



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

November 29, 2011

MEMORANDUM TO: J.E. Dyer
Chief Financial Officer

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF U.S.
NUCLEAR REGULATORY COMMISSION'S ACCOUNTING
AND CONTROL OVER TIME AND LABOR REPORTING
(OIG-08-A-11)

REFERENCE: CHIEF FINANCIAL OFFICER MEMORANDUM DATED
NOVEMBER 4, 2011

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated November 4, 2011. Based on this analysis, recommendations 3 and 4 are closed. Recommendations 1, 2, 5, and 6 were closed previously. All recommendations related to the above referenced report are now closed.

If you have any questions or concerns, please contact me at 415-5915 or Kathleen Stetson, Team Leader, at 415-8175.

Attachments: As stated

cc: N. Mamish, OEDO
K. Brock, OEDO
J. Arildsen, OEDO
C. Jaegers, OEDO

Audit Report

AUDIT OF U.S. NUCLEAR REGULATORY COMMISSION'S ACCOUNTING AND CONTROL OVER TIME AND LABOR REPORTING

OIG-08-A-11

Status of Recommendations

Recommendation 3: Conduct a detailed system analysis and eliminate redundant paper forms that are not needed.

Agency Response Dated
November 4, 2011:

As part of the Time and Labor (T&L) modernization project, the Office of the Chief Financial Officer (OCFO) has incorporated workflow which allows for electronic workflow and electronic signatures. The OCFO met with the Office of Human Resources to discuss the elimination of various paper leave request forms and also met with the National Treasury Employees Union. As a result of the modernization of the T&L system the Summary Approval Report, all leave request forms, unit transfer forms, and security request forms will be part of the electronic workflow process. The modernization project for the T&L system was deployed October 25, 2011. We consider this recommendation closed.

OIG Analysis:

OIG has verified that, as a result of the agency's implementation of the new T&L system, redundant paper forms have been eliminated. The agency's corrective action meets the intent of the recommendation. Therefore, this recommendation is closed.

Status: Closed.

Audit Report

AUDIT OF U.S. NUCLEAR REGULATORY COMMISSION'S ACCOUNTING AND CONTROL OVER TIME AND LABOR REPORTING

OIG-08-A-11

Status of Recommendations

Recommendation 4: Use electronic signatures for time reporting and approval.

Agency Response Dated
November 4, 2011:

As part of the Time and Labor modernization project, the OCFO has incorporated a process that allows for electronic signatures. The modernization project for the T&L system deployed October 25, 2011. We consider this recommendation closed.

OIG Analysis:

OCFO uses electronic signatures for time reporting and approval which have been incorporated into its T&L system. The agency's corrective action meets the intent of the recommendation. Therefore, this recommendation is closed.

Status:

Closed.