maintained according to NRC requirements will provide for the safe storage of spent nuclear fuel.

2. Storage in Dry Casks

With regard to dry cask storage, studies of the accident risk of dry

storage since 1990 have focused on specific dry cask storage systems located at either a generic Pressurized Water Reactor (PWR) site or a specific Boiling Water Reactor (BWR) site. In 2004, the Electric Power Research Institute (EPRI) performed a Probabilistic Risk Assessment (PRA) of a bolted dry spent fuel storage cask at a generic PWR site. K. Canavan, “Probabilistic Risk Assessment (PRA) of Bolted Storage Casks Updated Quantification and Analysis Report,” Electric Power Research Institute, Palo Alto, California; EPRI Doc. No. 1009691, December 2004. In 2007, the NRC published a pilot PRA methodology that assessed the risk to the public and identified the dominant contributors to risk associated with a welded canister dry spent fuel storage system at a specific BWR site. NUREG–1864, “A Pilot Probabilistic Risk Assessment of a Dry Cask Storage System at a Nuclear Power Plant,” March 2007. Both studies calculated the annual individual radiological risk and consequences associated with a single cask lifecycle where the lifecycle is divided into three phases: Loading, onsite transfer, and onsite storage. The EPRI study showed that risk is extremely low with no calculated early fatalities, a first year risk of latent cancer fatality of $5.6E-13$ per cask, and subsequent year cancer risk of $1.7E-13$ per cask. The NRC study also showed that risk is extremely low with no prompt fatalities expected, a first year risk of latent cancer fatality of $1.8E-12$ per cask and subsequent year cancer risk of $3.2E-14$ per cask.

The major contributors to the low risk associated with dry cask storage are that they are passive systems, relying on natural air circulation for cooling, and are inherently robust massive structures that are highly damage resistant. Current design light water reactor (LWR) uranium oxide based fuel and carbon coated uranium oxide fuel of low burn-up from a high temperature gas cooled reactor have been successfully stored in dry storage facilities for approximately 20 years. Extended dry-storage of this fuel has been approved for an additional 40-year term for facilities that have incorporated an appropriate aging management plan. Other potential new fuel types, such as fuels having different cladding alloys, fuel internal materials, new assembly designs, different operating conditions, or fuel higher than current burn-up limits, can be approved by the NRC for extended storage if the applicant provides sufficient data to demonstrate that storage of the newer designs can be safely accomplished.

NRC and licensee experience to date with ISFSIs and with certification of

³⁷ DG–4012 was formally issued as Regulatory Guide 4.21, “Minimization of Contamination and Radioactive Waste Generation: Life-Cycle Planning” in June 2008.

³⁸ In addition to the NRC’s efforts, the nuclear industry collectively responded to these incidents of unplanned, unmonitored releases of radioactive liquids through the Industry Initiative on Groundwater Protection. The Industry Initiative has resulted in publication of voluntary industry guidance on the implementation of groundwater protection programs at nuclear power plants. See “Industry Ground Water Protection Initiative—Final Guidance Document,” NEI–07–07, August 2007 (ADAMS Accession Number ML072610036); “Groundwater Protection Guidelines for Nuclear Power Plants: Public Edition, EPRI, Palo Alto, CA: EPRI Doc. No. 1016099, 2008.

casks has indicated that interim storage of spent fuel at reactor sites can be safely and effectively conducted using passive dry storage technology. There have not been any safety problems during dry storage. The problems that have been encountered primarily occur during cask preparation activities, after initial loading of spent fuel and before placement on the storage pad. One issue involved the unanticipated collection and ignition of combustible gas during cask welding activities. The NRC issued generic communications in 1996 to address the problem and provide direction for preventing its recurrence. NRC Bulletin 96-04, "Chemical, Galvanic, or Other Reactions in Spent Fuel Storage and Transportation Casks," and NRC Information Notice 96-34: "Hydrogen Gas Ignition During Closure Welding of a VSC-24 Multi-Assembly Sealed Basket." The NRC also revised its inspection and review guidance to ensure that appropriate measures are in place to preclude these events. See NRC Inspection Manual, Inspection Procedure 60854 Item 60854-02 and 02.03.a.6 and SFPO Interim Staff Guidance No. 15, dated January 10, 2001.

In addition, issuance of Materials License No. SNM-2513 for the Private Fuel Storage, LLC (PFS) facility has confirmed the feasibility of licensing an AFR ISFSI under 10 CFR Part 72. While there are several issues that have to be resolved before the PFS AFR ISFSI can be built and operated,³⁹ the extensive

³⁹For example, on July 17, 2007, Private Fuel Storage and the Skull Valley Band of Goshute Indians (the Band) filed suit against the U.S. Department of Interior (DOI) in federal district court, challenging DOI's decisions to disapprove the lease between PFS and the Band and to deny PFS's application for right-of-way across public land. On July 26, 2010, the district court vacated both of DOI's denials and remanded the case to DOI for further consideration. *Skull Valley Band of Goshute Indians v. Davis*,—F.Supp.2d—, 2010 WL2990781 (D. Utah July 26, 2010). On September 27th, 2010, the Salt Lake Tribune reported that the Department of Interior would not challenge the court's ruling. <http://www.sltrib.com/sltrib/home/50365983-76/interior-nuclear-department-ruling.html.csp?page=1>.

In addition, timely petitions for review challenging the NRC's decision to issue a license to Private Fuel Storage for the construction of an interim spent fuel storage facility were filed in the Court of Appeals for the DC Circuit. *Ohngo Gaudadeh Devia v. NRC*, No. 05-1419 (and consolidated cases) (DC Cir.). By Order dated June 27, 2007, the court held the petitions for review in abeyance pending further court order, requiring the parties to file status reports every 120 days on the status of actions challenging DOI's lease and right-of-way decisions.

Another issue is associated with the February 2006 (NAS) Report on the transport of SNF in the United States, which concluded that while safe transport is technically viable, "the societal risks and related institutional challenges may impinge on the successful implementation of large-quantity

review of safety and environmental issues associated with licensing the PFS facility provides additional confidence that spent fuel may be safely stored at an AFR ISFSI for long periods after storage at a reactor site.

In addition, as noted in its 1990 Waste Confidence Decision, the Commission has confidence in the safety and environmental insignificance of dry storage at an MRS for 70 years following a period of 70 years of storage in spent fuel storage pools. Specifically, the Commission stated:

Under the environmental assessment for the MRS rule [NUREG-1092], the Commission has found confidence in the safety and environmental insignificance of dry storage of spent fuel for 70 years following a period of 70 years of storage in spent fuel storage pools. Thus, this environmental assessment supports the proposition that spent fuel may be stored safely and without significant environmental impact for a period of up to 140 years if storage in spent fuel pools occurs first and the period of dry storage does not exceed 70 years. (55 FR 38509-38513; September 18, 1990).

Further, a commenter on the 1990 Waste Confidence Decision asserted that there was reasonable assurance that spent fuel could be stored safely and without significant environmental risk in dry casks at reactor sites for up to 100 years. The Commission responded:

The Commission does not dispute a conclusion that dry spent fuel storage is safe and environmentally acceptable for a period of 100 years. Evidence supports safe storage for this period. A European study published in 1988 states, "in conclusion, present-day technology allows wet or dry storage over very long periods, and up to 100 years without undue danger to workers and population (See Fettel, W., Kaspar, G., and Guntehr, H., "Long-Term Storage of Spent Fuel from Light-Water Reactors" (EUR 11866 EN), Executive Summary, p.v., 1988).

Although spent fuel can probably be safely stored without significant environmental impact for longer periods, the Commission does not find it necessary to make a specific conclusion regarding dry cask storage in this proceeding, as suggested by the commenter, in part because the Commission's Proposed Fourth Finding states that the period of safe storage is "at least" 30 years after expiration of a reactor's operating license. The Commission supports timely disposal of

shipping programs." National Research Council 2006, "Going the Distance? The Safe Transport of Spent Nuclear Fuel and High-Level Radioactive Waste in the United States," Washington, DC: National Academy Press, TIC: 217588, at pp. 214. The NAS committee found that "malevolent acts against spent fuel and high-level waste shipment are a major technical and societal concern," and recommended that "an independent examination of security of spent fuel and high-level waste transportation be carried out prior to the commencement of large-quantity shipments to a Federal repository or to interim storage." *Id.*

spent fuel and high-level waste in a geologic repository, and by this decision does not intend to support storage of spent fuel for an indefinitely long period. (55 FR 38482; September 18, 1990).

The Commission also explained the nature of its finding that spent fuel could be stored safely and without significant environmental impacts for at least 30 years beyond the licensed life for operation, stating:

[I]n using the words "at least" in its revised Finding Four, the Commission is not suggesting 30 years beyond the licensed life for operation * * * represents any technical limitation for safe and environmentally benign storage. Degradation rates of spent fuel in storage, for example, are slow enough that it is hard to distinguish by degradation alone between spent fuel in storage for less than a decade and spent fuel stored for several decades. (55 FR 38509; September 18, 1990).

As explained above under the discussion of Finding 3, the NRC has renewed three specific ISFSI licenses for an extended 40-year period under exemptions granted from 10 CFR Part 72, which provides for 20-year renewals. In addition, the NRC staff submitted a final rule package to the Commission on May 3, 2010, that would provide a 40-year license term for an ISFSI with the possibility of renewal. See SECY 10-0056, "Final Rule: 10 CFR Part 72 License and Certificate of Compliance Terms (RIN 3150-A109)" (ADAMS Accession Number ML100710052). Continued suitability of materials is a prime consideration for ISFSI license renewals. As discussed under Finding 3 in this document, the applicants' evaluation of aging effects on the structures, systems, and components important to safety, supplemented by the licensees' aging management programs, provided reasonable assurance of continued safe storage of spent fuel in these ISFSIs. Thus, these cases reaffirm the Commission's confidence in the safety of interim dry storage for an extended period. While these license renewal cases only address storage for a period of up to 60 years (20-year initial license, plus 40-year renewal), studies performed to date have not identified any major issues with long-term use of dry storage. See, e.g., NUREG/CR-6831, "Examination of Spent PWR Fuel rods after 15 Years in Dry Storage," (September 2003); J. Kessler, "Technical Bases for Extended Dry Storage of Spent Nuclear Fuel," Electric Power Research Institute, Palo Alto, California; EPRI Doc. No. 1003416, December 2002 (55 FR 38509; September 18, 1990). As noted above, the Commission has directed the NRC staff, separate from

these updates to the Waste Confidence Decision and Rule, to examine the possibility of storage for more than 60 years after licensed life for operation. This longer-term analysis will be supported by an Environmental Impact Statement.

3. Terrorism and Spent Fuel Management

The NRC has, since the 1970s, regarded spent fuel in storage as a potential terrorist target and provided for appropriate security measures. Before September 11, 2001, spent fuel was well protected by physical barriers, armed guards, intrusion detection systems, area surveillance systems, access controls, and access authorization requirements for persons working inside nuclear power plants and spent fuel storage facilities. Since September 11, 2001, the NRC has significantly enhanced its requirements, and licensees have significantly increased their resources to further enhance and improve security at spent fuel storage facilities and nuclear power plants. *See* (Diaz Letter), at 20.

Consistent with the approach taken at other categories of nuclear facilities, the NRC responded to the terrorist attacks of September 11, 2001, by promptly developing and requiring security enhancements for spent fuel storage both in spent fuel pools and dry casks. In February 2002, the NRC required power reactor licensees to enhance security and improve their capabilities to respond to terrorist attacks. The NRC's orders included requirements for spent fuel pool cooling to deal with the consequences of potential terrorist attacks. These enhancements to security included increased security patrols, augmented security forces, additional security posts, increased vehicle standoff distances, and improved coordination with law enforcement and intelligence communities, as well as strengthened safety-related mitigation procedures and strategies. The February 2002 orders required licensees to develop specific guidance and strategies to maintain or restore spent fuel pool cooling capabilities using existing or readily available resources (equipment and personnel) that can be effectively implemented under the circumstances associated with the loss of large areas of the plant due to large fires and explosions.

In January and April 2003, the NRC issued additional orders on security, including security for spent fuel storage. The NRC subsequently inspected each facility to verify the licensee's implementation, evaluated inspection findings and, as necessary, required

actions to address any noted deficiencies. The NRC's inspection activities in this area are ongoing. In 2004, the NRC reviewed and approved revised security plans submitted by licensees to reflect the implementation of new security requirements. The enhanced security at licensee facilities is routinely inspected using a revised baseline inspection program, and power reactor licensees' capabilities (including spent fuel pools) are tested in periodic (every 3 years) force-on-force exercises. Diaz Letter at iii, 7, 9. The NRC's ongoing ISFSI security rulemaking is discussed below.

In 2002, the NRC required power reactors in decommissioning, wet ISFSIs, and dry storage ISFSIs to enhance security and improve their capabilities to respond to, and mitigate the consequences of, a terrorist attack. In the same year, the NRC required licensees transporting more than a specified amount of spent fuel to enhance security during transport. Diaz Letter at 7, 8.

In 2002, the NRC also initiated a classified program on the capability of nuclear facilities to withstand a terrorist attack. The early focus of the program was on power reactors, including spent fuel pools, and on dry cask storage and transportation. As the results of the program became available, the NRC provided additional guidance to licensees on the Commission's expectations regarding the implementation of the orders on the spent fuel mitigation measures. Diaz Letter at iv.

In 2007 the NRC issued a final rule revising the Design Basis Threat, which also increased the security requirements for power reactors and their spent fuel pools (72 FR 12705; March 19, 2007). More recently, on March 27, 2009, the NRC issued a final rule to improve security measures at nuclear power reactors (74 FR 13926).

i. Spent Fuel Pools

Spent fuel pools that are designed, tested, operated and maintained according to NRC requirements will provide for the safe storage of spent nuclear fuel. Spent fuel pools are extremely robust structures that are designed to safely contain spent fuel under a variety of normal, off-normal, and hypothetical accident conditions (e.g., loss of electrical power, floods, earthquakes, tornadoes). The pools are massive structures made of reinforced concrete with walls typically over six feet thick, lined with welded stainless steel plates to form a generally leak-tight barrier, fitted with racks to store the fuel assemblies in a controlled configuration,

and provided with redundant monitoring, cooling, and make-up water systems. Spent fuel stored in pools is typically covered by about 25 feet of water, which serves as both shielding and an effective protective cover against direct impacts on the stored fuel. Diaz Letter at 2 (73 FR 46206; August 8, 2008).

The post-September 11, 2001 studies discussed above confirm the effectiveness of additional mitigation strategies to maintain spent fuel cooling in the event the pool is drained and its initial water inventory is reduced or lost entirely. Based on this recent information and the implementation of additional strategies following September 11, 2001, the risk of a spent fuel pool zirconium fire initiation will be less than reported in NUREG-1738 and previous studies. Given the physical robustness of the pools, the physical security measures, and the spent fuel pool mitigation measures, and based upon NRC site evaluations of every spent fuel pool in the United States, the NRC has determined that the risk of a spent fuel pool zirconium fire, whether caused by an accident or a terrorist attack, is very low. In addition, the NRC has approved license amendments and issued safety evaluations to incorporate mitigation measures into the plant licensing bases of all operating nuclear power plants in the United States (*See* 73 FR 46207-46208; August 8, 2008).

ii. Dry Storage Casks

Dry storage casks are massive canisters, either all metal or a combination of concrete and metal, and are inherently robust (e.g., some casks weigh over 100 tons). Storage casks contain spent fuel in a sealed and chemically-inert environment. Diaz Letter at 3.

The NRC has evaluated the results of security assessments involving large commercial aircraft attacks, which were performed on four prototypical spent fuel cask designs, and concluded that the likelihood is very low that a radioactive release from a spent fuel storage cask would be significant enough to cause adverse health consequences to nearby members of the public. While differences exist between storage cask designs, the results of the security assessments indicate that any potential radioactive releases were consistently very low.

The NRC also evaluated the results of security assessments involving vehicle bomb and ground assault attacks against these same four cask designs. The NRC concluded that, while a radiological release was possible, the size and nature

of the release did not require the Commission to immediately implement additional security compensatory measures. Accordingly, the NRC staff recommended, and the Commission approved, development of risk-informed, performance-based security requirements and associated guidance applicable to all ISFSI licensees (general and specific), which would enhance existing security requirements. This proposed ISFSI security rulemaking would apply to all existing and future licensees. See SECY-07-0148, "Independent Spent Fuel Storage Installation Security Requirements for Radiological Sabotage," (August 28, 2007) (ADAMS Accession Number ML080250294); SRM-SECY-07-0148—Independent Spent Fuel Storage Installation Security Requirements for Radiological Sabotage, (December 18, 2007) (ADAMS Accession Number ML073530119).

