



UNITED STATES
NUCLEAR REGULATORY COMMISSION
REGION I
475 ALLENDALE ROAD
KING OF PRUSSIA, PENNSYLVANIA 19406-1415

November 30, 2011

Docket No. 03010576
Control No. 575923

License No. 06-01450-47

Robert S. Hudd
Associate Vice President for Public and Environmental Safety
University of Connecticut
Department of Environmental Health and Safety
3102 Horsebarn Hill Road, Unit 4097
Storrs, CT 06269-4097

SUBJECT: UNIVERSITY OF CONNECTICUT, REQUEST FOR ADDITIONAL
INFORMATION CONCERNING FINANCIAL ASSURANCE DOCUMENTS,
CONTROL NO. 575923

Dear Mr. Hudd:

This is in reference to your letter dated October 20, 2011 providing financial assurance for decommissioning for Nuclear Regulatory Commission License No. 06-01450-47. In order to continue our review, we need the following additional information:

The guidance for submitting Financial Assurance is stated in NUREG-1757, Volume 3, "Consolidated NMSS Decommissioning Guidance, Financial Assurance, Recordkeeping, and Timeliness." In the letter dated October 20, 2011, the submission of the Statement of Intent and the Certification of Financial Assurance was given in one document. These are normally submitted by licensee's as two documents as the certification is to "certify" that financial assurance (Statement of Intent) is in place. It is recommended that you resubmit these as two documents.

1. The Certification of Financial Assurance contained inaccurate statement about the material licensed by the University of Connecticut. The material listed was the amount that University of Connecticut is licensed to possess of **unsealed byproduct material with a half life greater than 120 days** licensed under 10 CFR Part 30. The list contained all of the material that required financial assurance but should be designated as such. Please resubmit the Certification of Financial Assurance with the license amounts requiring financial assurance listed. Please see page A-23 of NUREG-1757, Volume 3.
2. The Certification of Financial Assurance did not contain a statement that certified that financial assurance in the required amount had been obtained for the purpose of decommissioning as required by 10 CFR 30.35(b)(2).
3. The Statement of Intent did not contain the following items listed in Checklist 16-B on Page A-151 of NUREG-1757, Volume 3: description of authority of government entity to make the statement of intent; identification of State government licensee; and recitation

of authority for signatory to sign the statement of intent. In Checklist 16-A of on Page A-150 of NUREG-1757, Volume 3, and the submitted documentation did not contain the documentation verifying that the signatory is authorized to represent the licensee in providing the statement of intent. It is recommended that you follow closely the statement of intent model given on page A-152 of NUREG-1757, Volume 3. Please resubmit the Statement of Intent with the above attributes and additional information.

Current NRC regulations and guidance are included on the NRC's website at www.nrc.gov; select **Nuclear Materials; Med, Ind, & Academic Uses**; then **Licensee Toolkits**, see our **toolkit index page**. You may also obtain these documents by contacting the Government Printing Office (GPO) toll-free at 1-866-512-1800. The GPO is open from 8:00 a.m. to 5:30 p.m. EST, Monday through Friday (except Federal holidays).

We will continue our review upon receipt of this information. Please reply to my attention at the Region I Office and refer to Mail Control No. 575923. If you have any technical questions regarding this deficiency letter, please call me at (610) 337-5366.

Sincerely,

Original signed by Dennis R. Lawyer

Dennis R. Lawyer
Health Physicist
Commercial and R&D Branch
Division of Nuclear Materials Safety

cc:
Martin C. Graham, Ph.D., Radiation Safety Officer

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