

EXAM ASSIGNMENT TICKLER

Chief:	Kelly Clayton	Facility:	DC	Date of Written Exam:	7/4/2011
Start of Op Test:			7/11/2011	End of Op Test: 7/22/2011	
Written Exam Developed By: NRC / Facility			Operating Test Developed By: NRC / Facility		
Due Date	Description	Date Complete	Initials	Notes	
1/3/2011	Written Exam & Op Test Dates Confirmed	12/15/10	KDC	GABE ARBER ASSIGNED	
2/28/2011	NRC Examiners & Facility Contact Assigned	12/15/10	KDC	AS CHIEF EXAMINER	
2/28/2011	Facility Contact Briefed on Security & Other Req's	12/15/10	KDC	2/7/2011	
2/28/2011	Corporate Notification Letter sent	01/14/11	KDC	ES-201 Att-4 produced by CE	
4/4/2011	Reference material due (if NRC authored)	N/A	-	ES-201 Att-3	
4/18/2011	Integrated exam outlines due	4/14/2011	Cur		
4/25/2011	Outlines reviewed by CE; feedback approved by BC	4/18/2011	Cur	ES-201-2 signed by CE & BC	
4/25/2011	Feedback on integrated outlines provided to facility	4/18/2011	Cur		
5/16/2011	DRAFT exam / docs / support reference material due	5/16/2011	←		
5/30/2011	Peer review of written exam complete	5/18/2011	Cur	Document review on ES-401-9	
5/30/2011	Preliminary license applications due	6/13/2011	Cur	NRC Forms 398/396	
6/6/2011	Preliminary license applications and waivers reviewed	6/14/2011	Cur		
6/6/2011	DRAFT exam reviewed by CE; feedback approved by BC	5/19/2011	Cur		
6/6/2011	Feedback on DRAFT exam provided to facility	5/19/2011	Cur		
5/23/2011	On-site validation & 10% audit of license applications	5/26/2011	Cur		
6/20/2011	Final applications due & List of Applicants prepared	6/27/2011	Cur	ES-201-4 prepared by LA	
6/27/2011	Final applications approved & waiver letters sent	6/30/2011	Cur		
6/27/2011	Branch Chief approves FINAL exam (Written & Op Test)	7/6/2011	Cur	LA produces / BC signs Exam Approval Letter (ES-201 Att-5)	
6/27/2011	Proctoring/written exam admin guidelines reviewed w/ facility	7/6/2011	Cur	WRITTEN EXAM 7/8/2011	
7/4/2011	Exam material to exam team	7/6/2011	Cur	7/6/2011	
7/11/2011	Administer Operating Test	7/19/2011	Cur		
7/29/2011	Facility post-exam documentation due	7/25/2011	Cur		
7/29/2011	NRC written exam grading completed	8/4/2011	Cur	ES-403-1 to BC	
7/29/2011	Examiner's document op test results on ES 303's	8/4/2011	Cur		
8/5/2011	Chief Examiner review of written exam & op test completed	8/22/2011	Cur	Signed ES 303's to BC	
8/12/2011	Branch Chief review of exam results completed	8/22/2011	Cur		
8/19/2011	Waivers/deferrals reviewed for impact on licensing decision	8/22/2011	Cur		
8/19/2011	License/Denial letters mailed; Facility notified of results	8/22/2011	Cur		
8/19/2011	RPS/IP number of examinees updated	8/7/2011	Cur	print Report-21	
9/2/2011	Examination Report Issued	9/20/2011	Cur	produced by CE	
9/9/2011	SUNSI checklist complete and exam docs to ADAMS	10/3/2011	Cur	SUNSI checklist to LA	
9/16/2011	Ref Mat'l Returned after Final Resolution of Appeals	N/A	Cur		
Replaces NUREG-1021, Revision 9, Supp 1, Forms ES-201-1 and ES-501-1					

