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J. Ligon (KJL)

2011 Reactor Oversight Process External Survey

Thank you for participating in the survey. Your feedback is important to us and will be used in the ROP self-assessment program to evaluate the effectiveness of the ROP. There are 20 items in the survey and places for written comments. We seek constructive feedback to improve the program, and your comments with **specific examples** are welcomed. If you are filling out a hard copy, please use additional sheets for comments if needed.

Because your comments will not be edited to remove any identifying or contact information, the NRC cautions you against including any information in your submission that you do not want to be publicly disclosed.

The survey ends on January 13, 2012.

Instruction: For each of the statements, please indicate if it's **reasonably** true. If you don't have enough knowledge/experience, please select U/A (unable to answer).

1. The performance indicator (PI) program provides useful insights, particularly when combined with the inspection program, to help ensure plant safety and/or security.

- Yes
 No
 U/A
- Can you recommend any improvements?

If we want improvement then the thresholds should be lowered so that more plants cross into the regulatory response portion.

2. Appropriate overlap exists between the PI and the inspection programs to provide for a comprehensive indication of licensee performance.

- Yes
 No
 U/A
- Can you recommend any improvements?

A method that allows ongoing reviews of maintenance activities.

3. NEI 99-02, "Regulatory Assessment Performance Indicator Guideline," provides clear guidance regarding performance indicators.

- Yes
 No
 U/A
- Can you recommend any improvements?

4. PI program effectively contributes to the identification of performance outliers based on risk-informed, objective, and predictable indicators.

- Yes
 No
 U/A
- Can you recommend any improvements?

Thresholds are too high

5. Information contained in inspection reports is relevant, useful, and written in plain English.

- Yes
 - No
 - U/A
- Can you recommend any improvements?

Only for an "informed" and knowledgeable citizen.

6. The inspection program adequately covers areas that are important to plant safety and/or security and is effective in identifying and ensuring the prompt correction of performance deficiencies.

- Yes
 - No
 - U/A
- Can you recommend any improvements?

We need to review more maintenance activities. The ROP currently fails to address aging management issues effectively

7. The Significance Determination Process (SDP) results in an appropriate regulatory response to performance issues.

- Yes
 - No
 - U/A
- Can you recommend any improvements?

8. The NRC takes appropriate actions to address performance issues for those plants outside the Licensee Response Column of the Action Matrix.

- Yes
 - No
 - U/A
- Can you recommend any improvements?

9. Information contained in assessment reports is relevant, useful, and written in plain English.

- Yes
 - No
 - U/A
- Can you recommend any improvements?

For people somewhat familiar with our industry and have a knowledge of how NRC regulates.

10. The ROP safety culture enhancements help in identifying licensee safety culture weaknesses and focusing licensee and the NRC attention appropriately.

- Yes
 - No
 - U/A
- Can you recommend any improvements?

11. ROP oversight activities are predictable (i.e., controlled by the process) and reasonably objective (i.e., based on supported facts, rather than relying on subjective judgment).

- Yes
 - No
 - U/A
- Can you recommend any improvements?

12. The ROP is risk-informed, in that actions and outcomes are appropriately graduated on the basis of increased significance.

- Yes Can you
- No recommend any
- U/A improvements?

Whenever possible - some items do not fit into our risk models and require subjective decisions

13. The ROP is understandable and the processes, procedures, and products are clear and written in plain English.

- Yes Can you
- No recommend any
- U/A improvements?

14. The ROP provides adequate assurance, when combined with other NRC regulatory processes, that plants are operated and maintained safely and securely.

- Yes Can you
- No recommend any
- U/A improvements?

15. NRC actions related to the ROP are high quality, efficient, realistic, and timely.

- Yes Can you
- No recommend any
- U/A improvements?

Some timeliness goals related to completing the SDP are unrealistic - the information can require a long time to acquire and the Phase 3 evaluations can be quite involved.

16. The ROP ensures openness in the regulatory process.

- Yes Can you
- No recommend any
- U/A improvements?

17. There are sufficient opportunities for the public to participate in the process.

- Yes Can you
- No recommend any
- U/A improvements?

18. NRC is responsive to public's comments and inputs on the ROP.

- Yes Can you
- No recommend any
- U/A improvements?

19. The ROP has been implemented as defined by program documents.

- Yes Can you
- No recommend any
- U/A improvements?

20. The ROP does NOT result in unintended consequences.

- Yes Can you
- No recommend any
- U/A improvements?

Which of the following groups best describe your affiliation/interest?

- State/Local Government
- Public (interested member of the public or public interest groups)
- Industry (licensee and its employees, INPO, NEI, etc)
- Other:

Please press the Submit Survey button, or mail a hard copy to:

**Cindy Bladey
Chief, Chief, Rules, Announcements, and Directives Branch
Office of Administration (Mail Stop: TWB-05-B01M)
U.S. Nuclear Regulatory Commission
Washington, DC 20555_0001**

Paperwork Reduction Act

This survey contains information collections that are subject to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.). These information collections were approved by the Office of Management and Budget, approval number 3150-0197, which expires August 31, 2012.

The burden to the public for these voluntary information collections is estimated to be 45 minutes per response. The information gathered will be used in the NRC's self-assessment of the reactor oversight process. Send comments regarding this burden estimate to the Information Services Branch (T-5 F53), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by Internet electronic mail to INFOCOLLECTS.RESOURCE@NRC.GOV; and to the Desk Officer, Chad Whiteman, Office of Information and Regulatory Affairs, NEOB-10202, (3150-0197), Office of Management and Budget, Washington, DC 20503.

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The NRC may not conduct or sponsor, and a person is not required to respond to, a request for information or an information collection requirement unless the requesting document displays a currently valid OMB control number.

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