On August 26, 2010, the NRC staff recommended an extension of the proposed rulemaking schedule to reassess the technical approach and evaluate the impacts from shifting technical approaches. See SECY 10-0114, "Recommendation to Extend the Proposed Rulemaking on Security Requirements For Facilities Storing Spent Nuclear Fuel and High-Level Radioactive Waste," (August 26, 2010) (ADAMS Accession Number ML101880013). In addition, the NRC has noted that distributing spent fuel over many discrete storage casks (*e.g.*, in an ISFSI) limits the total quantity of spent fuel that could be attacked at any one time, due to limits on the number of adversaries and the amount of equipment they can reasonably bring with them. Diaz Letter at 17, 18, 22.

iii. Conclusion-Security

Today, spent fuel is better protected than ever. The results of security assessments, existing security regulations, and the additional protective and mitigative measures imposed since September 11, 2001, provide high assurance that the spent fuel in both spent fuel pools and in dry storage casks will be adequately protected. The ongoing efforts to update the ISFSI security requirements to address the current threat environment will integrate the additional protective measures imposed since September 11, 2001, into a formalized regulatory framework in a transparent manner that balances public participation against protection of exploitable information.

4. Conclusion

The Commission concludes that the events that have occurred since the last

formal review of its Waste Confidence Decision in 1990 provide support for a continued finding of reasonable assurance that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 30 years beyond the licensed life for operation of that reactor at its spent fuel storage basin. Specifically, the NRC finds continued support for this finding in the extensive study of spent fuel pool storage that has occurred since 1990, and the continued regulatory oversight of operating plants, which has been enhanced by the recommendations of the Liquid Release Task Force.

Further, the Commission is revising Finding 2 to reflect its expectation that repository capacity will be available when necessary. The analysis supporting Finding 2 concludes that a repository can be constructed within 25–35 years of a Federal decision to do so. This means that the earliest a repository could be available is 2035–2045, which is beyond the 30 years after licensed life of operation in the 1990 rule. But as the Commission discussed above, there is no safety finding that would preclude the extension of the 30 years of safe storage without significant environmental impacts. Indeed, the current technical information supports a finding that storage for at least 60 years after licensed life for operation is safe. Consistent with the changes to Finding 2 and its supporting analysis, the Commission is revising Finding 4 to reflect that spent fuel can be safely stored in dry casks for a period of at least 60 years without significant environmental impacts. Specifically, the inherent robustness and passive nature of dry cask storage—coupled with the operating experience and research accumulated to date, the 70-year finding in the Environmental Assessment for the MRS rule, and the renewal of three specific 10 CFR Part 72 licenses for an extended 40-year period (for a total ISFSI operating life of at least 60 years)—support this finding. Further, this finding is consistent with the Commission's statements in 1990 that it did not dispute that dry spent fuel storage is safe and environmentally acceptable for a period of 100 years (55 FR 38482; September 18, 1990); that spent fuel could probably be safely stored without significant environmental impact for periods longer than 30 years; and that the 30 year finding did not represent a technical limitation for safe and environmentally benign storage (55 FR 38509; September 18, 1990).

Therefore, based on all of the information set forth above and after

consideration of the public comments received, the Commission is revising Finding 4 as proposed.

C. Finding 4

The Commission finds reasonable assurance that, if necessary, spent fuel generated in any reactor can be stored safely and without significant environmental impacts for at least 60 years beyond the licensed life for operation (which may include the term of a revised or renewed license) of that reactor in a combination of storage in its spent fuel storage basin and either onsite or offsite independent spent fuel storage installations.

V. Finding 5: The Commission Finds Reasonable Assurance That Safe, Independent Onsite Spent Fuel Storage or Offsite Spent Fuel Storage Will Be Made Available if Such Storage Capacity Is Needed

A. Bases for Finding 5

The focus of this finding is on the timeliness of the availability of facilities for storage of spent fuel when the fuel can no longer be stored in the reactor's spent fuel storage pool. At the outset of the Waste Confidence proceeding, there was uncertainty as to who had the responsibility for providing this storage, with the expectation that the Federal Government would provide away-from-reactor (AFR) facilities for this purpose. But in 1981 DOE announced its decision to discontinue the AFR program. The Commission found that the industry's response to this change was a general commitment to do whatever was necessary to avoid shutting down reactors. The NWSA provided Federal policy on this issue by defining public and private responsibilities for spent fuel storage and by providing for an MRS program, an interim storage program at a Federal facility for utilities for which there was no other solution, and a research, development, and demonstration program for dry storage designed to assist utilities in using dry storage methods. These NWSA provisions, together with the availability of ISFSI technology and the fact that the 10 CFR part 72 regulations and licensing procedures were in place, gave the Commission reasonable assurance that safe, independent onsite or offsite spent fuel storage would be available when needed (49 FR 34686–34687; August 31, 1984).

In 1990, the Commission saw no need to revise this finding. It recognized that the NWSA had undermined the ability of an MRS to provide for timely storage by linking the MRS to the siting and schedule for a repository (*i.e.*, DOE was

not permitted to select an MRS site until it had recommended a site for development as a repository). See Section 145(b) of NWPA, 42 U.S.C. 10165 (2006) and Section 148(d)(1) of NWPA, 42 U.S.C. 10168 (2006). But the Commission found that whatever the uncertainty introduced by these NWPA provisions, it was more than compensated for by operational and planned spent fuel pool expansions and dry storage investments by the utilities themselves.

The Commission also considered the fact that it seemed probable that DOE would not meet the 1998 deadline for beginning to remove spent fuel from the utilities. This did not undermine the Commission's confidence that storage capacity would be made available as needed because NRC licensees cannot abrogate their safety responsibilities and would remain responsible for the stored fuel despite any possible contractual disputes with DOE. The Commission noted that DOE's research program had successfully demonstrated the viability of dry storage technology and that the utilities had continued to add dry storage capacity at their sites. Further, the Commission believed that there would be sufficient time for construction and licensing of any additional storage capacity that might be needed due to operating license renewals (55 FR 38513-38514; September 18, 1990).

B. Evaluation of Finding 5

In 1990, the Commission reaffirmed Finding 5 despite significant uncertainties regarding DOE's MRS and repository programs, and the potential for the renewal of reactor operating licenses. Specifically, in reaffirming Finding 5 the Commission stated:

In summary, the Commission finds no basis to change the Fifth Finding in its Waste Confidence Decision. Changes by the NWPA, which may lessen the likelihood of an MRS facility, and the potential for some slippage in repository availability to the first quarter of the twenty-first century * * * are more than offset by the continued success of utilities in providing safe at-reactor-site storage capacity in reactor pools and their progress in providing independent onsite storage. Therefore, the Commission continues to find * * * reasonable assurance that safe independent onsite spent fuel storage or offsite spent fuel storage will be made available if such storage is needed.' (55 FR 38514; September 18, 1990).

In reaching this conclusion, the Commission stressed that—regardless of the outcome of possible contractual disputes between DOE and utilities—the utilities possessing spent fuel could not abrogate their safety responsibilities, which by law the NRC imposes and

enforces. In addition, the Commission cited three situations where dry storage had been licensed at specific reactor sites (Surry, H.B. Robinson, and Oconee), and several additional applications for licenses permitting dry cask storage at reactor sites. *Id.*

1. Operating and Decommissioned Reactors

As in 1990, the NRC is not aware of any current operating reactor that has an insurmountable problem with safe storage of SNF. Spent fuel pool re-racking, fuel-pin consolidation, and onsite dry cask storage are successfully being used to increase onsite storage capacity. While there are cases where a licensee's ability to use an onsite dry cask storage option may be limited by State or Public Utility Commission authorities, the NRC is successfully regulating six fully decommissioned reactor sites that contain ISFSIs licensed under either the general or specific license provisions of 10 CFR part 72. The NRC has not encountered any management problems associated with the ISFSIs at these six decommissioned reactor sites and has discussed plans to build generally licensed ISFSIs with two additional licensees that are in the process of decommissioning.

In addition, since 1990, the NRC has renewed the specific 10 CFR part 72 ISFSI licenses for the Surry, H.B. Robinson, and Oconee plants for an extended 40-year period, instead of the 20-year renewal period currently provided for under 10 CFR part 72. As discussed above under Finding 3, the Commission authorized the staff to grant exemptions to allow the 40-year renewal period after the staff reviewed the applicants' evaluations of aging effects on the structures, systems, and components important to safety and determined that the evaluations, supplemented by the applicants' aging management programs, provided reasonable assurance of continued safe storage of spent fuel in these ISFSIs. See SECY-04-0175, "Options for Addressing the Surry Independent Spent Fuel Storage Installation License-Renewal Period Exemption Request," September 28, 2004 (ADAMS Accession Number ML041830697).

With regard to the uncertainty surrounding the contractual disputes between DOE and the utilities referenced by the Commission in 1990, the U.S. Court of Appeals for the District of Columbia Circuit has since held that DOE's statutory and contractual obligation to accept the waste no later than January 31, 1998, was unconditional. *Indiana Michigan Power Co. v. DOE*, 88 F.3d 1272 (DC Cir. 1996).

Subsequently, the utilities have continued to manage spent fuel safely in spent fuel pools and ISFSIs and have received damage awards as determined in lawsuits brought before the U.S. Federal Claims Court. See, e.g., *System Fuels Inc. v. U.S.*, 78 Fed. Cl. 769 (October 11, 2007); 92 Fed. Cl. 101 (March 11, 2010).

In total, there are currently 51 licensed ISFSIs being managed at 47 sites across the country, under either specific or general 10 CFR Part 72 NRC licenses. As explained in the discussion of Finding 3, the NRC's inspection findings do not indicate unique management problems at any currently operating ISFSI regulated by the NRC. Generally, the types of issues identified through NRC inspections of ISFSIs are similar to issues identified for 10 CFR Part 50 licensees. Most issues are identified early in the operational phase of the dry cask storage process, during loading preparations and actual spent fuel loading activities. Once an ISFSI is fully loaded with spent fuel, relatively few inspection issues are identified due to the passive nature of these facilities.

2. New Reactors

With regard to the status of contracts requiring DOE to take title to and possession of the irradiated fuel generated by utilities, DOE has prepared updated contracts, and a number of utility companies have signed contracts with the department (See, e.g., ML100280755 and ML083540149). In addition, before licensing a new reactor, the NRC must find that the applicant has entered into a contract with DOE for removal of spent fuel from the reactor site or received written affirmation from DOE that the applicant is actively and in good faith negotiating with the DOE for such a contract. NWPA, Section 302(b). This finding will be documented in the Safety Evaluation Report produced by the NRC staff in response to specific license applications for new reactors (See, e.g., ML100280755).

The near-term design certifications and existing or planned combined license applications do not undermine the Commission's confidence that spent fuel storage will become available when storage is needed. These facilities will use the same or similar fuel assembly designs as the nuclear power plants currently operating in the United States, and the spent fuel will be accommodated using existing or similar transportation and storage containers. As discussed under Finding 1, the NRC is also engaged in preliminary interactions with DOE on advanced reactors (e.g., gas-cooled or liquid-metal

cooled technologies). The fuel and reactor components associated with some of these advanced reactor designs would likely require different storage, transportation, and disposal packages than those currently used for spent fuel from light-water reactors. The possible need for further assessment of performance and storage capability for new and different fuels would depend on the number and types of reactors actually licensed and operated. There is currently high uncertainty regarding the construction of advanced reactors in the U.S. In addition, the need to consider waste disposal as part of the overall research and development activities for advanced reactors is one of the issues being considered by DOE, reactor designers, and the NRC (*see, e.g.*, “A Technology Roadmap for Generation IV Nuclear Energy Systems,” issued by the U.S. DOE Nuclear Energy Research Advisory Committee and the Generation IV International Forum, December 2002).

Nonetheless, the addition of new plants (if any are licensed and constructed) would add to the amount of spent fuel requiring disposal. This fact does not affect the Commission’s confidence that safe storage options will be available when needed because, as

the Commission stated in 1990, utilities have sought to meet storage capacity needs at their respective reactor sites (55 FR 38514; September 18, 1990). Specifically, as discussed under Finding 3, NRC licensees have successfully and safely used onsite storage capacity in spent fuel pools and, more recently, in onsite ISFSIs licensed under 10 CFR part 72. In addition, while construction and operation of an MRS facility by DOE is uncertain, the NRC has promulgated regulations that provide a framework for licensing an MRS (*See* 10 CFR part 72; 53 FR 31651; August 19, 1988). Further, while there are unresolved issues that are currently preventing construction and operation of the PFS facility, the extensive safety and environmental reviews that supported issuance of an NRC license for PFS provide added confidence that licensing of a private AFR facility is technically feasible.

The Commission concludes that the events that have occurred since the last formal review of the Waste Confidence Decision in 1990 support a continued finding of reasonable assurance that safe independent onsite spent fuel storage or offsite spent fuel storage will be made available if storage capacity is needed. Specifically, since 1990, NRC licensees

have continued to develop and successfully use onsite storage capacity in the form of pool and dry cask storage in a safe and environmentally sound fashion. With regard to offsite storage, the Commission licensed the PFS facility after an extensive safety and environmental review process and a lengthy adjudicatory hearing that resulted in over 70 ASLB and Commission decisions. The Commission also has a regulatory framework for licensing an MRS facility, should the need arise. In addition, DOE has prepared updated contracts to provide for disposal of spent fuel and a number of utility companies have signed contracts with the DOE. This provides the NRC with continued confidence in the Federal commitment to providing for the ultimate disposal of spent fuel.

Based on the above discussion, including its response to the public comments, the Commission reaffirms Finding 5.

Dated at Rockville, Maryland, this 9th day of December 2010.

For the Nuclear Regulatory Commission.

Annette L. Vietti-Cook,
Secretary of the Commission.

[FR Doc. 2010–31637 Filed 12–22–10; 8:45 am]

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Forty Most Asked Questions Concerning CEQ's National Environmental Policy Act Regulations

46 Fed. Reg. 18,026-18,038 (Mar. 23, 1981)

COUNCIL ON ENVIRONMENTAL QUALITY

40 CFR Parts 1500, 1501, 1502, 1503, 1504, 1505, 1506, 1507, and 1508

Forty Most Asked Questions Concerning CEQ's National Environmental Policy Act Regulations

March 17, 1981.

AGENCY: Council on Environmental Quality, Executive Office of the President.

ACTION: Information Only: Publication of Memorandum to Agencies Containing Answers to 40 Most Asked Questions on NEPA Regulations.

SUMMARY: The Council on Environmental Quality, as part of its oversight of implementation of the National Environmental Policy Act, held meetings in the ten Federal regions with Federal, State, and local officials to discuss administration of the implementing regulations. The forty most asked questions were compiled in a memorandum to agencies for the information of relevant officials. In order efficiently to respond to public inquiries this memorandum is reprinted in this issue of the Federal Register.

FOR FURTHER INFORMATION CONTACT: Nicholas C. Yost, General Counsel, Council on Environmental Quality, 722 Jackson Place NW., Washington, D.C. 20006; 202-395-5750.

March 16, 1981.

Memorandum for Federal NEPA Liaisons, Federal, State, and Local Officials and Other Persons Involved in the NEPA Process

Subject: Questions and Answers About the NEPA Regulations

During June and July of 1980 the Council on Environmental Quality, with the assistance and cooperation of EPA's EIS Coordinators from the ten EPA regions, held one-day meetings with federal, state and local officials in the ten EPA regional offices around the country. In addition, on July 10, 1980, CEQ conducted a similar meeting for the Washington, D.C. NEPA liaisons and persons involved in the NEPA process. At these meetings CEQ discussed (a) the results of its 1980 review of Draft EISs issued since the July 30, 1979 effective date of the NEPA regulations, (b) agency compliance with the Record of Decision requirements in Section 1505 of the NEPA regulations, and (c) CEQ's preliminary findings on how the scoping process is working. Participants at these meetings received copies of materials prepared by CEQ summarizing its oversight and findings.

These meetings also provided NEPA liaisons and other participants with an opportunity to ask questions about NEPA and the practical application of the NEPA regulations. A number of these questions were answered by CEQ representatives at the regional meetings. In response to the many requests from the agencies and other participants, CEQ has compiled forty of the most important or most frequently asked questions and their answers and reduced them to writing. The answers were prepared by the General Counsel of CEQ in consultation with the Office of Federal Activities of EPA. These answers, of course, do not impose any additional requirements beyond those of the NEPA regulations. This document does not represent new guidance under the NEPA regulations, but rather makes generally available to concerned agencies and private individuals the answers which CEQ has already given at the 1980 regional meetings. The answers also reflect the advice which the Council has given over the past two years to aid agency staff and consultants in their day-to-day application of NEPA and the regulations.

CEQ has also received numerous inquiries regarding the scoping process. CEQ hopes to issue written guidance on scoping later this year on the basis of its special study of scoping, which is nearing completion.

Nicholas C. Yost,
General Counsel.

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Questions and Answers About the NEPA Regulations (1981)

1a. Q. What is meant by "range of alternatives" as referred to in Sec. 1505.1(e)?¹

A. The phrase "range of alternatives" refers to the alternatives discussed in environmental documents. It includes all reasonable alternatives, which must be rigorously explored and objectively evaluated, as well as those other alternatives, which are eliminated from detailed study with a brief discussion of the reasons for eliminating them. Section 1502.14. A decisionmaker must not consider alternatives beyond the range of alternatives discussed in the relevant environmental documents. Moreover, a decisionmaker must, in fact, consider all the alternatives discussed in an EIS. Section 1505.1(e).