Facility: Diablo Canyon		Date of Examination: 07/08 – 07/22/2011		
Item	Task Description	Initials		
		a	b*	c#
1. W R I T T E N	a. Verify that the outline(s) fit(s) the appropriate model, in accordance with ES-401.	RF	BS	A
	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.	RF	BS	A
	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	RF	BS	A
	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	RF	BS	A
2. S I M U L A T O R	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients.	JB	BS	A
	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days.	JB	BS	A
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.	JB	BS	A
3. W / T	a. Verify that the systems walk-through outline meets the criteria specified on Form ES-301-2: (1) the outline(s) contain(s) the required number of control room and in-plant tasks distributed among the safety functions as specified on the form (2) task repetition from the last two NRC examinations is within the limits specified on the form (3) no tasks are duplicated from the applicants' audit test(s) (4) the number of new or modified tasks meets or exceeds the minimums specified on the form (5) the number of alternate path, low-power, emergency, and RCA tasks meet the criteria on the form.	JB	BS	A
	b. Verify that the administrative outline meets the criteria specified on Form ES-301-1: (1) the tasks are distributed among the topics as specified on the form (2) at least one task is new or significantly modified (3) no more than one task is repeated from the last two NRC licensing examinations	JB	BS	A
	c. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.	JB	BS	A
4. G E N E R A L	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam sections.	RF	BS	A
	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.	RF	BS	A
	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	RF	BS	A
	d. Check for duplication and overlap among exam sections.	RF	BS	A
	e. Check the entire exam for balance of coverage.	RF	BS	A
	f. Assess whether the exam fits the appropriate job level (RO or SRO).	RF	BS	A
a. Author	Printed Name/Signature		Date	
b. Facility Reviewer (*)	ROBERT FORSTER / [Signature]		4/13/11	
c. NRC Chief Examiner (#)	BRIAN SIZEMORE / [Signature]		4/13/11	
d. NRC Supervisor	GARRETT RYAN / [Signature]		5/20/2011	
	MARK HAIRE / [Signature]		7/7/11	
Note:	# Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required. * Not applicable for NRC-prepared examination outlines			

Facility: Diablo Canyon Power Plant		Date of Exam: 07/08/2011		Exam Level: RO <input checked="" type="checkbox"/>	SRO <input checked="" type="checkbox"/>	
Item Description	Initial					
	a	b*	c#			
1. Questions and answers are technically accurate and applicable to the facility.	RF	BS	A			
2. a. NRC K/As are referenced for all questions. b. Facility learning objectives are referenced as available.	RF	BS	A			
3. SRO questions are appropriate in accordance with Section D.2.d of ES-401	RF	BS	A			
4. The sampling process was random and systematic (If more than 4 RO or 2 SRO questions were repeated from the last 2 NRC licensing exams, consult the NRR OL program office).			A			
5. Question duplication from the license screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate: <input type="checkbox"/> the audit exam was systematically and randomly developed; or <input type="checkbox"/> the audit exam was completed before the license exam was started; or <input type="checkbox"/> the examinations were developed independently; or <input checked="" type="checkbox"/> the licensee certifies that there is no duplication; or <input type="checkbox"/> other (explain)	RF	BS	A			
6. Bank use meets limits (no more than 75 percent from the bank, at least 10 percent new, and the rest new or modified); enter the actual RO / SRO-only question distribution(s) at right.	Bank	Modified	New	RF	BS	A
	27/5 36/20%	7/1 9.3/4%	41/19 54.7/76%			
7. Between 50 and 60 percent of the questions on the RO exam are written at the comprehension/ analysis level; the SRO exam may exceed 60 percent if the randomly selected K/As support the higher cognitive levels; enter the actual RO / SRO question distribution(s) at right.	Memory		C/A	RF	BS	A
	32/4 42.7/16%		43/21 57.3/84%			
8. References/handouts provided do not give away answers or aid in the elimination of distractors.	RF	BS	A			
9. Question content conforms with specific K/A statements in the previously approved examination outline and is appropriate for the tier to which they are assigned; deviations are justified.	RF	BS	A			
10. Question psychometric quality and format meet the guidelines in ES Appendix B.	RF	BS	A			
11. The exam contains the required number of one-point, multiple choice items; the total is correct and agrees with the value on the cover sheet.	RF	BS	A			
a. Author b. Facility Reviewer (*) c. NRC Chief Examiner (#) d. NRC Regional Supervisor		Printed Name / Signature <u>Ken Forizon</u> <u>Brian L. Sizemore</u> <u>GABRIEL MOBER</u> <u>MARK HAIRE</u>		Date 6/22/11 6-22-11 6/30/2011 7/6/11		
Note: * The facility reviewer's initials/signature are not applicable for NRC-developed examinations. # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.						

