

Facility: Waterford 3		Date of Examination: August 12, 2011	Operating Test Number: 1		
Item	Task Description	Initials			
		a	b*	c#	
1. W R I T T E N	a. Verify that the outline(s) fit(s) the appropriate model, in accordance with ES-401.	N/A	N/A	N/A	
	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.	N/A	N/A	N/A	
	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	N/A	N/A	N/A	
	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	N/A	N/A	N/A	
2. S I M U L A T O R	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients.	N/A	N/A	N/A	
	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days.	N/A	N/A	N/A	
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.	N/A	N/A	N/A	
3. W / T	a. Verify that the systems walk-through outline meets the criteria specified on Form ES-301-2: (1) the outline(s) contain(s) the required number of control room and in-plant tasks distributed among the safety functions as specified on the form (2) task repetition from the last two NRC examinations is within the limits specified on the form (3) no tasks are duplicated from the applicants' audit test(s) (4) the number of new or modified tasks meets or exceeds the minimums specified on the form (5) the number of alternate path, low-power, emergency, and RCA tasks meet the criteria on the form.	JF	W	KPE	
	b. Verify that the administrative outline meets the criteria specified on Form ES-301-1: (1) the tasks are distributed among the topics as specified on the form (2) at least one task is new or significantly modified (3) no more than one task is repeated from the last two NRC licensing examinations	JF	W	KPE	
	c. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.	N/A	N/A	N/A	
4. G E N E R A L	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam sections.	JF	W	KPE	
	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.	JF	W	KPE	
	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	JF	W	KPE	
	d. Check for duplication and overlap among exam sections.	N/A	N/A	N/A	
	e. Check the entire exam for balance of coverage.	N/A	N/A	N/A	
	f. Assess whether the exam fits the appropriate job level (RO or SRO).	JF	W	KPE	
		Printed Name / Signature	Date		
a.	Author	John Signorelli / <i>John Signorelli</i>	6-15-11		
b.	Facility Reviewer(*)	Dave Vincent / <i>Dave Vincent</i>	6/16/11		
c.	NRC Chief Examiner (#)	Kelly Clayton / <i>Kelly Clayton</i>	6/22/11		
d.	NRC Supervisor	MARK Hines / <i>Mark Hines</i>	8/31/11		
Note: # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required. * Not applicable for NRC-prepared examination outlines					

EXAM ASSIGNMENT TICKLER

Chief:	K. Clayton	Facility:	WAT	Date of Written Exam:	
Start of Op Test:		8/12/2011	End of Op Test:		8/12/2011
Written Exam Developed By: N/A			Operating Test Developed By: Facility		
Due Date	Description	Date Complete	Initials	Notes	
5/10/2011	Written Exam & Op Test Dates Confirmed	5/10/2011	KDC		
5/10/2011	NRC Examiners & Facility Contact Assigned	5/10/2011	KDC		
5/10/2011	Facility Contact Briefed on Security & Other Req's	5/10/2011	KDC		
5/12/2011	Corporate Notification Letter sent			ES-201 Att-4 produced by CE	
5/13/2011	Reference material due (if NRC authored)	N/A	-	ES-201 Att-3	
6/17/2011	Integrated exam outlines due	6/20/2011	KDC	requested/received ext.	
6/24/2011	DRAFT exam outlines reviewed by Chief Examiner	6/22/2011	KDC	ES-201-2 signed by CE	
6/24/2011	Branch Chief approves outline feedback to facility	6/22/2011	KDC	ES-201-2 signed by BC	
7/6/2011	DRAFT exam / docs / support reference material due	7/19/2011	KDC	requested/received ext.	
7/8/2011	Peer review of written exam complete	N/A	-	Document review on ES-401-9	
7/6/2011	Preliminary license applications due	7/6/2011	KDC	NRC Forms 398/396	
7/8/2011	Preliminary license applications and waivers reviewed	7/8/2011	KDC		
7/8/2011	Branch Chief approves exam feedback to facility	7/27/2011	KDC		
7/8/2011	Exams reviewed with facility	7/27/2011	KDC		
8/10/2011	On-site validation & 10% audit of license applications	N/A	N/A → license cancelled exam		
7/29/2011	Final applications due & List of Applicants prepared			ES-201-4 prepared by LA	
8/5/2011	Final applications approved & waiver letters sent			LA produces / BC signs Exam Approval Letter (ES-201 Atch 5)	
8/5/2011	Branch Chief approves FINAL exam (Written & Op Test)				
8/5/2011	Proctoring/written exam admin guidelines reviewed w/ facility	N/A			
8/5/2011	Exam material to exam team				
8/12/2011	Administer Operating Test on-site				
8/19/2011	Facility post-exam documentation due				
8/19/2011	NRC written exam grading completed	N/A		ES-403-1 to BC	
8/19/2011	Examiners document op test results on ES 303's				
8/26/2011	Chief Examiner review of written exam & op test completed			Signed ES 303's to BC	
9/2/2011	Branch Chief review of exam results completed				
9/9/2011	Waivers/deferrals reviewed for impact on licensing decision				
9/9/2011	License/Denial letters mailed; Facility notified of results				
9/9/2011	RPS/IP number of examinees updated			print Report-21	
9/23/2011	Examination Report Issued			produced by CE	
9/30/2011	Exam docs to ADAMS and SUNSI checklist complete			SUNSI checklist to LA	
10/7/2011	Ref Mat'l Returned after Final Resolution of Appeals				