1b. Q. How many alternatives have to be discussed when there is an infinite number of possible alternatives?

¹ References throughout the document are to the Council on Environmental Quality's Regulations For Implementing The Procedural Provisions of the National Environmental Policy Act. 40 CFR Parts 1500-1508.

A. For some proposals there may exist a very large or even an infinite number of possible reasonable alternatives. For example, a proposal to designate wilderness areas within a National Forest could be said to involve an infinite number of alternatives from 0 to 100 percent of the forest. When there are potentially a very large number of alternatives, only a reasonable number of examples, covering the *full spectrum* of alternatives, must be analyzed and compared in the EIS. An appropriate series of alternatives might include dedicating 0, 10, 30, 50, 70, 90, or 100 percent of the Forest to wilderness. What constitutes a reasonable range of alternatives depends on the nature of the proposal and the facts in each case.

2a. Q. If an EIS is prepared in connection with an application for a permit or other federal approval, must the EIS rigorously analyze and discuss alternatives that are outside the capability of the applicant or can it be limited to reasonable alternatives that can be carried out by the applicant?

A. Section 1502.14 requires the EIS to examine all reasonable alternatives to the proposal. In determining the scope of alternatives to be considered, the emphasis is on what is "reasonable" rather than on whether the proponent or applicant likes or is itself capable of carrying out a particular alternative. Reasonable alternatives include those that are *practical or feasible* from the technical and economic standpoint and using common sense, rather than simply *desirable* from the standpoint of the applicant.

2b. Q. Must the EIS analyze alternatives outside the jurisdiction or capability of the agency or beyond what Congress has authorized?

A. An alternative that is outside the legal jurisdiction of the lead agency must still be analyzed in the EIS if it is reasonable. A potential conflict with local or federal law does not necessarily render an alternative unreasonable, although such conflicts must be considered. Section 1506.2(d). Alternatives that are outside the scope of what Congress has approved or funded must still be evaluated in the EIS if they are reasonable, because the EIS may serve as the basis for modifying the Congressional approval or funding in light of NEPA's goals and policies. Section 1500.1(a).

3. Q. What does the "no action" alternative include? If an agency is under a court order or legislative command to act, must the EIS address the "no action" alternative?

A. Section 1502.14(d) requires the alternatives analysis in the EIS to "include the alternative of no action."

There are two distinct interpretations of "no action" that must be considered, depending on the nature of the proposal being evaluated. The first situation might involve an action such as updating a land management plan where ongoing programs initiated under existing legislation and regulations will continue, even as new plans are developed. In these cases "no action" is "no change" from current management direction or level of management intensity. To construct an alternative that is based on no management at all would be a useless academic exercise. Therefore, the "no action" alternative may be thought of in terms of continuing with the present course of action until that action is changed. Consequently, projected impacts of alternative management schemes would be compared in the EIS to those impacts projected for the existing plan. In this case, alternatives would include management plans of both greater and lesser intensity, especially greater and lesser levels of resource development.

The second interpretation of "no action" is illustrated in instances involving federal decisions on proposals for projects. "No action" in such cases would mean the proposed activity would not take place, and the resulting environmental effects from taking no action would be compared with the effects of permitting the proposed activity or an alternative activity to go forward.

Where a choice of "no action" by the agency would result in predictable actions by others, this consequence of the "no action" alternative should be included in the analysis. For example, if denial of permission to build a railroad to a facility would lead to construction of a road and increased truck traffic, the EIS should analyze this consequence of the "no action" alternative.

In light of the above, it is difficult to think of a situation where it would *not* be appropriate to address a "no action" alternative. Accordingly, the regulations require the analysis of the no action alternative even if the agency is under a court order or legislative command to act. This analysis provides a benchmark, enabling decisionmakers to compare the magnitude of environmental effects of the action alternatives. It is also an example of a reasonable alternative outside the jurisdiction of the agency which must be analyzed. Section 1502.14(c). See Question 2 above. Inclusion of such an analysis in the EIS is necessary to inform the Congress, the public, and the President as intended by NEPA. Section 1500.1(a).

4a. Q. What is the "agency's preferred alternative"?

A. The "agency's preferred alternative" is the alternative which the agency believes would fulfill its statutory mission and responsibilities, giving consideration to economic, environmental, technical and other factors. The concept of the "agency's preferred alternative" is different from the "environmentally preferable alternative," although in some cases one alternative may be both. See Question 6 below. It is identified so that agencies and the public can understand the lead agency's orientation.

4b. Q. Does the "preferred alternative" have to be identified in the Draft EIS and the Final EIS or just in the Final EIS?

A. Section 1502.14(e) requires the section of the EIS on alternatives to "identify the agency's preferred alternative if one or more exists, in the draft statement, and identify such alternative in the final statement . . ." This means that if the agency has a preferred alternative at the Draft EIS stage, that alternative must be labeled or identified as such in the Draft EIS. If the responsible federal official in fact has no preferred alternative at the Draft EIS stage, a preferred alternative need not be identified there. By the time the Final EIS is filed, Section 1502.14(e) presumes the existence of a preferred alternative and requires its identification in the Final EIS "unless another law prohibits the expression of such a preference."

4c. Q. Who recommends or determines the "preferred alternative?"

A. The lead agency's official with line responsibility for preparing the EIS and assuring its adequacy is responsible for identifying the agency's preferred alternative(s). The NEPA regulations do not dictate which official in an agency shall be responsible for preparation of EISs, but agencies can identify this official in their implementing procedures, pursuant to Section 1507.3.

Even though the agency's preferred alternative is identified by the EIS preparer in the EIS, the statement must be objectively prepared and not slanted to support the choice of the agency's preferred alternative over the other reasonable and feasible alternatives.

5a. Q. Is the "proposed action" the same thing as the "preferred alternative"?

A. The "proposed action" may be, but is not necessarily, the agency's "preferred alternative." The proposed action may be a proposal in its initial form before undergoing analysis in the EIS process. If the proposed action is

internally generated, such as preparing a land management plan, the proposed action might end up as the agency's preferred alternative. On the other hand the proposed action may be granting an application to a non-federal entity for a permit. The agency may or may not have a "preferred alternative" at the Draft EIS stage (see Question 4 above). In that case the agency may decide at the Final EIS stage, on the basis of the Draft EIS and the public and agency comments, that an alternative other than the proposed action is the agency's "preferred alternative."

5b. Q. Is the analysis of the "proposed action" in an EIS to be treated differently from the analysis of alternatives?

A. The degree of analysis devoted to each alternative in the EIS is to be substantially similar to that devoted to the "proposed action." Section 1502.14 is titled "Alternatives including the proposed action" to reflect such comparable treatment. Section 1502.14(b) specifically requires "substantial treatment" in the EIS of each alternative including the proposed action. This regulation does not dictate an amount of information to be provided, but rather, prescribes a *level of treatment*, which may in turn require varying amounts of information, to enable a reviewer to evaluate and compare alternatives.

6a. Q. What is the meaning of the term "environmentally preferable alternative" as used in the regulations with reference to Records of Decision? How is the term "environment" used in the phrase?

A. Section 1505.2(b) requires that, in cases where an EIS has been prepared, the Record of Decision (ROD) must identify all alternatives that were considered, ". . . specifying the alternative or alternatives which were considered to be environmentally preferable." The environmentally preferable alternative is the alternative that will promote the national environmental policy as expressed in NEPA's Section 101. Ordinarily, this means the alternative that causes the least damage to the biological and physical environment; it also means the alternative which best protects, preserves, and enhances historic, cultural, and natural resources.

The Council recognizes that the identification of the environmentally preferable alternative may involve difficult judgments, particularly when one environmental value must be balanced against another. The public and other agencies reviewing a Draft EIS can assist the lead agency to develop and determine environmentally

preferable alternatives by providing their views in comments on the Draft EIS. Through the identification of the environmentally preferable alternative, the decisionmaker is clearly faced with a choice between that alternative and others, and must consider whether the decision accords with the Congressionally declared policies of the Act.

6b. Q. Who recommends or determines what is environmentally preferable?

A. The agency EIS staff is encouraged to make recommendations of the environmentally preferable alternative(s) during EIS preparation. In any event the lead agency official responsible for the EIS is encouraged to identify the environmentally preferable alternative(s) in the EIS. In all cases, commentators from other agencies and the public are also encouraged to address this question. The agency must identify the environmentally preferable alternative in the ROD.

7. Q. What is the difference between the sections in the EIS on "alternatives" and "environmental consequences"? How do you avoid duplicating the discussion of alternatives in preparing these two sections?

A. The "alternatives" section is the heart of the EIS. This section rigorously explores and objectively evaluates all reasonable alternatives including the proposed action. Section 1502.14. It should include relevant comparisons on environmental and other grounds. The "environmental consequences" section of the EIS discusses the specific environmental impacts or effects of each of the alternatives including the proposed action. Section 1502.16. In order to avoid duplication between these two sections, most of the "alternatives" section should be devoted to describing and comparing the alternatives. Discussion of the environmental impacts of these alternatives should be limited to a concise descriptive summary of such impacts in a comparative form, including charts or tables, thus sharply defining the issues and providing a clear basis for choice among options. Section 1502.14. The "environmental consequences" section should be devoted largely to a scientific analysis of the direct and indirect environmental effects of the proposed action and of each of the alternatives. It forms the analytic basis for the concise comparison in the "alternatives" section.

8. Q. Section 1501.2(d) of the NEPA regulations requires agencies to provide for the early application of NEPA to cases where actions are planned by

private applicants or non-Federal entities and are, at some stage, subject to federal approval of permits, loans, loan guarantees, insurance or other actions. What must and can agencies do to apply NEPA early in these cases?

A. Section 1501.2(d) requires federal agencies to take steps toward ensuring that private parties and state and local entities initiate environmental studies as soon as federal involvement in their proposals can be foreseen. This section is intended to ensure that environmental factors are considered at an early stage in the planning process and to avoid the situation where the applicant for a federal permit or approval has completed planning and eliminated all alternatives to the proposed action by the time the EIS process commences or before the EIS process has been completed.

Through early consultation, business applicants and approving agencies may gain better appreciation of each other's needs and foster a decisionmaking process which avoids later unexpected confrontations.

Federal agencies are required by Section 1507.3(b) to develop procedures to carry out Section 1501.2(d). The procedures should include an "outreach program", such as a means for prospective applicants to conduct pre-application consultations with the lead and cooperating agencies. Applicants need to find out, in advance of project planning, what environmental studies or other information will be required, and what mitigation requirements are likely, in connection with the later federal NEPA process. Agencies should designate staff to advise potential applicants of the agency's NEPA information requirements and should publicize their pre-application procedures and information requirements in newsletters or other media used by potential applicants.

Complementing Section 1501.2(d), Section 1506.5(a) requires agencies to assist applicants by outlining the types of information required in those cases where the agency requires the applicant to submit environmental data for possible use by the agency in preparing an EIS.

Section 1506.5(b) allows agencies to authorize preparation of environmental assessments by applicants. Thus, the procedures should also include a means for anticipating and utilizing applicants' environmental studies or "early corporate environmental assessments" to fulfill some of the federal agency's NEPA obligations. However, in such cases the agency must still evaluate independently the environmental issues

and take responsibility for the environmental assessment.

These provisions are intended to encourage and enable private and other non-federal entities to build environmental considerations into their own planning processes in a way that facilitates the application of NEPA and avoids delay.

9. Q. To what extent must an agency inquire into whether an applicant for a federal permit, funding or other approval of a proposal will also need approval from another agency for the same proposal or some other related aspect of it?

A. Agencies must integrate the NEPA process into other planning at the earliest possible time to insure that planning and decisions reflect environmental values, to avoid delays later in the process, and to head off potential conflicts. Specifically, the agency must "provide for cases where actions are planned by . . . applicants," so that designated staff are available to advise potential applicants of studies or other information that will foreseeably be required for the later federal action; the agency shall consult with the applicant if the agency foresees its own involvement in the proposal; and it shall insure that the NEPA process commences at the earliest possible time. Section 1501.2(d). (See Question 8.)

The regulations emphasize agency cooperation early in the NEPA process. Section 1501.6. Section 1501.7 on "scoping" also provides that all affected Federal agencies are to be invited to participate in scoping the environmental issues and to identify the various environmental review and consultation requirements that may apply to the proposed action. Further, Section 1502.25(b) requires that the draft EIS list all the federal permits, licenses and other entitlements that are needed to implement the proposal.

These provisions create an affirmative obligation on federal agencies to inquire early, and to the maximum degree possible, to ascertain whether an applicant is or will be seeking other federal assistance or approval, or whether the applicant is waiting until a proposal has been substantially developed before requesting federal aid or approval.

Thus, a federal agency receiving a request for approval or assistance should determine whether the applicant has filed separate requests for federal approval or assistance with other federal agencies. Other federal agencies that are likely to become involved should then be contacted, and the NEPA process coordinated, to insure an early and comprehensive analysis of the

direct and indirect effects of the proposal and any related actions. The agency should inform the applicant that action on its application may be delayed unless it submits all other federal applications (where feasible to do so), so that all the relevant agencies can work together on the scoping process and preparation of the EIS.

10a. Q. What actions by agencies and/or applicants are allowed during EIS preparation and during the 30-day review period after publication of a final EIS?

A. No federal decision on the proposed action shall be made or recorded until at least 30 days after the publication by EPA of notice that the particular EIS has been filed with EPA. Sections 1505.2 and 1506.10. Section 1505.2 requires this decision to be stated in a public Record of Decision.

Until the agency issues its Record of Decision, no action by an agency or an applicant concerning the proposal shall be taken which would have an adverse environmental impact or limit the choice of reasonable alternatives. Section 1506.1(a). But this does not preclude preliminary planning or design work which is needed to support an application for permits or assistance. Section 1506.1(d).

When the impact statement in question is a program EIS, no major action concerning the program may be taken which may significantly affect the quality of the human environment, unless the particular action is justified independently of the program, is accompanied by its own adequate environmental impact statement and will not prejudice the ultimate decision on the program. Section 1506.1(c).

10b. Q. Do these limitations on action (described in Question 10a) apply to state or local agencies that have statutorily delegated responsibility for preparation of environmental documents required by NEPA, for example, under the HUD Block Grant program?

A. Yes, these limitations do apply, without any variation from their application to federal agencies.

11. Q. What actions must a lead agency take during the NEPA process when it becomes aware that a non-federal applicant is about to take an action within the agency's jurisdiction that would either have an adverse environmental impact or limit the choice of reasonable alternatives (e.g., prematurely commit money or other resources towards the completion of the proposal)?

A. The federal agency must notify the applicant that the agency will take strong affirmative steps to insure that the objectives and procedures of NEPA

are fulfilled. Section 1506.1(b). These steps could include seeking injunctive measures under NEPA, or the use of sanctions available under either the agency's permitting authority or statutes setting forth the agency's statutory mission. For example, the agency might advise an applicant that if it takes such action the agency will not process its application.

12a. Q. What actions are subject to the Council's new regulations, and what actions are grandfathered under the old guidelines?

A. The effective date of the Council's regulations was July 30, 1979 (except for certain HUD programs under the Housing and Community Development Act, 42 U.S.C. 5304(h), and certain state highway programs that qualify under Section 102(2)(D) of NEPA for which the regulations became effective on November 30, 1979). All the provisions of the regulations are binding as of that date, including those covering decisionmaking, public participation, referrals, limitations on actions, EIS supplements, etc. For example, a Record of Decision would be prepared even for decisions where the draft EIS was filed before July 30, 1979.

But in determining whether or not the new regulations apply to the preparation of a particular environmental document, the relevant factor is the date of filing of the draft of that document. Thus, the new regulations do not require the redrafting of an EIS or supplement if the draft EIS or supplement was filed before July 30, 1979. However, a supplement prepared after the effective date of the regulations for an EIS issued in final before the effective date of the regulations would be controlled by the regulations.

Even though agencies are not required to apply the regulations to an EIS or other document for which the draft was filed prior to July 30, 1979, the regulations encourage agencies to follow the regulations "to the fullest extent practicable," i.e., if it is feasible to do so, in preparing the final document. Section 1506.12(a).

12b. Q. Are projects authorized by Congress before the effective date of the Council's regulations grandfathered?

A. No. The date of Congressional authorization for a project is not determinative of whether the Council's regulations or former Guidelines apply to the particular proposal. No incomplete projects or proposals of any kind are grandfathered in whole or in part. Only certain environmental documents, for which the draft was issued before the effective date of the regulations, are grandfathered and

subject to the Council's former Guidelines.

12c. Q. Can a violation of the regulations give rise to a cause of action?

A. While a trivial violation of the regulations would not give rise to an independent cause of action, such a cause of action would arise from a substantial violation of the regulations. Section 1500.3.

13. Q. Can the scoping process be used in connection with preparation of an environmental assessment, i.e., before both the decision to proceed with an EIS and publication of a notice of intent?

A. Yes. Scoping can be a useful tool for discovering alternatives to a proposal, or significant impacts that may have been overlooked. In cases where an environmental assessment is being prepared to help an agency decide whether to prepare an EIS, useful information might result from early participation by other agencies and the public in a scoping process.

