



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

August 15, 2011

MEMORANDUM TO: R. William Borchardt
Executive Director for Operations

FROM: Stephen D. Dingbaum **/RA/**
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
GRANT MANAGEMENT PROGRAM (OIG-09-A-16)

REFERENCE: DIRECTOR, OFFICE OF ADMINISTRATION,
MEMORANDUM DATED JULY 18, 2011

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated July 18, 2011. Based on this response, recommendations 1, 2, and 5 remain resolved. Recommendations 3, 4, 6, 7, 8 and 9 were closed previously. Please provide an updated status of the resolved recommendations by January 31, 2012.

If you have any questions or concerns, please call me at 415-5915 or Kathleen Stetson, Team Leader, at 415-8175.

Attachment: As stated

cc: N. Mamish, OEDO
J. Andersen, OEDO
J. Arildsen, OEDO
C. Jaegers, OEDO

Audit Report

AUDIT OF NRC'S GRANT MANAGEMENT PROGRAM

OIG-09-A-16

Status of Recommendations

Recommendation 1: Resolve outstanding Lean Six Sigma [LSS] issues, including definition of the competitive grant process, roles and responsibilities, development of a shared electronic grant database, and scope of SBCR [Office of Small Business and Civil Rights] reviews.

Agency Response Dated
July 18, 2011:

Status: The Office of Administration (ADM) revised Management Directive (MD) 11.6, "Financial Assistance Program" to include a definition of the competitive grant process, roles and responsibilities, and the scope of SBCR reviews. Office comments on the revised MD have been resolved, and the MD currently is under review for final signature. ADM expects that MD 11.6 will be issued by December 31, 2011.

With respect to the development of a shared electronic database, ADM developed a SharePoint site for grants management which is available at:

<http://portal.nrc.gov/edo/adm/dc/grants/default.aspx>

OIG Analysis: The proposed corrective action addresses the intent of the recommendation. Recommendation 1 will be closed when OIG receives the revised MD 11.6 that addresses outstanding Lean Six Sigma issues, including definition of the competitive grant process, roles and responsibilities, development of a shared electronic grant database, and scope of SBCR reviews.

Status: Resolved.

Audit Report

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Status of Recommendations

<u>Recommendation 2:</u>	Update Management Directive 11.6 to comprehensively address the NRC's [Nuclear Regulatory Commission's] competitive and non-competitive grant program, including (a) roles and responsibilities of individuals and offices involved in the grant process, (b) process for awarding grants, and (c) required monitoring by project officers.
Agency Response Dated July 18, 2011:	Status: ADM revised MD 11.6 to address these issue areas. The MD currently is under review for final signature, and ADM expects that it will be issued by December 31, 2011.
OIG Analysis:	The proposed corrective action addresses the intent of the recommendation. This recommendation will be closed when OIG receives a copy of the revised Management Directive 11.6 and determines that it addresses NRC's competitive and non-competitive grant program, including (a) roles and responsibilities of individuals and offices involved in the grant process, (b) process for awarding grants, and (c) required monitoring by project officers.
Status:	Resolved.

Audit Report

AUDIT OF NRC'S GRANT MANAGEMENT PROGRAM

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Status of Recommendations

Recommendation 5: Ensure that staff working on grants complete the required training within the specified timeframe identified in response to recommendation 4.

Agency Response Dated
July 18, 2011:

Status: ADM awarded a contract in September 2010 for grants training to include both core and elective grants management courses required under the NRC's Grants Management and Certification Program. The majority of grants management staff have completed the core courses, and elective courses are currently being scheduled. ADM expects that the staff training will be completed by December 31, 2011.

OIG Analysis:

The proposed corrective actions address the intent of this recommendation. This recommendation will be closed when OIG receives documentation that the staff working on grants has completed the required training within the specified timeframe.

Status:

Resolved.