Facility: <u>Diablo Canyon</u>		Date of Examination: <u>07/2011</u>		Operating Test Number: <u>L091-NRC</u>	
1. GENERAL CRITERIA		Initials			
		a	b*	c#	
a.	The operating test conforms with the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	JB	BS	A	
b.	There is no day-to-day repetition between this and other operating tests to be administered during this examination.	JB	BS	A	
c.	The operating test shall not duplicate items from the applicants' audit test(s)(see Section D.1.a).	JB	BS	A	
d.	Overlap with the written examination and between different parts of the operating test is within acceptable limits.	JB	BS	A	
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	JB	BS	A	
2. WALK-THROUGH CRITERIA		--	--	--	
a.	Each JPM includes the following, as applicable: <ul style="list-style-type: none"> • initial conditions • initiating cues • references and tools, including associated procedures • reasonable and validated time limits (average time allowed for completion) and specific designation if deemed to be time critical by the facility licensee • operationally important specific performance criteria that include: <ul style="list-style-type: none"> - detailed expected actions with exact criteria and nomenclature - system response and other examiner cues - statements describing important observations to be made by the applicant - criteria for successful completion of the task - identification of critical steps and their associated performance standards - restrictions on the sequence of steps, if applicable 	JB	BS	A	
b.	Ensure that any changes from the previously approved systems and administrative walk-through outlines (Forms ES-301-1 and 2) have not caused the test to deviate from any of the acceptance criteria (e.g., item distribution, bank use, repetition from the last 2 NRC examinations) specified on those forms and Form ES-201-2.	JB	BS	A	
3. SIMULATOR CRITERIA		--	--	--	
The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached.		JB	A-FUR	A	
a. Author	<u>JOHN F. BUCKLEY</u> / <u>[Signature]</u>	Date: <u>06/20/11</u>			
b. Facility Reviewer (*)	<u>Brian L. Sizemore</u> / <u>[Signature]</u>	Date: <u>06-22-11</u>			
c. NRC Chief Examiner (#)	<u>GABRIEL APGER</u> / <u>[Signature]</u>	Date: <u>6-30/2011</u>			
d. NRC Supervisor	<u>MARK HAURE</u> / <u>[Signature]</u>	Date: <u>7/7/2011</u>			
NOTE: * The facility signature is not applicable for NRC-developed tests # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence is required.					

Facility: Diablo Canyon		Date of Exam: 07/2011		Scenario Numbers: 01 / 02 / 03		Operating Test Number: L091	
QUALITATIVE ATTRIBUTES			Initials				
			a	b*	c#		
1.	The initial conditions are realistic, in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.	AB	BS	A			
2.	The scenarios consist mostly of related events.	AB	BS	A			
3.	Each event description consists of <ul style="list-style-type: none"> the point in the scenario when it is to be initiated the malfunction(s) that are entered to initiate the event the symptoms/cues that will be visible to the crew the expected operator actions (by shift position) the event termination point (if applicable) 	AB	BS	A			
4.	No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event.	AB	BS	A			
5.	The events are valid with regard to physics and thermodynamics.	AB	BS	A			
6.	Sequencing and timing of events is reasonable, and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives.	AB	BS	A			
7.	If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.	AB	BS	A			
8.	The simulator modeling is not altered.	AB	BS	A			
9.	The scenarios have been validated. Pursuant to 10CFR55.46(d), any open simulator performance deficiencies or deviations from the referenced plant have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios.	AB	BS	A			
10.	Every operator will be evaluated using at least one new or significantly modified scenario. All other scenarios have been altered in accordance with Section D.5 of ES-301.	AB	BS	A			
11.	All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios).	AB	BS	A			
12.	Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios).	AB	BS	A			
13.	The level of difficulty is appropriate to support licensing decisions for each crew position.	AB	BS	A			
Target Quantitative Attributes (Per Scenario; See Section D.5.d)		Actual Attributes		--	--	--	
1.	Total malfunctions (5-8)	6 / 6 / 7		AB	BS	A	
2.	Malfunctions after EOP entry (1-2)	1 / 1 / 1		AB	BS	A	
3.	Abnormal events (2-4)	4 / 4 / 4		AB	BS	A	
4.	Major transients (1-2)	2 / 1 / 1		AB	BS	A	
5.	EOPs entered/requiring substantive actions (1-2)	1 / 2 / 2		AB	BS	A	
6.	EOP contingencies requiring substantive actions (0-2)	1 / 1 / 1		AB	BS	A	
7.	Critical tasks (2-3)	2 / 3 / 2		AB	BS	A	