The regulations state that the scoping process is to be preceded by a Notice of Intent (NOI) to prepare an EIS. But that is only the minimum requirement. Scoping may be initiated earlier, as long as there is appropriate public notice and enough information available on the proposal so that the public and relevant agencies can participate effectively.

However, scoping that is done before the assessment, and in aid of its preparation, cannot substitute for the normal scoping process after publication of the NOI, unless the earlier public notice stated clearly that this possibility was under consideration, and the NOI expressly provides that written comments on the scope of alternatives and impacts will still be considered.

14a. Q. What are the respective rights and responsibilities of lead and cooperating agencies? What letters and memoranda must be prepared?

A. After a lead agency has been designated (Sec. 1501.5), that agency has the responsibility to solicit cooperation from other federal agencies that have jurisdiction by law or special expertise on any environmental issue that should be addressed in the EIS being prepared. Where appropriate, the lead agency should seek the cooperation of state or local agencies of similar qualifications. When the proposal may affect an Indian reservation, the agency should consult with the Indian tribe. Section 1508.5. The request for cooperation should come at the earliest possible time in the NEPA process.

After discussions with the candidate cooperating agencies, the lead agency and the cooperating agencies are to

determine by letter or by memorandum which agencies will undertake cooperating responsibilities. To the extent possible at this stage, responsibilities for specific issues should be assigned. The allocation of responsibilities will be completed during scoping. Section 1501.7(a)(4).

Cooperating agencies must assume responsibility for the development of information and the preparation of environmental analyses at the request of the lead agency. Section 1501.6(b)(3). Cooperating agencies are now required by Section 1501.6 to devote staff resources that were normally primarily used to critique or comment on the Draft EIS after its preparation, much earlier in the NEPA process—primarily at the scoping and Draft EIS preparation stages. If a cooperating agency determines that its resource limitations preclude any involvement, or the degree of involvement (amount of work) requested by the lead agency, it must so inform the lead agency in writing and submit a copy of this correspondence to the Council. Section 1501.6(c).

In other words, the potential cooperating agency must decide early if it is able to devote any of its resources to a particular proposal. For this reason the regulation states that an agency may reply to a request for cooperation that "other program commitments preclude any involvement or the degree of involvement requested in the action that is the subject of the environmental impact statement." (Emphasis added). The regulation refers to the "action," rather than to the EIS, to clarify that the agency is taking itself out of all phases of the federal action, not just draft EIS preparation. This means that the agency has determined that it cannot be involved in the later stages of EIS review and comment, as well as decisionmaking on the proposed action. For this reason, cooperating agencies with jurisdiction by law (those which have permitting or other approval authority) cannot opt out entirely of the duty to cooperate on the EIS. See also Question 15, relating specifically to the responsibility of EPA.

14b. Q. How are disputes resolved between lead and cooperating agencies concerning the scope and level of detail of analysis and the quality of data in impact statements?

A. Such disputes are resolved by the agencies themselves. A lead agency, of course, has the ultimate responsibility for the content of an EIS. But it is supposed to use the environmental analysis and recommendations of cooperating agencies with jurisdiction by law or special expertise to the maximum extent possible, consistent

with its own responsibilities as lead agency. Section 1501.6(a)(2).

If the lead agency leaves out a significant issue or ignores the advice and expertise of the cooperating agency, the EIS may be found later to be inadequate. Similarly, where cooperating agencies have their own decisions to make and they intend to adopt the environmental impact statement and base their decisions on it, one document should include all of the information necessary for the decisions by the cooperating agencies. Otherwise they may be forced to duplicate the EIS process by issuing a new, more complete EIS or Supplemental EIS, even though the original EIS could have sufficed if it had been properly done at the outset. Thus, both lead and cooperating agencies have a stake in producing a document of good quality. Cooperating agencies also have a duty to participate fully in the scoping process to ensure that the appropriate range of issues is determined early in the EIS process.

Because the EIS is not the Record of Decision, but instead constitutes the information and analysis on which to base a decision, disagreements about conclusions to be drawn from the EIS need not inhibit agencies from issuing a joint document, or adopting another agency's EIS, if the analysis is adequate. Thus, if each agency has its own "preferred alternative," both can be identified in the EIS. Similarly, a cooperating agency with jurisdiction by law may determine in its own ROD that alternative A is the environmentally preferable action, even though the lead agency has decided in its separate ROD that Alternative B is environmentally preferable.

14c. Q. What are the specific responsibilities of federal and state cooperating agencies to review draft EISs?

A. Cooperating agencies (i.e., agencies with jurisdiction by law or special expertise) and agencies that are authorized to develop or enforce environmental standards, must comment on environmental impact statements within their jurisdiction, expertise or authority. Sections 1503.2, 1508.5. If a cooperating agency is satisfied that its views are adequately reflected in the environmental impact statement, it should simply comment accordingly. Conversely, if the cooperating agency determines that a draft EIS is incomplete, inadequate or inaccurate, or it has other comments, it should promptly make such comments, conforming to the requirements of specificity in section 1503.3.

14d. Q. How is the lead agency to treat the comments of another agency with jurisdiction by law or special expertise which has failed or refused to cooperate or participate in scoping or EIS preparation?

A. A lead agency has the responsibility to respond to all substantive comments raising significant issues regarding a draft EIS. Section 1503.4. However, cooperating agencies are generally under an obligation to raise issues or otherwise participate in the EIS process during scoping and EIS preparation if they reasonably can do so. In practical terms, if a cooperating agency fails to cooperate at the outset, such as during scoping, it will find that its comments at a later stage will not be as persuasive to the lead agency.

15. Q. Are EPA's responsibilities to review and comment on the environmental effects of agency proposals under Section 309 of the Clean Air Act independent of its responsibility as a cooperating agency?

A. Yes. EPA has an obligation under Section 309 of the Clean Air Act to review and comment in writing on the environmental impact of any matter relating to the authority of the Administrator contained in proposed legislation, federal construction projects, other federal actions requiring EISs, and new regulations. 42 U.S.C. Sec. 7609. This obligation is independent of its role as a cooperating agency under the NEPA regulations.

16. Q. What is meant by the term "third party contracts" in connection with the preparation of an EIS? See Section 1506.5(c). When can "third party contracts" be used?

A. As used by EPA and other agencies, the term "third party contract" refers to the preparation of EISs by contractors paid by the applicant. In the case of an EIS for a National Pollution Discharge Elimination System (NPDES) permit, the applicant, aware in the early planning stages of the proposed project of the need for an EIS, contracts directly with a consulting firm for its preparation. See 40 C.F.R. 6.604(g). The "third party" is EPA which, under Section 1506.5(c), must select the consulting firm, even though the applicant pays for the cost of preparing the EIS. The consulting firm is responsible to EPA for preparing an EIS that meets the requirements of the NEPA regulations and EPA's NEPA procedures. It is in the applicant's interest that the EIS comply with the law so that EPA can take prompt action on the NPDES permit application. The "third party contract" method under EPA's NEPA procedures is purely voluntary, though most applicants have

found it helpful in expediting compliance with NEPA.

If a federal agency uses "third party contracting," the applicant may undertake the necessary paperwork for the solicitation of a field of candidates under the agency's direction, so long as the agency complies with Section 1506.5(c). Federal procurement requirements do not apply to the agency because it incurs no obligations or costs under the contract, nor does the agency procure anything under the contract.

17a. Q. If an EIS is prepared with the assistance of a consulting firm, the firm must execute a disclosure statement. What criteria must the firm follow in determining whether it has any "financial or other interest in the outcome of the project" which would cause a conflict of interest?

A. Section 1506.5(c), which specifies that a consulting firm preparing an EIS must execute a disclosure statement, does not define "financial or other interest in the outcome of the project." The Council interprets this term broadly to cover any known benefits other than general enhancement of professional reputation. This includes any financial benefit such as a promise of future construction or design work on the project, as well as indirect benefits the consultant is aware of (e.g., if the project would aid proposals sponsored by the firm's other clients). For example, completion of a highway project may encourage construction of a shopping center or industrial park from which the consultant stands to benefit. If a consulting firm is aware that it has such an interest in the decision on the proposal, it should be disqualified from preparing the EIS, to preserve the objectivity and integrity of the NEPA process.

When a consulting firm has been involved in developing initial data and plans for the project, but does not have any financial or other interest in the outcome of the decision, it need not be disqualified from preparing the EIS. However, a disclosure statement in the draft EIS should clearly state the scope and extent of the firm's prior involvement to expose any potential conflicts of interest that may exist.

17b. Q. If the firm in fact has no promise of future work or other interest in the outcome of the proposal, may the firm later bid in competition with others for future work on the project if the proposed action is approved?

A. Yes.

18. Q. How should uncertainties about indirect effects of a proposal be addressed, for example, in cases of disposal of federal lands, when the

identity or plans of future landowners is unknown?

A. The EIS must identify all the indirect effects that are known, and make a good faith effort to explain the effects that are not known but are "reasonably foreseeable." Section 1508.8(b). In the example, if there is total uncertainty about the identity of future land owners or the nature of future land uses, then of course, the agency is not required to engage in speculation or contemplation about their future plans. But, in the ordinary course of business, people do make judgments based upon reasonably foreseeable occurrences. It will often be possible to consider the likely purchasers and the development trends in that area or similar areas in recent years; or the likelihood that the land will be used for an energy project, shopping center, subdivision, farm or factory. The agency has the responsibility to make an informed judgment, and to estimate future impacts on that basis, especially if trends are ascertainable or potential purchasers have made themselves known. The agency cannot ignore these uncertain, but probable, effects of its decisions.

19a. Q. What is the scope of mitigation measures that must be discussed?

A. The mitigation measures discussed in an EIS must cover the range of impacts of the proposal. The measures must include such things as design alternatives that would decrease pollution emissions, construction impacts, esthetic intrusion, as well as relocation assistance, possible land use controls that could be enacted, and other possible efforts. Mitigation measures must be considered even for impacts that by themselves would not be considered "significant." Once the proposal itself is considered as a whole to have significant effects, all of its specific effects on the environment (whether or not "significant") must be considered, and mitigation measures must be developed where it is feasible to do so. Sections 1502.14(f), 1502.16(h), 1508.14.

19b. Q. How should an EIS treat the subject of available mitigation measures that are (1) outside the jurisdiction of the lead or cooperating agencies, or (2) unlikely to be adopted or enforced by the responsible agency?

A. All relevant, reasonable mitigation measures that could improve the project are to be identified, even if they are outside the jurisdiction of the lead agency or the cooperating agencies, and thus would not be committed as part of the RODs of these agencies. Sections 1502.16(h), 1505.2(c). This will serve to

alert agencies or officials who can implement these extra measures, and will encourage them to do so. Because the EIS is the most comprehensive environmental document, it is an ideal vehicle in which to lay out not only the full range of environmental impacts but also the full spectrum of appropriate mitigation.

However, to ensure that environmental effects of a proposed action are fairly assessed, the probability of the mitigation measures being implemented must also be discussed. Thus the EIS and the Record of Decision should indicate the likelihood that such measures will be adopted or enforced by the responsible agencies. Sections 1502.16(h), 1505.2. If there is a history of nonenforcement or opposition to such measures, the EIS and Record of Decision should acknowledge such opposition or nonenforcement. If the necessary mitigation measures will not be ready for a long period of time, this fact, of course, should also be recognized.

20a. Q. When must a worst case analysis be included in an EIS?

A. If there are gaps in relevant information or scientific uncertainty pertaining to an agency's evaluation of significant adverse impacts on the human environment, an agency must make clear that such information is lacking or that the uncertainty exists. An agency must include a worst case analysis of the potential impacts of the proposal and an indication of the probability or improbability of their occurrence if (a) the information relevant to adverse impacts is essential to a reasoned choice among alternatives and the overall costs of obtaining the information are exorbitant, or (b) the information relevant to adverse impacts is important to the decision and the means to obtain it are not known.

NEPA requires that impact statements, at a minimum, contain information to alert the public and Congress to all known possible environmental consequences of agency action. Thus, one of the federal government's most important obligations is to present to the fullest extent possible the spectrum of consequences that may result from agency decisions, and the details of their potential consequences for the human environment.

20b. Q. What is the purpose of a worst case analysis? How is it formulated and what is the scope of the analysis?

A. The purpose of the analysis is to carry out NEPA's mandate for full disclosure to the public of the potential consequences of agency decisions, and

to cause agencies to consider those potential consequences when acting on the basis of scientific uncertainties or gaps in available information. The analysis is formulated on the basis of available information, using reasonable projections of the worst possible consequences of a proposed action.

For example, if there are scientific uncertainty and gaps in the available information concerning the numbers of juvenile fish that would be entrained in a cooling water facility, the responsible agency must disclose and consider the possibility of the loss of the commercial or sport fishery.

In addition to an analysis of a low probability/catastrophic impact event, the worst case analysis should also include a spectrum of events of higher probability but less drastic impact.

21. Q. Where an EIS or an EA is combined with another project planning document (sometimes called "piggybacking"), to what degree may the EIS or EA refer to and rely upon information in the project document to satisfy NEPA's requirements?

A. Section 1502.25 of the regulations requires that draft EISs be prepared concurrently and integrated with environmental analyses and related surveys and studies required by other federal statutes. In addition, Section 1506.4 allows any environmental document prepared in compliance with NEPA to be combined with any other agency document to reduce duplication and paperwork. However, these provisions were not intended to authorize the preparation of a short summary or outline EIS, attached to a detailed project report or land use plan containing the required environmental impact data. In such circumstances, the reader would have to refer constantly to the detailed report to understand the environmental impacts and alternatives which should have been found in the EIS itself.

The EIS must stand on its own as an analytical document which fully informs decisionmakers and the public of the environmental effects of the proposal and those of the reasonable alternatives. Section 1502.1. But, as long as the EIS is clearly identified and is self-supporting, it can be physically included in or attached to the project report or land use plan, and may use attached report material as technical backup.

Forest Service environmental impact statements for forest management plans are handled in this manner. The EIS identifies the agency's preferred alternative, which is developed in detail as the proposed management plan. The detailed proposed plan accompanies the EIS through the review process, and the

documents are appropriately cross-referenced. The proposed plan is useful for EIS readers as an example, to show how one choice of management options translates into effects on natural resources. This procedure permits initiation of the 90-day public review of proposed forest plans, which is required by the National Forest Management Act.

All the alternatives are discussed in the EIS, which can be read as an independent document. The details of the management plan are not repeated in the EIS, and vice versa. This is a reasonable functional separation of the documents: the EIS contains information relevant to the choice among alternatives; the plan is a detailed description of proposed management activities suitable for use by the land managers. This procedure provides for concurrent compliance with the public review requirements of both NEPA and the National Forest Management Act.

Under some circumstances, a project report or management plan may be totally merged with the EIS, and the one document labeled as both "EIS" and "management plan" or "project report." This may be reasonable where the documents are short, or where the EIS format and the regulations for clear, analytical EISs also satisfy the requirements for a project report.

22. Q. May state and federal agencies serve as joint lead agencies? If so, how do they resolve law, policy and resource conflicts under NEPA and the relevant state environmental policy act? How do they resolve differences in perspective where, for example, national and local needs may differ?

A. Under Section 1501.5(b), federal, state or local agencies, as long as they include at least one federal agency, may act as joint lead agencies to prepare an EIS. Section 1506.2 also strongly urges state and local agencies and the relevant federal agencies to cooperate fully with each other. This should cover joint research and studies, planning activities, public hearings, environmental assessments and the preparation of joint EISs under NEPA and the relevant "little NEPA" state laws, so that one document will satisfy both laws.

The regulations also recognize that certain inconsistencies may exist between the proposed federal action and any approved state or local plan or law. The joint document should discuss the extent to which the federal agency would reconcile its proposed action with such plan or law. Section 1506.2(d). (See Question 23).

Because there may be differences in perspective as well as conflicts among

federal, state and local goals for resources management, the Council has advised participating agencies to adopt a flexible, cooperative approach. The joint EIS should reflect all of their interests and missions, clearly identified as such. The final document would then indicate how state and local interests have been accommodated, or would identify conflicts in goals (e.g., how a hydroelectric project, which might induce second home development, would require new land use controls). The EIS must contain a complete discussion of scope and purpose of the proposal, alternatives, and impacts so that the discussion is adequate to meet the needs of local, state and federal decisionmakers.

23a. Q. How should an agency handle potential conflicts between a proposal and the objectives of Federal, state or local land use plans, policies and controls for the area concerned? See Sec. 1502.16(c).

A. The agency should first inquire of other agencies whether there are any potential conflicts. If there would be immediate conflicts, or if conflicts could arise in the future when the plans are finished (see Question 23(b) below), the EIS must acknowledge and describe the extent of those conflicts. If there are any possibilities of resolving the conflicts, these should be explained as well. The EIS should also evaluate the seriousness of the impact of the proposal on the land use plans and policies, and whether, or how much, the proposal will impair the effectiveness of land use control mechanisms for the area. Comments from officials of the affected area should be solicited early and should be carefully acknowledged and answered in the EIS.

23b. Q. What constitutes a "land use plan or policy" for purposes of this discussion?