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of August 12, 2011 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of August 30, 2010. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1	John Signorelli	Exam Development Lead	<i>John Signorelli</i>	6-11-11			
2	Dave Vincent	Facility Reviewer	<i>Dave Vincent</i>	6/16/11			
3	Art Vest	Operations Instructor	<i>Art Vest</i>	6/15/11			
4	Howard Shrum	Operations Instructor	<i>Howard Shrum</i>	6/15/11			
5	<i>David ...</i>						6/16/11
6	Mark Chaisson	Operations Reviewer	<i>Mark Chaisson</i>	6-15-11			
7	Jerome Robertson	Booth Operator	<i>Jerome Robertson</i>	6-30-11			
8	W.H. Pendersgrass	Booth Operator	<i>W.H. Pendersgrass</i>	7/19/11			
9	H.J. Lewis	Ops Validator	<i>H.J. Lewis</i>	7/19/11			

NOTES:



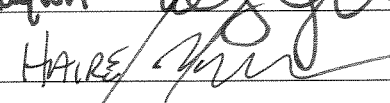
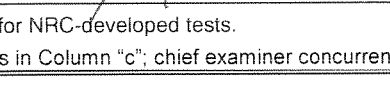
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	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
10	Glenn Mc Dougald	Simulator Support	<i>Glenn Mc Dougald</i>	7-5-11			
11	Jim del Cano	Simulator Support	<i>James del Cano</i>	7-5-11			
12							
13							
14							
15							
16							
17							
18							

Facility: Waterford 3		Date of Examination: March 21, 2011		Operating Test Number: 1		
1. General Criteria				Initials		
				a	b*	c#
a.	The operating test conforms with the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).			JS	M	KVR
b.	There is no day-to-day repetition between this and other operating tests to be administered during this examination.			N/A	N/A	N/A
c.	The operating test shall not duplicate items from the applicants' audit test(s). (see Section D.1.a.)			JS	M	KVR
d.	Overlap with the written examination and between different parts of the operating test is within acceptable limits.			N/A	N/A	N/A
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.			JS	M	KVR
2. Walk-Through Criteria				--	--	--
a.	Each JPM includes the following, as applicable: <ul style="list-style-type: none"> • initial conditions • initiating cues • references and tools, including associated procedures • reasonable and validated time limits (average time allowed for completion) and specific designation if deemed to be time-critical by the facility licensee • operationally important specific performance criteria that include: <ul style="list-style-type: none"> - detailed expected actions with exact criteria and nomenclature - system response and other examiner cues - statements describing important observations to be made by the applicant - criteria for successful completion of the task - identification of critical steps and their associated performance standards - restrictions on the sequence of steps, if applicable 			JS	M	KVR
b.	Ensure that any changes from the previously approved systems and administrative walk-through outlines (Forms ES-301-1 and 2) have not caused the test to deviate from any of the acceptance criteria (e.g., item distribution, bank use, repetition from the last 2 NRC examinations) specified on those forms and Form ES-201-2.			JS	M	KVR
3. Simulator Criteria				--	--	--
The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached.				N/A	N/A	N/A
		Printed Name / Signature		Date		
a.	Author	John Signorelli		7/18/2011		
b.	Facility Reviewer(*)	David Vincent		7/18/2011		
c.	NRC Chief Examiner (#)	Kelly Clayton		7/21/2011		
d.	NRC Supervisor	MARK HAIRE		8/31/2011		
NOTE: * The facility signature is not applicable for NRC-developed tests. # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.						