Facility: Diablo Canyon Date of Exam: 07/2011 Scenario Numbers: 04 / 05 / 06 Operating Test Number: L091					
QUALITATIVE ATTRIBUTES		Initials			
		a	b*	c#	
1.	The initial conditions are realistic, in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.	BS	BS	A	
2.	The scenarios consist mostly of related events.	BS	BS	A	
3.	Each event description consists of <ul style="list-style-type: none"> • the point in the scenario when it is to be initiated • the malfunction(s) that are entered to initiate the event • the symptoms/cues that will be visible to the crew • the expected operator actions (by shift position) • the event termination point (if applicable) 	BS	BS	A	
4.	No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event.	BS	BS	A	
5.	The events are valid with regard to physics and thermodynamics.	BS	BS	A	
6.	Sequencing and timing of events is reasonable, and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives.	BS	BS	A	
7.	If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.	BS	BS	A	
8.	The simulator modeling is not altered.	BS	BS	A	
9.	The scenarios have been validated. Pursuant to 10CFR55.46(d), any open simulator performance deficiencies or deviations from the referenced plant have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios.	BS	BS	A	
10.	Every operator will be evaluated using at least one new or significantly modified scenario. All other scenarios have been altered in accordance with Section D.5 of ES-301.	BS	BS	A	
11.	All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios).	BS	BS	A	
12.	Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios).	BS	BS	A	
13.	The level of difficulty is appropriate to support licensing decisions for each crew position.	BS	BS	A	
Target Quantitative Attributes (Per Scenario; See Section D.5.d)		Actual Attributes			
1.	Total malfunctions (5-8)	6 / 5 / 6	BS	BS	A
2.	Malfunctions after EOP entry (1-2)	2 / 1 / 2	BS	BS	A
3.	Abnormal events (2-4)	2 / 2 / 3	BS	BS	A
4.	Major transients (1-2)	2 / 1 / 2	BS	BS	A
5.	EOPs entered/requiring substantive actions (1-2)	2 / 2 / 1	BS	BS	A
6.	EOP contingencies requiring substantive actions (0-2)	0 / 0 / 0	BS	BS	A
7.	Critical tasks (2-3)	2 / 2 / 3	BS	BS	A

Facility:	Date of Exam:	Exam Level: RO <input type="checkbox"/> SRO <input checked="" type="checkbox"/>	
Item Description	Initials		
	a	b	c
1. Clean answer sheets copied before grading	RF	BS	A
2. Answer key changes and question deletions justified and documented	RF	BS	A
3. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	RF	BS	A
4. Grading for all borderline cases (80 ±2% overall and 70 or 80, as applicable, ±4% on the SRO-only) reviewed in detail	RF	BS	A
5. All other failing examinations checked to ensure that grades are justified	RF	BS	A
6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	RF	BS	A
	Printed Name/Signature	Date	
a. Grader	<u>RON FORTON</u>	<u>7-26-11</u>	
b. Facility Reviewer(*)	<u>Brian Sizemore</u>	<u>7-26-11</u>	
c. NRC Chief Examiner (*)	<u>GABRIEL APBER</u>	<u>8/4/2011</u>	
d. NRC Supervisor (*)	<u>MARK HAIRE</u>	<u>8/22/11</u>	
(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.			