A. The term "land use plans," includes all types of formally adopted documents for land use planning, zoning and related regulatory requirements. Local general plans are included, even though they are subject to future change. Proposed plans should also be addressed if they have been formally proposed by the appropriate government body in a written form, and are being actively pursued by officials of the jurisdiction. Staged plans, which must go through phases of development such as the Water Resources Council's Level A, B and C planning process should also be included even though they are incomplete.

The term "policies" includes formally adopted statements of land use policy as embodied in laws or regulations. It also includes proposals for action such as the

initiation of a planning process, or a formally adopted policy statement of the local, regional or state executive branch, even if it has not yet been formally adopted by the local, regional or state legislative body.

23c. Q. What options are available for the decisionmaker when conflicts with such plans or policies are identified?

A. After identifying any potential land use conflicts, the decisionmaker must weigh the significance of the conflicts, among all the other environmental and non-environmental factors that must be considered in reaching a rational and balanced decision. Unless precluded by other law from causing or contributing to any inconsistency with the land use plans, policies or controls, the decisionmaker retains the authority to go forward with the proposal, despite the potential conflict. In the Record of Decision, the decisionmaker must explain what the decision was, how it was made, and what mitigation measures are being imposed to lessen adverse environmental impacts of the proposal, among the other requirements of Section 1505.2. This provision would require the decisionmaker to explain any decision to override land use plans, policies or controls for the area.

24a. Q. When are EISs required on policies, plans or programs?

A. An EIS must be prepared if an agency proposes to implement a specific policy, to adopt a plan for a group of related actions, or to implement a specific statutory program or executive directive. Section 1508.18. In addition, the adoption of official policy in the form of rules, regulations and interpretations pursuant to the Administrative Procedure Act, treaties, conventions, or other formal documents establishing governmental or agency policy which will substantially alter agency programs, could require an EIS. Section 1508.18. In all cases, the policy, plan, or program must have the potential for significantly affecting the quality of the human environment in order to require an EIS. It should be noted that a proposal "may exist in fact as well as by agency declaration that one exists." Section 1508.23.

24b. Q. When is an area-wide or overview EIS appropriate?

A. The preparation of an area-wide or overview EIS may be particularly useful when similar actions, viewed with other reasonably foreseeable or proposed agency actions, share common timing or geography. For example, when a variety of energy projects may be located in a single watershed, or when a series of new energy technologies may be developed through federal funding, the overview or area-wide EIS would serve

as a valuable and necessary analysis of the affected environment and the potential cumulative impacts of the reasonably foreseeable actions under that program or within that geographical area.

24c. Q. What is the function of tiering in such cases?

A. Tiering is a procedure which allows an agency to avoid duplication of paperwork through the incorporation by reference of the general discussions and relevant specific discussions from an environmental impact statement of broader scope into one of lesser scope or vice versa. In the example given in Question 24b, this would mean that an overview EIS would be prepared for all of the energy activities reasonably foreseeable in a particular geographic area or resulting from a particular development program. This impact statement would be followed by site-specific or project-specific EISs. The tiering process would make each EIS of greater use and meaning to the public as the plan or program develops, without duplication of the analysis prepared for the previous impact statement.

25a. Q. When is it appropriate to use appendices instead of including information in the body of an EIS?

A. The body of the EIS should be a succinct statement of all the information on environmental impacts and alternatives that the decisionmaker and the public need, in order to make the decision and to ascertain that every significant factor has been examined. The EIS must explain or summarize methodologies of research and modeling, and the results of research that may have been conducted to analyze impacts and alternatives.

Lengthy technical discussions of modeling methodology, baseline studies, or other work are best reserved for the appendix. In other words, if only technically trained individuals are likely to understand a particular discussion then it should go in the appendix, and a plain language summary of the analysis and conclusions of that technical discussion should go in the text of the EIS.

The final statement must also contain the agency's responses to comments on the draft EIS. These responses will be primarily in the form of changes in the document itself, but specific answers to each significant comment should also be included. These specific responses may be placed in an appendix. If the comments are especially voluminous, summaries of the comments and responses will suffice. (See Question 29 regarding the level of detail required for responses to comments.)

25b. Q. How does an appendix differ from incorporation by reference?

A. First, if at all possible, the appendix accompanies the EIS, whereas the material which is incorporated by reference does not accompany the EIS. Thus the appendix should contain information that reviewers will be likely to want to examine. The appendix should include material that pertains to preparation of a particular EIS. Research papers directly relevant to the proposal, lists of affected species, discussion of the methodology of models used in the analysis of impacts, extremely detailed responses to comments, or other information, would be placed in the appendix.

The appendix must be complete and available at the time the EIS is filed. Five copies of the appendix must be sent to EPA with five copies of the EIS for filing. If the appendix is too bulky to be circulated, it instead must be placed in conveniently accessible locations or furnished directly to commentors upon request. If it is not circulated with the EIS, the Notice of Availability published by EPA must so state, giving a telephone number to enable potential commentors to locate or request copies of the appendix promptly.

Material that is not directly related to preparation of the EIS should be incorporated by reference. This would include other EISs, research papers in the general literature, technical background papers or other material that someone with technical training could use to evaluate the analysis of the proposal. These must be made available, either by citing the literature, furnishing copies to central locations, or sending copies directly to commentors upon request.

Care must be taken in all cases to ensure that material incorporated by reference, and the occasional appendix that does not accompany the EIS, are in fact available for the full minimum public comment period.

26a. Q. How detailed must an EIS index be?

A. The EIS index should have a level of detail sufficient to focus on areas of the EIS of reasonable interest to any reader. It cannot be restricted to the most important topics. On the other hand, it need not identify every conceivable term or phrase in the EIS. If an agency believes that the reader is reasonably likely to be interested in a topic, it should be included.

26b. Q. Is a keyword index required?

A. No. A keyword index is a relatively short list of descriptive terms that identifies the key concepts or subject areas in a document. For example it could consist of 20 terms which describe

the most significant aspects of an EIS that a future researcher would need: type of proposal, type of impacts, type of environment, geographical area, sampling or modelling methodologies used. This technique permits the compilation of EIS data banks, by facilitating quick and inexpensive access to stored materials. While a keyword index is not required by the regulations, it could be a useful addition for several reasons. First, it can be useful as a quick index for reviewers of the EIS, helping to focus on areas of interest. Second, if an agency keeps a listing of the keyword indexes of the EISs it produces, the EIS preparers themselves will have quick access to similar research data and methodologies to aid their future EIS work. Third, a keyword index will be needed to make an EIS available to future researchers using EIS data banks that are being developed. Preparation of such an index now when the document is produced will save a later effort when the data banks become operational.

27a. Q. If a consultant is used in preparing an EIS, must the list of preparers identify members of the consulting firm as well as the agency NEPA staff who were primarily responsible?

A. Section 1502.17 requires identification of the names and qualifications of persons who were primarily responsible for preparing the EIS or significant background papers, including basic components of the statement. This means that members of a consulting firm preparing material that is to become part of the EIS must be identified. The EIS should identify these individuals even though the consultant's contribution may have been modified by the agency.

27b. Q. Should agency staff involved in reviewing and editing the EIS also be included in the list of preparers?

A. Agency personnel who wrote basic components of the EIS or significant background papers must, of course, be identified. The EIS should also list the technical editors who reviewed or edited the statements.

27c. Q. How much information should be included on each person listed?

A. The list of preparers should normally not exceed two pages. Therefore, agencies must determine which individuals had *primary* responsibility and need not identify individuals with minor involvement. The list of preparers should include a very brief identification of the individuals involved, their qualifications (expertise, professional disciplines) and the specific portion of the EIS for which they are responsible. This may be done in tabular

form to cut down on length. A line or two for each person's qualifications should be sufficient.

28. Q. May an agency file xerox copies of an EIS with EPA pending the completion of printing the document?

A. Xerox copies of an EIS may be filed with EPA prior to printing only if the xerox copies are simultaneously made available to other agencies and the public. Section 1506.9 of the regulations, which governs EIS filing, specifically requires Federal agencies to file EISs with EPA no earlier than the EIS is distributed to the public. However, this section does not prohibit xeroxing as a form of reproduction and distribution. When an agency chooses xeroxing as the reproduction method, the EIS must be clear and legible to permit ease of reading and ultimate microfiching of the EIS. Where color graphs are important to the EIS, they should be reproduced and circulated with the xeroxed copy.

29a. Q. What response must an agency provide to a comment on a draft EIS which states that the EIS's methodology is inadequate or inadequately explained? For example, what level of detail must an agency include in its response to a simple postcard comment making such an allegation?

A. Appropriate responses to comments are described in Section 1503.4. Normally the responses should result in changes in the text of the EIS, not simply a separate answer at the back of the document. But, in addition, the agency must state what its response was, and if the agency decides that no substantive response to a comment is necessary, it must explain briefly why.

An agency is not under an obligation to issue a lengthy reiteration of its methodology for any portion of an EIS if the only comment addressing the methodology is a simple complaint that the EIS methodology is inadequate. But agencies must respond to comments, however brief, which are specific in their criticism of agency methodology. For example, if a commentor on an EIS said that an agency's air quality dispersion analysis or methodology was inadequate, and the agency had included a discussion of that analysis in the EIS, little if anything need be added in response to such a comment. However, if the commentor said that the dispersion analysis was inadequate because of its use of a certain computational technique, or that a dispersion analysis was inadequately explained because computational techniques were not included or referenced, then the agency would have to respond in a substantive and meaningful way to such a comment.

If a number of comments are identical or very similar, agencies may group the comments and prepare a single answer for each group. Comments may be summarized if they are especially voluminous. The comments or summaries must be attached to the EIS regardless of whether the agency believes they merit individual discussion in the body of the final EIS.

29b. Q. How must an agency respond to a comment on a draft EIS that raises a new alternative not previously considered in the draft EIS?

A. This question might arise in several possible situations. First, a commentator on a draft EIS may indicate that there is a possible alternative which, in the agency's view, is not a reasonable alternative. Section 1502.14(a). If that is the case, the agency must explain why the comment does not warrant further agency response, citing authorities or reasons that support the agency's position and, if appropriate, indicate those circumstances which would trigger agency reappraisal or further response. Section 1503.4(a). For example, a commentator on a draft EIS on a coal fired power plant may suggest the alternative of using synthetic fuel. The agency may reject the alternative with a brief discussion (with authorities) of the unavailability of synthetic fuel within the time frame necessary to meet the need and purpose of the proposed facility.

A second possibility is that an agency may receive a comment indicating that a particular alternative, while reasonable, should be modified somewhat, for example, to achieve certain mitigation benefits, or for other reasons. If the modification is reasonable, the agency should include a discussion of it in the final EIS. For example, a commentator on a draft EIS on a proposal for a pumped storage power facility might suggest that the applicant's proposed alternative should be enhanced by the addition of certain reasonable mitigation measures, including the purchase and setaside of a wildlife preserve to substitute for the tract to be destroyed by the project. The modified alternative including the additional mitigation measures should be discussed by the agency in the final EIS.

A third slightly different possibility is that a comment on a draft EIS will raise an alternative which is a minor variation of one of the alternatives discussed in the draft EIS, but this variation was not given any consideration by the agency. In such a case, the agency should develop and evaluate the new alternative, if it is reasonable, in the final EIS. If it is qualitatively within the spectrum of

alternatives that were discussed in the draft, a supplemental draft will not be needed. For example, a commentator on a draft EIS to designate a wilderness area within a National Forest might reasonably identify a specific tract of the forest, and urge that it be considered for designation. If the draft EIS considered designation of a range of alternative tracts which encompassed forest area of similar quality and quantity, no supplemental EIS would have to be prepared. The agency could fulfill its obligation by addressing that specific alternative in the final EIS.

As another example, an EIS on an urban housing project may analyze the alternatives of constructing 2,000, 4,000, or 6,000 units. A commentator on the draft EIS might urge the consideration of constructing 5,000 units utilizing a different configuration of buildings. This alternative is within the spectrum of alternatives already considered, and, therefore, could be addressed in the final EIS.

A fourth possibility is that a commentator points out an alternative which is not a variation of the proposal or of any alternative discussed in the draft impact statement, and is a reasonable alternative that warrants serious agency response. In such a case, the agency must issue a supplement to the draft EIS that discusses this new alternative. For example, a commentator on a draft EIS on a nuclear power plant might suggest that a reasonable alternative for meeting the projected need for power would be through peak load management and energy conservation programs. If the permitting agency has failed to consider that approach in the Draft EIS, and the approach cannot be dismissed by the agency as unreasonable, a supplement to the Draft EIS, which discusses that alternative, must be prepared. (If necessary, the same supplement should also discuss substantial changes in the proposed action or significant new circumstances or information, as required by Section 1502.9(c)(1) of the Council's regulations.)

If the new alternative was not raised by the commentator during scoping, but could have been, commentators may find that they are unpersuasive in their efforts to have their suggested alternative analyzed in detail by the agency. However, if the new alternative is discovered or developed later, and it could not reasonably have been raised during the scoping process, then the agency must address it in a supplemental draft EIS. The agency is, in any case, ultimately responsible for

preparing an adequate EIS that considers all alternatives.

30. Q. When a cooperating agency with jurisdiction by law intends to adopt a lead agency's EIS and it is not satisfied with the adequacy of the document, may the cooperating agency adopt only the part of the EIS with which it is satisfied? If so, would a cooperating agency with jurisdiction by law have to prepare a separate EIS or EIS supplement covering the areas of disagreement with the lead agency?

A. Generally, a cooperating agency may adopt a lead agency's EIS without recirculating it if it concludes that its NEPA requirements and its comments and suggestions have been satisfied. Section 1506.3(a), (c). If necessary, a cooperating agency may adopt only a portion of the lead agency's EIS and may reject that part of the EIS with which it disagrees, stating publicly why it did so. Section 1506.3(a).

A cooperating agency with jurisdiction by law (e.g., an agency with independent legal responsibilities with respect to the proposal) has an independent legal obligation to comply with NEPA. Therefore, if the cooperating agency determines that the EIS is wrong or inadequate, it must prepare a supplement to the EIS, replacing or adding any needed information, and must circulate the supplement as a draft for public and agency review and comment. A final supplemental EIS would be required before the agency could take action. The adopted portions of the lead agency EIS should be circulated with the supplement. Section 1506.3(b). A cooperating agency with jurisdiction by law will have to prepare its own Record of Decision for its action, in which it must explain how it reached its conclusions. Each agency should explain how and why its conclusions differ, if that is the case, from those of other agencies which issued their Records of Decision earlier.

An agency that did not cooperate in preparation of an EIS may also adopt an EIS or portion thereof. But this would arise only in rare instances, because an agency adopting an EIS for use in its own decision normally would have been a cooperating agency. If the proposed action for which the EIS was prepared is substantially the same as the proposed action of the adopting agency, the EIS may be adopted as long as it is recirculated as a final EIS and the agency announces what it is doing. This would be followed by the 30-day review period and issuance of a Record of Decision by the adopting agency. If the proposed action by the adopting agency is not substantially the same as that in

the EIS (i.e., if an EIS on one action is being adapted for use in a decision on another action), the EIS would be treated as a draft and circulated for the normal public comment period and other procedures. Section 1506.3(b).

31a. Q. Do the Council's NEPA regulations apply to independent regulatory agencies like the Federal Energy Regulatory Commission (FERC) and the Nuclear Regulatory Commission?

A. The statutory requirements of NEPA's Section 102 apply to "all agencies of the federal government." The NEPA regulations implement the procedural provisions of NEPA as set forth in NEPA's Section 102(2) for all agencies of the federal government. The NEPA regulations apply to independent regulatory agencies, however, they do not direct independent regulatory agencies or other agencies to make decisions in any particular way or in a way inconsistent with an agency's statutory charter. Sections 1500.3, 1500.6, 1507.1, and 1507.3.

31b. Q. Can an Executive Branch agency like the Department of the Interior adopt an EIS prepared by an independent regulatory agency such as FERC?

A. If an independent regulatory agency such as FERC has prepared an EIS in connection with its approval of a proposed project, an Executive Branch agency (e.g., the Bureau of Land Management in the Department of the Interior) may, in accordance with Section 1506.3, adopt the EIS or a portion thereof for its use in considering the same proposal. In such a case the EIS must, to the satisfaction of the adopting agency, meet the standards for an adequate statement under the NEPA regulations (including scope and quality of analysis of alternatives) and must satisfy the adopting agency's comments and suggestions. If the independent regulatory agency fails to comply with the NEPA regulations, the cooperating or adopting agency may find that it is unable to adopt the EIS, thus forcing the preparation of a new EIS or EIS Supplement for the same action. The NEPA regulations were made applicable to all federal agencies in order to avoid this result, and to achieve uniform application and efficiency of the NEPA process.

32. Q. Under what circumstances do old EISs have to be supplemented before taking action on a proposal?

A. As a rule of thumb, if the proposal has not yet been implemented, or if the EIS concerns an ongoing program, EISs that are more than 5 years old should be carefully reexamined to determine if the

criteria in Section 1502.9 compel preparation of an EIS supplement.

If an agency has made a substantial change in a proposed action that is relevant to environmental concerns, or if there are significant new circumstances or information relevant to environmental concerns and bearing on the proposed action or its impacts, a supplemental EIS must be prepared for an old EIS so that the agency has the best possible information to make any necessary substantive changes in its decisions regarding the proposal. Section 1502.9(c).

33a. Q. When must a referral of an interagency disagreement be made to the Council?

A. The Council's referral procedure is a *pre-decision* referral process for interagency disagreements. Hence, Section 1504.3 requires that a referring agency must deliver its referral to the Council not later than 25 days after publication by EPA of notice that the final EIS is available (unless the lead agency grants an extension of time under Section 1504.3(b)).

33b. Q. May a referral be made after this issuance of a Record of Decision?

A. No, except for cases where agencies provide an internal appeal procedure which permits simultaneous filing of the final EIS and the record of decision (ROD). Section 1506.10(b)(2). Otherwise, as stated above, the process is a *pre-decision* referral process. Referrals must be made within 25 days after the notice of availability of the final EIS, whereas the final decision (ROD) may not be made or filed until after 30 days from the notice of availability of the EIS. Sections 1504.3(b), 1506.10(b). If a lead agency has granted an extension of time for another agency to take action on a referral, the ROD may not be issued until the extension has expired.

34a. Q. Must Records of Decision (RODs) be made public? How should they be made available?

A. Under the regulations, agencies must prepare a "concise *public* record of decision," which contains the elements specified in Section 1505.2. This public record may be integrated into any other decision record prepared by the agency, or it may be separate if decision documents are not normally made public. The Record of Decision is intended by the Council to be an environmental document (even though it is not explicitly mentioned in the definition of "environmental document" in Section 1508.10). Therefore, it must be made available to the public through appropriate public notice as required by Section 1506.6(b). However, there is no specific requirement for publication of

the ROD itself, either in the Federal Register or elsewhere.

34b. Q. May the summary section in the final Environmental Impact Statement substitute for or constitute an agency's Record of Decision?

A. No. An environmental impact statement is supposed to inform the decisionmaker before the decision is made. Sections 1502.1, 1505.2. The Council's regulations provide for a 30-day period after notice is published that the final EIS has been filed with EPA before the agency may take final action. During that period, in addition to the agency's own internal final review, the public and other agencies can comment on the final EIS prior to the agency's final action on the proposal. In addition, the Council's regulations make clear that the requirements for the summary in an EIS are not the same as the requirements for a ROD. Sections 1502.12 and 1505.2.

34c. Q. What provisions should Records of Decision contain pertaining to mitigation and monitoring?

A. Lead agencies "shall include appropriate conditions [including mitigation measures and monitoring and enforcement programs] in grants, permits or other approvals" and shall "condition funding of actions on mitigation." Section 1505.3. Any such measures that are adopted must be explained and committed in the ROD.

The reasonable alternative mitigation measures and monitoring programs should have been addressed in the draft and final EIS. The discussion of mitigation and monitoring in a Record of Decision must be more detailed than a general statement that mitigation is being required, but not so detailed as to duplicate discussion of mitigation in the EIS. The Record of Decision should contain a concise summary identification of the mitigation measures which the agency has committed itself to adopt.

The Record of Decision must also state whether all practicable mitigation measures have been adopted, and if not, why not. Section 1505.2(c). The Record of Decision must identify the mitigation measures and monitoring and enforcement programs that have been selected and plainly indicate that they are adopted as part of the agency's decision. If the proposed action is the issuance of a permit or other approval, the specific details of the mitigation measures shall then be included as appropriate conditions in whatever grants, permits, funding or other approvals are being made by the federal agency. Section 1505.3 (a), (b). If the proposal is to be carried out by the

federal agency itself, the Record of Decision should delineate the mitigation and monitoring measures in sufficient detail to constitute an enforceable commitment, or incorporate by reference the portions of the EIS that do so.

34d. Q. What is the enforceability of a Record of Decision?

A. Pursuant to generally recognized principles of federal administrative law, agencies will be held accountable for preparing Records of Decision that conform to the decisions actually made and for carrying out the actions set forth in the Records of Decision. This is based on the principle that an agency must comply with its own decisions and regulations once they are adopted. Thus, the terms of a Record of Decision are enforceable by agencies and private parties. A Record of Decision can be used to compel compliance with or execution of the mitigation measures identified therein.

35. Q. How long should the NEPA process take to complete?

A. When an EIS is required, the process obviously will take longer than when an EA is the only document prepared. But the Council's NEPA regulations encourage streamlined review, adoption of deadlines, elimination of duplicative work, eliciting suggested alternatives and other comments early through scoping, cooperation among agencies, and consultation with applicants during project planning. The Council has advised agencies that under the new NEPA regulations even large complex energy projects would require only about 12 months for the completion of the entire EIS process. For most major actions, this period is well within the planning time that is needed in any event, apart from NEPA.

The time required for the preparation of program EISs may be greater. The Council also recognizes that some projects will entail difficult long-term planning and/or the acquisition of certain data which of necessity will require more time for the preparation of the EIS. Indeed, some proposals should be given more time for the thoughtful preparation of an EIS and development of a decision which fulfills NEPA's substantive goals.

For cases in which only an environmental assessment will be prepared, the NEPA process should take no more than 3 months, and in many cases substantially less, as part of the normal analysis and approval process for the action.

36a. Q. How long and detailed must an environmental assessment (EA) be?

A. The environmental assessment is a concise public document which has

three defined functions. (1) It briefly provides sufficient evidence and analysis for determining whether to prepare an EIS; (2) it aids an agency's compliance with NEPA when no EIS is necessary, i.e., it helps to identify better alternatives and mitigation measures; and (3) it facilitates preparation of an EIS when one is necessary. Section 1508.9(a).

Since the EA is a concise document, it should not contain long descriptions or detailed data which the agency may have gathered. Rather, it should contain a brief discussion of the need for the proposal, alternatives to the proposal, the environmental impacts of the proposed action and alternatives, and a list of agencies and persons consulted. Section 1508.9(b).

While the regulations do not contain page limits for EA's, the Council has generally advised agencies to keep the length of EAs to not more than approximately 10-15 pages. Some agencies expressly provide page guidelines (e.g., 10-15 pages in the case of the Army Corps). To avoid undue length, the EA may incorporate by reference background data to support its concise discussion of the proposal and relevant issues.

36b. Q. Under what circumstances is a lengthy EA appropriate?

A. Agencies should avoid preparing lengthy EAs except in unusual cases, where a proposal is so complex that a concise document cannot meet the goals of Section 1508.9 and where it is extremely difficult to determine whether the proposal could have significant environmental effects. In most cases, however, a lengthy EA indicates that an EIS is needed.

37a. Q. What is the level of detail of information that must be included in a finding of no significant impact (FONSI)?

A. The FONSI is a document in which the agency briefly explains the reasons why an action will not have a significant effect on the human environment and, therefore, why an EIS will not be prepared. Section 1508.13. The finding itself need not be detailed, but must succinctly state the reasons for deciding that the action will have no significant environmental effects, and, if relevant, must show which factors were weighted most heavily in the determination. In addition to this statement, the FONSI must include, summarize, or attach and incorporate by reference, the environmental assessment.

37b. Q. What are the criteria for deciding whether a FONSI should be made available for public review for 30 days before the agency's final

determination whether to prepare an EIS?

A. Public review is necessary, for example, (a) if the proposal is a borderline case, i.e., when there is a reasonable argument for preparation of an EIS; (b) if it is an unusual case, a new kind of action, or a precedent setting case such as a first intrusion of even a minor development into a pristine area; (c) when there is either scientific or public controversy over the proposal; or (d) when it involves a proposal which is or is closely similar to one which normally requires preparation of an EIS. Sections 1501.4(e)(2), 1508.27. Agencies also must allow a period of public review of the FONSI if the proposed action would be located in a floodplain or wetland. E.O. 11988, Sec. 2(a)(4); E.O. 11990, Sec. 2(b).

38. Q. Must (EAs) and FONSI be made public? If so, how should this be done?

A. Yes, they must be available to the public. Section 1506.6 requires agencies to involve the public in implementing their NEPA procedures, and this includes public involvement in the preparation of EAs and FONSI. These are public "environmental documents" under Section 1506.6(b), and, therefore, agencies must give public notice of their availability. A combination of methods may be used to give notice, and the methods should be tailored to the needs of particular cases. Thus, a Federal Register notice of availability of the documents, coupled with notices in national publications and mailed to interested national groups might be appropriate for proposals that are national in scope. Local newspaper notices may be more appropriate for regional or site-specific proposals.

The objective, however, is to notify all interested or affected parties. If this is not being achieved, then the methods should be reevaluated and changed. Repeated failure to reach the interested or affected public would be interpreted as a violation of the regulations.

39. Q. Can an EA and FONSI be used to impose enforceable mitigation measures, monitoring programs, or other requirements, even though there is no requirement in the regulations in such cases for a formal Record of Decision?

A. Yes. In cases where an environmental assessment is the appropriate environmental document, there still may be mitigation measures or alternatives that would be desirable to consider and adopt even though the impacts of the proposal will not be "significant." In such cases, the EA should include a discussion of these measures or alternatives to "assist

agency planning and decisionmaking" and to "aid an agency's compliance with [NEPA] when no environmental impact statement is necessary." Section 1501.3(b), 1508.9(a)(2). The appropriate mitigation measures can be imposed as enforceable permit conditions, or adopted as part of the agency final decision in the same manner mitigation measures are adopted in the formal Record of Decision that is required in EIS cases.

40. Q. If an environmental assessment indicates that the environmental effects of a proposal are significant but that, with mitigation, those effects may be reduced to less than significant levels, may the agency make a finding of no significant impact rather than prepare an EIS? Is that a legitimate function of an EA and scoping?

A. Mitigation measures may be relied upon to make a finding of no significant impact only if they are imposed by statute or regulation, or submitted by an applicant or agency as part of the original proposal. As a general rule, the regulations contemplate that agencies should use a broad approach in defining significance and should not rely on the possibility of mitigation as an excuse to avoid the EIS requirement. Sections 1508.8, 1508.27.

If a proposal appears to have adverse effects which would be significant, and certain mitigation measures are then developed during the scoping or EA stages, the existence of such possible mitigation does not obviate the need for an EIS. Therefore, if scoping or the EA identifies certain mitigation possibilities without altering the nature of the overall proposal itself, the agency should continue the EIS process and submit the proposal, and the potential mitigation, for public and agency review and comment. This is essential to ensure that the final decision is based on all the relevant factors and that the full NEPA process will result in enforceable mitigation measures through the Record of Decision.

In some instances, where the proposal itself so integrates mitigation from the beginning that it is impossible to define the proposal without including the mitigation, the agency may then rely on the mitigation measures in determining that the overall effects would not be significant (e.g., where an application for a permit for a small hydro dam is based on a binding commitment to build fish ladders, to permit adequate down stream flow, and to replace any lost wetlands, wildlife habitat and recreational potential). In those instances, agencies should make the FONSI and EA available for 30 days of

public comment before taking action. Section 1501.4(e)(2).

Similarly, scoping may result in a redefinition of the entire project, as a result of mitigation proposals. In that case, the agency may alter its previous decision to do an EIS, as long as the agency or applicant resubmits the entire proposal and the EA and FONSI are available for 30 days of review and comment. One example of this would be where the size and location of a proposed industrial park are changed to avoid affecting a nearby wetland area.

[FR Doc. 81-8734 Filed 3-20-81; 8:45 am]
BILLING CODE 3125-01-M

DEPARTMENT OF TRANSPORTATION

National Highway Traffic Safety Administration

49 CFR Part 531

[Docket No. LVM 77-05; Notice 5]

Passenger Automobile Average Fuel Economy Standards; Exemption From Average Fuel Economy Standards

AGENCY: National Highway Traffic Safety Administration, Department of Transportation.

ACTION: Final decision to grant exemption from fuel economy standards.

SUMMARY: This notice exempts Excalibur Automobile Corporation (Excalibur) from the generally applicable average fuel economy standards of 19.0 miles per gallon (mpg) and 20.0 mpg for 1979 and 1980 model year passenger automobiles, respectively, and establishes alternative standards. The alternative standards are 11.5 mpg in the 1979 model year and 16.2 mpg in the 1980 model year.

DATES: The exemptions and alternative standards set forth in this notice apply in the 1979 and 1980 model years.

FOR FURTHER INFORMATION CONTACT: Robert Mercure, Office of Automotive Fuel Economy Standards, National Highway Traffic Safety Administration, 400 Seventh Street SW., Washington, D.C. 20590 (202-755-9384).

SUPPLEMENTARY INFORMATION: The National Highway Traffic Safety Administration (NHTSA) is exempting Excalibur from the generally applicable average fuel economy standards for the 1979 and 1980 model year and establishing alternative standards applicable to that company in those model years. This exemption is issued under the authority of section 502(c) of the Motor Vehicle Information and Cost

Savings Act, as amended (the Act) (15 U.S.C. 2002(c)). Section 502(c) provides that a manufacturer of passenger automobiles that manufactures fewer than 10,000 passenger automobiles annually may be exempted from the generally applicable average fuel economy standard for a particular model year if that standard is greater than the low volume manufacturer's maximum feasible average fuel economy and if the NHTSA establishes an alternative standard applicable to that manufacturer at the low volume manufacturer's maximum feasible average fuel economy. Section 502(e) of the Act (15 U.S.C. 2002(e)) requires the NHTSA to consider:

- (1) Technological feasibility;
- (2) Economic practicability;
- (3) The effect of other Federal motor vehicle standards on fuel economy; and
- (4) The need of the Nation to conserve energy.

This final rule was preceded by a notice announcing the NHTSA's proposed decision to grant an exemption to Excalibur for the 1979 and 1980 model years (45 FR 50840, July 31, 1980). No comments were received during the 45-day comment period.

Based on its conclusions that it is not technologically feasible and economically practicable for Excalibur to improve the fuel economy of its 1979 and 1980 model year automobiles above an average of 11.5 and 16.2 mpg, respectively, that other Federal automobile standards did not affect achievable fuel economy beyond the extent considered in this analysis, and that the national effort to conserve energy will be negligibly affected by the granting of the requested exemptions, this agency concludes that the maximum feasible average fuel economy for Excalibur in the 1979 and 1980 model years is 11.5 mpg and 16.2 mpg, respectively. Therefore, NHTSA is exempting Excalibur from the generally applicable standards and is establishing alternative standards of 11.5 mpg for the 1979 model year and 16.2 mpg for the 1980 model year.

In consideration of the foregoing, 49 CFR Part 531 is amended by revising § 531.5(b)(5) to read as follows:

§ 531.5 Fuel economy standards.

* * * * *

(b) The following manufacturers shall comply with the fuel economy standards indicated below for the specified model years:

* * * * *

- (5) Excalibur Automobile Corporation.

ORAL ARGUMENT SCHEDULED MARCH 16, 2012

**IN THE UNITED STATES COURT OF APPEALS
FOR THE DISTRICT OF COLUMBIA CIRCUIT**

D.C. Cir. No. 11-1045 (consolidated with D.C. Cir. Nos. 11-1051, 11-1056,
11-1057)

STATE OF NEW YORK, *et al.*,
Petitioners,

v.

UNITED STATES NUCLEAR REGULATORY COMMISSION and the UNITED
STATES OF AMERICA,
Respondents

STATE OF NEW JERSEY, *et al.*,
Intervenors.

Petition for Review of Final Administrative Action of the United States Nuclear
Regulatory Commission

**STANDING ADDENDUM TO OPENING BRIEF FOR PETITIONERS
NATURAL RESOURCES DEFENSE COUNCIL, INC., BLUE RIDGE
ENVIRONMENTAL DEFENSE LEAGUE, RIVERKEEPER, INC., AND
SOUTHERN ALLIANCE FOR CLEAN ENERGY, INC.**

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*Counsel for BREDL, Riverkeeper,
and SACE*

Standing Addendum

This addendum includes declarations that Environmental Petitioners have offered in support of their standing to pursue this action. The list of exhibits is as follows:

- Exhibit 1: Declaration of Diane Alden.....STANDING ADD 3
- Exhibit 2: Declaration of Diana Boryk.....STANDING ADD 9
- Exhibit 3: Declaration of Cynthia Catts.....STANDING ADD 14
- Exhibit 4: Thomas Schumann.....STANDING ADD 19
- Exhibit 5: Declaration of Donal Day.....STANDING ADD 24
- Exhibit 6: Declaration of Nancy Syrop.....STANDING ADD 29
- Exhibit 7: Declaration of Sandra Kurtz.....STANDING ADD 36
- Exhibit 8: Declaration of Linda Lopez.....STANDING ADD 41

ADDENDUM EXHIBIT 1

Declaration of Diane Alden

UNITED STATES COURT OF APPEALS FOR THE
DISTRICT OF COLUMBIA CIRCUIT

NATURAL RESOURCES DEFENSE
COUNCIL, INC.,

Petitioner,

v.

UNITED STATES NUCLEAR
REGULATORY COMMISSION and the
UNITED STATES OF AMERICA,

Respondents.