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the L091 NRC licensing examination scheduled for the weeks of 07/08 - 07/22/2011 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 7/8 - 7/22/11. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. <u>ROUFGATER</u>	<u>Exam Lead</u>	<u>[Signature]</u>	<u>12/20/10</u>	<u>[Signature]</u>	<u>7/20/11</u>
2. <u>JOHN BECERRA</u>	<u>SIM & EXAM SUPPORT SUPT</u>	<u>[Signature]</u>	<u>1/6/11</u>	<u>[Signature]</u>	<u>7/20/11</u>
3. <u>Brian Sizemore</u>	<u>Ops Rep</u>	<u>[Signature]</u>	<u>1/6/11</u>	<u>[Signature]</u>	<u>7/20/11</u>
4. <u>Gary Hutchinson</u>	<u>Sim Specialist</u>	<u>[Signature]</u>	<u>1/6/11</u>	<u>[Signature]</u>	<u>7/20/11</u>
5. <u>John Buckley</u>	<u>Exam Developer</u>	<u>[Signature]</u>	<u>01/13/11</u>	<u>[Signature]</u>	<u>7/20/11</u>
6. <u>MIKE Clark</u>	<u>Exam Developer</u>	<u>[Signature]</u>	<u>01/18/11</u>	<u>[Signature]</u>	<u>7/20/11</u>
7. <u>Dale Lessard</u>	<u>SIM SPECIALIST</u>	<u>[Signature]</u>	<u>02/09/11</u>	<u>[Signature]</u>	<u>7/21/11</u>
8. <u>Thomas M Luniwski</u>	<u>SIM SPECIALIST</u>	<u>[Signature]</u>	<u>02/24/11</u>	<u>[Signature]</u>	<u>8/12/11</u>
9. <u>Russell F. (Rube)</u>	<u>SHIFT FOREMAN</u>	<u>[Signature]</u>	<u>07/22/11</u>	<u>[Signature]</u>	<u>③</u>
10. <u>Douglas J. Dye</u>	<u>SHIFT FOREMAN</u>	<u>[Signature]</u>	<u>7-22-11</u>	<u>[Signature]</u>	<u>②</u>
11. <u>VERLEY L. PATNEY</u>	<u>SHIFT FOREMAN</u>	<u>[Signature]</u>	<u>7-22-11</u>	<u>[Signature]</u>	<u>7/26/11</u>
12. <u>CASER WEIR</u>	<u>REACTOR OPERATOR</u>	<u>[Signature]</u>	<u>7-22-11</u>	<u>[Signature]</u>	<u>7-26-11</u>
13. <u>RICHARD PAGES</u>	<u>WORK CONTROL LEAD</u>	<u>[Signature]</u>	<u>2-22-11</u>	<u>[Signature]</u>	<u>8-9-11</u>
14. <u>Susan Pransky</u>	<u>Check Exam copier</u>	<u>[Signature]</u>	<u>3-10-11</u>	<u>[Signature]</u>	<u>7-26-11</u>
15. <u>James Honey</u>	<u>Control Operator</u>	<u>[Signature]</u>	<u>4-14-11</u>	<u>[Signature]</u>	<u>8-4-11</u>

NOTES: ② DS DYE - RE-TRND. CONFIRMATOR SIGNATURE ATTACHED.
 ③ RUSS CRUZEN - VACATION - CONFIRMATION E-MAIL ATTACHED.

1. Pre-examination

I acknowledge that I have acquired specialized knowledge about the L091 NRC licensing examination scheduled for the weeks of 07/08 - 07/22/2011 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 7/8-7/22/11 From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. Michael Kennedy	Shift Manager	<i>Michael Kennedy</i>	5/24/11	<i>Michael Kennedy</i>	8/2/11
2. Mark May	Operations Foreman	<i>Mark May</i>	5-24-11	<i>Mark May</i>	7-20-11
3. Joseph M. Haynes	Ops Supervisor	<i>Joseph M. Haynes</i>	5-25-11	<i>Joseph M. Haynes</i>	8/2/11
4. Kenneth W. Walker	SR RPTech TA - RP Dept	<i>Kenneth W. Walker</i>	5-25-11	<i>Kenneth W. Walker</i>	8/2/11
5. David J. Beaus	Ops Foreman	<i>David J. Beaus</i>	6-15-11	<i>David J. Beaus</i>	7-24-11
6. Sam Williams	Ops CO	<i>Sam Williams</i>	6/15/11	<i>Sam Williams</i>	7/2/11
7. Brian Adams	Ops Reactor Operator	<i>Brian Adams</i>	6-21-11	<i>Brian Adams</i>	7-22-11
8. William Hendy	Ops Training manager	<i>William Hendy</i>	7/8/11	<i>William Hendy</i>	7/29/11
9. Steve Wilson	Ops Training Inst.	<i>Steve Wilson</i>	7-8-11	<i>Steve Wilson</i>	8-1-11
10. CHARLIE SCHUB	INSTRUCTOR	<i>Charlie Schub</i>	7-8-11	<i>Charlie Schub</i>	7-21-11
11. Steve Spikes	Instructor	<i>Steve Spikes</i>	7/8/11	<i>Steve Spikes</i>	7/21/11
12. Jack Spikes	Instructor	<i>Jack Spikes</i>	7/8/11	<i>Jack Spikes</i>	7/27/11
13. Larry Korman	Director	<i>Larry Korman</i>	7/11/11	<i>Larry Korman</i>	7/21/11
14. John Korman	Ops Foreman	<i>John Korman</i>	7-11-11	<i>John Korman</i>	7-25-11
15. Mark May		<i>Mark May</i>		<i>Mark May</i>	