No.11-1051
(consolidated with Case Nos.
11-1045, 11-1056, 11-057)

DECLARATION OF DIANE ALDEN

I, Diane Alden, declare as follows:

1. I make this declaration of my own personal knowledge. If called to testify as a witness, I could and would testify competently regarding its contents.
2. I am a current member of the Natural Resources Defense Council ("NRDC"). I have been a member for over 11 years. I joined NRDC because I believe the government could do a much better job of protecting the environment and because I value the proactive approach that the NRDC has been taking in its advocacy work. I am committed to the long-term sustainability of the planet, and have a deep respect for life and for nature.

3. My current address is 124 Quaker Bridge Rd., Croton-on-Hudson NY, 10520, where I have lived for more than 34 years.

4. My home is approximately eight miles southeast of the Indian Point Energy Center in Buchanan, NY. I am aware that regulators at the Nuclear Regulatory Commission ("NRC") are currently considering a re-permitting application for this facility, which will keep it open for another 20 years.

5. I am aware that Indian Point stores nuclear waste from the reactors at the facility itself; this is a very great concern of mine. I have read about various accidents and violations that have occurred at the plant, and I am worried that there are not sufficient safeguards in place to prevent radioactive materials from this nuclear waste from leaking into the environment. I have no way of knowing whether the waste is being stored in a safe manner or not. Simply put, the government has not informed those of us in the neighboring communities about the risks that come with nuclear waste storage on site at power plants.

6. In addition to the issue of radiation leaks, I am also concerned about what might happen to the spent nuclear fuel in the event of an accident at Indian Point. If something were to go wrong at the plant, I sincerely doubt that I would be able to evacuate in time, given the population density in the area and the limited number of transportation routes. In this situation, those of us living near the plant

could be exposed to the nuclear waste stored on site, and I have grave doubts about whether the government has prepared adequately for such a catastrophe—they just haven't given us enough information about this scenario.

7. I am aware that cancer rates in my area are higher than average. In the time that I have lived at my current residence, all of the pet cats I have owned have had to be treated for an overactive thyroid condition, and in September of 2009 my husband passed away from a rare form of thyroid cancer (anaplastic thyroid cancer). I have often wondered whether radiation from the plant might have been a causative factor in my husband's cancer and in my pets' conditions; as a result I do have a great concern about what might happen to more residents in the future because of exposure from the spent fuel stored at the plant. If the NRC were to conduct a full analysis of the health, safety and environmental consequences of spent nuclear fuel storage at Indian Point, I could better educate myself about the relationship between nuclear waste and cancer rates in the area.

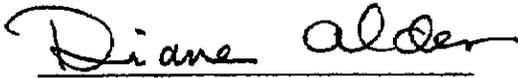
8. I know that whenever the NRC grants a permit renewal to a facility like Indian Point, it must conduct a study of the effects the plant will have on the environment. However, I am also aware that the NRC has issued a rule exempting itself from having to consider the risks involved in storing nuclear waste at these plants whenever it undertakes its environmental review. This rule is of grave

concern to me: how can we know what risks we are exposed to if the NRC does not even consider them when deciding whether to grant a permit? Because of this rule, citizens like me do not have enough of a say in nuclear permitting decisions.

9. If the NRC were to revoke this rule and were to analyze the hazards posed by spent nuclear fuel storage at plants like Indian Points, my concerns would be lessened. Not only would this action educate me regarding the risks that I am exposed to, but it would give me some confidence that the NRC is really doing its job of overseeing these plants and ensuring that they are operating safely. I would also be interested in submitting comments to the agency if it were to publish an environmental review that included discussions about nuclear waste storage. As of now, however, I cannot be confident that the agency is doing its job properly, and that the nuclear waste at Indian Point is being stored in a safe manner.

10. I feel that the NRC is giving short shrift to health and safety concerns by ignoring nuclear waste storage issues in their environmental reviews of plants like Indian Point. Because these are very important considerations, I support NRDC's efforts to take the NRC to court and require the agency to live up to its legal responsibilities.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, information and belief. Executed on 3/29/2011.


Diane Alden
Diane Alden

ADDENDUM EXHIBIT 2

Declaration of Diana Boryk

UNITED STATES COURT OF APPEALS FOR THE
DISTRICT OF COLUMBIA CIRCUIT

NATURAL RESOURCES DEFENSE
COUNCIL, INC.,

Petitioner,

v.

UNITED STATES NUCLEAR
REGULATORY COMMISSION and the
UNITED STATES OF AMERICA,

Respondents.

No.11-1051
(consolidated with Case Nos.
11-1045, 11-1056, 11-057)

DECLARATION OF DIANA BORYK

I, Diana Boryk, declare as follows:

1. I make this declaration of my own personal knowledge. If called to testify as a witness, I could and would testify competently regarding its contents.

2. I am a current member of the Natural Resources Defense Council, Inc. ("NRDC"), and have been a member for over nine years. I joined NRDC because I believe we need to do a better job of taking care of the environment, and this includes the government. I support NRDC's efforts to make our environment cleaner, our Earth less contaminated, and our populations healthier.

3. I live at 2 Brooke Club Drive, Apartment 2 in Ossining, New York, 10562. I have lived at this address for over 14 years.

4. My home is approximately eight miles southeast of the Indian Point Energy Center in Buchanan, NY. I am aware that this nuclear facility is in the process of having its operating license renewed for another 20 years by the Nuclear Regulatory Commission ("NRC").

5. I am aware that Indian Point stores spent nuclear fuel rods at the facility. I am deeply concerned about the possibility of negative environmental and health consequences arising from this nuclear waste. I have read various newspaper articles regarding spent nuclear fuel rods at Indian Point, which reported leakages of radioactive Strontium-90 into the environment and the Hudson River. I am worried that radiation from these rods that are kept at Indian Point may contaminate (and may now be contaminating) the air I breathe and the water I drink, and may pose a health and safety threat to me and my community.

6. I am also very concerned about the fact that Indian Point has been constructed near at least a fault line; in fact, I have read recent reports indicating that it sits atop at least two or more faults. I worry that if an earthquake were to occur, the nuclear waste that is stored at Indian Point could be exposed to the

environment, with catastrophic results. This is what is now happening in Japan, and I fear that it could occur here as well.

7. I am aware that there are unusually high rates of cancer among residents of Long Island, and I myself have been diagnosed with breast cancer. I am concerned about the possibility that nuclear waste stored at Indian Point may be causing cancer among people in my community, and may have contributed to my own case of breast cancer.

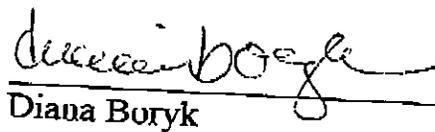
8. I know that the NRC must conduct a full environmental review whenever it licenses or re-licenses a nuclear power plant. However, I am also aware that the NRC does not consider as part of this review process the environmental, health and safety consequences of storing spent nuclear fuel at facilities like Indian Point. To me, this is a huge problem. We in the neighboring communities have no way of knowing whether the spent nuclear fuel at Indian Point is contaminating our air and water, has contributed to high rates of illness among our residents, or what negative effects it might have on our health and safety in the event of an accident.

9. If the NRC were to change its position and address the problem of nuclear waste storage in its environmental impact reviews for re-permitting facilities like Indian Point, this would give me considerably greater peace of mind

on this issue. A proper evaluation of the problem of spent nuclear fuel would allow me to be properly informed about the risks that Indian Point poses to me and to my community, and to take better steps to protect myself against those risks. I would also be interested in submitting comments to the NRC if it were to discuss the problem of nuclear waste stored at Indian Point in its environmental review.

10. At the current time, however, the agency has not done its job of informing the public about these problems. Until they change their policy of ignoring the issue of nuclear waste in their environmental impact statements, my concerns will persist. Therefore, I fully support NRDC's decision to pursue this lawsuit, and hope that they are successful in holding the NRC accountable to its legal responsibilities and to the public.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, information and belief. Executed on MARCH 26, 2011


Diana Boryk

ADDENDUM EXHIBIT 3

Declaration of Cynthia Catts

UNITED STATES COURT OF APPEALS FOR THE
DISTRICT OF COLUMBIA CIRCUIT

_____)	
NATURAL RESOURCES DEFENSE)	
COUNCIL, INC.,)	
)	
Petitioner,)	No.11-1051
v.)	(consolidated with Case Nos.
)	11-1045, 11-1056, 11-057)
UNITED STATES NUCLEAR)	
REGULATORY COMMISSION and the)	
UNITED STATES OF AMERICA,)	
)	
Respondents.)	
_____)	

DECLARATION OF CYNTHIA CATTS

I, Cynthia Catts, declare as follows:

1. I make this declaration of my own personal knowledge. If called to testify as a witness, I could and would testify competently regarding its contents.

2. I am a current member of the Natural Resources Defense Council (“NRDC”). I have been a member for over 4 years. I joined NRDC because I care about the environment, and feel that it is not being properly protected. In particular, I am worried about contamination in our air, our groundwater, and our food, and the effects that this contamination might have on our health and on the natural world.

3. I live with my mother at 940 Reynolds Street in Peekskill, New York, 10566. I have lived at this address for about six years.

4. My home is approximately three miles northeast of the Indian Point Energy Center in Buchanan, NY. I have been informed that this nuclear facility is in the process of having its operating license renewed for another 20 years by the Nuclear Regulatory Commission (“NRC”).

5. I am aware that Indian Point stores spent nuclear fuel rods on site, and this worries me very much. My biggest concern is that radiation from this nuclear waste could leak into the surrounding environment, which I know has happened in the past. I have read newspaper articles in the past about the leakage from the plant of water contaminated with Strontium into the Hudson River, and I fear that this problem—or worse—could happen again in the future.

6. Furthermore, I am deeply concerned about what might happen if the local population were to be exposed to the nuclear waste stored at Indian Point fuel through a larger accident. The community where I live is very densely populated, and it would be difficult (and maybe impossible) for my mother and me to evacuate in the event of a crisis. Although there is a warning system in place, this does not ease my fears about spent nuclear fuel. We live very close to the plant,

and I worry that my mother and I will have no way of escaping if a serious problem arises.

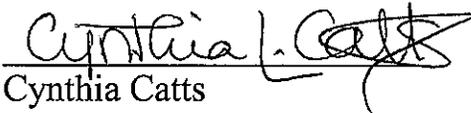
7. I know that the NRC must undertake an environmental review when it grants permits to nuclear power plants like Indian Point. However, I am aware that the NRC has passed a rule that allows it to ignore the problem of nuclear waste storage in these environmental reviews. This exemption concerns me very much. I would like to be fully educated about the impacts of nuclear waste storage at Indian Point, but this problem has never been really addressed to my satisfaction, and we in the neighboring community will remain in the dark until the agency changes its rule. The nuclear crisis in Japan makes this issue all the more urgent.

8. If the NRC were to take a hard look at the problem of nuclear waste storage in their environmental review for Indian Point, I would certainly read the agency's analysis. It would help me feel safer and more informed about the risks that I face as a nearby resident. It would also help me determine what steps I need to take now to protect myself in the event of an accident. But because no such analysis has been conducted on this issue, my worries and concerns remain.

9. The NRC has a duty to protect the American people, not to protect the nuclear industry. They also have a duty to keep us informed about the risks inherent in nuclear energy, including those related to nuclear waste storage. I feel

that the agency's rule exempting it from having to consider nuclear waste storage in their environmental reviews is a giveaway to the energy corporations, and should be changed. Therefore, I support NRDC in this lawsuit, and hope to see the rule overturned in court.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, information and belief. Executed on 3/28/2011.


Cynthia Catts

ADDENDUM EXHIBIT 4

Declaration of Thomas Schumann

UNITED STATES COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA CIRCUIT

NATURAL RESOURCES DEFENSE COUNCIL, INC.,)	
)	
Petitioner,)	No.11-1051
)	
v.)	(consolidated with
Case Nos.)	11-1045,
)	11-1056, 11-057)
UNITED STATES NUCLEAR REGULATORY COMMISSION and the UNITED STATES OF AMERICA,)	
)	
Respondents.)	

DECLARATION OF THOMAS SCHUMANN

I, Thomas Schumann declare as follows:

1. I make this declaration of my own personal knowledge. If called to testify as a witness, I could and would testify competently regarding its contents.

2. I am a current member of the Natural Resources Defense Council ("NRDC"), and have been a member for over 12 years. I joined NRDC because the state of the environment is just getting worse and worse, and yet the government is not taking all the measures it should be to prevent further degradation. I'm concerned about the cumulative impact that environmental problems will have on the human population and on the natural world, and I support NRDC's efforts to

develop solutions to these problems.

3. I currently live at 630 Perkins Lane, #3 in San Luis Obispo, California, 93401. I have lived at this address since 1974, and have lived in San Luis Obispo since January of 1971. In 2000, I retired after 29 years as a professor of physics at California Polytechnic State University.

4. My home is approximately twelve miles east-northeast of the Diablo Canyon Power Plant, which is located in Avila Beach in San Luis Obispo County, California. My niece, her husband and one of their children live in Arroyo Grande, California, approximately twenty miles east-southeast of the plant, as do my nephew and his wife. Additionally, I have another niece who lives with her 14-year-old daughter in Orcutt, California, which is about 33-miles southeast of the plant.

5. I am aware that regulators at the Nuclear Regulatory Commission ("NRC") are currently in the process of re-licensing Diablo Canyon, which will keep the plant open for another 20 years.

5. The fact that Diablo Canyon stores spent nuclear fuel rods on site at the facility is of great concern to me. I worry about the continuing build-up of nuclear waste and the possibility of an accident occurring at the site as a result of this waste. Furthermore, I have read that plant operators have had to store these spent fuel rods more closely together as their numbers increase over time. The growing density of these spent fuel rods could make any potential accidents at the site more severe, and it heightens my concerns about the risks that the plant imposes on nearby residents such as myself and my family.

6. Even apart from my concern about the density of storage, I am very troubled by the possibility of nuclear waste product getting into the environment: into the soil, the

groundwater, and the air, and ultimately contaminating our food supply and water. This could happen even without a meltdown or large-scale catastrophe happening, and I know that small doses of radioactive waste can cause cancer. Given how close I and many of my family members live to Diablo Canyon, this is a continuing concern of mine, and has been ever since the plant opened.

7. I am also troubled by what might happen to the spent nuclear fuel that is stored at Diablo Canyon in the event of a natural disaster such as an earthquake. Back when the plant opened, I spoke out against its placement near our community in part because of its proximity to the Hosgri Fault. At the time, the government didn't pay much attention to these concerns, but it has become clear in the years that followed that there is, indeed, a fault line close to the plant. I have also read that another fault has been discovered in addition to Hosgri. I do not trust that the government and site operators will be able to keep us safe from nuclear waste at Diablo Canyon if an earthquake were to occur. The recent crisis at the Fukushima plant in Japan demonstrates the sort of catastrophes that can happen at nuclear facilities after a natural disaster, and I don't want that sort of thing happening here.

8. I am aware that the NRC must analyze the environmental impacts that a nuclear power plant will create whenever the agency decides to grant an operating license. However, I also know that the NRC doesn't consider the problem of nuclear waste storage when it conducts this environmental analysis. This is extremely troubling to me; the issue of spent nuclear fuel storage has to be addressed. In my opinion, the regulators are not adequately concerned about safety. I feel that they are not doing their job when they pass rules that allow them to ignore important issues like waste storage at nuclear power plants such as Diablo Canyon.

9. I fully support NRDC's efforts to bring the NRC to

court and to force it to address the problem of spent nuclear waste. If NRDC were to win in this case, the concerns I have described above would be lessened, because I would know that the NRC would be required to consider all angles of this crucial problem. It is time that the regulators started giving safety the attention it deserves and directly confront the problem of spent nuclear waste before granting permits to nuclear facilities like Diablo Canyon.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, information and belief.

Executed on MARCH 24, 2011

A handwritten signature in cursive script that reads "Thomas Schumann".

Thomas Schumann

ADDENDUM EXHIBIT 5

Declaration of Donal Day

UNITED STATES COURT OF APPEALS
FOR THE DISTRICT OF COLUMBIA CIRCUIT

STATE OF NEW YORK, et al.)	
)	
Petitioners)	
)	
v.)	Nos. 11-1045, 11-1051,
)	11-1056, 11-1057
)	
UNITED STATES NUCLEAR REGULATORY)	
COMMISSION and the UNITED STATES)	
OF AMERICA,)	
)	
Respondents)	
)	
STATE OF NEW JERSEY, et al.)	
)	
Intervenors.)	
)	

DECLARATION OF DONAL DAY

I, Donal Day, declare as follows:

1. I make this declaration of my own personal knowledge. If called to testify as a witness, I could and would testify competently regarding its contents.

2. I am a current member of Blue Ridge Environmental Defense League, Inc. (“BREDL”). I joined BREDL and remain a member because I agree with BREDL’s mission of promoting earth stewardship, environmental democracy, social justice, and community empowerment in the Blue Ridge region and I believe that my personal health and well-being depend upon the

health of the environment in my region. I have authorized BREDL to bring this lawsuit on my behalf.