NOTES:

Ken Wilner has left site. Email confirmation ATTACHED

8/5/2011

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the L091 NRC licensing examination scheduled for the weeks of 07/08 - 07/22/2011 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 7/8-7/21/11. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. Rothstein	CO	<i>[Signature]</i>	4-14-11	<i>[Signature]</i>	8-31
2. Richard James Jr.	WCL	<i>[Signature]</i>	4-14-11	<i>[Signature]</i>	8-3-11
3. Fred Barthel	SFM	<i>[Signature]</i>	4/14/11	<i>[Signature]</i>	7/28/11
4. BEN BLACK	SRO	<i>[Signature]</i>	4/19/11	<i>[Signature]</i>	8/20/11
5. Gouveia	SRO	<i>[Signature]</i>	4/19/11	<i>[Signature]</i>	8/9/11
6. Megan Wilson	SRD	<i>[Signature]</i>	4/19/11	<i>[Signature]</i>	7/6/11
7. Abdul Kadir	SRO	<i>[Signature]</i>	4/18/11	<i>[Signature]</i>	(A)
8. David Burds	TEAM TRNG MENTOR	<i>[Signature]</i>	5/12/11	<i>[Signature]</i>	7/24/11
9. JOHN ACKERMAN	REACTOR OPERATOR	<i>[Signature]</i>	5-5-11	<i>[Signature]</i>	7-2-11
10. JEREMY MINN	CO	<i>[Signature]</i>	5-12-11	<i>[Signature]</i>	7-20-11
11. KATHERINE MATSON	CO	<i>[Signature]</i>	05-12-2011	<i>[Signature]</i>	08-02-2011
12. CHIP DEAN	SRO	<i>[Signature]</i>	5-13-11	<i>[Signature]</i>	7-26-11
13. OTTIE OLIVER	OCT SUPERVISOR	<i>[Signature]</i>	5/17/11	<i>[Signature]</i>	7-27-11
14. JOHN W. POLBUDAK	R.O.	<i>[Signature]</i>	5-24-11	<i>[Signature]</i>	7-26-11
15. Alex Brown	EO	<i>[Signature]</i>	5/24/11	<i>[Signature]</i>	7/20/11

NOTES: (A) ABDUL KADIR - 7 DAYS OFF - CONFIRMATION E-MAIL ATTACHED.

Fortier, Ronald L.

NOTE ①

From: kwinkler1@juno.com
Sent: Wednesday, August 03, 2011 10:27 AM
To: Fortier, Ronald L.
Subject: Re: DCPD security agreement

Ron,

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of **07/08 – 07/22/2011** . From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

The above statement is correct and if you have any further questions or need to contact me, I can be reached at 602-448-2440.

Ken Winkler

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Fortier, Ronald L.

NOTE 2

From: Douglas Dye [dyeguy@charter.net]
Sent: Wednesday, August 03, 2011 12:59 PM
To: Fortier, Ronald L.
Cc: Douglas Dye
Subject: Re: L091 security agreement

Ron,

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week (s) of **07/08 – 07/22/2011** . From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

D. J. Dye

On Aug 3, 2011, at 9:27 AM, Fortier, Ronald L. wrote:

Jim

Sorry to intrude on your retirement.

The NRC exam is complete so I need to have you verify the following:

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of **07/08 – 07/22/2011** . From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

Thanks for your help Jim.

Ron Fortier

8/3/2011

Fortier, Ronald L.

NOTE 3

From: Cruzen, Russell F
Sent: Wednesday, August 03, 2011 3:48 PM
To: Fortier, Ronald L.
Subject: Re: L091 security agreement

That is a true statement.