3. I live at 151 Buckingham Circle in Charlottesville, Virginia. My home lies within a fifty-mile radius of the North Anna nuclear power plant, the distance at which the U.S. Nuclear Regulatory Commission (“NRC”) presumes a reactor accident will cause harm to my health and safety.

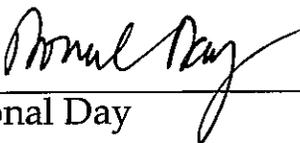
4. In *Virginia Electric and Power Co.*, 68 NRC 294, 303-04 (2009), the NRC granted BREDL a hearing regarding the question of whether an application for a construction permit and operating license for a new reactor at the North Anna site satisfies the requirements of the Atomic Energy Act and the National Environmental Policy Act. The ruling was based in part on a determination that BREDL has standing to represent my interests with respect to the issuance of a license. A copy of the standing declaration that BREDL submitted in that proceeding on my behalf is attached. A hearing is pending.

5. I have serious concerns about the extent to which the applicants and the NRC have taken into account the environmental impacts and costs of permanently disposing of spent fuel (“SNF”) from the new North Anna reactor. I am also concerned about the health and environmental risks of

SNF storage at North Anna in the meantime, including the risks of fires and explosions that may cause airborne radioactive releases and accidental leakage of radioactive water from the SNF storage pools into the soil and groundwater and the nearby Lake Anna.

7. If this Court requires the NRC to prepares a supplemental environmental impact statement for the new reactor at North Anna that adequately considers the environmental impacts of the reactor, including the impacts of SNF disposal and storage, I believe the agency may decide that the no-action alternative of denying the license application is preferable to the alternative of allowing the reactor to generate SNF. Therefore my concerns may be redressed by this lawsuit.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, information and belief.



Donal Day

9-11-2011
Date

UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION
BEFORE THE SECRETARY

In the Matter of
Dominion Virginia Power
Combined License Application
For North Anna Unit 3

Docket No. 52 -017

DECLARATION OF Donal Day.

Under penalty of perjury, Donal Day declares as follows:

1. My name Donal Day. I am a member of Blue Ridge Environmental Defense League.
2. I live at 151 Buckingham Circle, Charlottesville, VA 22903. My home lies within 50 miles of the site in Louisa County, Virginia, for which Dominion Virginia Power has applied to the U.S. Nuclear Regulatory Commission for a Combined License for the construction and operation of a third nuclear power plant.
3. I believe these facilities are attractive targets for terrorist attacks due to the fact that there are large inventories of high-level nuclear radiological materials (spent fuel) on site. Therefore, construction of one or more new nuclear reactors would make them even more attractive. If an accident or a terrorist attack were to result in the atmospheric release of large quantities of radiological material my community and others nearby would be seriously impacted.
4. Therefore, I have authorized Blue Ridge Environmental Defense League to represent my interests in this proceeding by opposing the issuance of a Combined License to Dominion Virginia Power.

Donal Day
(Signature)

Date May 5, 2008

Notary Malinda Faye Safley

State of Virginia
County of Albemarle
Charlottesville, VA 22904

MALINDA FAYE SAFLEY
NOTARY PUBLIC
Commonwealth of Virginia
Reg. #101410
My Commission Expires May 31, 2010

"SUBSCRIBED AND SWORN TO before me, in presence of this 8th DAY OF May 2008, A NOTARY PUBLIC IN AND FOR COMMONWEALTH OF VIRGINIA
Malinda Faye Safley
SIGNATURE
NOTARY PUBLIC

ADDENDUM EXHIBIT 6

Declaration of Nancy Syrop

UNITED STATES COURT OF APPEALS
FOR THE DISTRICT OF COLUMBIA CIRCUIT

_____)	
STATE OF NEW YORK, et al.)	
)	
Petitioners)	
)	
v.)	Nos. 11-1045, 11-1051,
)	11-1056, 11-1057
)	
UNITED STATES NUCLEAR REGULATORY)	
COMMISSION and the UNITED STATES)	
OF AMERICA,)	
)	
Respondents)	
)	
STATE OF NEW JERSEY, et al.)	
)	
Intervenors.)	
_____)	

DECLARATION OF NANCY SYROP

I, Nancy Syrop, declare as follows:

1. I make this declaration of my own personal knowledge. If called to testify as a witness, I could and would testify competently regarding its contents.

2. I am a current member of Riverkeeper, Inc. in Tarrytown, New York. I joined Riverkeeper and remain a member because I agree with Riverkeeper’s mission of protecting the Hudson River and its watershed and I believe that my personal health and well-being depend upon the health of the Hudson and its tributaries. I have authorized Riverkeeper to bring this lawsuit on my behalf.

3. I live at 36 Aldridge Road, Chappaqua, New York 10514. My home lies within a fifty-mile radius of the Indian Point nuclear power plant, the distance at which the U.S. Nuclear Regulatory Commission (“NRC”) presumes a reactor accident will cause harm to my health and safety.

4. In *Entergy Nuclear Operations, Inc.*, 68 NRC 43, 60 (2008), the NRC granted Riverkeeper a hearing regarding the question of whether Entergy Nuclear Operations, Inc.’s application to renew the Indian Point operating license satisfies the requirements of the Atomic Energy Act and the National Environmental Policy Act. The ruling was based in part on a determination that Riverkeeper has standing to represent my interests with respect to the re-licensing of Indian Point. A copy of the standing declaration that Riverkeeper submitted in that proceeding on my behalf is attached. A hearing is pending.

5. I have serious concerns about the extent to which Entergy and the NRC have taken into account the environmental impacts and costs of permanently disposing of spent fuel (“SNF”) from the Indian Point reactors. I am also concerned about the health and environmental risks of SNF storage at Indian Point in the meantime, including the risks of fires and explosions that may cause airborne radioactive releases and accidental leakage of

radioactive water from the SNF storage pools into the soil and groundwater and the nearby Hudson River.

7. If this Court requires the NRC to prepare ^{an} a supplemental environmental impact statement for Indian Point that adequately considers the environmental impacts of the reactor, including the impacts of SNF disposal and storage, I believe the agency may decide that the no-action alternative of denying Entergy's license application is preferable to the alternative of allowing Indian Point to continue to generate SNF. Therefore my concerns may be redressed by this lawsuit.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, information and belief.



Nancy Syrop

9/12/11
Date

UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION
BEFORE THE SECRETARY

In the Matter of

Docket Nos. 50-247,
50-286

ENTERGY NUCLEAR INDIAN POINT 2, LLC,
ENTERGY NUCLEAR INDIAN POINT 3, LLC and
ENTERGY NUCLEAR OPERATIONS, INC.

(Indian Point Nuclear Power Station)

DECLARATION OF NANCY SYROP

Under penalty of perjury, Nancy Syrop declares as follows:

1. My name is Nancy Syrop. I am a member of Riverkeeper, Inc. ("Riverkeeper")
2. I live at 36 Aldridge Road, Chappaqua, New York, 10514. I own my own home with my husband Steve and my children. My home is 18 miles from the Indian Point Nuclear Power Station ("Indian Point"). Indian Point Units 2 and 3 are each owned by separate limited liability corporations ("LLC"), and are operated by Entergy Nuclear Operations, Inc. ("Entergy"). Entergy has recently applied to the Nuclear Regulatory Commission ("NRC") for twenty year license extensions of the operating licenses for Unit 2 and 3.
3. I am very concerned about the continued operation of Indian Point during an additional twenty year license term, and believe that Indian Point's future operation presents a grave risk to the health and safety of my family, as well as to the economic property interest in my home. I know that in the event of a release of radiation from Indian Point that contaminates my community, the federal government's insurance against nuclear accidents will not be enough to cover the billions of dollars in damages that would result. I also know that no private insurance company will insure members of the public against damage from a nuclear power plant accident or terrorist attack.

4. I believe that if there is an accident or attack on Indian Point that results in radiological contamination of the Hudson Valley region, my family and I could become ill or die because of it. I am concerned that my local hospital, the Northern Westchester Hospital in Mount Kisco, would not be able to handle a major radioactive emergency. If such an emergency arose, I am doubtful there would be adequate immediate medical care for myself and my family if we were contaminated with radiation.
5. I do not believe the emergency evacuation plans for Indian Point would work in an actual emergency. For example, several Chappaqua schools are located within the ten-mile emergency planning zone for Indian Point. However, the relocation center for students is less than a mile from these schools, at the Horace Greeley High School in Chappaqua. I do not believe this short distance would make a difference in protecting my children from radiological contamination if a release occurs. I do not believe I could evacuate quickly enough from Chappaqua to avoid being contaminated, due to the daily traffic congestion. In addition, I am concerned that many of the emergency bus drivers who would be responsible for evacuating schoolchildren from the emergency zone live in other counties, and will see to their own children's safety first before showing up to evacuate local children.
6. I am also negatively impacted by the requirement that I keep doses of potassium iodide in my home, which I am supposed to take and give to my family to protect us temporarily from the effects of ionizing radiation in the event of a radiological release from Indian Point. This requirement is a daily reminder of the significant risk involved in living near a nuclear power plant that I do not believe is being operated safely, either now or in the future.
7. I am concerned that a severe accident at Indian Point could contaminate the nearby Croton Reservoir, which provides unfiltered drinking water to millions of New Yorkers. If this public water supply is unusable, I am concerned about the impact this could have on my family.
8. I enjoy kayaking, swimming and occasionally fishing in the Hudson River, and am concerned that the ongoing radioactive water leaks are contaminating the Hudson and the plants, animals and fish that live in or near the river. These leaks are negatively affecting my aesthetic enjoyment of the Hudson River.
9. I am also opposed to Indian Point continuing to produce nuclear waste in the form of spent nuclear fuel while the federal government has failed to come up with a permanent place to dispose of it. If Indian Point is relicensed, the two reactors will produce an additional one thousand tons of nuclear waste, which will probably remain at Indian Point for years to come. I believe this shortsighted approach could result in environmental and public health impacts on my children and grandchildren, if this waste is not properly disposed of and leaks into the environment.

10. Therefore, I hereby authorize Riverkeeper to represent my interests in this matter by intervening in the license renewal proceeding for Indian Point 2 and 3, Docket Nos. 50-247, 50-286.

A handwritten signature in cursive script, appearing to read "Nancy Syrop", is written over a horizontal line.

Nancy Syrop

Dated: 11/7/07

ADDENDUM EXHIBIT 7

Declaration of Sandra Kurtz

UNITED STATES COURT OF APPEALS
FOR THE DISTRICT OF COLUMBIA CIRCUIT

STATE OF NEW YORK, et al.)	
)	
Petitioners)	
)	
v.)	Nos. 11-1045, 11-1051,
)	11-1056, 11-1057
)	
UNITED STATES NUCLEAR REGULATORY)	
COMMISSION and the UNITED STATES)	
OF AMERICA,)	
)	
Respondents)	
)	
STATE OF NEW JERSEY, et al.)	
)	
Intervenors.)	
)	

DECLARATION OF SANDRA KURTZ

I, Sandra Kurtz, declare as follows:

1. I make this declaration of my own personal knowledge. If called to testify as a witness, I could and would testify competently regarding its contents.

2. I am a current member of Southern Alliance for Clean Energy (“SACE”). I joined SACE and remain a member of SACE because I agree with SACE’s mission of promoting clean energy and environmental protection in the southeastern United States and I believe my health and well-being depend on the health of the environment in the region where I live. I have authorized SACE to bring this lawsuit on my behalf.

3. I live at 3701 Skylark Trail, Chattanooga, TN 37416. My home lies within a fifty-mile radius of Watts Bar Unit 2, the distance at which the U.S. Nuclear Regulatory Commission (“NRC”) presumes a reactor accident will cause harm to my health and safety.

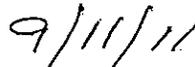
4. In *Tennessee Valley Authority*, 70 NRC 939 (2009), the NRC granted SACE a hearing regarding the question of whether the Tennessee Valley Authority’s (“TVA’s”) application for an operating license for Watts Bar Unit 2 satisfies the requirements of the Atomic Energy Act and the National Environmental Policy Act. The ruling was based in part on a determination that SACE has standing to represent my interests. A copy of the standing declaration that SACE submitted in that proceeding on my behalf is attached. The hearing is pending.

5. I have serious concerns about the extent to which TVA and the NRC have taken into account the environmental impacts and costs of permanently disposing of spent fuel (“SNF”) from the proposed Watts Bar Unit 2 reactor. I am also concerned about the health and environmental risks of SNF storage at Watts Bar Unit 2 in the meantime, including the risks of fires and explosions that may cause airborne radioactive releases and accidental leakage of radioactive water from the SNF storage pools into the soil and groundwater and the nearby Tennessee River.

7. If this Court requires the NRC to prepares an environmental impact statement (“EIS”) for Watts Bar Unit 2 that adequately considers the environmental impacts of the reactor, including the impacts of SNF disposal and storage, I believe the agency may decide that the no-action alternative of denying TVA’s license application is preferable to the alternative of allowing Watts Bar Unit 2 to generate SNF. Therefore my concerns may be redressed by this lawsuit.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, information and belief.


Sandra Kurtz


Date

UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION

BEFORE THE SECRETARY

In the Matter of Tennessee Valley Authority Watts Bar Plant Unit 2)))))	Docket No. 50-391
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DECLARATION OF STANDING

Under penalty of perjury, Sandra Kurtz declares as follows:

1. My name is Sandra Kurtz. I am a member of the Southern Alliance for Clean Energy.
2. I live at 3701 Skylark Trail, Chattanooga, TN 37416.
3. My home lies within 42.5 miles of the site in Rhea County, Tennessee where Tennessee Valley Authority (TVA) proposes to finish building and operate an additional reactor on the site of Watts Bar Unit 1 is located.
4. TVA originally submitted the application for Watts Bar Units 1 and 2 on June 30, 1976. Though TVA completed Unit 1 and the Nuclear Regulatory Commission (NRC) issued an operating license in 1996, TVA deferred completion of Unit 2. TVA has now restarted construction and the NRC has resumed its operating license review. Conditions have changed over this time period including the integrity of what is currently on site, the hydrology, ecology, population, waste management, costs, alternative energy technology options and the need for power.
5. Based on historical experience with nuclear reactors to date, I believe that these reactors are inherently dangerous. The reactor TVA intends to construct at this site has significant design flaws. The construction of this nuclear reactor so close to my home could pose a grave risk to my health and safety. In particular, I am concerned that if an accident involving atmospheric release of radiological material were to occur I could be killed or become very ill. Therefore I am greatly concerned that Watts Bar Unit 2 should not be licensed unless it can be operated safely and without significant adverse environmental impacts.
6. Therefore, I have authorized the Southern Alliance for Clean Energy to represent my interests in this operating license proceeding for TVA's Watts Bar Unit 2.

Sandra L. Kurtz
(Signature)

Date 6/16/09

Erica L. Hambrick
exp. 4/14/2012



ADDENDUM EXHIBIT 8

Declaration of Linda Lopez

UNITED STATES COURT OF APPEALS FOR THE
DISTRICT OF COLUMBIA CIRCUIT

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NATURAL RESOURCES DEFENSE)		
COUNCIL, INC.,)		
)		
Petitioner,)	No.11-1051	
v.)	(consolidated with Case Nos.	
)	11-1045, 11-1056, 11-057)	
UNITED STATES NUCLEAR)		
REGULATORY COMMISSION and the)		
UNITED STATES OF AMERICA,)		
)		
Respondents.)		
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DECLARATION OF LINDA LOPEZ

I, Linda Lopez, declare as follows:

1. I am the director of membership at the Natural Resources Defense Council ("NRDC"). I have been the director of membership for twenty-three years.

2. My duties include supervising the preparation of materials that NRDC distributes to members and prospective members. Those materials describe NRDC and identify its mission.

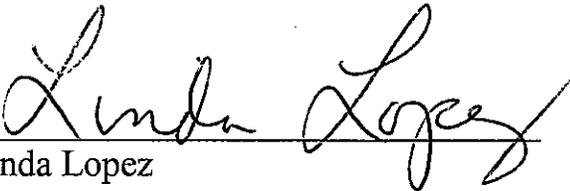
3. NRDC is a membership organization incorporated under the laws of the State of New York. It is recognized as a not-for-profit corporation under section 501(c)(3) of the United States Internal Revenue Code.

4. NRDC currently has approximately 400,000 members. There are NRDC members residing in each of the fifty United States and in the District of Columbia.

5. NRDC's mission statement declares that "The Natural Resources Defense Council's purpose is to safeguard the Earth: its people, its plants and animals, and the natural systems on which all life depends." Furthermore, NRDC "strive[s] to protect nature in ways that advance the long-term welfare of present and future generations," and "work[s] to foster the fundamental right of all people to have a voice in decisions that affect their environment."

6. Since its inception in 1970, NRDC has, as one of its organizational goals, sought to improve the environmental, health, and safety conditions at the nuclear facilities operated by DOE and the civil nuclear facilities licensed by the NRC and their predecessor agencies. To that end, NRDC utilizes its institutional resources (such as its capacities for legislative advocacy, public outreach and education, and litigation) to minimize the risks that nuclear facilities pose to its members and to the general public.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge and belief. Executed on March 28, 2011.


Linda Lopez