Russ Cruzen

From: Fortier, Ronald L.
Sent: Wednesday, August 03, 2011 11:08 AM
To: Cruzen, Russell F
Subject: RE: L091 security agreement

Russ

Read and respond to the following:

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week (s) of 07/08 – 07/22/2011. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

Ron

From: Kadir, Abdul
Sent: Tuesday, August 09, 2011 1:27 PM
To: Becerra, John (DCPP)
Subject: RE: L091 Security Agreement

The below is accurate

From: Becerra, John (DCPP)
Sent: Tuesday, August 09, 2011 12:49 PM
To: Kadir, Abdul
Cc: Fortier, Ronald L.
Subject: L091 Security Agreement

Abdul,

The NRC exam is complete so we need to have you verify the following:

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 07/08/11 - 07/22/2011.

From the date that I entered into this security agreement until completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

John

Operator Licensing Exam Schedule
 From 01/01/2011 To 09/30/2011

Region: 4 Phase Code: 5

Exam Week	Site/Docket No./Insp Rpt #	# Candidates	Type	Exam Author	Chief Examiner	Examiners Assigned
05/23/2011	Diablo Canyon / 05000275 / 2011301 TAC #: X02471		Prep	FFF	APGER, GABRIEL W.	APGER, GABRIEL W. CLAYTON, KELLY D. FARINA, THOMAS J. REESER, DAVID W.
05/23/2011	Diablo Canyon / 05000323 / 2011301 TAC #: X02472		Prep	FFF	APGER, GABRIEL W.	APGER, GABRIEL W. CLAYTON, KELLY D. FARINA, THOMAS J. REESER, DAVID W.
07/11/2011	Diablo Canyon / 05000275 / 2011301 TAC #: X02471	RO - 4	Admin	FFF	APGER, GABRIEL W.	APGER, GABRIEL W. CLAYTON, KELLY D. FARINA, THOMAS J. LARSON, BRIAN T. REESER, DAVID W. STEELY, CHRISTOPHER D.
07/11/2011	Diablo Canyon / 05000323 / 2011301 TAC #: X02472	RO - 5 SROU - 1	Admin	FFF	APGER, GABRIEL W.	APGER, GABRIEL W. CLAYTON, KELLY D. FARINA, THOMAS J. LARSON, BRIAN T. REESER, DAVID W. STEELY, CHRISTOPHER D.
07/25/2011	Diablo Canyon / 05000275 / 2011301 TAC #: X02471		Doc	FFF	APGER, GABRIEL W.	APGER, GABRIEL W. CLAYTON, KELLY D. FARINA, THOMAS J. LARSON, BRIAN T. REESER, DAVID W. STEELY, CHRISTOPHER D.
07/25/2011	Diablo Canyon / 05000323 / 2011301 TAC #: X02472		Doc	FFF	APGER, GABRIEL W.	APGER, GABRIEL W. CLAYTON, KELLY D. FARINA, THOMAS J. LARSON, BRIAN T. REESER, DAVID W. STEELY, CHRISTOPHER D.

Operator Licensing Exam Schedule

From 01/01/2011 To 09/30/2011

Region: 4

Phase Code: 5

Summary By Date

Date	Site	RO	SROI	SROU	LSRO	Total
05/2011	DIAB - Diablo Canyon	RO - 0	SROI - 0	SROU - 0	LSRO - 0	Total for Diablo Canyon: 0
05/2011		RO - 0	SROI - 0	SROU - 0	LSRO - 0	Total for 05/2011: 0
07/2011	DIAB - Diablo Canyon	RO - 9	SROI - 11	SROU - 1	LSRO - 0	Total for Diablo Canyon: 21

Operator Licensing Exam Schedule

From 01/01/2011 To 09/30/2011

Region: 4

Phase Code: 5

Summary By Site

DIAB - Diablo Canyon

RO - 9

SROI - 11

SROU - 1

LSRO - 0

Total for Diablo Canyon: 21

Sites: DIAB

Orgs: 4620

Exam Author: ALL

Operator Licensing Exam Schedule

From 01/01/2011 To 09/30/2011

Region: 4

Phase Code: 5

Summary By Region

Region 4	RO - 9	SROI - 11	SROU - 1	LSRO - 0	Total for Region 4: 21
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